

Reconstructing the tempo and mode of evolution in an extinct clade of birds with ancient DNA: The giant moas of New Zealand

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The tempo and mode of evolution of the extinct giant moas of New Zealand remain obscure because the number of lineages and their divergence times cannot be estimated reliably by using fossil bone characters only. We therefore extracted ancient DNA from 125 specimens and genetically typed them for a 658-bp mtDNA control region sequence. The sequences detected 14 monophyletic lineages, 9 of which correspond to currently recognized species. One of the newly detected lineages was a genetically divergent form of *Megalapteryx* originally described as a separate species, two more were lineages of *Pachyornis* in southern and northeastern New Zealand, and two were basal lineages of South Island *Dinornis*. When results from genetic typing and previous molecular sexing were combined, at least 33.6% of the specimens were incorrectly classified. We used longer sequences of the control region and nine other mtDNA genes totaling 2,814 base pairs to derive a strongly supported phylogeny of the 14 moa lineages. Molecular dating estimated the most recent common ancestor of moas existed after the Oligocene drowning of New Zealand. However, a cycle of lineage-splitting occurred ≈ 4 –10 million years ago, when the landmass was fragmented by tectonic and mountain-building events and general cooling of the climate. These events resulted in the geographic isolation of lineages and ecological specialization. The spectacular radiation of moa lineages involved significant changes in body size, shape, and mass and provides another example of the general influence of large-scale paleoenvironmental changes on vertebrate evolutionary history.

adaptive radiation | extinct moas | environmental changes

Geologically young island archipelagos such as the Galápagos Islands and Hawaii are renowned for their relatively recent passerine adaptive radiations, from which the classical allopatric mode and tempo of evolution have been inferred. In contrast, the radiation of the New Zealand moa could potentially be much more ancient, because the landmass broke from Antarctica/Australia ≈ 80 million years ago (mya). An analysis of lineage diversification in the flightless moa may reveal a contrasting picture to that provided by the classic examples, with the possibility of deep cladogenesis as well as more recent lineage-splitting in different geographic regions.

From both a morphological and ecological perspective, moa represent an ideal group to investigate the tempo and mode of evolution. First, ratites are thought to be basal in birds, and moa represent an ancient ratite group. Second, moa are remarkable because, in addition to the changes in bill morphology, they also underwent substantial changes in body size and proportions (1–3). Third, it has been argued that these changes were adaptive responses to the utilization of different habitats within the New Zealand landscape (4). Fourth, in previous studies we showed that single-copy nuclear genes (3), in addition to mtDNA sequences of moa (3, 5, 6), can be regularly amplified from moa

subfossil bones. Finally, the large number of moa subfossil remains provides the necessary material for a large-scale study of this now-extinct group.

Although of general interest in evolutionary biology, the moa radiation is less well understood than some more renowned passerine examples, perhaps because these birds were extinct ≈ 100 years after human colonization of New Zealand in about A.D. 1300 (7). Consequently, they are known largely from the remains recovered from caves, swamps, and middens (sites of discarded human food). Additionally, the complex nature of morphological variation has increased the difficulty of distinguishing within-species variation from species-level differences, and thus moa taxonomy has been notoriously unstable. At least 64 species and 20 genera of moa have been named. The number of species was reduced in successive taxonomic treatments to 20 (8), 29 (9), and 13 species (1). Recent systematic revisions (cited in ref. 10) list 11 species in six genera (*Megalapteryx*, *Dinornis*, *Pachyornis*, *Emeus*, *Euryapteryx*, and *Anomalopteryx*), but at least one of these previously described species is invalid because it harbors a mixture of large females and small males from two species (3, 11). Whatever the precise number of species was, moa were clearly a speciose group and outnumbered the other ratite groups in species diversity.

To investigate the tempo and mode of evolution in this extinct clade of birds, we used ancient mtDNA control region sequences to genetically type a large number of subfossil bones from museum collections in New Zealand. Using exemplars to represent the resulting control region lineages, we subsequently obtained partial sequences from nine additional mtDNA genes. These concatenated sequences were used to construct a detailed phylogeny of moa, together with appropriate outgroups. Dates of divergence were inferred from the well supported molecular phylogeny, thus providing the first estimates of the timing of the moa radiation. Finally, we examined the tempo and mode of moa evolution in relation to large-scale paleoenvironmental events, the changing dynamics of the New Zealand landmass, and the distribution of moa lineages through time.

