Imaging and quantifying ganglion cells and other transparent neurons in the living human retina

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Ganglion cells (GCs) are fundamental to retinal neural circuitry, processing photoreceptor signals for transmission to the brain via their axons. However, much remains unknown about their role in vision and their vulnerability to disease leading to blindness. A major bottleneck has been our inability to observe GCs and their degeneration in the living human eye. Despite two decades of development of optical technologies to image cells in the living human retina, GCs remain elusive due to their high optical translucency. Failure of conventional imaging—using predominately singly scattered light—to reveal GCs has led to a focus on multiply-scattered, fluorescence, two-photon, and phase imaging techniques to enhance GC contrast. Here, we show that singly scattered light actually carries substantial information that reveals GC somas, axons, and other retinal neurons and permits their quantitative analysis.

We perform morphometry on GC layer somas, including projection of GCs onto photoreceptors and identification of the primary GC subtypes, even beneath nerve fibers. We obtained singly scattered images by: (i) marrying adaptive optics to optical coherence tomography to avoid optical blurring of the eye; (ii) performing 3D subcellular image registration to avoid motion blur; and (iii) using ganglioneuronal motility inside somas as an intrinsic contrast agent. Moreover, through-focus imaging offers the potential to spatially map individual GCs to underlying amacrine, bipolar, horizontal, photoreceptor, and retinal pigment epithelium cells, thus exposing the anatomical substrate for neural processing of visual information. This imaging modality is also a tool for improving clinical diagnosis and assessing treatment of retinal disease.

Significance

Ganglion cells are the primary building block of retinal neural circuitry, but have been elusive to observe and quantify in the living human eye. Here, we show a light microscopy modality that reveals not only the somas of these cells, but also their 3D packing geometry, primary subtypes, and spatial projection to other neurons. The method provides a glimpse of the rich tapestry of neurons, glia, and blood vessels that compose the retina, thus exposing the anatomical substrate for neural processing of visual information. Clinically, high-resolution images of retinal neurons in living eyes hold promise for improved diagnosis and assessing treatment of ganglion cell and other neuron loss in retinal disease.

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spatial coordinates of the GCL soma centers were marked and used to quantify: soma stack thickness, diameter, reflectance, density, and distribution of primary GC subtypes and GC projection onto cone photoreceptors (Materials and Methods).

**Results and Discussion**

**Averaging and Registering AO-OCT Volumes.** Imaging with coherent light produces speckle that contains both noise and object information (e.g., soma shape) (25), the former preventing observation of the latter (see example in Fig. 1; n = 1). Organelle motion in the soma causes the noise to change from image to image, while the object information (soma) remains constant from image to image, assuming the images are registered to each other with an accuracy better than the size of individual somas. Therefore, averaging of images reduces speckle noise while retaining soma information. We found empirically that averaging 100–160 registered AO-OCT volumes of the same retinal patch improved signal-to-noise ratio and image contrast, dramatically improving the clarity of individual GCL somas (see example in Fig. 1; n = 137). We quantified the image enhancement due to motion of organelles inside somas and our ability to register to subcellular accuracy using a soma contrast metric (Materials and Methods). As expected from the theory of independent noise realizations (25), soma contrast increased as the square root of the number of images averaged, experimentally increasing by a factor of 11 times with 137 volumes (Fig. 1 plot).

**Three-Dimensional Imaging of the Inner Retina.** Fig. 2 and corresponding Movie S1 illustrate the detailed views obtainable by our method of the 3D mosaic of GCL somas, the adjacent ganglion axon bundles, the retinal vasculature, and cellular structures at the inner limiting membrane (ILM) and inner plexiform layer (IPL). After imaging the retinal tissue at a selected location, the resulting volume can be dissected digitally to show how a single, identified neuron is positioned in depth (cross-sectional view, Fig. 2C) and laterally (transverse slice, Fig. 2F). Transverse slices provide detailed views of many retinal features of interest, such as presumptive astrocytes or microglia at the ILM (Fig. 2D), GC axon bundles of various calibers (Fig. 2F), the mosaic of GCL somas of different characteristic sizes indicating different functional classes (Fig. 2F), and the dense mesh of dendrites and synapses between GCs, amacrine cells, and bipolar cells in the IPL (Fig. 2G). Together, these images provide a glimpse of the rich tapestry of neurons, glia, and blood vessels that can be appreciated by interactive inspection of the imaged volume.

