Tuning gene expression with synthetic upstream open reading frames

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We engineered short ORFs and used them to control the expression level of recombinant proteins. These short ORFs, encoding a two-amino acid peptide, were placed upstream of an ORF encoding a protein of interest. Insertion of these upstream ORFs (uORFs) resulted in suppression of protein expression. By varying the base sequence preceding the uORF, we sought to vary the translation initiation rate of the uORF and subsequently control the degree of this suppression. Using this strategy, we generated a library of RNA sequence elements that can specify protein expression over a broad range of levels. By also using multiple uORFs in series and non-AUG start codons, we were able to generate particularly low expression levels, allowing us to achieve expression levels spanning three orders of magnitude. Modeling supported a mechanism where uORFs shunt the flow of ribosomes away from the downstream protein-coding ORF. With a lower translation initiation rate at the uORF, more ribosomes “leak” past the uORF; consequently, more ribosomes are able to reach and translate the downstream ORF. We report expression control by engineering uORFs and translation initiation to be robust, predictable, and reproducible across all cell types tested. We propose control of translation initiation as a primary method of choice for tuning expression in mammalian systems.

Current approaches to precisely control the translation levels of recombinant proteins in mammalian cells. However, precise expression of proteins could be crucial to investigating physiologically relevant levels or genetically programming cells for a desired application. We sought RNA sequence elements that could be used to control translation initiation. In bacteria, constitutive control of translation has been achieved by varying the sequence and position of the ribosome-binding site, where ribosomes bind and assemble a short distance from the start of an ORF (1). Eukaryotes, in most cases, do not use such sequences to recruit and assemble ribosomes at the start of ORFs (Fig. 1A). Instead, a ribosomal preinitiation complex (43S, composed of a 40S subunit, initiator tRNA, and eukaryotic initiation factors) typically binds at the methylguanosine-capped 5′ end of mRNA and scans in the 3′ direction (Fig. 1B): it scans until it reaches and recognizes a translation initiation site (TIS) comprised of the start codon and neighboring bases (Fig. 1C). Upon recognition of a TIS, the complex pauses to enable release of a phosphate generated by GTP hydrolysis, release of initiation factors, and proper pairing of the tRNA anticodon to the start codon. Subsequently, the 60S ribosomal subunit joins, and translation initiates (2, 3).

Kozak is credited with first identifying the TIS as bases −3 to +4 (where the +1 position is the first base of the start codon) (4). In addition, Kozak demonstrated that the consensus motif (A/G)C(C/A)UUG (5) strongly favored translation initiation (6). Subsequently, genetic engineers have often used this sequence to generate high levels of recombinant protein expression. In the human genome, 11% of genes (based on our own analysis) use the consensus (A/G)C(C/A)UUG. With 89% of human genes using TIS sequences that differ from the consensus, this finding suggested to us that the use of different TIS sequences could be a natural strategy for tuning protein expression levels.

Cells can also use short upstream ORFs (uORFs; Fig. 1D) to regulate protein expression. Recently, genome analysis revealed that half of all human genes contain one or more uORFs (7). These uORFs can be as short as three codons (i.e., two codons that encode amino acids plus a stop codon), and their presence was shown to reduce expression from downstream, protein-coding ORFs. To devise a method to control constitutive protein translation levels, we combined two approaches—manipulation of the TIS sequence and insertion of uORFs—and report a strategy that allows unprecedented control of expression over a broad range of levels.

