

# Using data to attribute episodes of warming and cooling in instrumental records

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The observed global-warming rate has been nonuniform, and the cause of each episode of slowing in the expected warming rate is the subject of intense debate. To explain this, nonrecurrent events have commonly been invoked for each episode separately. After reviewing evidence in both the latest global data (HadCRUT4) and the longest instrumental record, Central England Temperature, a revised picture is emerging that gives a consistent attribution for each multidecadal episode of warming and cooling in recent history, and suggests that the anthropogenic global warming trends might have been overestimated by a factor of two in the second half of the 20th century. A recurrent multidecadal oscillation is found to extend to the preindustrial era in the 353-y Central England Temperature and is likely an internal variability related to the Atlantic Multidecadal Oscillation (AMO), possibly caused by the thermohaline circulation variability. The perspective of a long record helps in quantifying the contribution from internal variability, especially one with a period so long that it is often confused with secular trends in shorter records. Solar contribution is found to be minimal for the second half of the 20th century and less than 10% for the first half. The underlying net anthropogenic warming rate in the industrial era is found to have been steady since 1910 at 0.07–0.08 °C/decade, with superimposed AMO-related ups and downs that included the early 20th century warming, the cooling of the 1960s and 1970s, the accelerated warming of the 1980s and 1990s, and the recent slowing of the warming rates. Quantitatively, the recurrent multidecadal internal variability, often underestimated in attribution studies, accounts for 40% of the observed recent 50-y warming trend.

multidecadal variability | solar influence | Little Ice Age | Maunder Minimum

The world's longest instrumental record of temperature, Central England Temperature (CET), began to be collected in 1659 in an area enclosed by Lancashire, London, and Bristol, a few years after the invention of sealed liquid thermometers. It is the only instrumental record that extends back to the Little Ice Age (LIA), a period of cold climate in Europe, and the time of the Maunder Minimum, when sunspots vanished almost entirely for 70 y (1). The record then covers several subsequent episodes of natural and anthropogenic warming of multidecadal durations. Manley (2) painstakingly compiled most of the early monthly CET series, and Parker et al. (3) the daily data from 1772. Both are updated to present by the Met Office Hadley Centre. This record has previously been analyzed to study interannual and interdecadal variability up to the 25-y period (4); interannual winter variability and its association with solar forcing (5); and its variance at interannual, interdecadal timescales compared with a general circulation model output (6). The lower frequency portion of the record, longer than 50 y, has not been adequately analyzed, the difficulty being that even this long record is not long enough to avoid the cone of influence from the edges of the time series. Here we use a statistical approach to quantify the edge effects. It is this low-frequency portion of the record that contains information about an important multidecadal mode of variability that extends into the modern, industrial era. Wu et al. (7, 8) pointed out the importance of this mode in the modern global temperature record with a period of 65 y: If it is interpreted as natural and related to the Atlantic

Multidecadal Oscillation (AMO) (9–12), then the trend attributed to anthropogenic warming should be significantly reduced after ~1980, when the AMO was in a rising phase. However, if it is forced by time-varying aerosol loadings, it should properly be interpreted as part of an accelerating anthropogenic trend. We argue that the former is true, using information from the preindustrial era.

Fig. 1 shows the Continuous Wavelet Spectrum of CET as a function of frequency and time. It can be seen that there is a highly statistically significant band of oscillation with periods of 50–80 y extending throughout the 353-y record, which coincides with the global-mean HadCRUT4 (14) spectrum (Fig. S1), which is also statistically significant. We will not discuss the oscillation that has a longer average period of 90–100 y because it cannot be properly confirmed by the global-mean record, which is too short for our statistical test. There is, additionally, an oscillation in the 30- to 40-y band that is nonstationary: it exists only during the 19th century when the 50- to 80-y band is temporarily weak. There is little power in this higher frequency band in the global-mean data available after 1850. It is likely that this higher frequency band represents local information along the Atlantic coast and will not be discussed further here, except to point out that one definition of the AMO Index, by Trenberth and Shea (15), tends to emphasize this regional effect for the purpose of aiding the prediction of Atlantic hurricanes by subtracting the global-mean temperature from the North Atlantic mean. We will use the standard AMO Index of Enfield et al. (16), which is defined as the North Atlantic mean sea-surface temperature (SST), linearly detrended.

