Top-down modulation of sensory cortex gates perceptual learning

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A broad range of sensory skills improve with practice during perceptual learning (PL), including language acquisition (1–3), musical abilities (4), and recognition of emotions (5). The neural bases for such perceptual improvement may vary widely. For example, training-based changes in neural activity have been identified in a number of brain regions, including early (6–13) and late (14, 15) sensory cortices, multisensory regions (16, 17), and downstream decision-making areas (18). Similarly, several neural mechanisms have been proposed, such as enhanced signal representation (19), reduction of external (20, 21) or internal (22, 23) noise, and improvement in sensory readout or decision making (13, 18, 24, 25).

The apparent divergence of loci and mechanisms associated with PL could be due, in part, to limitations of experimental design. For example, some neural changes associated with PL are transient (26–29), making it necessary to monitor neural activity throughout the duration of perceptual training, rather than making comparisons only after PL is complete (6–12, 14–16, 30). For similar reasons, it is critical to block the function of a specific candidate brain region during training to determine whether it plays a causal role in PL. Although some reports show that manipulating brain activity can influence PL (28, 31–34), there are no loss-of-function experiments to determine whether a particular region is required for behavioral improvement.

To address these unresolved issues, we recorded from auditory cortex (ACx) as animals improved on an auditory detection task and, in separate experiments, blocked ACx activity during the period of perceptual training. We found that neural and behavioral sensitivity improved in a nearly identical manner over the period of perceptual training. We found that neural and behavioral sensitivity were large and predicted behavioral outcomes. In contrast, during nontask listening sessions, cortical improvements were weak and uncorrelated with perceptual performance. Targeted reduction of auditory cortical activity during training diminished perceptual learning while leaving psychometric performance largely unaffected. Collectively, our findings suggest that training facilitates perceptual learning by strengthening both bottom-up sensory encoding and top-down modulation of auditory cortex.

Significance

With training, stimulus detection or discrimination abilities can improve dramatically. This process, called perceptual learning, supports language acquisition, musical expertise, and professional judgments, such as the identification of abnormalities in X-rays. To explore neural mechanisms that support perceptual learning, we measured and manipulated auditory cortex activity as animals trained on an auditory task. We found improvements in neural sensitivity that correlated tightly with perceptual learning, both in absolute magnitude and time course, and depended strongly on task engagement. Disrupting auditory cortical function impaired learning while leaving perception largely intact. Our findings indicate that improvements in cortical sensitivity could plausibly explain perceptual learning, and suggest that plasticity within top-down networks may be a general mechanism for perceptual improvement.

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false alarm rates (SI Appendix, Fig. S4B). Importantly, the hit rate for the largest AM depth tested (0 dB) was maximal on day 1 and remained steady on day 2, suggesting that the animals began perceptual training when already at perceptual asymptote for this stimulus value (SI Appendix, Fig. S4A). Additionally, the hit rates for shallow depths improved more gradually than for higher depths. These observations are consistent with the finding that PL progresses systematically from easy to difficult stimuli (36), and support the idea that our experimental paradigm specifically assessed PL, rather than associative or procedural learning.

To determine whether there is a temporal correlation between neural and behavioral improvement, we implanted animals with chronic electrode arrays and recorded single- and multiunit activity in left ACX as animals trained and improved on the AM detection task (n = 231 AM-responsive sites; n = 4 animals; SI Appendix, Materials and Methods and Table S1). We found that training improved neural performance, both at the multi- and single-unit level (Fig. 1 C–E). These improvements occurred in concert with behavior, such that the average firing rate (FR)-based neural sensitivity closely tracked psychometric sensitivity on a day-to-day basis (Fig. 1F). As illustrated in Fig. 1G for one representative animal, the majority (3/4) of our subjects showed a significant correlation between neural and behavioral thresholds (SI Appendix, Table S2). The distribution of correlation regression slopes did not differ significantly from a distribution centered around 1 (0.92 ± 0.10, t1 = −0.76, P = 0.50, n = 4), indicating that the ACX population threshold is a good predictor of perceptual sensitivity. At the group level, perceptual training had a significant effect on both neural (P < 0.0001) and behavioral thresholds (P = 0.0005), with improvement occurring at similar rates [neural, −7.0; behavioral, −6.6 dB/log(day); Fig. 1/F]. In the one animal that we followed for 14 training days, neural improvement was maintained after perceptual performance reached asymptote (SI Appendix, Fig. S5).

