Remote electrochemical modulation of $pK_a$ in a rotaxane by co-conformational allostery

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Edited by J. Fraser Stoddart, Northwestern University, Evanston, IL, and approved November 27, 2017 (received for review September 29, 2017)

Allostery—one of Nature’s most effective ways to regulate functions in biomolecular machinery—requires the transfer of information between distant sites. The mechanistic details of such a transfer are still an object of intensive investigation and debate, and the idea that intramolecular communication could be enabled by dynamic processes is gaining attention as a complement to traditional explanations. Mechanically interlocked molecules, owing to the particular kind of connection between their components and the resulting dynamic behavior, are attractive systems to investigate allostERIC mechanisms and exploit them to develop functionalities with artificial species. We show that the $pK_a$ of an ammonium site located on the axle component of a [2]rotaxane can be reversibly modulated by changing the affinity of a remote recognition site for the interlocked crown ether ring through electrochemical stimulation. The use of a reversible ternary redox switch enables us to set the $pK_a$ to three different values, encompassing more than seven units. Our results demonstrate that in the axle the two sites do not communicate, and that in the rotaxane the transfer of information between them is made possible by the shuttling of the ring, that is, by a dynamic intramolecular process. The investigated coupling of electron- and proton-transfer reactions is reminiscent of the operation of the protein complex I of the respiratory chain.

Significance

Rotaxanes are species in which a macrocyclic molecule—the ring—is interlocked with a dumbbell-shaped component—the axle. The translational motion of the ring along the axle provides the basis for constructing molecular machinery. In this paper we show that such a dynamic process enables the transfer of chemical information between two distant sites; as a result, the acidity of one site can be reversibly modulated by redox switching at the other site. Possibilities emerge not only for the rational design of species with tailor-made acid–base properties but also for the development of model systems to understand some of Nature’s most effective regulatory mechanisms—namely, allostery and proton-coupled electron transfer.

Author contributions: G.R. and A.C. designed research; G.R., C.S., P.F., S.S., B.C., and M.L. performed research; G.R., C.S., P.F., S.S., B.C., M.L., and A.C. analyzed data; and A.C. wrote the paper.

The authors declare no conflict of interest.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1712783115/-/DCSupplemental.
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acid–base-controlled shuttling reaction is schematized in Fig. 1. The
presence of a nitrile-terminated tether on the ring is irrelevant for
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in agreement with the fact that M encircles amH
and does not interact with bpy
. In the presence of 1 equivalent of the strong base phosphazene P1-t-Bu both reduction
processes are dramatically shifted to more negative potentials
(ΔE1 = −228 mV, ΔE2 = −193 mV, Fig. 2B). This observation is consistent with the fact that M resides on the bipyridinium unit
regardless of its redox state, as previously observed for related
rotaxanes with only a bpy
unit on the axle (32).

Different results are observed if the milder base tributylamine
(TBA) is used. First of all, an excess of TBA must be added to
afford complete deprotonation of the rotaxane; the equilibrium
constant of the reaction shown in Fig. 1 (B = TBA) results to be
K = 1.5 ± 0.3 (SI Appendix, Figs. S8 and S9). The first reduction
process of bpy
becomes chemically irreversible (Fig. 2C), with a

Results and Discussion
Design. The investigated rotaxane 1H3+ (Fig. 1) is based on a
well-known architecture (27) and was recently used to develop
a molecular transporter (28). The axle component contains a
dibenzylationmonium (amH+) and a 4,4′-bipyridinium (bpy
) unit
that serve as recognition sites for a dibenzo[24]crown-8 macro-
(M). In 1H3+ the molecular ring encircles almost exclu-
ively the amH+ site; in the presence of a suitable base the
rotaxane is deprotonated and M translates over the bpy
unit, where it is engaged in charge-transfer interactions (27, 29). The
acid–base-controlled shuttling reaction is schematized in Fig. 1. The
presence of a nitrile-terminated tether on the ring is irrelevant for
the properties discussed here. Rotaxanes related to 1H3+ with differ-
ent or no substituent on the crown ether ring exhibited the same
switching properties (27, 28, 30). Moreover, we showed recently that
the displacement of the ring along the axle is accompanied by a
change in the geometry adopted by the macrocycle (30).