Materials and Methods

DNA Extraction, PCR, and Sequencing. Because previous studies have relied on specimens, including many known only from

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Abbreviations: CI, confidence interval; mya, million years ago; myr, million years.

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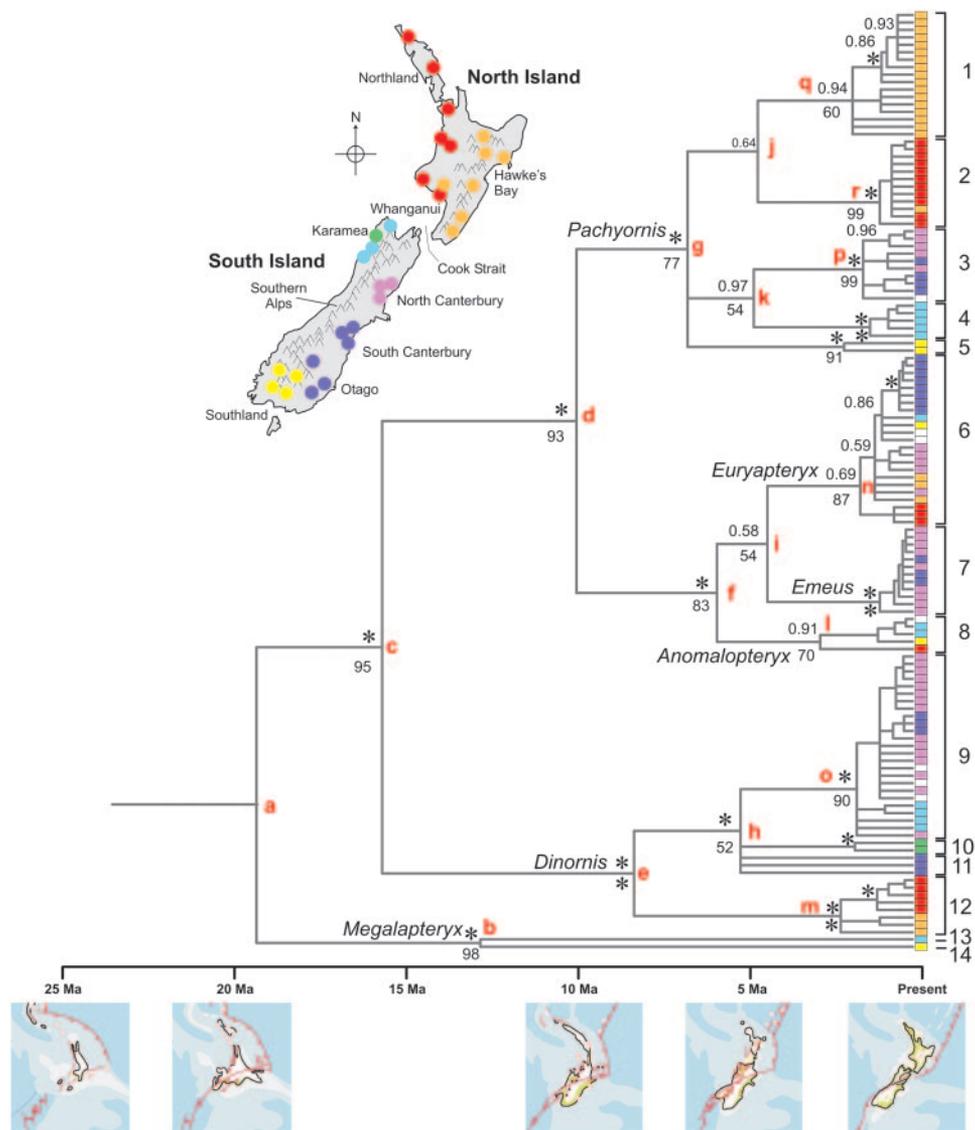


