Corrections

PHARMACOLOGY

The authors note that an additional affiliation should be listed for Emanuela Galliera. This author’s affiliations should appear as “Department of Biomedical, Surgical and Dental Sciences, University of Milan, I-20133 Milan, Italy; and Istituto di Ricerca e Cura a Carattere Scientifico (IRCCS) Galeazzi Orthopaedic Institute, I-20161 Milan, Italy.” The corrected author and affiliation lines appear below. The online version has been corrected.

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NEUROSCIENCE

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APPLIED PHYSICAL SCIENCES

The authors note that on page 19269, right column, fifth full paragraph, line 4, “200 ms” should instead appear as “200 μs.”

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Motionless phase stepping in X-ray phase contrast imaging with a compact source

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Today X-ray imaging modalities account for the majority of the diagnostic imaging procedures in the United States (1). Conventional X-ray images depict variations in the attenuation of transmitted X-rays according to the density distribution in the body. The attenuation-based contrast is typically low in soft tissues at the photon energies commonly used in medical imaging (25–100 keV). However, the phase shift for incident X-rays is many times the linear attenuation of the amplitude in weakly absorbing materials, which points to phase contrast imaging as a means for better resolving soft tissue structures without the need to deposit the harmful radiation (2). Among the first demonstrations of phase contrast in X-ray imaging were diffraction-enhanced images using Bragg analyzer crystals (3, 4) and free space propagation of transversely coherent waves (5, 6). In the mid-1990s, monolithic crystal interferometers (7) were used to obtain the first absolute phase shift images of soft tissue samples (2). A few years later, X-ray differential phase contrast (DPC) imaging with a grating interferometer was proposed and then realized (8–10). The grating Talbot interferometer works with a broader energy band and is less affected by environmental changes than monolithic crystal interferometers, at the cost of lower phase sensitivity (11). A major improvement in grating-based imaging was quantitative phase retrieval by the phase-stepping method (10, 12–14). The Talbot–Lau interferometer enabled the use of commercial X-ray tubes for grating DPC, by adding a source grating to give spatial coherence to an extended source (8, 15). A preclinical X-ray DPC computed tomography (CT) scanner incorporating the above advances has been recently demonstrated (16).

Despite the rapid progress in grating-based phase contrast imaging, there are fundamental challenges when it comes to routine applications outside the laboratory environment. Its Achilles’ heel is the mechanical phase-stepping process, in which one grating is physically moved in multiple steps over a grating period to obtain a single differential phase image (10, 14). Accurate mechanical movement of centimeter-size objects at the submicron level is inherently slow and difficult to reproduce precisely without a static and stabilized platform. In common configurations including fluoroscopes and CT scanners, the precision motors must be mounted on moving gantries, which will lead to additional mechanical instability (16). Mechanical phase stepping may also be the ultimate limit of the imaging rate, which is critically important for fluoroscopy and clinical CT scans. Recognizing this, two methods for improving the imaging speed in phase contrast CT applications have been reported. One method combines a pair of projection images taken from opposing directions to retrieve phase information, but assumes weak phase objects, no scattering, and a constant, uniform background phase over the whole field of view (17). The second method reduces the number of phase steps by sharing them among neighboring projection angles in a CT scan, but still relies on mechanical phase stepping (18). A more general alternative to phase stepping is the Fourier fringe analysis method, which extracts phase information from a single image containing interference fringes, but with reduced spatial resolution (19–25).

A basic solution to the limitations of mechanical phase stepping should remove the need for physical movement completely. In the fields of radar and ultrasonic imaging, the development of electronic beam steering, which replaced mechanical scanning of the antenna or probe, greatly improved the speed and capability of both technologies (26, 27). We report on an analogous solution for grating-based X-ray phase contrast imaging called electromagnetic phase stepping (EPS). We created an adaptive processing algorithm (28) as part of the method and demonstrate...
its effectiveness in imaging studies of rodents and other samples in a bench top system.

