

Mutations in the connection domain of HIV-1 reverse transcriptase increase 3'-azido-3'-deoxythymidine resistance

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We previously proposed that a balance between nucleotide excision and template RNA degradation plays an important role in nucleoside reverse transcriptase inhibitor (NRTI) resistance. To explore the predictions of this concept, we analyzed the role of patient-derived C-terminal domains of HIV-1 reverse transcriptase (RT) in NRTI resistance. We found that when the polymerase domain contained previously described thymidine analog resistance mutations, mutations in the connection domain increased resistance to 3'-azido-3'-deoxythymidine (AZT) from 11-fold to as much as 536-fold over wild-type RT. Mutational analysis showed that amino acid substitutions E312Q, G335C/D, N348I, A360I/V, V365I, and A376S were associated strongly with the observed increase in AZT resistance; several of these mutations also decreased RT template switching, suggesting that they alter the predicted balance between nucleotide excision and template RNA degradation. These results indicate that mutations in the C-terminal domain of RT significantly enhance clinical NRTI resistance and should be considered in genotypic and phenotypic drug resistance studies.

drug resistance | excision | recombination | RNase H | thymidine analog mutations

Nucleoside reverse transcriptase inhibitors (NRTIs) constitute a major class of clinically effective antiretroviral drugs (1). HIV-1 populations possess high genetic diversity, which allows them to acquire rapidly resistance to NRTIs and other inhibitors, limiting the effectiveness of antiviral drugs in controlling viral replication and combating AIDS (2). Resistance to the NRTIs 3'-azido-3'-deoxythymidine (AZT), 2,3-dideohydro-2,3-dideoxythymidine (d4T), dideoxyinosine 2',3'-dideoxyinosine, 2',3'-dideoxycytidine, abacavir, and tenofovir is associated with thymidine analog resistance mutations (TAMs) that are located in the polymerase (*pol*) domain of HIV-1 reverse transcriptase (RT) (1).

We recently observed that AZT treatment increases the frequency of RT template switching in single-cycle assays and that mutations in the RNase H (rh) domain of HIV-1 RT confer high-level resistance to AZT and d4T (3). RT template switching occurs through a proposed mechanism called dynamic copy choice (4), which postulates that a balance between the rates of DNA synthesis and RNA degradation is an important determinant of RT template switching: slowing DNA synthesis increases RT template switching, whereas reducing RNA degradation decreases RT template switching (4, 5). Based on these observations and predictions of the dynamic copy choice model, we proposed a previously undescribed mechanism for NRTI resistance, which states that a balance between degradation of HIV-1 RNA by rh and nucleotide excision from a terminated primer is an important determinant of NRTI resistance. Thus, a reduced rate of RNA degradation is proposed to increase the time period available for excision of incorporated NRTIs, leading to an increase in NRTI resistance.

To investigate whether this proposed mechanism contributes to NRTI resistance arising during antiviral therapy, we performed extensive genotypic and phenotypic analyses of RTs derived from seven NRTI-experienced and seven treatment-naïve patients. We found that the C-terminal domains of RT from treatment-experienced patients, but not treatment-naïve patients, substantially increased resistance to AZT as much as 536-fold over wild-type RT when the *pol* domain contained TAMs. We identified several amino acid substitutions in the connection (cn) domain that were strongly associated with the observed increase in AZT resistance. Additionally, the changes in AZT resistance correlated with a reduction in the RT template-switching frequency, reflecting a shift in the balance between polymerization and RNA degradation.

Results

C-Terminal Domains from NRTI Treatment-Experienced Patients Increase AZT Resistance. Susceptibility to antiretroviral drugs was determined in a single-replication-cycle drug susceptibility assay (6) by using an HIV-1 vector containing either the wild-type *pol* domain (pHL[WT]; WT control) or a *pol* domain with a cluster of four TAMs (D67N, K70R, T215Y, and K219Q) (pHL[TAMs]; TAMs control) (Fig. 1*a*). The AZT concentration needed to reduce luciferase activity to 50% (IC₅₀) was determined to be $0.05 \pm 0.006 \mu\text{M}$ for WT (1 \times) and $0.57 \pm 0.09 \mu\text{M}$ for the TAMs control (11 \times over WT).

The C-terminal RT domain (amino acids 289–560) from NRTI treatment-experienced and treatment-naïve patients was subcloned in the context of a wild-type *pol* domain (Fig. 1*a*, –TAMs), and the effects on AZT sensitivity were determined [Fig. 1*b* *Upper* and *supporting information* (SI) Table 1]. Several of the C-terminal domains from treatment-experienced patients (T-3, T-4, T-8, and T-10) contributed small (3.6- to 5.8-fold) but statistically significant increases in AZT resistance above WT ($P < 0.05$). As expected, C-terminal domains of treatment-naïve patients (N-14, N-15, N-16, N-18, N-19, N-22, and N-24) did not exhibit significantly increased AZT resistance above WT ($P > 0.3$).