As deprotonation and shuttling are thermodynamically coupled
(21) the deprotonated rotaxane with M encircles the bipyridinium
site, 12−(M : bpy
), is the apparent conjugate base of the starting
compound 1H3+(M : amH+) in particular, it is important to note
that the charge-transfer interaction between M and the bpy
site can
occur only in the conjugate base. We hypothesized that upon
consideration of the bpy
unit
in the conjugate base could be weakened, while leaving unaffected the
hydrogen bonding between M and amH+ in the acid form 1H3+, since the
macrocycle is relatively far away from the bipyridinium unit. Such a
behavior would lead to an increase in the apparent pKa
of the ammonia
site that could therefore be affected electrochemically.

Voltammetry. Electrochemical techniques are useful not only to
perform the redox switching but also to assess the position of
the ring, because the reduction of the bipyridinium unit occurs at
more negative potentials when it is surrounded by M (27, 28, 31).
Thus, to investigate the interplay of the redox state of the
bipyridinium unit and the apparent acidity of the ammonium site
we performed cyclic and differential pulse voltammetric experi-
ments in acetonitrile on the rotaxane alone and in the presence
of different bases and compared the results with those observed
for the axle component 2H3+. In all cases two consecutive one-
electron reduction processes were detected, corresponding to the
bpy
→bpy
and bpy
→bpy
reactions (see SI Appendix, Figs. S1–S8 and Table S1 for potential values).

The reduction potential values of the axle are only minimally
affected by the protonation state of the ammonium station
(ΔE1 = −17 mV, ΔE2 = −22 mV, Fig. 2A), indicating that the
electronic and/or conformational communication between the two
sites is negligible. In the case of 1H3+, the first and second re-
duction processes (Fig. 2B, black trace) occur at the same potential
as in the axle (Fig. 2A), in agreement with the fact that M encircles amH+
and does not interact with bpy
. In the presence of 1 equivalent of the strong base phosphazene P1-t-Bu both reduction
processes are dramatically shifted to more negative potentials
(ΔE1 = −228 mV, ΔE2 = −193 mV, Fig. 2B). This observation is consistent with the fact that M resides on the bipyridinium unit
regardless of its redox state, as previously observed for related
rotaxanes with only a bpy
unit on the axle (32).

Fig. 1. Structural formula of rotaxane 1H3+ in its stable (M : amH+) co-
formation, and representation of the base (B)-induced transformation
into 1−, whose stable co-conformation is (M : bpy
). R = CH3O(CH2)3CN; positive charges are balanced by PF6− anions.

Fig. 2. Cyclic voltammograms (argon-purged CH2CN/TEAPF6, room tempera-
ture, scan rate 300 mV/s) of (A) the axle component 2H3+ and (B) rotaxane
1H3+ before (black curves) and after addition of 1 equivalent of P1-t-Bu (red
curves). (C) Cyclic voltammograms of 1H3+ before (black curve) and after add-
tion of 22 equivalents of TBA (blue curve). In all cases the base-induced
changes are fully reversed upon addition of an equimolar amount of triflic acid.
peak-to-peak separation of 263 mV. The cathodic peak occurs at a significantly more negative potential than for \( \text{H}^+ \), at a value similar to that observed upon addition of \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \) (Fig. 2B), whereas the anodic peak takes place at nearly the same potential as \( \text{H}^+ \) (or \( \text{2H}^+ \)). Such a result suggests that \( \text{bpy}^{\cdots} \) is reduced while encircled by M, which successively moves away from the \( \text{bpy} \) site; redox-iteration thus occurs on the “free” bipyridinium radical cation. This interpretation is confirmed by the fact that the second reduction process of \( \text{bpy} \) is reversible and falls at the same potential of \( \text{H}^+ \). The same voltammetric pattern is observed in acetone and for scan rates up to 1 V·s\(^{-1} \) (highest limit for our setup), indicating that the ring shuttling is fast on the time scale of the electrochemical experiment. The electrochemical behavior of the axle \( \text{2H}^+ \) is identical in the presence of TBA or \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \) (SI Appendix, Figs. S1 and S2).