Results

A generic grating-based phase contrast imaging system consists of an X-ray tube, a Talbot–Lau interferometer, and an X-ray camera as schematically illustrated in Fig. 1A. The interferometer has two amplitude gratings (G₀, G₁) and one phase grating (G₂). In our system, the grating period is 4.8 μm. Grating G₀ splits the X-ray cone beam into a number of thin line sources whose lateral coherent lengths are greater than the grating period at the plane of G₁. Each line source creates an intensity fringe pattern, i.e., fractional Talbot image (10, 29) of G₁, on the plane of G₂. Because the fringe period is usually smaller than the detector resolution, G₂ is used to produce a broader moiré pattern. When the distance between G₀ and G₁ is the same as that between G₁ and G₂, the fringe pattern from each individual line source adds up constructively on the plane of G₂.

If G₀ and G₁ are parallel and G₂ is rotated around the optical axis with respect to G₁ by a small angle θ, the differential phase information is encoded into the moiré fringes on the detector plane:

\[ I \approx a_0 + a_1 \cos \left( \frac{2\pi}{\lambda} (x\theta + \frac{\lambda x \partial \phi}{\pi} + \phi_b) \right), \]

where \( x \) and \( y \) are coordinates in the detector plane, \( a_0 \) is the unmodulated baseline, \( a_1 \) is the fringe amplitude, \( \rho \) is the grating period, \( d \) is the distance between G₁ and G₂, \( \lambda \) is the X-ray wavelength, and \( \phi_b \) is the background instrumental phase, which depends on the positions of the gratings. The desired information is the derivative of the X-ray phase shift caused by the sample, expressed as \( \partial \phi / \partial y \) in the detector plane.

The phase-stepping method calculates the differential phase image from several images with different background phases \( \phi_b \). To date, this has required physically moving one of the gratings in the \( y \) direction over multiple steps that cover a grating period, while taking an image at each step. In this process, the moiré pattern in the images visibly moves across the static projection of the object, giving rise to the intuitive term of “fringe scanning” as a synonym of phase stepping.

Recognizing that the essential requirement of fringe scanning is a relative movement between the moiré fringes and the projection image of the object, electromagnetic phase stepping achieves the condition by electromagnetically shifting the focal spot of the X-ray tube in a transverse direction across the fringe pattern, e.g., with an externally applied magnetic field that deflects the electron beam in the X-ray tube (Fig. 1A). Shifting the focal spot causes an opposite movement of the projection of the object on the detector plane, while the fringes can be made to remain stationary or move by a different amount. In our setup of the Talbot–Lau interferometer, the moiré fringes are produced by a slight rotation of the third (analyzer) grating. In this case, the fringes remain stationary despite the shifting focal spot. In the inverted embodiment where the moiré fringes are produced by rotating the first (source) grating, the movement of the fringes will exceed that of the projection image. In all cases, the images can then be digitally shifted back to realign the projections of the object. The result is that the fringes move over a stationary projection image, effectively synthesizing the phase-stepping process (Fig. 1B).

It is worth noting that shifting the focal spot of the cone beam also causes a slight change of the projection angle on the object. This change is negligible for objects that occupy a small fraction of the distance between the source and the camera. When the object thickness is a significant fraction of the source–camera distance, the reconstruction algorithm takes on the characteristics of stereoscopic imaging or tomosynthesis. A more detailed discussion is provided in SI Text.

In our imaging device, the gratings are rigidly mounted. A solenoid coil is attached to the front surface of the X-ray source (Fig. 1C) and is driven by a 25-V power supply to produce the magnetic field with full digital control and a response time of 200 ms. The resulting focal spot shift causes an opposite movement of the object projection on the camera over a stationary fringe pattern (Movie S1). A magnetic field of 2.4 mT was sufficient to shift the projected image over one period of the moiré fringes (300 μm). The details of the experimental setup are described in Methods.