Fig. 1. Bayesian tree constructed by using 658-bp control region sequences from 125 moa specimens under a GTR + I + G model of evolution. The tree is shown as a chronogram used in molecular dating by the program *r8s*. Numbers at the branch tips identify the 14 major lineages as follows: 1, *P. mappini*, eastern North Island; 2, *P. mappini*, western North Island; 3, *P. elephantopus*, Canterbury and Otago; 4, *P. australis*; 5, *P. elephantopus*, Southland; 6, *Euryapteryx geranoides*; 7, *Emeus crassus*; 8, *Anomalopteryx didiformis*; 9, *Dinornis robustus*; 10, *Dinornis robustus*, northwest Nelson; 11, *Dinornis robustus*, Otago; 12, *Dinornis novaezealandiae*; 13, *Megalapteryx didinus*; and 14, *M. benhami*?. Specimens are color-coded according to geographic locations plotted together with place names. Major mountain ranges are represented by peaks. Unfilled bars at the branch tips indicate specimens without locality data. Asterisks at the nodes indicate posterior probabilities (above the nodes) or maximum likelihood bootstrap values (below the nodes) of 1.0 and 100%, respectively. Letters at nodes refer to divergence times among lineages listed in Table 1. (Lower Insets) Extent of the New Zealand landmass and movement of tectonic plates from 25 mya to present (44). Faults, subduction zones, and seafloor spreading centers are shown in red.

lineages in the South Island *Dinornis* clade had posterior clade probabilities of >0.9. Two genetically divergent lineages of *Megalapteryx* were identified at the base of the tree. These lineages correspond to *Megalapteryx didinus* and *Megalapteryx benhami* of earlier classifications, thus questioning their recent synonymy (21). In addition to the clades representing the remaining accepted genera (*Pachyornis*, *Anomalopteryx*, *Emeus*, *Euryapteryx*, and *Dinornis*), we detected two divergent lineages within *Pachyornis mappini* (clades 1 and 2), a unique lineage (clade 5) that suggests *Pachyornis elephantopus* is a paraphyletic taxon, and three distinctive lineages (clades 9, 10, and 11) within South Island *Dinornis*.

There also is evidence from the control region sequences for geographic structuring within existing morphologically defined species. The most obvious splits are between populations

in the North and South Islands, as in *Anomalopteryx didiformis*, implying recent isolation. In *Euryapteryx geranoides*, the birds from Otago and South Canterbury are grouped together (posterior probability of 1.0), birds from the Pyramid Valley area in North Canterbury are separated in another clade (posterior probability of 0.61), and most of the birds from the far north of the North Island are separated into yet another clade (posterior probability of 0.58). The latter were previously assigned to *Euryapteryx curtus*, but one specimen from this clade exhibited a haplotype typical of birds in the South Island. The distinctiveness of the two clades at opposite ends of the two main islands suggests that isolation-by-distance promoted differentiation, and that lineage sorting is incomplete. Two separate clades are present within the smallest species, *P. mappini*, which is restricted to the North Island. Birds from the