Results

Variation of TIS Sequence. We attempted to control protein expression by varying the TIS sequence. We varied the three bases (positions −3, −2, and −1) preceding the start codon of an ORF encoding GFP (Fig. 2A, construct 1). We chose not to vary the +4 position, which remained a G, to avoid changes to the amino acid sequence. On our expression vectors, GFP was followed by an internal ribosome entry site (IRES) and a red fluorescent protein (RFP). Because ribosomes are loaded at the IRES and subsequently translate RFP in a manner that should be independent of GFP translation, RFP was used as a reference protein. Because GFP and RFP are expressed from the same transcript, normalization of GFP fluorescence intensity with that of RFP (GFP/RFP) allowed us to minimize reporter noise caused by variations in transcription and mRNA decay. Thus, the GFP/RFP value provided a better indicator for translation than GFP alone. We tested several different TIS sequences (Fig. 2B) and confirmed that the known Kozak consensus sequences with purines at the −3 position (i.e., GCCAUGG, ACCAUGG) produced high levels of translation. Other sequences that deviated from the consensus yielded lower translation levels, although even the weaker TIS sequences still produced relatively high translation levels. The weakest TIS that we evaluated, UUUAUGG, initiated translation at a level half that of the ACCAUGG Kozak consensus. Thus, for the TIS sequences we evaluated, we were not able to specify low expression levels over a sufficiently broad range.

Tuning Translation Levels with Synthetic uORFs. Our next objective was to achieve a comprehensive range of lower expression levels. We evaluated synthetic uORFs for their ability to tune translation. We introduced a two-amino acid uORF with a strong TIS sequence (ACCAUGG) upstream of GFP. The uORF and GFP ORF were separated by five bases (Fig. 2A, construct 2), which led to 85% suppression of GFP translation. We also evaluated

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constructs with multiple uORFs (Fig. 2A, constructs 3 and 4), and for every additional uORF we achieved a lower level of translation (Fig. 2C). We also sought to tune expression levels by varying the distance between a uORF and the primary GFP ORF (Fig. 2, constructs 5). We found that spacer distances between three and nine bases all produced the same level of translation (Fig. 2D), although we cannot rule out that longer distances could have affected GFP translation.

Next we evaluated variation of TIS sequences and utilization of uORFs concurrently (Fig. 2A, construct 6). By tuning the TIS of both a single uORF and a downstream primary ORF, we were able to achieve a nearly continuous range of translation from 0.05 to 0.6 relative units, where 1.0 was expression from the ACCAUUGG TIS without any uORF (Fig. 2E). In general, we found that the stronger the TIS of the uORF, the greater the suppression of the downstream ORF. Furthermore, we showed that inserting uORFs and varying TIS sequences could be used to tune expression of genes with AUG start codons, and also those with non-AUG start codons like ACG [Fig. 2A (constructs 7 and 8) and F]. By both manipulating TIS sequences and/or uORFs, we were able to specify expression levels over a range of greater than three orders of magnitude (Fig. 2G, Fig. S1F). Furthermore, we found that use of uORFs and TIS RNA elements to tune translation achieved reproducible expression levels across different cell types: mouse pre-B lymphocytes (PD31), mouse plasmacytoma (MPC11), human chronic myelogenous leukemia (K562), human colon cancer (HCT-116), human embryonic kidney (293T), and Chinese hamster ovary (CHO-K1; Fig. 2G; Fig. S1E).

We investigated other sequence-related mechanisms that could have affected expression levels. It was possible that differences in expression could be significantly affected by the sequence of GFP. However, when we expressed blue fluorescent protein (BFP), which has a different sequence than GFP and comes from a different organism, we found that our synthetic leaders tuned translation levels in a largely reproducible manner (Fig. 3A). It was possible that the different sequence elements caused changes in mRNA secondary structure, thus potentially affecting expression levels. However, we found changes in local mRNA folding energies had a minimal correlation with translation levels (Fig. 3B). It was also possible that the different sequence elements could have affected mRNA levels. However, we found that mRNA levels were largely unaffected by the different sequence elements (Fig. 3C). In further support of this, these sequence elements upstream of GFP did little to change the IRES-mediated expression of RFP (which in our constructs should have reflected mRNA abundance; Fig. S1F).

Thus, for the TIS and uORF sequences that we evaluated, we deemed that translation levels were tuned in a manner largely independent of these other sequence-related issues.