We created an adaptive image-processing algorithm to extract the differential phase contrast, scatter (dark-field) (20, 30), and...
conventional attenuation images from the EPS data without prior knowledge of the movement of the object projection or of the moiré fringes (SI Text). The algorithm first aligns the projections of the object, generating an image stack in which the object is stationary while the moiré fringe pattern moves over it. The aligned images are then processed as fringe-scanned images by the second part of the algorithm, which measures the phase increments in the phase-stepping process with a Fourier transform method (2, 19, 20, 31). The algorithm can cope with arbitrary and spatially varying phase increments without assuming a priori knowledge, and thus is robust against potential instabilities in the alignment of various components in the imaging system.

Using the bench top system with electromagnetic phase stepping, we first imaged a reference sample containing borosilicate spheres of 5-mm diameter. The full series of images is included in Movie S1. Fig. 2 shows the processed DPC, absolute phase shift (via direct integration of the DPC), and linear intensity attenuation images. The stripe artifacts in the phase shift image result from the lack of low-spatial frequency information in the DPC signal. The linear attenuation at the sphere centers is 0.61 ± 0.02, corresponding to an effective mean X-ray energy of 35.5 ± 0.5 keV. The total phase shift at the sphere centers is estimated to be (3.2 ± 0.2) × 10⁻⁶ rad, from which the real part of the refractive index decrement of the borosilicate material is estimated to be δ = (3.6 ± 0.3) × 10⁻⁷, slightly below the tabulated reference value of 3.7 × 10⁻⁷ for 35.5-keV photon energy (http://physics.nist.gov/PhysRefData/FFast/html/form.html).

As an example of a biological specimen, Fig. 3 shows the DPC and linear attenuation images of a cricket obtained by electromagnetic phase stepping. The DPC image reveals more detailed structures throughout the head, the body, and the legs of the cricket, owing to its sensitive nature to small changes in the density of the constituents.

Biomedical research routinely involves the imaging of rodents, and the photon energy of the bench top system was sufficient to penetrate the body of adult mice. As examples, under a protocol approved by the Animal Care and Use Committee of the National Heart, Lung, and Blood Institute, we imaged the head region of a euthanized mouse in air and the torso region of another euthanized mouse fixed in 10% (vol/vol) buffered formalin.

Fig. 4 A–D are the processed images of the head region, including the DPC, phase contrast-enhanced, linear intensity attenuation, and scatter (dark-field) linear extinction images. The DPC signal degrades into random phase noise in the areas of the metallic ear tag due to the strong attenuation of the fringes. Phase retrieval by direct integration of the DPC image can lead to substantial errors for reasons. The first relates to the inherent lack of low-frequency information in the DPC data, and the second factor is the random phase values in areas where the interference fringes are strongly suppressed, either due to attenuation (as around the metallic ear tag) or scattering. The first can be addressed by the method of Roessl et al. (32), which merges the low-spatial frequency content of the intensity attenuation with the high-spatial frequency content of the DPC data according to the scaling between the real and imaginary parts of the refractive index of the material (here, soft tissue). The second problem is circumvented by substituting the derivative of the intensity attenuation into the DPC image in areas where the DPC information is missing, again using an appropriate scaling factor. These calculations are described in some detail in Methods. The end result is a phase contrast-enhanced image (Fig. 4B). As indicated by the white arrows, numerous details emerge in the phase contrast-enhanced image that cannot be clearly seen in the intensity attenuation image.

Fig. 5 is a compilation of reconstructed images of the torso region of the mouse. The DPC image highlights weakly absorbing phase objects such as air bubbles (Fig. 5A). The phase contrast-enhanced image (Fig. 5B) contains both the phase and attenuation information. The lungs are most visible in the dark-field (scatter) image (Fig. 5C), owing to their porous microstructures. The high-density bones and the metallic ear tag are clearly visible in the intensity attenuation image (Fig. 5D).