The original time series can be reconstructed from the wavelet transform and divided into several frequency bands (13) (Fig. S2). It can be verified that these “band-filtered” data, when summed, give back the original CET time series.

The 50-y low-pass filtered time series (containing the wide frequency band with periods longer than 50 y) is shown in Fig. 2 with the global mean from HadCRUT4, similarly low-passed, superimposed. It is seen that CET agrees quite well with the global-mean data. Both datasets contain a multidecadal oscillation that is statistically significant at above the 95% confidence level (Fig. 1 and Fig. S1). This result is important because the statistical significance of this multidecadal oscillation in the long records has not been established previously either in Greenland ice core data (17) or in a previous analysis of CET (18). The 50- to 90-y band (which is approximately the same as the 50- to 80-y band) is here referred to as the “AMO” mode and is shown in Fig. 3A. The CET's AMO agrees well with both the global and the North Hemispheric (NH) mean surface temperature, showing that the AMO is a near-global phenomenon. The NH mean is slightly larger than the global mean. The closeness of the global mean and

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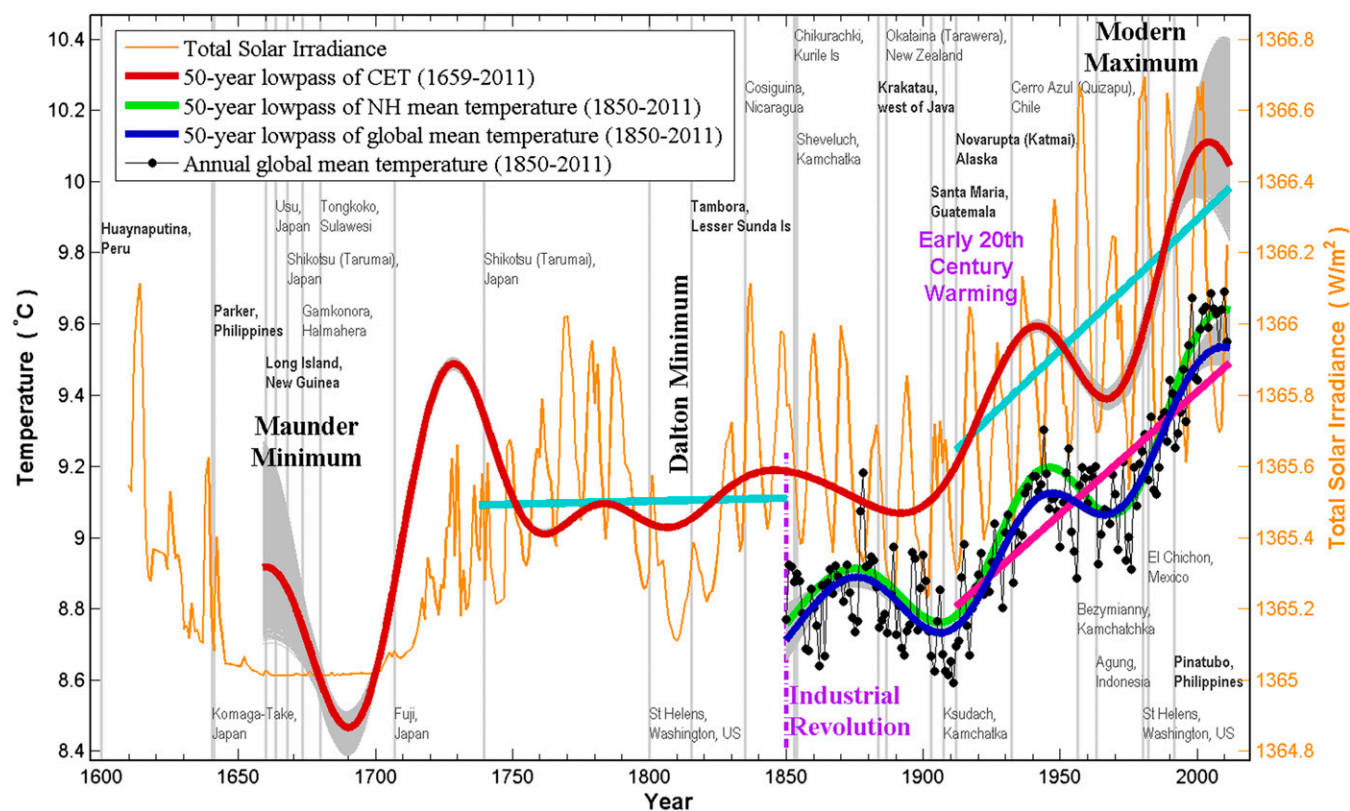