**Tuning of Expression Abides by a Leaky Scanning Model.** We developed a model to describe the translation suppression of a downstream gene of interest (GOI) ORF by a uORF (Fig. 4; mathematical model described in SI Text). With this model, the uORF acts to shunt ribosomes (8–10) so that fewer ribosomes reach the downstream ORF (which in our experiments was GFP; Fig. 4A; Fig. S2). When each ribosome reaches the TIS of the uORF, it makes a probabilistic decision to initiate or not initiate translation of the uORF. We assumed that the decision to initiate translation is governed by a probability determined by the TIS sequence. If a ribosome initiates at the uORF, then after translating it and reaching its stop codon, it disassembles and eventually detaches from the mRNA. If a ribosome does not initiate at the uORF, it proceeds to the downstream ORF; upon reaching the TIS of the downstream ORF, it again makes a decision to initiate translation (or not). Again the probability of initiation is specified by the TIS sequence. Because there is a continuous procession of scanning ribosomes, the translation level of the downstream ORF is reflected by the product of a ribosomal flux and the probability of initiation. Because translation of the uORF decreases the flux reaching the downstream ORF, the translation level of the downstream ORF is decreased.

Based on this model (SI Text), we projected expression of the downstream GOI ORF (XG) regulated by a uORF based on the TIS sequences of both ORFs according to the equation $X_G = (1 - k_S)S_0$. The $(1 - k_S)$ term represents the fraction of ribosomes that “leak” past the uORF and reach the downstream ORF. The parameter $S$ represents the relative level of translation initiation associated with a TIS sequence. Previously, “good context” and “poor context” have been used to describe TIS sequences associated with high or low initiation rates, respectively. Thus, in our model, $S$ represents the degree to which a TIS is a good or poor context sequence. Values for $S$ ($S_{u}$ for the uORF and $S_{G}$ for the downstream GOI ORF) were determined from the experimentally observed expression levels (Fig. 2B) for GFP alone without any uORF. The constant $k$ relates the experimentally observed initiation level $S$ to a probability of initiation (SI Text). We then fit our experimental data (Fig. 2B and E) to the model equation. Because the model values correlated relatively well ($R^2 = 0.92$) to the observed uORF-regulated expression levels of GFP (Fig. 4B), we assert that the uORF-mediated suppression of GFP (the downstream GOI ORF) in our system can be largely modeled by a leaky scanning mechanism.

**Tuning Cell-Cycle Activity by Controlling Translation of p21.** To demonstrate that our approach had utility beyond fluorescent reporter proteins, we attempted to tune cell-cycle activity by varying the translation level of ectopic protein p21 (CIP1/WAF1). Endogenous p21 can be transcriptionally activated by p53 in response to DNA damage or oncogenic stress (11, 12). By binding and inhibiting the complexes of cyclin-dependent kinases and cyclins, p21 can induce cell-cycle arrest (12, 13). In biotechnology applications, ectopic expression of p21 has been proposed as a means to slow the proliferation of recombinant CHO cells after reaching a high density and, as a result, increase recombinant
protein production titers (14). We fused p21 with GFP and an estrogen receptor domain (GFP-p21-ER), which allowed posttranslational induction of activity upon addition of 4-hydroxytamoxifen (4-OHT). Using a subset of our leader sequences, we then expressed the fusion protein in HCT-116 cells deficient in endogenous p21. After addition of 4-OHT, we observed that we were able to tune GFP-p21-ER expression ranging from levels greater than the wild-type endogenous level induced by DNA damage [10 Gy ionizing radiation (IR)] down to subendogenous levels three orders of magnitude lower (Fig. 5 A and B). In the absence of exogenous DNA damage, though expression of GFP-ER did not significantly affect cell-cycle activity, we observed a shift in cell-cycle activity over a range of GFP-p21-ER expression (Fig. 5C). p21 is perhaps most well known for its ability to induce G1 arrest, inhibiting G1/S progression by binding cyclin-dependent kinase 2 complexed with cyclin E (Cdk2-CycE) and Cdk1/2-CycA (cyclin-dependent kinases 1 or 2 complexed with cyclin A) (12). In line with this notion, we observed predominant G1 arrest at high p21 levels close to or greater than the endogenous p21 levels induced by IR. At levels between 36- and 900-fold less,
cell-cycle progression (i.e., cells in S phase) was still detected, but instead of G1 accumulation, we observed an increased accumulation of cells in G2/M. In this case, very low levels of p21 might still slow cell-cycle progression by binding to cyclin-dependent kinase 1 complexed with cyclin B (Cdk1/CycB), a known p21 binding partner that mediates G2/M progression (12); our report notes that the differences in cell-cycle distribution are a function of ectopic p21 dosage. More importantly, though other commonly used expression systems might have subjected cells to supraphysiological levels of p21, our RNA leaders were capable of reproducing ectopic expression levels over a broad, physiologically relevant range.