Movies of the mouse head (Movie S2) and torso images (Movie S3) are provided for comparison between phase contrast-enhanced and linear intensity attenuation images.
Discussion

Grating interferometers used with phase stepping enable high-resolution X-ray phase contrast imaging with compact X-ray tubes. However, the stringent requirements for mechanical phase stepping have been a major challenge in bringing phase contrast into common imaging systems. The electromagnetic phase-stepping method and the adaptive processing algorithms presented here effectively replace the precision mechanical scanning system and its associated engineering challenges with a simple solenoid coil attached to the X-ray source, providing substantial advantages in speed, accuracy, and flexibility. The near instantaneous control of the focal spot could also enable real-time compensation for instrumental instabilities, including thermal drift and vibrations. The transition from mechanical to electromagnetic scanning also reduces the cost of parts and maintenance and should improve reliability, all of which may contribute to the translation of phase contrast techniques into mainstream applications. For biomedical imaging, grating periods of a few hundred nanometers are being developed for greater phase sensitivity (33, 34). Here, electromagnetic phase stepping may become a necessity, as precise mechanical movement at the nanometric level may be difficult to achieve outside the most favorable settings.

Methods

Technical Specifications of the Imaging System. We used a tungsten-target X-ray tube (S8-80-1k; Source Ray) operating at a peak voltage of 55 kV and a current of 1 mA as the source. The focal spot of the tube was ~50 μm. The Talbot–Lau interferometer consisted of three gratings of 4.8-μm period (Fig. 1A). The design photon energy was 27.5 keV. Gratings G1 and G2 were intensity-modulating (amplitude) gratings, G3 was a π-phase shift grating. The grating lines were oriented horizontally. The amplitude gratings (Micro-works) had gold-filled trenches of 60-μm nominal depth in a polymer substrate (35, 36). They were rotated around the vertical axis by 45° to increase the effective gold height (37). The phase grating had unfilled trenches etched into a silicon substrate using the Bosch process (38), with an etch depth of 27 μm. It was also rotated by 45° to be parallel with the other gratings and resulted in an effective depth of 38 μm, or a phase shift of 1.08π at the design photon energy. The gratings were positioned at equal spacing over a total distance of 76 cm. The third grating (G3) was slightly rotated around the optical axis to create vertical moiré intensity fringes of ~300-μm period on the detector plane. With this arrangement, the moiré fringes are largely independent of the position of the X-ray source (see Movie S1 for a demonstration). The silicon substrates of the gratings effectively added 1.77 mm of silicon filtration to the X-ray beam. The X-ray camera (PI-SCX-4096; Princeton Instruments) had a pixel size of 30 μm and a pixel matrix of 2,048 × 2,048.

For electromagnetic phase stepping, a home-made solenoid coil of copper wire (60-mm inner diameter, 200 turns) was attached to the front surface of the X-ray tube housing (Fig. 1B). The coil was driven by a digital power supply which provided up to 2.0 A of current at up to 8 W of power. The corresponding peak magnetic field was calculated to be 3.1 mT at the location of the electron beam inside the X-ray tube. The field strength was verified experimentally with a magnetometer. The electron beam is oriented vertically. The magnetic field shifted the focal spot by up to 380 μm (with 1.5-A current applied) in the horizontal direction, perpendicular to the moiré fringes. The deflections of the focal spot at various levels of input current into the coil were measured experimentally as shown in Fig. S1.

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Image Acquisition and Processing in Electromagnetic Phase Stepping. The method of electromagnetic phase stepping obtains three types of information.

Fig. 4. Reconstructed images of the head region of a mouse. (A) Differential phase contrast, (B) phase contrast enhanced, (C) dark field (scatter), and (D) linear intensity attenuation. The arrows in B indicate examples of features more visible in the phase contrast-enhanced image than in the classic intensity attenuation image (D). The bright U-shaped object is a metallic ear tag.