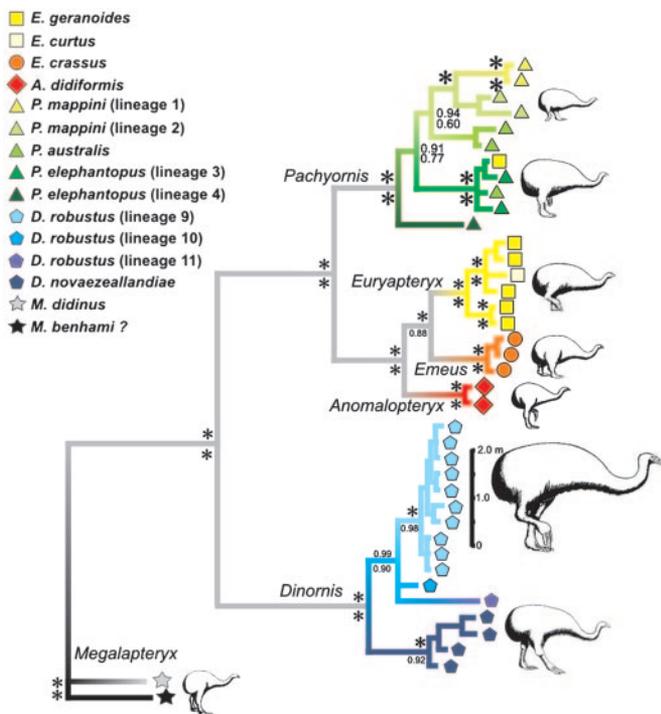


Fig. 2. Bayesian tree constructed under a GTR + I + G model of evolution with 2,814 base pairs of mtDNA from 40 moa specimens, including exemplars of all 14 lineages detected in Fig. 1. Symbols at the branch tips indicate that even in this selected data set, bones from three specimens were misidentified, and one was placed in the incorrect genus. Asterisks at the nodes indicate posterior probabilities (above the nodes) or maximum likelihood bootstrap values (below the nodes) of 1.0 and 100%, respectively.

East Coast region, including Hawkes Bay, are in one clade, whereas birds from the northwest of the North Island are restricted to another clade, and the only geographic overlap was found in the west at Whanganui, where both haplotypes occurred (Fig. 1).

Multiple Gene Support for Lineages. To check support for the phylogenetic relationships of the 14 major lineages of moa detected with the control region sequences, we combined them with sequences from nine mtDNA protein-coding genes and the 12S rRNA and tRNA^{Lys} genes for exemplars of each lineage, because longer DNA sequences have been shown to have a higher probability of recovering the topology of known trees (22–25). The concatenated data set of 2,814 base pairs recovered a Bayesian partitioned likelihood tree identical in topology to the control region tree. Relationships among lineages were supported with higher posterior probabilities, consistent with the increased signal in these longer sequences (Fig. 2). The same tree was recovered in maximum likelihood and maximum parsimony, and major nodes had strong bootstrap support. Genetic distances ranged from 18.45% between *P. mappini* and the most divergent new *Dinornis* lineage to 1.70% between *Dinornis robustus* and the other new *Dinornis* lineage (see Table 4, which is published as supporting information on the PNAS web site).

In contrast, a previous cladistic analysis of 82 osteological characters of the 11 then-recognized species supported the monophyly of moas but failed to fully resolve the branching order of the genera and relationships among species of *Pachyornis* (10). Additionally, the lineages of *Megalapteryx* (4.47% sequence divergence) were not recognized because they had been synonymized based on similarity in bone shape, despite marked

differences in size (21). The difficulty in assigning bones unequivocally to taxonomic groups is shown by the phylogenetic tree of control region sequences. Bones from six specimens were assigned to the incorrect genus. Additionally, 62 more have been incorrectly synonymized in the past or are from unrecognized lineages or were shown to be sexual morphs that necessitated classification changes for a total morphological classification error of 33.6%. If the four new lineages are shown eventually to warrant taxonomic recognition, the error would rise to 54.4%. Ancient DNA shows that unless sequences are recovered from almost all specimens, the identity and number of lineages cannot be established within even a relatively small clade of subfossil birds like moa.

Molecular Dating. Estimates of divergence times allow reconstruction of the historical biogeography of these lineages and inferences about vicariance, dispersal, and geographic isolation events that might have promoted divergence. One of the principal problems in dating divergence times in species generally is whether to use a previously calibrated phylogenetic rate based on mtDNA genomes of ratites (5–6), a faster rate of mutation calibrated on pedigrees (26), or an even faster evolutionary rate calculated on serial samples from recent time depths (3). However, there is likely to be a large discrepancy between the rate of fixation (k_a) in the ancestral lineage of moas and the rate of mutation (μ) of mtDNA (26). We decided to use phylogenetic rates that we calibrated with fossils and earth history events to date splits in the ratite tree, because these are likely to represent older divergence events (5, 6, 27). A recent attempt (11) to date the split between *Dinornis robustus* and *Dinornis novaezealandiae* used a phylogenetic rate (28) calibrated for the 5' hypervariable part of the control region of the snow goose (*Anser caerulescens*). This is the fastest rate known for flying birds and thus may not be appropriate for the more slowly evolving ratites.