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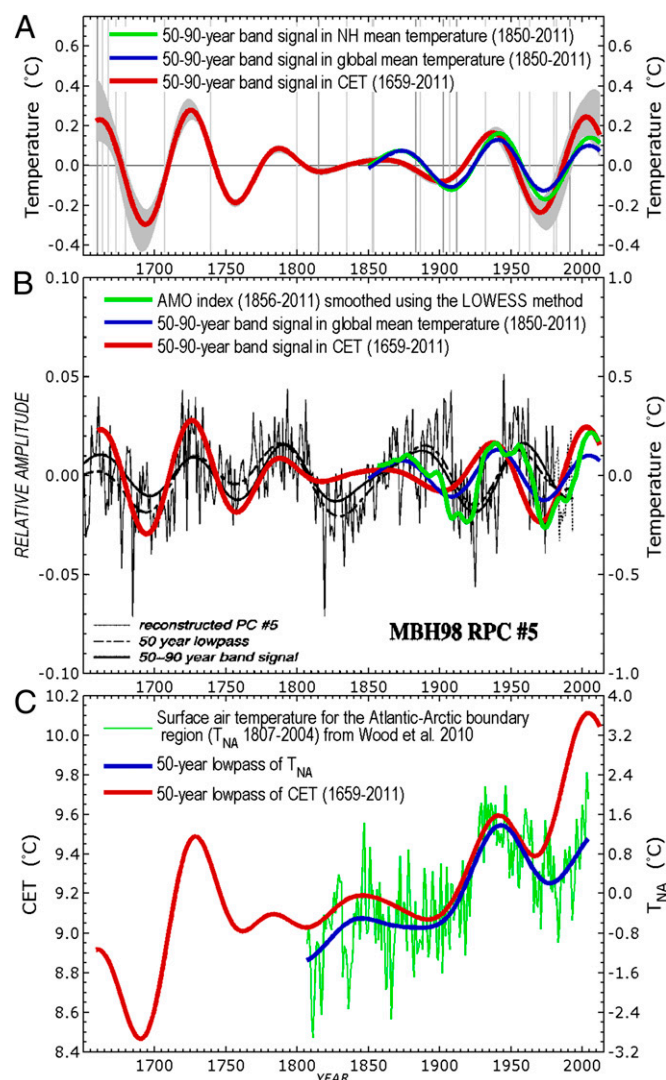
**Fig. 2.** Four centuries of climate variation. The low-frequency portion of the monthly Central England Temperature, in red, is calculated using the 50-y low-passed filtered wavelet reconstruction. Similarly constructed global mean temperature is shown in blue and North Hemispheric mean in green, offset by the fact that HadCRUT4 data are relative to the 1960–1999 mean. The raw annual mean data are shown in the background for the global mean. Light-blue straight lines are least-squares fit to selected periods in CET. It shows that there is a century after 1750 with little trend and that the recent 100-y trends in the CET and global mean (pink straight line) are approximately the same. The thin gray curves are from 3,000 synthetic time series, which have  $2^{14} - 1$  mo added to each side of the same 1659–2011 information. The gray band quantifies the errors due to the cone of influence (edge effects). TSI (scale on the right axis), courtesy of Judith Lean, is shown in orange. Years of large volcano eruptions [with a Volcano Explosivity Index (VEI) of 5 and higher] are indicated by light-gray vertical lines. Boldface type is used for the names of major volcano eruptions with a VEI of 6 and 7.