**GCL Soma Size and Stack Thickness.** We inspected the GCL of recorded retinal volumes to estimate the size and layering of GCL somas at different retinal eccentricities. Example images (Fig. 3 and Fig. S1) clearly reveal the retinal gradient of soma size for all four subjects. The high axial resolution of our AO-OCT enables visualization of the layering of GCL somas in depth, necessary for measurements of cell density and observations of the arrangement of somas in gaps between nerve fiber bundles and around blood vessels extending through the entire GCL (Figs. S2 and S3 and Movie S2). Stack thickness reached a maximum of 4–5 somas at 3–4.5° retinal eccentricity, decreasing rapidly toward the fovea and slowly away from it to a minimum thickness of 1. A thickness of ≥2 somas was observed up to 9.5° eccentric (Fig. S3). Note that counting the number of somas in stack required some judgment due to the varied spacing and size of the somas. Soma size is a distinguishing property of GCs related to their function and central projection (5, 6, 26). In our images, somas near the fovea are small and homogeneous, possibly due to packing constraints for achieving high visual acuity (26). In contrast, the patches 12–13.5° eccentric contain the largest and most varied sizes (5, 6, 26). Central tendency and variability of soma size are captured by the frequency distributions plotted in Fig. 4A and Fig. S4. For the four subjects, the distribution of soma diameter is 11.4 ± 1.8 μm (average ± SD) at 1.5–3° and 13.9 ± 3.1 μm at 12–13.5°, a 22% and 72% increase in size and variance.

A very small fraction of GCL cells (21 of 32,469 counted) at 1.5–3°, 3–4.5°, 6–7.5°, 8–9.5°, and 12–13.5° temporal to the fovea in the four subjects had giant somas (J cells), defined as a diameter greater than 26 μm (27). All but one of the giant somas were observed at the two largest retinal eccentricities, consistent with the reported distribution of giant cells whose frequency begins near this eccentricity range and peaks in the mid- to peripheral retina (27). The largest had a diameter of 30.8 μm, almost exact in size to the 31-μm “giant” monkey GC Polya used from his histology to illustrate this class (28).

**GCL Soma Reflectance.** We know of no reports on the reflectance properties of individual GCL somas. In this study, we discovered that larger somas are generally more reflective (amplitude/pixel measured at soma center) than the smaller ones, a significant difference (P < 0.001) in all subjects at all locations. This property is illustrated in the reflectance scatterplot in Fig. 4C that shows an average increase of 70% over the soma size range. Variation in reflectance must point to underlying differences in soma composition as measurements were made internal to somas that were selected from the same volume and narrow depth plane (14 μm). The latter avoided the influence of focus and other optical variations. Note that this approach parallels that commonly used to quantify reflectance variations of cone photoreceptors imaged with AO-flood, AO-SLO, and AO-OCT. Our measured variation in soma reflectance suggests a method for distinguishing GC subtypes based on soma composition. Reflectance may prove especially useful in studying central retina where GC subtype is difficult to establish based on soma size.

**GC Soma Subtypes.** From histology of excised tissue, it is known that parasol GCs generally exhibit larger somas than midget GCs (mGC), and this size difference increases with distance from the fovea (5, 29, 30). Our study confirms and extends that observation in living eyes by showing that the size distribution exhibits an increasingly positive skewness with retinal eccentricity (Pearson’s
moment coefficient increased from 0.53 to 1.45) and becomes bimodal for retinal eccentricities greater than 6°. To test whether these two modes represent the two primary subtypes of GCs in the macula (midget and parasol), we simultaneously fit two Gaussians to the bimodal distributions and subtracted the expected contribution of displaced amacrine cells (10) from the lower-diameter mode (Materials and Methods). When averaged across all subjects, the fractions of cells falling into the lower-diameter mode were 91%, 85%, and 86% for eccentricities of 6–7.5°, 8–9.5°, and 12–13.5°, respectively. These estimates fall between the fractional estimates of mGCs by Dacey (7) and Drasdo et al. (20) (Fig. S5), and the size distributions of our data fall within the range reported for midget and parasol somas in the human literature (Fig. 4B).