Discussion

To develop a systematic approach for engineering protein translation levels, we identified RNA sequence elements that can be inserted immediately upstream of the ORFs of genes of interest. Varying TIS positions −3, −2, and −1 (bases preceding the AUG start codon) produced a range of primarily high expression levels; this alone would not be adequate as a general, all-purpose approach, because cellular proteins could have optimal or physiological activity at low levels. To achieve lower expression levels, we used short, dipeptide-encoding uORFs to decrease translation of the ORF of interest. To tune the degree of suppression by the uORF, we varied the TIS sequences of the uORFs. By varying both TIS sequences and using uORFs, we achieved a full range of protein expression spanning three orders of magnitude. For those who wish to use our RNA leader sequences, we recommend that they start with those that we used to assess the p21 dose–response (Fig. 5); because they all used strong TIS sequences at the downstream ORFs, there should be minimal leakage of ribosomes to internal AUG codons that could initiate translation of truncated or out-of-frame proteins. In addition, to best replicate the levels of expression reported here, users should also use a +4 G in engineered TIS sequences, although the translation control principles described here certainly do not require this. However, if a gene of interest normally uses a weaker TIS sequence (e.g., those with U at the −3 position) to purposefully promote internal initiation, then it may be prudent to use the identical or similar TIS sequence naturally associated with the gene.

We believe that our system abides by the leaky scanning mechanism proposed by Kozak (4, 15, 16), where either the uORF or the downstream ORF is translated. In addition to leaky initiation, Kozak observed that when there was an adequate distance between the uORF and downstream ORF, translation could occur at the uORF and then also reinitiate at the downstream ORF (16); she proposed that the 40S small ribosomal subunit could continue along the mRNA for a limited period after translation ends. Given an ample travel distance, the ribosome would have an opportunity to reload with initiation factors and reinitiate at the second downstream ORF. However, Kozak observed that reinitiation occurred when there were relatively large distances (specifically 41, 76, and 144 bases) between uORF and downstream ORF, but was highly inefficient when ORFs overlapped or were close (i.e., within eight bases) (16). In our system the ORFs were separated by only five bases. With such a short distance, we believe that translation of the downstream ORF is not likely to result from significant amounts of reinitiation. In support of this notion, our leaky initiation model was able to fit our experimental data without accounting for reinitiation. Thus, by using synthetic uORFs as a translational detour, we could precisely control the flux of ribosomes that reach and translate a downstream ORF of interest.

Although RNA secondary structures have been shown to affect translation levels (17–19), our results (Fig. 3B) suggested that our

![Fig. 3](https://example.com/fig3)

**Fig. 3.** Effect of ORF sequence, mRNA folding energy, and mRNA abundance. Normalized GFP expression plotted against (A) expression of BFP, which has a sequence unrelated to GFP; (B) the local ensemble mRNA folding energy; and (C) mRNA level. GFP expression tuned by varying TIS sequences with uORFs (○) or without uORFs (●). Fluorescent protein expression is reported as fluorescence intensity normalized to RFP fluorescence intensity. Error bars represent SD from three experimental replicates.