deduced secular trend in Fig. S2.) This separation renders the persistent speculation that the current global-warming trend is a continued “rebound” from the cold of LIA (36) unlikely. The rebound ended in 1750.

The presence of multidecadal internal variability superimposed on the secular trend gives the appearance of accelerated warming and cooling episodes at roughly regular intervals. Below we give a consistent explanation of the four centuries of climate variation based on the assumption that much of the AMO is natural and recurrent.

Almost no sunspots were observed during a 70-y interval (1645–1715) called the Maunder Minimum (1). Large volcano eruptions—Huaynaputina (1600), Parker (1641), and Long Island (1660)—contributed to the cold LIA at the beginning of the CET record. An unusual series of five large volcano eruptions from 1660 to 1680 probably prolonged the cold into the Late Maunder Minimum. A negative phase of the AMO accentuated the cold further in Late Maunder Minimum, reported in Europe (1), although it was thought that the cold CET was only “locally representative” (37). Our current work argues that it is probably global because the AMO has in-phase global manifestations (Fig. 3). There were no major known volcanoes from 1680 to 1707 [although there were some unknown ones (38)], and it started to warm. Although commonly attributed to the Sun (1), the rapid warming of  $\sim 1^\circ\text{C}$  at the end of Maunder Minimum is 10 times greater than our understanding of the solar radiation change (39) can explain but is within the range of a speculative theory (40) if we remove  $0.4^\circ\text{C}$  as due to the AMO. The timing of the warming,

however, appears to precede the increase in total solar irradiance (TSI) (39, 41) by 20–30 y and favors the reduced volcanic aerosol loading as the main cause for the warming—the rebound. The 20-y small dip in temperature near 1810 coincides with the solar Dalton Minimum, but is probably caused by a negative excursion of the AMO. The rising AMO cycle in the first half of the 19th century produced a warming, despite the eruption of Tambora (1815), the largest in the past four centuries. The next rising phase of AMO (7, 8, 26, 28) led to the often cited early 20th-century warming in the global mean (1910–1940) of  $0.4^\circ\text{C}$  (Fig. 2), but it happened to occur during a period of increasing mean solar irradiance, leading some to attribute it, incorrectly, to solar forcing (42). The observed warming rate for that period lies above the range of all model responses to combined anthropogenic and natural forcing compiled by IPCC AR4 (29), even after correcting for a discontinuity in the wartime data (43), corroborating the suggestion here that it is mostly caused by internal variability. The cooling experienced in the 1960s and 70s is seen as occurring in the negative phase of the AMO. The period after the 1970s shows a secular increase in global-mean temperature. The rising AMO half-cycle gives the appearance of an accelerated warming that lasted until 2005 (discounting the warm El Niño of 1998). Recently, there have been debates about the slowing of the warming rates since 2005, with explanations (44–46) ranging from increases in stratospheric water vapor and background aerosol to increased coal burning in the emergent economy of China of the past 20 y. If one accepts the conclusion that the AMO is recurrent, and because this period