In visual cortex, training-induced changes are often most pronounced in specific subpopulations of neurons (7, 14, 15). To determine whether a similarly selective mechanism operates in ACX, we examined thresholds for recording sites that were held across multiple training days. As shown in Fig. 1I, nearly all sites demonstrated significant training-induced improvement, regardless of starting threshold (day 1 vs. day 2 to 5 thresholds: all P < 0.0001; see SI Appendix). This suggests that training may enhance sensitivity across the population of AM-responsive sites, rather than acting selectively on a restricted subset of units. It is important to note, however, that training could differentially affect units with different tuning properties, which were not systematically characterized here because many neurons failed to respond when animals were not engaged in the task.

Improvements in neural sensitivity could be due to an increased separation of warn- and safe-evoked FR distributions (13), and/or a reduction in FR variability across training days (20–23, 37). As illustrated for one representative multiunit in Fig. 2A, warn and safe FR distributions gradually separated during training without a systematic change in the unit’s mean FR or trial-to-trial variability (measured by the coefficient of variation; CV). To quantify this effect across our population, we calculated an FR ratio (AM-evoked FR/unmodulated FR) for each unit on each training day. FR ratios steadily increased across days, leading to a larger separation between AM and unmodulated FRs (all P < 0.0001; Fig. 2B). In contrast, CVs remained stable throughout training (all P > 0.05; Fig. 2C), as did population FRs (all P > 0.05; see SI Appendix, Fig. S6 for a full explanation). These findings suggest that PL is supported by a gradual separation of the warn and safe FR distributions, rather than a reduction in response variability.

Behavioral evidence suggests that top-down processes, such as attention, arousal, and motivation, can facilitate or enable PL (12, 38–43). Here, we adopt the term “top-down” to mean the
functional influence of a descending projection from one or more brain regions on neural activity in sensory cortex. A commonly used procedure to assess the magnitude of top-down mechanisms is to compare neural responsiveness during two different states of behavioral engagement (37, 44–49). Specifically, tonal inputs are thought to actively modulate ACx responses during task performance (or “engagement”) but not during nontask (“disengaged”) listening sessions (50, 51). Thus, the difference between engaged and disengaged sensitivity is a proxy for the strength of a top-down mechanism. We used this approach to examine whether training affects the magnitude of a top-down mechanism during PL. Specifically, we recorded ACx activity during disengaged listening sessions that occurred just before (“pre”) and just after (“post”) training sessions. During these disengaged sessions, the spout and metal floorplate were removed from the test cage; otherwise, the sound stimuli presented and the position of the recording electrodes were identical to behaviorally engaged sessions.

As training progressed, three outcomes were possible (SI Appendix, Fig. S7). If training does not affect the strength of a top-down mechanism, then the difference between engaged and disengaged AM sensitivity should remain constant, despite training-based improvement. Alternatively, if training weakens the strength of a top-down mechanism, the difference between engaged and disengaged AM sensitivity should become smaller. This scenario could occur if training allows sensory processing to become more automatic, as previously proposed (26, 52, 53). This would lead to improved ACx sensory sensitivity (thick blue arrow) pathways, leading to improved ACx sensory sensitivity (thick blue outline) that supports PL.