Fig. 5. Reconstructed images of the torso region of a mouse. (A) Differential phase contrast, (B) phase contrast enhanced, (C) dark field (scatter), and (D) linear intensity attenuation. The lungs are most clearly seen in the scattering image (C).
from a single set of raw images: the differential phase, the conventional linear attenuation, and the dark-field (scatter) images. For the method to be brought online, a reconstruction algorithm needs to be able to cope with a number of instabilities that may be present in a compact imaging device. These include drift of the focal spot of the X-ray tube, drift in the alignment of the gratings and other components, and variable positioning of the imaged object.

Each phase-stepping cycle includes six progressive levels of input current into the field coil from 0 to 1.5 A, resulting in six different positions of the cone beam focal spot (Fig. 1A). At each position, an image is taken. The image contains a moiré fringe pattern, which is modulated in amplitude and phase by the projection of the object. A shift of the focal spot results in a displacement of the projection in the opposite direction, as well as a change of the projection angle. The moiré fringes remain stationary with the specific arrangement of the gratings in our device described above. If we make the assumption that the thickness of the object takes up a small fraction of the distance between the source and the camera, the movement of the projection is approximately uniform throughout the sample, and the change in projection angle can be neglected. More generally, the movement of the projection of a given transverse plane across the beam axis (focal plane) is determined by its position between the source and the detector. Therefore, the image reconstruction effectively focuses on a transverse slice through the object. This is similar to tomosynthesis.

The reconstruction algorithm is adaptive in two ways: first, owing to variable sample positioning, the movement of the projection of the object is not assumed in advance but determined retrospectively from the images themselves; and second, once the projections are aligned, the algorithm needs to retrieve phase and amplitude images from a set of arbitrary fringe positions, i.e., nonuniform and spatially varying phase increments between successive images in a phase-stepping set.

The displacement of the sample projection on the detector plane is measured through a Fourier space analysis that demodulates the moiré fringes while retaining the projection image at a reduced resolution (2, 19, 20). The relative movement of the projections is measured from the demodulated images. The full-resolution images are then shifted by the opposite amounts to align all of the projections. In the aligned images, the projection of the X-ray static while the moiré fringes move across it. The intensity at each pixel oscillates with the moving fringes. In effect, the aligned images are equivalent to images acquired in mechanical phase stepping by moving one of the gratings. The details of the reconstruction procedure after the alignment step are described in SI Text.

Reconstruction of Phase Contrast-Enhanced Images. The algorithm is as follows: if we define \( A_0 \) and \( \Phi \) as the linear attenuation and the phase shift of the X-ray wavefront after propagation through the object, \( A_0 \) is simply the absolute value of the natural logarithm of the transmission, and \( \Phi \) corresponds to the DPC signal. The first step is to incorporate the derivative of the linear attenuation \( \frac{\partial \ln T}{\partial r} \) into the DPC signal in a weighted sum \( \frac{1}{2} W_0 \), where \( C \) is the scaling factor between the real and imaginary parts of the refractive index (32), and the weights \( W_0 \) and \( W_1 \) are determined locally according to the amplitude of the interference fringes \( A_0 \) and the noise level \( N_1 \) in the fringe amplitudes. Specifically, \( W_0 = \frac{1}{2} (1 - \frac{N_1}{A_0}) \) and \( W_1 = \frac{1}{2} (1 - \frac{N_1}{A_0}) \); \( \frac{1}{2} \) and \( \frac{1}{2} \) are the combined differential image \( \frac{1}{2} \) and \( \frac{1}{2} \), it is merged with the intensity attenuation data into a phase contrast enhanced image according to the algorithm described in ref. 31: the direct integral of \( \frac{1}{2} \) is high-pass filtered in the Fourier space, and merged with the low-spatial frequency part of the intensity attenuation, and then inverse Fourier transformed into the final image.

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