**Fig. 3.** AMO mode. (A) The multidecadal variability (50- to 90-y wavelet band-passed) in CET (in red), in global-mean temperature (in blue), and in Northern Hemisphere mean (in green), using HadCRUT4 are compared. The light-gray curves are the corresponding band pass of 3,000 synthetic data obtained by random resampling of 200-y blocks of CET data to extend the record before 1659 and after 2011. To show that the troughs of the AMO are not systematically aligned with large volcano eruptions, years of large volcano eruptions (with a VEI of 5 and higher) are indicated by light-gray vertical lines, with darker-gray lines for major volcano eruptions with a VEI of 6 and 7. (B) The CET AMO is compared with the AMO from the multiproxy data (12). The AMO Index (16) smoothed by locally weighted scatterplot smoothing (LOWESS) (19) is superimposed (in green). The LOWESS is a modified running-time mean, and its use allows the mean to extend to the beginning and end of the record. It uses quadratic fit to 25-y sub-intervals. It shows that the CET's AMO agrees with the AMO Index and with the global mean after 1850 and agrees in phase with the multiproxy data in the preindustrial period. (C) The raw temperature data in the Atlantic-Arctic boundary (not detrended) (in green) from Wood et al. (20), courtesy of Brian Smoliak, and its 50-y wavelet low pass (blue). The low-pass CET is shown in red.

coincides with the start of the descending phase of the AMO, one can suggest that the AMO is a more likely explanation.

### Solar and Volcanic Contributions to Global Warming

The solar contribution to the 50-y linear trend is minimal simply because the trend in solar forcing for the past 50 y is minimal (39, 47) (Fig. 2). The debate on satellite calibration yielding an upward

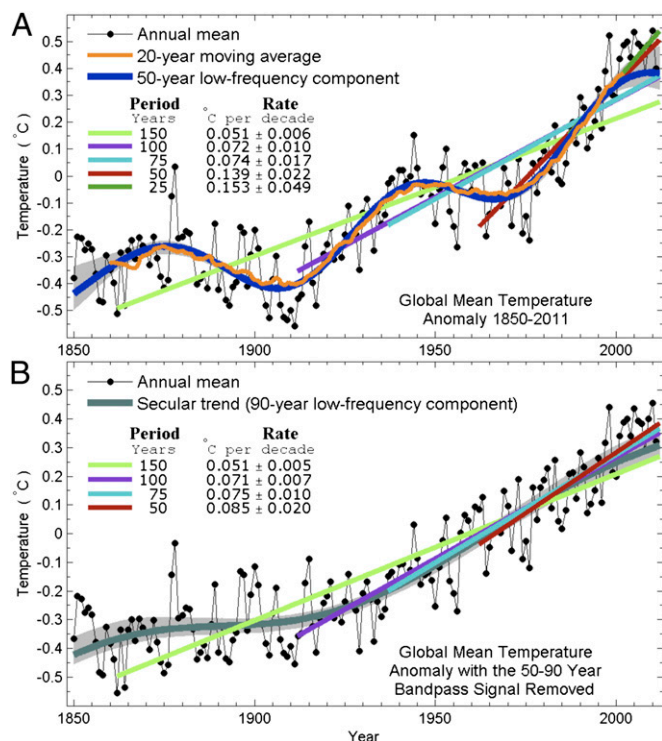
trend (48) in the TSI has been settled in favor of no trend in the satellite era (49). Before the satellite era, solar models differ on the degree of irradiance increase. After reviewing these solar models in light of additional evidence on facular areas, Foukal (50) ruled out the possibility of a rapid brightening of the Sun that is required to produce the early 20th-century warming. Without relying on a solar model, we attempted to deduce the solar signal using observed terrestrial response only. The procedure should include non-TSI solar effects, such as from galactic cosmic rays. We (47) found the spatial fingerprint for the combined 11-y solar cycle and secular solar trend after the global-warming trend was removed cycle by cycle while allowing solar-related changes between cycles. The observed temperature was projected onto this pattern to yield a response to both cyclic and secular solar forcing. TSI information was used only for the classification of solar maximum and solar minimum. For the first half of the 20th century, the solar contribution to the linear trend was less than 10%. It does not support the much larger role (>50%) for the Sun in the observed warming, obtained by Scafetta and West (42) by attributing early 20th-century warming to solar forcing (see also ref. 51). The observed solar-cycle response suggests that it is a response to radiative effects of the TSI, amplified by the same climate feedback factors as for the greenhouse radiative forcing (52, 53). There were no consecutive large volcanic eruptions in the 20th century, and none that could have caused the recent slowdown in the rate of global warming.