We conclude from these observations that single-scatter imaging is capable of resolving and distinguishing the two primary subtypes of GCs that form parallel visual pathways through the optic nerve. Such pathways are capable of resolving and distinguishing the two primary subtypes of GCs in the human retina (S4), also consistent with histologic studies (10, 34). No significant difference was found for retinal eccentricities greater than 6° (P = 0.11–0.89). At lower retinal eccentricities, our peak densities were smaller than histologic estimates (10) with means and SDs of 19,162 ± 2,087 somas per mm² and 26,895 ± 4,899 somas per mm², respectively, a significant difference (P = 0.011). While part of this difference can be attributed to our coarse 1.5° × 1.5° sampling window, repeating the analysis using a smaller 0.17° × 0.11° window and a counting protocol consistent with that of Curcio and Allen (10) still yielded lower densities. We found no evidence of a scaling discrepancy nor that obstructions such as vasculature or other cellular structures within the GCL masked the underlying somas and caused undercounting. Somas were evident across the entire GCL thickness, and the 790-nm wavelength of our AO-OCT is minimally absorbed by retinal tissue. Thus, the discrepancy between our density results and those from histology remains an open question, compounded by the small sample sizes in both studies.

**GC Soma Density**. Histologic studies show that the spatial density of GC somas varies markedly across the retina—characterized by an elevated ring of densely packed, stacked somas surrounding the fovea—and across subjects (6, 7, 9, 10, 32–34). To determine the density in our subjects, we used soma counts (41,506) from 24 of 26 imaged locations and, as before, subtracted amacrine population estimates from the literature (10). Fig. 3 plot shows that the soma density distribution parallels that from histology, peaking at 3–4.5° with a sharp monotonic decrease toward the fovea and a gradual one away from it. Higher densities were found in nasal retina (S4), also consistent with histologic studies (10, 34).

**GC Projection onto Cone Photoreceptors**. Single-scatter imaging with AO-OCT enables the measurement of physical parameters in the living human retina that are fundamental to the structure and function of GCs. As an example of elucidating GC function...
in retinal neural circuitry, we used our density measurements to derive individualized estimates of the pooling of cone signals by GC dendritic fields. Pooling of cone signals via intermediate bipolar cells is an anatomical necessity (because cones outnumber optic nerve fibers) that imposes fundamental limits on vision. mGCs in particular are important in determining visual acuity, yet histological estimates of the extent of their receptive fields and the number of mGCs that service each cone vary widely in the literature (20, 21). In the human fovea, the reported range of mGC-to-cone ratio is large (0.9–3.0), requiring profoundly different numbers of ON-center and OFF-center mGCs to encode the foveal image. To derive an estimate of this ratio from our in vivo AO-OCT data, we projected our measured GC coordinates onto our measured cone photoreceptor coordinates for the same subject. GC retinal eccentricity ranges of 1.5–3°, 3–4.5°, 6–7.5°, 8–9.5°, and 12–13.5° were estimated to project to cone retinal eccentricities of 0.35–1.2°, 1.2–2.6°, 4.5–6.5°, 7.1–8.9°, and 11.7–13.3° (21) (Materials and Methods). Cone densities in these eccentricity ranges (52,882, 34,178, 12,375, 9,728, and 6,602 cells per mm²) were normal (35), differing by 6%, 21%, 10%, 0.2%, and 4.1%, respectively. Our fovea ratio of 1.60 supports the view that the vertical pathway through the fovea connects two mGCs to one cone, allowing one to be ON center and the other OFF center (1). Individual differences, however, are notable with ratios across the four subjects varying by 9–70% [(max − min)/avg] depending on retinal location. This variation should affect visual resolution in these eyes as fundamental limits are imposed by the sampling of cone photoreceptors and mGCs (19). We can now test these limits by accounting for both.

**Observing GCL Somas Under Thick NFL and at Foveal Rim.** As shown in cross section and en face of Fig. S6 (also see Movie S3), the NFL at 8–9.5° nasal (50 μm thick) approaches the thickest in the macula (37). It densely covers the entire volume image, and its peak amplitude reflectance is 6.5 times greater than that of the underlying GCL somas, a difference that is best appreciated by the cross-sectional image displayed in the figure on a linear, as opposed to logarithmic, scale. Despite this thick, brightly reflecting layer that lies immediately above the somas, the soma mosaic can be extracted as evident in the en face GCL image in the same figure.