To determine whether ACx activity is required for PL, we assessed baseline behavioral performance in a separate group of animals (n = 6) and then paired perceptual training with bilateral ACx infusions of muscimol or saline (Fig. 4A and SI Appendix, Fig. S8). Collectively, these findings suggest that training strengthens both bottom-up inputs to and top-down modulation of ACx, which together give rise to PL (Fig. 4G).

![Behavioral performance in PL](image)

**Behavioral performance in PL.** (A) Day 1 performance of one multiunit. (B) Task engagement improves day 1 neural thresholds (t212 = 11, P = 0.0022; pre vs. engaged: t6 = 3.8, P = 0.0093; post vs. engaged: t6 = 2.7, P = 0.034; n = 7). Only units that responded under all conditions were included. (C) Task engagement does not affect day 1 unmodulated FRs [t(212) = 15.3, P < 0.001; pre vs. engaged: Z = -4.12, P < 0.001; post vs. engaged: Z = -3.67, P < 0.001]. All n = 31. (D) Mean ± SEM neural thresholds of one animal improve more quickly during task engagement than during disengaged listening [pre: n = 2 to 4 sites per d (32 total); engaged: n = 7 to 11 sites per d (86 total); post: n = 1 to 4 sites per d (23 total)]. (E) Neural thresholds correlate with behavioral thresholds in one animal (same subject as in D) only during task engagement. (F) Across all animals, mean ± SEM neural thresholds from disengaged listening sessions improve with training (pre: F6.27 = 4.3, P = 0.0021, n = 2 to 11 sites per d; post: F6.54 = 3.0, P = 0.014, n = 4 to 14 sites per d; SI Appendix, Table S1), but improvement is slower than during task engagement. The strength of top-down ACx modulation is reflected by the difference between engaged and disengaged thresholds (orange brackets). Engaged neural and behavioral data are replotted from Fig. 4A. (G) Model in which training induces plasticity in both bottom-up (thick green outline and arrow) and top-down (thick orange outline and arrow) pathways, leading to improved ACx sensory sensitivity (thick blue outline) that supports PL.
role in perception, it was important to identify a dose of muscimol that did not grossly perturb psychometric performance on the AM detection task. We found that 0.5 mg/mL (1 μL per hemisphere; total dose of 1 μg) allowed for excellent detection of 0-dB AM (SI Appendix, Fig. S10) and relatively unimpaired psychometric performance in the majority of our animals (SI Appendix, Fig. S11; P = 0.073). Furthermore, this dose had no effect on trial completion rates, false alarm rates, or reaction times (all P > 0.05; SI Appendix, Fig. S12), implying that motor processes and response biases were not impacted by the treatment. Thus, we used this dose to ask whether ACx activity is required for PL.

As illustrated for one animal in Fig. 4B, behavioral performance remained stable throughout muscimol-paired sessions (also see SI Appendix, Fig. S11) but demonstrated steady improvement during subsequent sessions paired with saline. To quantify this observation at the group level, we compared behavioral performance at three time points: (i) baseline before perceptual training, (ii) the first day of saline infusion, and (iii) the final training session. If muscimol prevents PL, rather than simply impairing AM sensitivity, we would expect behavioral performance to be similar at baseline and the first day of saline infusion. As predicted, values obtained at baseline and on the first day of saline infusion largely overlapped. Final d' values were higher, however, indicating improved perceptual performance (P = 0.012; Fig. 4C). To confirm that this effect was robust, we extracted AM depth thresholds for each animal at four different d' values. As shown in Fig. 4D, final AM thresholds were lower than those obtained at baseline or during the first day of saline infusion (P = 0.025).

During muscimol infusion experiments, we controlled for the amount of daily practice by limiting animals to 50 warn trials per session (see SI Appendix, Materials and Methods for rationale). Thus, it was possible that the lack of improvement between baseline and the first day of saline infusion was not due to a muscimol-induced learning impairment but instead to insufficient practice. To rule out this possibility, a separate group of control animals (n = 6) received perceptual training for 12 d with 50 warn trials per session, identical to the muscimol group (Fig. 4A). As shown in Fig. 4E, d' values were higher on day 7 than at baseline, and approached those obtained on the final training day (P = 0.001). Similarly, thresholds were lower at day 7 and day 12 compared with baseline (P = 0.006; Fig. 4F). Collectively, these results show that reducing ACx activity during perceptual training prevents learning.