## Global Warming in HadCRUT4 Data

We are now in a position to quantitatively attribute the warming in the global data. Two different approaches are used to show consistency, given the importance of the result. Various fitted linear trends in global-mean temperature up to 2005 were presented in the IPCC AR4 (54), with the recent 25-y trend (at  $0.177^{\circ}\text{C}/\text{decade}$ ) larger than the 50-y trend ( $0.128^{\circ}\text{C}/\text{decade}$ ), which is in turn larger than the 100- and 150-y trends ( $0.074$  and  $0.045^{\circ}\text{C}/\text{decade}$ , respectively). The result is updated to 2011 in Fig. 4A. The phenomenon of “accelerating warming trends” is still present. The fitted 25-y trend is not robust, being sensitive to the addition or subtraction of a single-year end-point datum and so will not be discussed further here. A 50-y wavelet low-pass filter is applied to the data points. It is seen by eye that the smoothed curve captures the main episodes of warming and cooling in the past 162 y that are present in the raw data as it agrees with the simple running mean. In particular, one can see that there is a low-frequency oscillation present in the data. We reprocess the data after removing the oscillatory component, defined by the 50- to 90-y wavelet band, the AMO. The removal of the AMO in the determination of the anthropogenic warming trend is justified if one accepts our previous argument that this multidecadal variability is mostly natural. Linear trends are then fitted to the resulting data points in Fig. 4B in the same way as in the IPCC AR4. It is visually apparent in Fig. 4B that removing the oscillatory AMO from the raw data organizes the data points into a monotonic band and yields a more stable linear trend, converging to the 50-y trend of  $0.08^{\circ}\text{C}/\text{decade}$ . We argue that this is the long-term anthropogenic trend, forced by greenhouse gas increases offset by tropospheric aerosol cooling, which also increased along with industrialization. Comparing Fig. 4B with Fig. 4A, we see that the internal variability accounts for 40% of the observed 50-y trend. This is essentially the conclusion of Wu et al. (8).

Foster and Rahmstorf (55) considered the period 1979–2010 and obtained an estimate of anthropogenic warming after removing the El Niño-Southern Oscillation (ENSO), individual volcanic eruptions, and the solar forcing through multiple regression analysis. Their adjusted data also showed no change in the linear warming rate over the period, but their estimate for anthropogenic warming, at 0.17 °C/decade for HadCRUT3v, is twice as high as ours. The oscillatory component of AMO, which happens to be in a positive half-cycle, appears as a positive trend in their data since 1979. This





**Fig. 4.** Understanding global-mean trends. (A) The result in IPCC AR4 is updated using HadCRUT4 from 1850 to 2011, and their 150-, 100-, 50-, and 25-y linear trends (with labeled magnitudes) are shown. Even though the annual means are shown, the linear trends are calculated using the raw monthly-mean data. The 50-y wavelet low-passed global mean is superimposed (in blue). It gives a smoothed fit to the raw data and agrees with the running-time mean (in orange). (B) The black dots represent adjusted annual-mean global-mean temperature data with the 50- to 90-y wavelet band removed. The removed band is shown in Fig. 3. The linear trends are recalculated. Although the 150-y trend is the same as in A, trends for the shorter periods are reduced and become more stable. The 90-y low-pass data (in dark green) is the secular trend for the 162-y period, showing a slow start of the anthropogenic warming in the first 50 y and an almost constant warming trend after 1910. The thin gray curves are the 90-y low pass of 3,000 Monte-Carlo synthetic data of 37,000 mo long, obtained by random resampling in 100-y blocks for the purpose of quantifying the edge effect.