The current genera of moa are represented in the fossil record of New Zealand 1.8–2.4 mya (6), and phylogenetic rates based on mtDNA genome sequences dated the *Emeus–Dinornis* split at 13.2 mya (95% CI, 11.9–14.6) (6) and the *Emeus–Anomalopteryx* split at 5.3 mya (95% CI, 3.7–6.9) (5). We used the concatenated protein-coding, 12S rRNA, and tRNA^{Lys} sequences and the geological split between New Zealand and Australia/Antarctica, estimated at 82 mya (29, 30), to calibrate the divergence of moas from outgroup ratite taxa. Penalized likelihood rate-smoothing dated the moa radiation at \approx 18.5 mya (95% CI; 15.1–23.2), possibly a result of a population bottleneck in the Oligocene period (31). The two divergent lineages of *Megalapteryx* diverged about 12.3 mya (95% CI, 7.2–17.9) when the continuing uplift of the Southern Alps would have isolated them on opposite sides of the mountains (32).

Because the slow rate of evolution in these genes prevented reasonably accurate estimation of divergence times nearer the tips of clades, we also dated the splits in the gene tree constructed from the large collection of faster-evolving control region sequences (Table 1). Based on corrected GTR + I + G-corrected distances selected with Akaike's information criterion (16), the conserved domain of the control region had an estimated rate of evolution that ranged from 0.49%/myr between *Emeus crassus* and *Euryapteryx geranoides* to 0.54%/myr between *Anomalopteryx didiformis* and *Dinornis novaezealandiae*. These estimates are in general accordance with the slow phylogenetic rate of evolution in mtDNA genes in kiwi (33).

Moa Diversification and Paleoenvironmental Events. The additional striking perspective evident from the control region tree (Fig. 1) is that much of the radiation of moa evolved within the last 10 myr during the marked global cooling at the end of the Miocene (Table 1). All of the recognized genera other than the upland

Table 1. Divergence times and 95% CIs of moa lineages estimated with partial sequences of nine mtDNA protein-coding and 12S rRNA and tRNA^{Leu} genes aligned to outgroup ratite sequences and separately with control region sequences

Taxa	Node	rRNA, tRNA, and protein-coding genes		Control region	
		Date, mya	95% CI	Date, mya	95% CI
Moa root	a	18.5	15.1, 23.2	—	—
<i>Megalapteryx</i> species	b	12.3	7.2, 17.9	—	—
<i>Dinornis</i> vs. others	c	—	—	15.0	14.5, 15.6
<i>Pachyornis</i> vs. <i>Anomalopteryx</i>	d	—	—	9.7	8.9, 10.5
NI vs. SI <i>Dinornis</i>	e	—	—	8.0	7.1, 8.9
<i>Anomalopteryx</i> vs. <i>Emeus</i> / <i>Euryapteryx</i>	f	—	—	5.8	5.1, 6.5
<i>Pachyornis</i> species	g	—	—	6.5	5.7, 7.3
<i>D. robustus</i> lineages	h	—	—	5.1	4.2, 6.0
<i>Emeus</i> vs. <i>Euryapteryx</i>	i	—	—	4.3	3.8, 5.0
<i>P. mappini</i> lineages	j	—	—	4.3	3.7, 5.0
<i>P. australis</i> vs. <i>P. elephantopus</i>	k	—	—	4.4	3.8, 5.2
NI vs. SI <i>Anomalopteryx</i>	l	—	—	2.8	2.2, 3.5
East/west NI <i>D. novaezealandiae</i>	m	—	—	1.9	1.6, 2.3
<i>Euryapteryx</i> populations	n	—	—	1.6	1.3, 1.9
<i>D. robustus</i> populations	o	—	—	1.7	1.3, 2.3
<i>P. elephantopus</i> populations	p	—	—	1.4	1.2, 1.7
<i>P. mappini</i> populations	q	—	—	1.7	1.4, 2.1
<i>P. lineage 2</i> populations	r	—	—	0.9	0.7, 1.1

Nodes are indicated by letters in Figs. 1 or 2, except for node a, which was determined in a tree constructed with only rRNA, tRNA, and protein-coding genes (see Fig. 3). NI, North Island; SI, South Island.