**Discussion**

PL is closely associated with long-term changes in sensory cortex activity (6–15, 56, 57), and these changes may contribute to learning (28, 31–34). However, no studies have tested whether sensory cortex is necessary for PL. Here, we found that bilateral ACx infusion of a low dose of muscimol could prevent practice-based improvement. We interpret this result to mean that the manipulation permitted enough ACx activity to allow for task performance across a range of AM depths but not enough to enable the plasticity mechanisms required for PL. This interpretation is consistent with a model of PL which posits that, in order for plasticity to occur, sensory-evoked activity must surpass a threshold for learning (58, 59). It is important to note, however, that muscimol disrupted psychometric performance to a greater degree in two of our six subjects (SI Appendix, Fig. S11). Thus, in these two animals, it is possible that a degraded sensory representation also contributed to impaired learning. Our findings are in line with previous manipulations that dissociated auditory processing from a learning mechanism. For example, song-learning in juvenile zebra finches is diminished by administration of an NMDA receptor antagonist during a developmental sensory acquisition phase, even though the drug does not alter auditory brainstem responses (60) or song discrimination (61).

The results of our muscimol inactivation experiments indicate that proper ACx activity is required for PL. Consistent with this finding, we observed a tight correlation between ACx and behavioral plasticity throughout perceptual training, in terms of both magnitude and kinetics (Fig. 1H). The neural basis of PL has commonly been evaluated by focusing on two time points (pre- vs. posttraining) or groups (trained vs. untrained) (6–12, 14–16, 30). However, training-based perceptual improvement can be associated with transient phases of functional plasticity, even within a single network (26–29). Thus, studies that are restricted to one or two time points could fail to identify a temporary contribution of a particular brain region to PL. A handful of studies have recorded neural responses during the full time course of visual perceptual training; however, direct comparisons of neural and perceptual improvement either (i) were restricted to early and late time points (56, 57), (ii) revealed relatively weak neural improvements (13), or (iii) resulted in modest correlations between neural and behavioral plasticity (18). In contrast, we found that ACx neurons displayed substantial day-to-day improvements in neural sensitivity that were tightly correlated with, and could plausibly explain, PL.

AM-evoked responses in ACx are enhanced during task performance. This result is in line with an abundance of work demonstrating behaviorally gated modulations of ACx activity (37, 44–49, 62–66). Our observation that disengaged neural sensitivity is better if measured immediately after behavioral testing, rather than before (compare green lines in Fig. 3F), is consistent with an effect of task-specific plasticity that persists
after the behavioral session has concluded. For example, Fritz et al. found that task-dependent spectrotemporal receptive field plasticity in ACx persists for minutes to hours after behavioral performance (47). Similarly, passive stimulus exposure has been found to facilitate PL if the exposure occurs within a short window following active practice (67), presumably when task-dependent neural enhancements are still operational (58, 59). It should be noted, however, that we cannot rule out the possibility that the enhancements we observed during behavioral performance were the result of non-task-specific arousal mechanisms (68, 69).

A distinguishing feature of our results is that the effect of task engagement increases in magnitude across perceptual training sessions. During task performance, neural improvements were pronounced and tightly correlated with perceptual abilities. During nontask listening sessions, however, neural improvements were present but weak, and were uncorrelated with behavior. These findings led us to propose an inclusive conceptual framework: Specifically, we posit that training induces plasticity within the top-down networks that modulate stimulus-driven activity during task performance, thereby augmenting bottom-up plasticity within sensory cortex.