is demonstrated in Fig. 5, where Foster and Rahmstorf's analysis is repeated, but for the longer period of 1856–2011 and using HadCRUT4. The residual plus the regressed linear trend is shown in Fig. 5A. The same anthropogenic trend since 1979 of  $0.17^\circ\text{C}/\text{decade}$  is obtained. However, one can see clearly that a 70-y oscillation is still present in the residual (see the orange running mean). In Fig. 5B, we add the AMO Index (16) to the multiple linear regression analysis. The 33-y net anthropogenic warming rate obtained, at  $0.07^\circ\text{C}/\text{decade}$ , is less than half of Foster and Rahmstorf's. In fact, the net anthropogenic warming trend has been remarkably steady for the past 100 y at  $0.07\text{--}0.08^\circ\text{C}/\text{decade}$ . Further discussions of the multiple regression analysis can be found in Zhou and Tung (56).

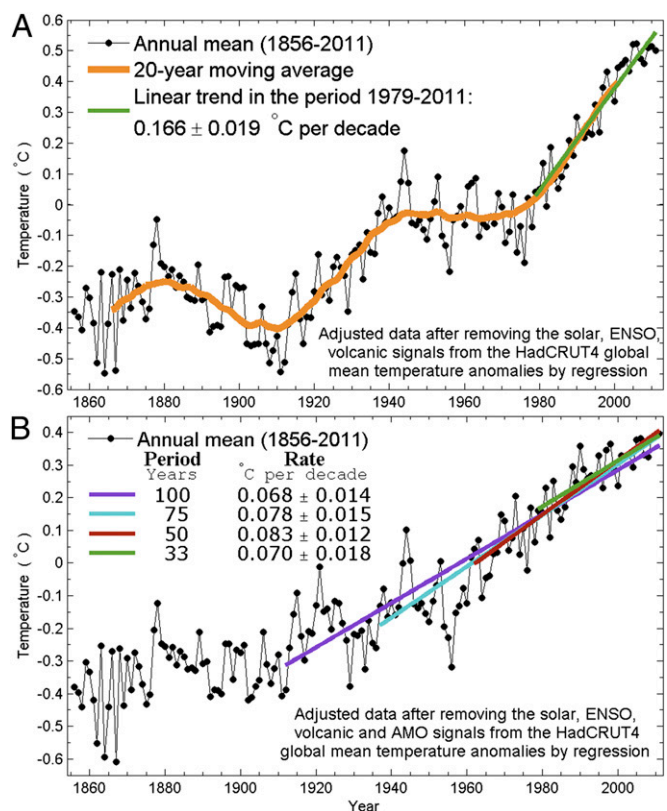
## Conclusion

Although there is a competing theory that the observed multidecadal variability is forced by anthropogenic aerosols during the industrial era (33), our present work showing that this variability is quasi-periodic and extends at least 350 y into the past with cycles in the preindustrial era argues in favor of it being naturally recurrent and internally generated. This view is supported by model results that relate the variability of the global-mean SST

to North Atlantic thermohaline circulation (30, 31, 35) and by the existence of an AMO-like variability in control runs without anthropogenic forcing (28). If this conclusion is correct, then the following interpretation follows: The anthropogenic warming started after the mid-19th century of Industrial Revolution. After a slow start, the smoothed version of the warming trend has stayed almost constant since 1910 at  $0.07\text{--}0.08^\circ\text{C}/\text{decade}$ . Superimposed on the secular trend is a natural multidecadal oscillation of an average period of 70 y with significant amplitude of  $0.3\text{--}0.4^\circ\text{C}$  peak to peak, which can explain many historical episodes of warming and cooling and accounts for 40% of the observed warming since the mid-20th century and for 50% of the previously attributed anthropogenic warming trend (55). Because this large multidecadal variability is not random, but likely recurrent based on its past behavior, it has predictive value. Not taking the AMO into account in predictions of future warming under various forcing scenarios may run the risk of overestimating the warming for the next two to three decades, when the AMO is likely in its down phase.

## Methods

The time series was first linearly detrended, and a modulated annual cycle of an average period of 1 y was removed in the wavelet 9- to 15-mo band (the second mode in Fig. S2). This procedure does not affect the wavelet spectrum but is needed for the statistical test. In Fig. 2, the trend is added back.