In contrast, close to the foveal center where the NFL is absent, the 0.35–1.85° retinal patch of S1 (Fig. S7 and Movie S4) reveals that GCL somas extend all of the way to the foveal rim of the GCL where they abut the ILM. Presumably, these somas are most critical for visual acuity as they are thought to project to photoreceptors at the foveal center. Prominent in the en face image is the extension of the soma mosaic into the foveal avascular zone, defined by the innermost ring of retinal capillaries encircling the fovea. In both subjects imaged at this location (S1 and S4), GCL somas did not extend more than 100 μm, consistent with the general rule for mammals that metabolically active cells should not be more than this distance to preserve molecular exchange via

![Fig. 3. En face images extracted from GCL at increasing retinal eccentricity of subject S4. A mosaic of GCL somas is observed at each eccentricity. (Bottom Right) GC soma density is plotted along the horizontal meridian of the macula. Retinal eccentricity is converted to millimeters to compare with histology data (10). AO-OCT temporal data are the average from four subjects and nasal is from S4. Error bars denote ±1 SD.](image)

![Fig. 4. Properties of soma size, reflectance, and pooling of cone signals.](image)
diffusion (1). This suggests that capillary dropout along the innermost ring, as for example occurs in diabetic retinopathy (38), is likely detrimental to these critical GCs, which we can now monitor in these patients as the disease progresses.

**Visualizing GCs and Other Neurons Across the Retina.** While our study was confined to the horizontal meridian of the macula, our measurements have broader significance given the common neural layering of the retina across the posterior pole and radial symmetry of the macula about the fovea. Given these commonalities, our method should allow observation of GCL somas anywhere in the macula and beyond, whether stacked on each other (Figs. S2 and S3 and Movie S2), lying beneath a thick and highly scattering NFL (Fig. S6 and Movie S3), or aggregated at the foveal rim where the smallest and closest-packed GCL somas are found (Fig. S7 and Movie S4). Although we emphasized GCL somas in this report, our images also provide detailed views of nerve fiber bundles and GC axons that form them. An exemplary example is Movie S5, which shows several fiber bundles dissolving into an intricate labyrinth of axons. In general, our AO-OCT method holds promise for visualizing cells across all retinal layers and, thus, exposing the anatomical substrate for neural processing of visual information. Fig. 5 illustrates this capability, showing cells extracted from different depths [NFL to retinal pigment epithelium (RPE)] in the same retinal patch. In these images, we can discern the types and densities of cells that compose each layer. Interestingly, the inner nuclear layer (INL) image depicts a fine mesh of tightly packed, small (5-μm diameter), faint structures suggestive of bipolar somas (9) and sparse, large (18-μm diameter), bright structures suggestive of displaced GC somas (39). These await further study.

By imaging tens of thousands of GCL somas in four subjects, we have demonstrated a path for visualizing and quantifying these elusive cells as well as other transparent neurons and cellular structures in the living human retina. While application of this method to diseased eyes is apparent, there is also optimism that the methodology can be improved further, for example, to characterize the dendritic morphology and soma organelle pattern that are more definitive cues of retinal cell type. Such characterization might be possible using the complex field recorded by AO-OCT (e.g., ref. 40) to map the physiological dynamics of these cellular compartments. For now, our images are generating more questions than answers, reminiscent of what some of us experienced two decades ago with the first photoreceptor images (41).

**Materials and Methods**

**Subjects.** Four subjects, ranging in age from 24 to 50 y (S1 – 24, S2 – 26, S3 – 47, and S4 – 50 y old) and free of ocular disease, participated in the experiments. Four subjects, ranging in age from 24 to 50 y (S1 – 24, S2 – 26, S3 – 47, and S4 – 50 y old) and free of ocular disease, participated in the experiments.

All subjects had best corrected visual acuity of 20/20 or better and a spherical equivalent refraction between 0 and −2.5 diopters. All had normal intraocular pressure (IOP), performance on perimetry, and appearance of optic disk and fundus, as determined by the Indiana University School of Optometry clinic. The one exception was S4 who had a history of elevated IOP (range 20–22), but normal otherwise. Eye lengths ranged from 23.27 to 25.40 mm as measured with the IOLMaster (Zeiss), and were used to scale the retinal images from degrees to millimeters (42). All procedures on the subjects adhered to the tenets of the Declaration of Helsinki and were approved by the Institutional Review Board of Indiana University. Written consent was obtained after the nature and possible risks of the study were explained.