Megalapteryx diverged in this period, as did the two species of *Dinornis* and three recognized species of *Pachyornis*. Around this time, the expanding New Zealand landmass was being reshaped by mountain-building events and was sundered into North and South Islands by the opening of Cook Strait, ≈ 5 mya, as the plates rotated and separated (Fig. 1). Geographic isolation in the two islands appears sufficient to explain speciation in *Dinornis robustus* and *Dinornis novaezealandiae* (8 mya), as well as the divergence of North Island *P. mappini* from South Island *Pachyornis australis* and *P. elephantopus* (6.5 mya). Geographic isolation and ecological specialization within each island also seems to have promoted speciation or phylogeographic structuring within species. The disjunct distribution of the small-statured *P. australis* isolated by mountains in northwest Nelson from the large-bodied *P. elephantopus* in the eastern grasslands of Canterbury and Otago (Fig. 1) implies allopatric speciation (4.4 mya). Much of the recent diversification (Table 1) also appears to have occurred by geographic fragmentation within each major island. For example, we detected two lineages each of *P. mappini* and *Dinornis novaezealandiae* in the west and east of the North Island (divergence times of 1.7 and 1.9 mya, respectively). There also is a geographic split estimated to have occurred 1.7 mya between the west coast *Dinornis* lineage on the opposite side of the Southern Alps from the remaining South Island lineages. Additionally, the split between a new *P. elephantopus* lineage in Southland and central Otago from the lineage in Canterbury and north Otago was estimated to be 1.4 mya. All of these more recent divergences are probably attributable to the fragmentation of the New Zealand landmass by Pleistocene cycles of glaciation. Finally, the estimated time of divergence between North and South Island populations of *Euryapteryx geranoides* (1.6 mya) and of *Anomalopteryx* in both islands (2.8 mya) indicates dispersal between the islands just before or in the Pleistocene when sea levels declined and the islands were connected.

Subfossil remains, together with our analysis, indicate that no more than four species existed in a number of the same biogeographic regions of New Zealand (10, 32), and that these

species exploited ecologically different habitats and foods. Previous research has shown that moa had diverse diets, based on differences in the shape of the beak and size of the jaw muscles (4), and analysis of gizzard contents (34–35). The large *Dinornis* browsed primarily on coarse twigs, *Euryapteryx* and *Emeus* ate soft leaves and berries, *Pachyornis* and *Anomalopteryx* had a diet of tough leaves, and *Megalapteryx* foraged in forest edges and adjacent high-altitude grasslands (4). In the absence of foregut fermentation, it has been speculated that moa evolved long intestines to ferment their plant diets and correlated large body sizes (4).

The morphological and genetic diversity in moa is much greater than that found in the sister ratite taxa, where a maximum of five species is found in kiwi (33). Hence, moa have clearly undergone an extensive radiation and phylogeographic structuring within species. This diversification parallels cycles of island dispersal, isolation, and allopatric speciation in textbook avian adaptive radiations in the Galápagos and Hawaii. As in most North American birds (36, 37), moa speciation events occurred during the phase of rapid cooling before the Pleistocene, whereas phylogeographic subdivisions within species developed 1–2 mya after the onset of the Pleistocene ≈ 2.5 mya (38). Paleoenvironmental changes have therefore had a profound influence on the tempo and mode of evolution in moa, adding to the growing body of evidence that the evolutionary history of vertebrates has been shaped by many of the same large-scale environmental events (39–43).

We conclude that ancient DNA methods (45) provide powerful tools for inferring the number of lineages, as well as the tempo and mode of evolution of entire extinct groups of animals. We have shown that at least 14 lineages comprise the radiation of the New Zealand moa. Nine of these lineages correspond to currently recognized species, and the remaining five new lineages represent either deep phylogeographic splits within existing species or separate phylogenetic species. A synthesis of bone characters, DNA sequence variation, and possibly carbon dating will help resolve this issue. We note that the lineage diversity of moa approximates the species diversity in Darwin's finches (13

species) and Hawaiian honeycreepers (14 surviving and 8 extinct species). However, the moa radiation was accompanied by an order-of-magnitude change in body mass (20–250 kg) (4), consistent with the longer period of isolation and evolution of moa relative to Darwin's finches and Hawaiian honeycreepers. This reconstruction of the tempo and mode of evolution in an extinct family of birds by using ancient DNA helps to clarify the confusing morphological variation that has plagued the study of moa over the past 150 years.

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