**Fig. 5.** Multiple-regression analysis of global-mean temperature, (A) Adjusted data after the removal of ENSO, volcanic, and solar influence. Time lags for ENSO and volcanic are 4 and 6 mo, respectively, determined by optimizing the goodness of fit. A running-time mean (in orange) shows a long-period oscillation still present in the residual. (B) Adjusted data after the removal of ENSO, volcanic, and solar influence, plus the AMO. The AMO index (16) is shown in Fig. 3. The correlation between solar secular forcing trend and the global forcing trend is not small, and so there is a concern of collinearity in the regressors in this method, but the influence on the results is negligible. The noise model used in the multiple linear regression is AR(p), with  $p = 4$  for A and  $p = 2$  for B. The error bar for linear least squares fit is  $\pm 2$  SDs.

We assume that the missing past and future data have similar spectral shape and autocorrelation as the available data. A synthetic time series, as long as desired with the real observations residing in the middle, can be produced using the so-called moving-block bootstrap method (57). We generated a large number of synthetic monthly time series to mimic the natural temperature data over a long period of 37,002 mo. Trend removal from each data block was first performed to reduce artificial oscillations resulting from the discontinuous trends between blocks. These blocks are time-reversed when used to create the future data to avoid large discontinuities at 2011. The least-squares linear trend and its upper and lower 95% confidence limits are taken out, separately, from the central part of each synthetic time series, to account for the influence of the regression errors in the wavelet analysis, and then added back after band pass of the wavelet modes. These form the

range of possible edge errors, replacing the common method of showing the cone of influence in the wavelet spectrum without quantifying the range of errors. Other ways of generating the synthetic data, such as using a seasonal autoregressive integrated moving average noise model, produce smaller error bars.

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# Supporting Information

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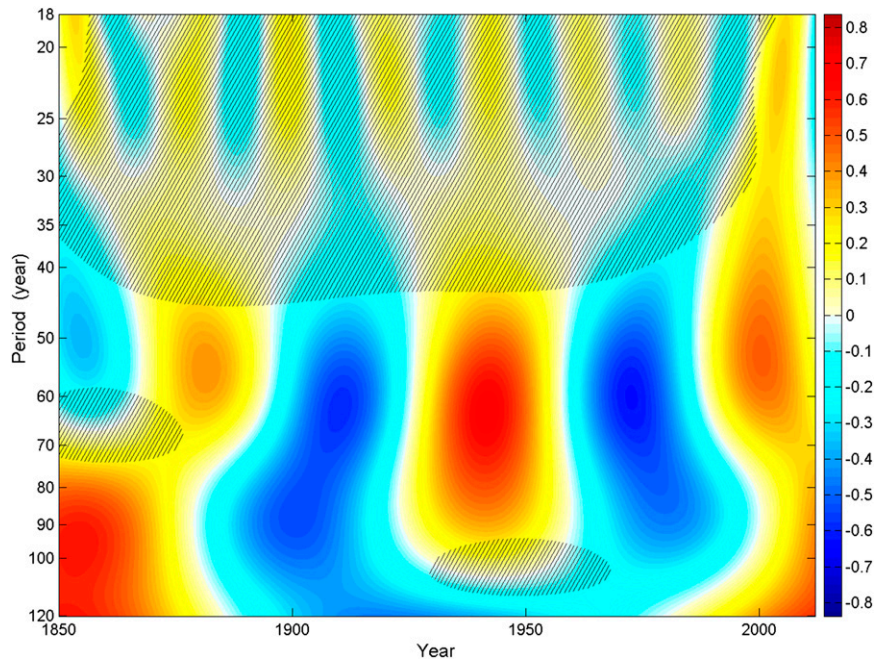


Fig. S1. Wavelet spectrum of the global mean HadCRUT4 temperature, 1850–2011. The hatched areas are below 95% confidence level.