![Fig. 4](https://example.com/fig4)

**Fig. 4.** Effect of uORFs on protein translation described by a probabilistic leaky scanning model. (A) Schematic of leaky scanning mechanism. 5′m, 5′ methylated RNA cap; AAA, poly-A tail. In experiments, the GOI was GFP. Arrows indicate path of ribosomes. (B) Model prediction based on a probabilistic leaky scanning mechanism plotted against experimentally observed GFP translation levels. If model and predicted values agreed perfectly, data points would fall on the dotted line. The $R^2$ correlation value was 0.92.
synthetic RNA elements tuned translation in a manner largely independent of secondary structure. In eukaryotes, it is believed that many secondary structures do not greatly affect translation because they are unwound by RNA helicases directly associated with scanning ribosomes (i.e., the 43S preinitiation complex) (2). However, secondary structures have been shown to suppress translation when intentionally placed close (within ~10 bases) to the 5′ methylated cap (17, 18). On the other hand, when placed after the TIS so that they promote pausing of the ribosomes at the start codon, secondary structures can enhance the level of leader translation (19). Taking these points into consideration, our RNA elements (placed 510 bases from the 5′ methylated cap with no sequence elements added after the TIS) would not be highly likely to affect translation levels.

Previously, we (20–22) and others (23) have attempted to control constitutive expression levels through use of different promoters that mediate different levels of transcription. For higher eukaryotes, we believe that use of different RNA sequence elements to achieve constitutive expression levels through use of different promoters, even those considered constitutive, can interfere with most genetic programming methods, e.g., transcriptional activators, repressors, inducible promoters, inducible protein stability domains, and secondary RNA structures. Genetic circuits can be first “wired” with these other components and then optimized by tuning translation initiation. We anticipate that our system can be adapted to control translation in other eukaryotes, including plant, yeast, and insect cells.

**Materials and Methods**

**Vector Construction.** To generate pcru5-GFP-IRE5-mCherry, mCherry was PCR-amplified from pcru5-mCherry-IRE5-Blast (24) and inserted in place of the puromycin resistance gene in the retroviral expression vector pcru5-GFP*-IRE5-Puro (25) using standard plasmid construction methods; GFP* was then replaced with monomeric GFP (EGFP A207K, here referred to as GFP), which was derived and PCR-amplified from pEGFP-N1 (Clontech Laboratories Inc.). Sequences to control translation initiation were added to GFP by PCR amplification. GFP was amplified using the forward primer 5′CTCTTCAGATGGCCGATCCGGAATTCAGCAAGGGCGAGGAGCTG3′ and the reverse primer 5′GGCCGAGGTACCCTAACTCTGACGCTGATCTCGAGCTGACGATCCGGAATTCAGCAGCGAGGAAGCTGTTC3′. We propose that RNA leaders using engineered uORFs and TISs serve as the primary method of choice for tuning constitutive expression in mammalian cells. The mechanism of control will not interfere with most genetic programming methods, e.g., transcriptional activators, repressors, inducible promoters, inducible protein stability domains, and secondary RNA structures. Genetic circuits can be first “wired” with these other components and then optimized by tuning translation initiation. We anticipate that our system can be adapted to control translation in other eukaryotes, including plant, yeast, and insect cells.

**Cell Culture.** PD31 cells were cultured in RPMI-1640 medium (Life Technologies) with 10% (vol/vol) FBS (Gemini Bio-Products), 2 mM glutamine, 1 mM
sodium pyruvate, and 0.05 mM 2-mercaptoethanol. K562 cells were cultured in RPMI-1640 with 5% FBS and 2 mM glutamine. The 293T human embryonic kidney cells were cultured in DMEM (Life Technologies) with 10% FBS, 4.5 g/mL glucose, and 2 mM glutamine. CHO-K1 cells were cultured in F-12 Kight’s Modification media (HyClone Laboratories, Inc.) with 10% FBS and 2 mM glutamine. HCT-116 cells were cultured in McCoy’s 5A media (Thermo Fisher Scientific) with 10% FBS and 2 mM glutamine. For experiments involving stably transduced GFP-ER and GFP-p21-ER activity was induced by supplementing cells with 0.5 mM 4-OHT 24 h before analysis. All cells were cultured with 100 μM penicillin and 100 μg/mL streptomycin at 37 °C with 5% CO2.