Since this behavior is not observed in the presence of \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \), one must conclude that the TBA base plays a role in the displacement of the ring away from the reduced bipyridinium unit. However, as discussed above, such a displacement could only occur if another recognition site is available on the axle. We have therefore to assume that the \( \text{amH}^+ \) unit is formed by proton transfer from the conjugate acid \( \text{TBAH} \), accumulated in solution upon initial deprotonation of \( \text{H}^+ \) by TBA (Fig. 1, with \( \text{B} = \text{TBA} \)). This explanation implies that in the presence of TBA the state \([1^2 \left( \text{M}^2 \text{bpy}^{\cdots} \right) + \text{TBA}^+ \) \] is more stable than \([1^2 \left( \text{M}^{2 \text{amH}^+} \right) + \text{TBA} \), in agreement with previous results (Fig. 3, Top) (27): upon reduction, however, the relative stabilities of these states are exchanged and \([1^2 \left( \text{M}^2 \text{bpy}^{\cdots} \right) + \text{TBAH}^+ \) becomes less favorable than \([1^2 \left( \text{M}^{2 \text{amH}^+} \right) + \text{TBA} \) (Fig. 3, Bottom).

In such a reversible electrochemical–chemical (EC) mechanism, which is not possible in the free axle, the transfer of an electron triggers the transfer of a proton and requires the shuttling of the macrocycle. Indeed, the inversion of the relative stabilities of protonated and deprotonated states (Fig. 3) takes place because of the presence of the ring, which can return on the ammonium site obtained upon coupled proton transfer from TBAH\(^+ \), thus restoring more favorable hydrogen-bonding interactions that are energetically advantageous with respect to charge transfer at the reduced \( \text{bpy}^{\cdots} \) site. However, since part of the energy gain is spent to deprotonate TBAH\(^+ \), the overall process is allowed only if a mild base such as TBA is employed. In fact, it can be anticipated that the ring-mediated electron–proton transfer should become thermodynamically unfavorable when a stronger base such as \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \) is used. As shown in Fig. 2C, this expectation is fully confirmed by our observations.

From a conceptual point of view, it is important to note that the amine site perceives the modification of the redox state of bpy thanks to the dynamic intramolecular ring shuttling taking place under equilibrium conditions. This remains true also when the destabilization of the bpy site does not actually induce a significant displacement of rings onto the amine site. In fact, in a population of deprotonated rotaxanes the macrocycles remain essentially in the same position (bpy) upon reduction; however, since Brownian fluctuations push the ring back and forth along the axle, the apparent basicity of the amine site increases. Net shuttling will actually be observed only in the presence of a sufficiently strong acid capable of restoring the \( \text{amH}^+ \) site; in the present case such a process can be electrochemically induced in the presence of TBAH\(^+ \) but not with \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \).

**EPR Spectroscopy.** Further evidence to support the mechanism shown in Fig. 3 could arise from experiments that provide structural information about the reduced rotaxane in the presence of the different bases. NMR spectroscopy is not useful for this purpose because signals are broadened by the presence of the paramagnetic center. On the contrary, insightful information on the \( \text{bpy}^{\cdots} \) center and its environment can be obtained by EPR spectroscopy. Although this technique constitutes a convenient complement to NMR spectroscopy when paramagnetic centers are involved, its use to characterize radical-containing MIMs is still relatively unexplored (30, 33).