**Experimental Design.** The subject’s eye was cycloplegied and dilated with tropicamide 0.5%. The eye and head were aligned and stabilized using a bite bar mounted to a motorized XYZ translation stage. Images were acquired with the Indiana AO-OCT system (43, 44) with system focus placed precisely at the GCL to maximize sharpness of GCL somas. Correct placement was realized by optimizing brightness of the GCL using the real-time displayed B-scan (cross sectional) images and sharpness of the NFL vasculature in the en face images. AO-OCT volumes were acquired on the subjects at 10 macular locations along the horizontal meridian that bisected the fovea. The five locations (1.5° – 3°, 3–4.5°, 6–7.5°, 8–9.5°, and 12–13.5°) temporal to the fovea were imaged in four subjects and the four (1.5°, 3–4.5°, 6–7.5°, and 8–9.5°) nasal to it were imaged in one. An extreme foveal location at 0.35–1.85° temporal was imaged in two subjects. For each retinal location, 10–15 AO-OCT videos (each ~4 s in duration) were acquired over ~10 min. Each video consisted of 11 volumes. The volumes covered a 1.5° × 1.5° field of view of the retina, and A-scans were sampled at 1 μm per pixel in both lateral dimensions. Fast A-scan and B-scan rates of 500 KHz and 1.1 KHz reduced, but did not eliminate, eye motion artifacts.

Images were also acquired of other retinal cells by focusing the system to the desired depth that maximized cell sharpness. This included imaging cone photoreceptors across the horizontal meridian that projected to the GCs at 1.5–3°, 3–4.5°, 6–7.5°, 8–9.5°, and 12–13.5°. Commercial (Spectralis; Heidelberg Engineering) spectral-domain OCT and SLO images were acquired on all subjects and covered the retinal locations imaged with AO-OCT.

**Postprocessing of AO-OCT Volumes.** Volumes were reconstructed; dewarped to correct nonlinearity in the fast-axis scan pattern; registered in three dimensions to correct eye motion artifacts; and averaged to increase signal to noise. Images of GCL somas are difficult to register with conventional 2D strip-wise registration methods (15, 45) owing to the high translucency of these cells. We overcame this obstacle by using a custom 3D strip-wise registration algorithm (46) that operates on individual fast B-scans, thus using all available information in the
Quantifying Soma Size and Size Distribution. The diameter of each GCL soma was computed based on an en face (XY) view of the soma and the 3D coordinates of its center using software developed in MATLAB. The en face view was a three-pixel (2.82 μm) projection in depth of the reflectance distribution around the soma center. A circumferential average about the soma center in the en face view resulted in a 1D reflectance trace. Cell diameter was defined as twice the distance between the cell center and the minimum in the reflectance trace.

For each subject and retinal eccentricity, a single Gaussian function was fit to the soma size distribution where it appeared unimodal (for retinal locations up to 6°) and two Gaussian functions where it appeared bimodal (for retinal locations at and greater than 6°). The Gaussian fits were used to quantify the peak and range of soma diameter and the fraction of each mode.

Quantifying Soma Density. GCL soma centers were projected onto an en face plane from which soma densities were determined by two different methods. The first used Voronoi mapping of the soma mosaic, a mathematical construct widely used for quantifying cell association in retina tissue (47). For this method, soma density was defined as the ratio of total number of Voronoi cells to total area of the Voronoi cells. This approach avoided edge effects of the 1.5° × 1.5° imaging window and vessels that can generate errors in the density count. The second method followed traditional histologic approaches. Specifically, cell centers were counted that fell within a small 0.17° × 0.1° sampling window free of vasculature. The window size, number of windows, and counting protocol followed that of Curcio et al. (10), except we relied on cell centers as opposed to nuclei detections, which could not be detected in our images.

We did not distinguish GCS from displaced amacrine cells, which represent 1–22% of the soma population in the GCL from central fovea to 13.5° (10). To facilitate comparison, we used displaced amacrine population values from the literature (10) to offset our count.

Determine mGC-to-Cone Ratio. We projected the GC coordinates measured with AO-OCT onto the cone photoreceptor coordinates, also measured with AO-OCT, by applying the displacement function given by equation 5 of Watson (21) for the horizontal meridian. This function accounts for the lateral displacement of GCS, which can exceed 600 μm (∼2°) depending on retinal eccentricity and is caused by the lateral extension of photoreceptor axons and connections of the bipolar cells. Our projection took into account annular scaling between the GC and cone layers, and was adjusted for the proportion of GCs that are midget. For GCs at retinal eccentricities of 6–7.5°, 8–9.5°, and 12–13.5° where the GC size distribution was bimodal, we used the lower-diameter mode to estimate the mGC fraction. Nearer to the fovea where bimodality was not observed, we used the fractional estimate (89.3%) by Drasdo et al. (20).

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