To evaluate RNA leader sequences by transient transfection, expression vectors were introduced to 293T cells using the calcium phosphate precipitation method (CallPhos Mammalian Transfection Kit; Clontech Laboratories, Inc.). To evaluate the leader sequences in stable cell lines, cells were transduced with retroviral vectors. Retroviral particles were produced by cotransfecting the retroviral expression vectors with either pCL-Eco (ecotropic pseudotyping for PD31 and CHO-K1) or pCL-Ampho (amphotropic pseudotyping for MPC11, K562, HCT-116, and HCT-116 p21(-)) (26) into 293T using the calcium phosphate precipitation method. Virus-containing supernatant was harvested and added with 3 μg/mL PD31, K562, or 8 μg/mL (HCT-116, CHO-K1) Polybrene (hexadimethrine bromide) to cells. CHO-K1 cells were also supplemented with 0.4 μg/mL tunicamycin 18 h before infection. Viral titers were titrated so that transduced cells received a single copy of the vectors.

**Quantitative Real-Time PCR.** Total mRNA was extracted from MPC11 cells (QiAzo extraction reagent; Qiagen) and used to generate cDNA (QuantiTect Reverse Transcription Kit; Qiagen). cDNA was measured by quantitative real-time PCR (TaqMan Fast Advanced Master Mix; Life Technologies) to detect GFP (forward primer, 5′-CTGTCGGCAGCAACAC-3′; probe, 5′-FAM-TACCAGGACCA-CCAGTGCGCCTATTCC-CCTTGT-3′; integrated DNA Technologies) and GAPDH as a reference (4352339E, VIc-labeled; Life Technologies). All reactions were performed in triplicate on a StepOnePlus real-time PCR machine (Life Technologies).

**Analysis of RNA Folding Energy.** The ensemble RNA folding energies of sequences containing the engineered RNA elements were calculated using the method previously used by Hofacker (27), available as part of the Vienna RNA package (http://rna.tbi.univie.ac.at/cgi-bin/RNAfold.cgi). For the constructs with only variation at the TIS and not including uORFs, the folding energy was calculated for the sequence starting 40 bases before the first base of the start codon of GFP and ending 32 bases afterward. For constructs that also contained uORFs, the analyzed sequences started 40 bases before the first base of the start codon of the first uORF and ended 32 bases after the first base of the GFP start codon.

**Immunoblotting.** Immunoblotting was performed using standard methods. Electrophoresis was performed using 10% Mini-PROTEAN TGX gels (Bio-Rad). p21 was detected using a primary anti-p21 rabbit monoclonal antibody (2947; Cell Signaling) and a secondary anti-rabbit IgG conjugated to HRP (7074; Cell Signaling). GAPDH was detected using a rabbit anti-GAPDH antibody conjugated to HRP (3683; Cell Signaling). HRP activity was detected using the WesternBright ECL HRP substrate (K-12045-D50; Advansta).

**Flow Cytometry.** An LSRII flow cytometer (BD Biosciences) was used for all analyses. GFP and RFP mCherry levels were quantified by measuring fluorescence intensities by flow cytometry. The rate of translation was gauged by computing the quotient GFP divided by RFP levels. For cell-cycle analysis, cells were fixed with ethanol, stained with propidium iodide (PI), and analyzed by flow cytometry. Flow cytometry data were analyzed with FlowJo software (Tree Star). For cells expressing GFP-ER or GFP-p21-ER, GFP-positive cells were gated using the software before analysis of PI staining.