Bipyridinium radical cations, \( \text{bpy}^{\cdots} \), of the rotaxane and its axle component were generated inside the EPR cavity by electrochemical reduction in situ in deoxygenated acetonitrile at room temperature. The EPR spectrum of the radical cation obtained by one-electron reduction of the axle \( \text{2H}^+ \) (\( g \)-factor = 2.0031) is shown in Fig. 4A (black trace). In keeping with previous studies on the radical cation of the parent \( 1,1’ \)-dimethyl-4,4’-bipyridinium (34), the spectrum can be well reproduced by assuming the coupling of the unpaired electron with two equivalent \( \text{N} \) atoms, with a hyperfine coupling constant of 4.17 G, and three groups of four equivalent protons (Fig. 4A, red trace). One group is due to the methylene groups of the two chains (\( \text{dCH}_2 \), 1.47 G) and the other two equivalent sets \( \left[ \text{d}(\text{Ar})\text{H}_2 \text{ and d}(\text{Ar})\text{H}_3 \right] \) arise from the aromatic protons (SI Appendix, Fig. S10). According to the literature (34), the smaller hyperfine coupling constant for these \( \text{H} \) atoms can be assigned to the aromatic \( \alpha \)-protons (1.24 G), whereas the larger coupling can be assigned to the aromatic \( \beta \)-protons (1.46 G).

An almost identical EPR spectrum was obtained after reduction of the rotaxane \( \text{1H}^{\cdots} \) (Fig. 4B), in agreement with the fact that M encircles \( \text{amH}^+ \) and does not interact with \( \text{bpy}^{\cdots} \). On the contrary, in the presence of 1 equivalent of the strong base \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \) a substantially different spectral shape was observed (Fig. 4C). Specifically, most lines split into an unresolved doublet (highlighted with an asterisk in Fig. 4C) that could not be reproduced by considering a symmetric distribution of the spin density between the two heterocyclic rings. Such a nonsymmetric spin distribution suggests that in the deprotonated rotaxane the macrocycle remains located around the bpy unit even when the latter is reduced to the radical cation. The redistribution of spin density on the two pyridine moieties of a bpy unit was recently observed in calixarene-based rotaxanes with a bipyridinium recognition site on the axle (35). Conversely, no changes of the EPR spectral shape were observed upon electrochemical reduction of the axle in the presence of \( \text{P}^3 \cdot \text{Bu}-\text{Bu} \) (SI Appendix, Fig. S10).

The addition of a stoichiometric amount of tricatic acid after the treatment with base restored the starting EPR and electrochemical patterns (SI Appendix, Figs. S6 and S7), proving the chemical integrity of the various redox forms of the rotaxane in the presence of the base.

When we carried out electrochemical reduction of the rotaxane \( \text{1H}^{\cdots} \) in the presence of an excess of the milder base TBA we observed an EPR spectrum (Fig. 4D) almost identical to those observed for the free axle, with or without added base (Fig. 4A), or for the rotaxane \( \text{1H}^{\cdots} \) in the absence of base (Fig. 4B). Such a result confirms that after the electrogeneration of the \( \text{bpy}^{\cdots} \) unit in the deprotonated rotaxane M moves away from it and returns on the ammonium site upon coupled proton transfer from TBAH\(^+ \), thus leading to a symmetric distribution of spin density in the bpy radical cation.
Thermodynamic Analysis. An important consequence of the mechanism shown in Fig. 3, and a key observation for the present discussion, is that the amine site of the rotaxane is more easily protonated when the bipyridinium site is reduced; in other words, the apparent pK$_{a}$ of amH$^+$ increases upon reduction of bpy$^{2+}$. This phenomenon can be quantified by means of thermodynamic considerations based on experimentally determined redox potentials, the equilibrium constant $K$ (Fig. 1), and the pK$_{a}$ values of the bases employed. The situation can be described with the energy-level diagram shown in Fig. 5, which represents schematically all of the states available to the system.