Evidence for bottom-up plasticity derives from previous experiments in which animals were trained on an auditory detection or discrimination task and then anesthetized for extracellular recordings after reaching perceptual asymptote. These studies report that trained animals display altered auditory cortical responses, such as tonotopic reorganization (9, 12) and enhanced AM processing (10, 11). Similarly, Adab and colleagues recorded from V4 and posterior inferior temporal cortex neurons of awake monkeys during training on an orientation discrimination task (57, 70). The authors found that training enhanced the responses to the orientation gratings, and the enhancements were present both during task performance and during passive fixation. Together, these findings suggest that perceptual training can induce neural changes that are observable even when animals are anesthetized or when the task-relevant stimuli are unattended. Thus, we interpret the small, but significant, neural improvements we observed during task disengagement as reflecting plasticity within a bottom-up pathway.

It is important to recognize, however, that changes in bottom-up stimulus encoding do not explain all forms of PL (6, 56, 71, 72). For example, we have also found that while perceptual training on an embedded contour detection task has little effect on basic response properties of monkey V1 neurons, it has a pronounced effect on how V1 neurons are modulated by stimulus context (6, 56, 71). This finding stands in contrast to those described above from V4 (57, 70) and primary ACx (9–12), where it was found that training modified stimulus-encoding properties. These apparent dissimilarities may reflect differences among functional networks, behavioral tasks, or species.

Our observation that neural improvements are more pronounced during task performance is consistent with the well-established finding that top-down processes known to modulate sensory cortex activity also facilitate and guide PL (12, 17, 38–43). For our purposes, top-down refers to the functional influence of a higher-order brain region on neural activity in ACx, brought about by task engagement. Several plausible candidate regions may mediate this top-down effect, either in isolation or in concert with one another, including frontal cortex (50, 51), nucleus basalis (73–75), locus coeruleus (76), ventral tegmental area (77, 78), and multisensory cortex (17).

Current models of PL suggest that top-down inputs act to restrict task-dependent plasticity to the appropriate neurons (79–85) or enhance stimulus signals above some threshold beyond which plasticity mechanisms are operational (58, 59). Our hypothesis is consistent with these models but posits that, rather than providing a static modulatory signal, top-down networks change throughout training, thereby contributing to improved ACx sensitivity and PL. This framework is similar to a computational model that has been proposed to explain visual brightness discrimination learning (84) and is consistent with human psychophysical and imaging evidence that training can improve visual attentional modulation (55, 85, 86) and general cognitive skills (23). Thus, training-induced plasticity in top-down modulatory processes may be a general mechanism that supports PL across sensory modalities.

Materials and Methods

Subjects. Adult Mongolian gerbils (Meriones unguiculatus) were raised from commercially obtained breeding pairs (Charles River). Animals were housed on a 12-h light/12-h dark cycle and provided with ad libitum food and water unless otherwise noted. All procedures were approved by the Institutional Animal Care and Use Committee at New York University.

Behavior. AM detection was assessed with an aversive conditioning paradigm as described previously (29, 87, 88). Psychometric functions were fit with cumulative Gaussians and transformed to the signal detection metric, d′ (89). Threshold was defined as the AM depth at which d′ = 1, unless otherwise stated.

Electrophysiology. Extracellular single- and multunit activity was recorded from left ACx as described previously (37, 90). Firing rates were transformed to d′ values and fit with logistic functions (37). Units were considered “responsive” if they generated a valid fit and threshold (at d′ = 1).

Infusions. Guide cannulas (Plastics One) were implanted into bilateral ACx. Animals were anesthetized briefly with isoflurane, and infused with muscimol or saline 45 min before behavioral training.

Statistics. Analyses were performed using JMP (SAS), PASW Statistics (IBM), or SPSS (IBM). When data were not normally distributed, nonparametric tests were used. All reported P values were Holm-Bonferroni-corrected for multiple comparisons.

For full methodological details, see SI Appendix. Data and analysis code can be found at https://nyu.box.com/v/caras-sanes-2017.

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