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### Derivation of a Model Describing Upstream ORF Suppression of Expression from a Downstream Gene-of-Interest ORF

This model is based on the assumption that partial or “leaky” initiation at the upstream ORF (uORF) allows a fraction of ribosomes to reach and translate a downstream gene-of-interest (GOI) ORF. We define our variables and parameters as follows: k, proportionality constant relating translation initiation site (TIS) strength to initiation probability; P, probability of initiation when the ribosome encounters a TIS sequence; R, ribosomal flux; S, relative level of translation initiation associated with a TIS sequence, based on observed GFP expression level without a uORF; T, translation initiation rate; and X, relative expression level of GOI ORF.

Items associated with the uORF and GOI ORF are represented with subscripts u and G, respectively.

The translation initiation rate depends on the flux of ribosomes and the probability of translation initiation.

\[ T = PR \]

Because no translation has occurred upstream of the uORF, the initial ribosome flux is equal to the flux that reaches the uORF, \( R_u \). Then at the uORF, a fraction of ribosomes will initiate translation according to

\[ T_u = P_u R_u. \]

The fraction of ribosomes that does not initiate and continues to the GOI ORF can then be described as

\[ R_G = (1 - P_u) R_u, \]

and the translation initiation rate of the GOI is then described by the following:

\[ T_G = P_G R_G \]

\[ T_G = P_G (1 - P_u) R_u. \]

We make the assumption that the probability of initiation is proportional to the relative GFP/RFP expression levels determined from our expression constructs where we varied the TIS sequences but did not use uORFs (Fig. 2B). We designate the GFP/RFP expression levels as measurements of TIS strength, S; it follows then that

\[ P_u = k S_u \]

\[ P_G = k S_G \]

\[ T_G = k S_G (1 - k S_u) R_u. \]

\( T_G \) here is an absolute level of translation initiation with units of initiation events per time. However, our experimental measurements of GFP expression have relative expression units, where we have divided our fluorescence intensity levels by the level of GFP fluorescence intensity produced by the reference TIS ACCAUGG (without any uORF). To generate a model equation that allows us to directly fit our experimental data, we also normalize to a reference,

\[ T_{ref} = k S_{ref} R_{ref} \]

\[ X_G = T_G / T_{ref}, \]

where \( X \) represents relative expression level, \( R_{ref} \) and \( R_u \) are identical because both are the ribosomal flux before ribosomes reach any ORF, allowing us to eliminate the ribosomal flux terms when solving for relative expression. Furthermore, in our case, we set \( S_{ref} \) to 1 for convenience, thus,

\[ X_G = (1 - k S_u) S_G. \]

### Additional Significance of k

Because our mathematical description of expression is based on a probabilistic decision-making mechanism, after determining the value of k from our experimental data (Fig. 2B and E), we can also approximate a probability of initiation by a ribosome for each TIS sequence (\( P_{TIS} \)) based on experimentally evaluated expression levels without any uORF (\( X_{TIS} \)).

\[ P_{TIS} = k X_{TIS} \]

Based on our experimental data, we found k to be 0.86; according to our model, this means that ribosomes recognize the ACCAUGG TIS and initiate translation with probability, or frequency, of 0.86 (0.86 × 1.0). The UUUAUGG TIS would initiate with a frequency of 0.42 (0.86 × 0.49). Though our study did not verify these initiation frequencies with an independent experimental method, our approach may be useful for other researchers seeking to determine initiation frequencies.
Fig. S1. GFP/red fluorescent protein (RFP) and RFP in multiple cell lines. (A) GFP/RFP as an indicator of translation level. (B) RFP expression. RFP is located on the same mRNA transcript as GFP and expressed using an internal ribosome entry site. The RFP initiation sequence from positions −6 to +4 was GCCACCAUGG. Expression was measured by quantifying fluorescence intensity. Sequence notation is same as that of Fig. 2. Error bars represent SD from three experimental replicates.
Fig. S2. Schematic of “leaky” scanning model of translation. Upstream ORFs reduce the flux of ribosomes that reach the downstream primary ORF. 5′m, 5′ methylated RNA cap; AAA, poly-A tail of mRNA. 40S ribosomal subunits and associated factors are indicated by small ovals, 60S ribosomal subunit by large ovals, and ORFs by rectangles.