The states explored by the rotaxane differ for (i) the base added to the rotaxane and (ii) the redox state of the bipyridinium site. The protonation state of the amine/ammonium site depends on these factors, and it is always associated to the position of the macrocycle, that is, the ammonium is always surrounded by the macrocycle and...
the amine never is. A useful representation of the accessible states can be made by considering relative free energy changes, as discussed below (more detailed considerations are reported in SI Appendix).

When comparing different bases, only the difference in their $pK_a$ is considered (Fig. 5, left-hand side); in fact, as it can be reasonably assumed that reduction of the bpy site has a negligible effect on the ammonium-crown ether interaction, the energies of the states with $M_2amH^+$ do not depend on the redox state of bpy. When comparing different redox states, only the energy change associated to the charge-transfer interaction between $M$ and bpy is considered (Fig. 5, right-hand side), because obviously it does not depend on the strength of the base used to deprotonate the rotaxane. Thanks to these rational observations, each side of the diagram depicts the relative stability of the conjugated bases represented (Pr$_4$-Bu and TBA on the left, the three redox forms of the deprotonated rotaxane on the right), and the overall figure provides a prompt perception of the strength of the added base (left-hand side) and the charge-transfer interaction between bpy and M (right-hand side). The two sides can be correlated through the free energy change corresponding to the deprotonation of the rotaxane with TBA, which can be calculated from the equilibrium constant $K = 1.5$ ($\Delta G^\circ = -1.0$ kJ mol$^{-1}$). From the $pK_a$ value of TBAH$^-$ in acetonitrile (18.26) (36), a $pK_a$ of 18.1 for $H^+$ is determined. The gap of 50 kJ mol$^{-1}$ between the levels on the left-hand side thus corresponds to the difference in the $pK_a$ values of TBAH$^-$ and Pr$_4$-BuH$^+$ (26.98 in acetonitrile) (37). The energy increase of 22 kJ mol$^{-1}$ on going from $[1^\cdot(M_2bpy^{2+}) + BH^+]$ to $[1^\cdot(M_2bpy^{2+}) + BH^+]$ is due to the destabilization of the charge-transfer interaction between bpy and M caused by reduction of the former. This value is estimated from a thermo-dynamic cycle involving acid–base and redox reactions that connect the two above mentioned states and the corresponding ones in which M is located on amH$^+$ (SI Appendix, Fig. S12). The reduction potential of $[1^\cdot(M_2bpy^{2+}) + Pr_4\cdotBuH^+]$ is experimentally available, and the reduction potential of $[H^+(M_2amH^+) + Pr_4\cdotBu]$ is equal to that in absence of $Pr_4\cdotBuH^+$. The difference in these reduction potentials, $\Delta E = 0.23$ V, must reflect the difference between the interaction energies of M with bpy$^+$ and bpy$^{2+}$ units, $\Delta(\Delta G^\circ) = 22$ kJ mol$^{-1}$, and a $pK_a$ of 22.0 is obtained for $H^+(M_2amH^+)$ (SI Appendix). Analogous considerations (SI Appendix, Fig. S13) lead us to estimate a destabilization energy of 19 kJ mol$^{-1}$ for the charge-transfer interaction on going from bpy$^{2+}$ to bpy$^+$ and a $pK_a = 25.4$ for $H^+(M_2amH^+)$.

The energy diagram shown in Fig. 5 is fully consistent with the experimental evidence. Indeed, the $pK_a$ of TBAH$^-$ (18.26) (36) is intermediate between those of $H^+$ and $H^+(18.1$ and 22.0, respectively), leading to the EC scheme depicted in Fig. 3. In contrast, the $pK_a$ of Pr$_4$-BuH$^+$ (26.98) is always larger than that of the rotaxane regardless of its redox state, giving rise to a chemically reversible reduction behavior (Fig. 2B). On switching from $H^+$ (stable species at potential values less negative than $ca. \sim 0.5$ V vs. SCE) to $H^+(18.0$ (stable species at potential values more negative than $ca. \sim 1.2$ V vs. SCE), a remarkable overall increase of 7.3 $pK_a$ units is observed.

Conclusions

In summary, we have shown that we can modulate the $pK_a$ of a protonable residue in the axle of a [2]rotaxane by ternary electrochemical switching at a remote site. Reversible $pK_a$ changes exceeding seven units are obtained—to our best knowledge, a significantly larger value than those reported so far for synthetic reversible stimuli-responsive $pK_a$ modulators (38–43). This observation is of general interest because, in principle, pH-sensitive sites with made-to-order $pK_a$ values can be rationally designed using the very same functional group (in the present case, a secondary amonium) and adjusting the interaction between the ring and a second pH-insensitive site. Effectors different from electrons, such as molecular or ionic substrates, could also be employed for this purpose. Moreover, as the acid–base properties of the pH-sensitive group are determined by its dynamic molecular environment, rotaxanes of this kind can be regarded as models for gaining deeper insight in allosteric communication mechanisms of enzymes. Considering the crucial importance of coupled electron- and proton-transfer processes in natural systems (photosynthesis, respiration, and enzymatic reactions) (3, 44) as well as in technology (fuel cells, sensors, catalysis, and electrochemical devices) (45, 46) the concepts developed here can have far-reaching implications.

Materials and Methods

Chemicals. The investigated compounds were synthesized and characterized in a previous investigation (28). All chemicals were purchased from Aldrich or Fluka and used as received. Small aliquots of TBA, Pr$_4$-Bu, and triflic acid were added in the electrochemical cell from a concentrated acetoni-trile solution (typically 40 or 4 mM).

Electrochemical Measurements. Cyclic voltammetric (CV) experiments were carried out at room temperature in argon-purged acetoni-trile or acetonitrile with an Autolab 30 multipurpose instrument interfaced to a personal computer using a glassy carbon as the working electrode, a Pt wire as the counterelectrode, and an Ag wire as a quasi-reference electrode. The oxidation wave of ferrocene, added as a standard, was used to calibrate the potential scale and assess electrochemical reversibility. The compounds were examined at a concentration of 4 $\times$ 10$^{-3}$ M, and tetaetylammonium hexafluorophosphate (TEAPF$_6$) 0.04 M was used as the supporting electrolyte. Scan rates from 50 to 1,000 mV s$^{-1}$ were utilized. Differential pulse voltammograms (DPV) were performed with a scan rate of 20 mV s$^{-1}$, a pulse height of 75 mV, and a duration of 40 ms. For reversible processes the same halfwave potential values were obtained from the DPV peaks and from an average of the cathodic and anodic CV peaks. The potential values for not fully reversible processes were estimated from the DPV peaks. The experimental error on the potential values was estimated to be $\pm 10$ mV.

EPR Experiments. EPR spectra were recorded at room temperature using an ELECKYS E500 spectrometer equipped with an NMR gausmeter for the calibration of the magnetic field and a frequency counter for the determination of g-factors that were corrected against that of the perylene radical cation in concentrated sulfuric acid (g = 2.002583). The homemade electrochemical cell consisted of an EPR flat cell (Wilmad WG-810) equipped with a 25 × 5 × 0.2-mm platinum gauze (cathode) and a platinum wire (anode) (47). The current was supplied and controlled by an AMEL 2051 general-purpose potentiostat. In a typical experiment, the cell was filled with an acetoni-trile solution of the appropriate substrate (ca. 1 mM) containing tetrabutylammonium hexafluorophosphate (TBAPF$_6$) ca. 0.1 M as supporting electrolyte. After thoroughly purging the solution with N$_2$ spectra were recorded at different potential values in the range from 0 to −1.0 V. An iterative least-squares fitting procedure based on the systematic application of the Monte Carlo method was performed to obtain the experimental spectral parameters of the radical species (48).

ACKNOWLEDGMENTS

This work was supported by the European Research Council under the European Union’s Horizon 2020 research and innovation program (Grant 692981), the University of Bologna, and the Centre National de la Recherche Scientifique and the Université Paris Descartes Sorbonne Paris Cité for a “délégation” (B.C.).


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