We then subcloned C-terminal domains from treatment-experienced and treatment-naïve patients in the context of a *pol*

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Abbreviations: AZT, 3'-azido-3'-deoxythymidine; cn, connection domain; d4T, 2,3-dideohydro-2,3-dideoxythymidine; NRTI, nucleoside reverse transcriptase inhibitor; *pol*, polymerase; rh, RNase H; RT, reverse transcriptase; TAM, thymidine analog resistance mutation.

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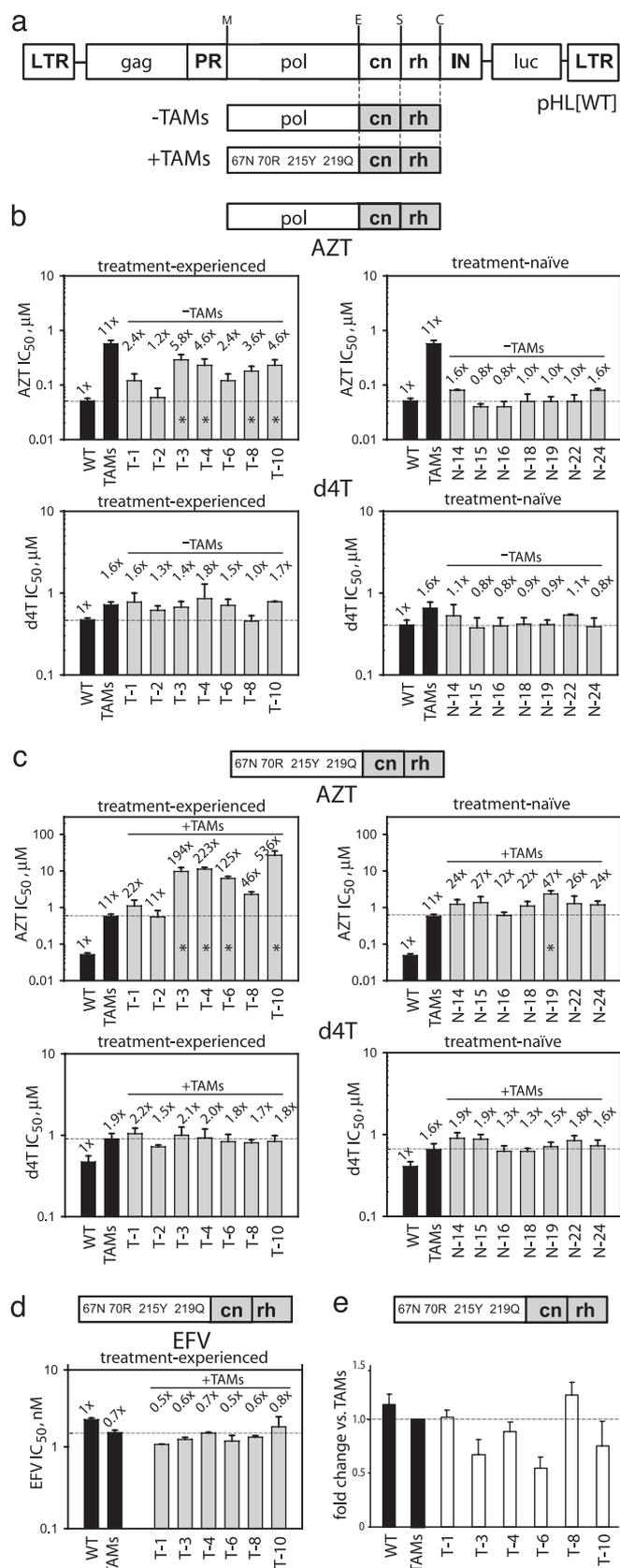


Fig. 1. Drug resistance and replicative capacity associated with the C-terminal RT domains derived from treatment-experienced and treatment-naïve patients. (a) Schematic representation of the HIV-1 vector pHL[WT] used for antiretroviral drug resistance testing. Patient RT subdomains (gray boxes) were subcloned either in the context of a wild-type *pol* domain (–TAMs) or a

domain containing TAMs (Fig. 1a, +TAMs) and determined the effects on AZT resistance (Fig. 1c Upper and SI Table 2). The C-terminal domains derived from treatment-experienced patients T-3, T-4, T-6, and T-10 exhibited 125- to 536-fold increases in AZT resistance relative to WT. These values were significantly higher than the 11-fold increase in AZT resistance observed with the TAMs control ($P < 0.0001$). The C-terminal domains from patients T-1, T-2, and T-8 did not exhibit significantly increased AZT resistance above the TAMs control ($P > 0.6$). Most of the C-terminal domains from treatment-naïve patients did not exhibit significant increases in AZT resistance (22- to 27-fold) above the TAMs control, except for patient N-19, which exhibited a significant 47-fold increase in AZT resistance ($P < 0.0001$). Examination of the original *pol* domain from this patient showed the presence of mutation T215C, suggesting that the patient’s virus likely was exposed at one time to NRTIs (7).

The C-terminal domains from both treatment-experienced and treatment-naïve patients did not show an increase in d4T resistance in the context of a wild-type (Fig. 1b Lower and SI Table 1) or TAMs-containing (Fig. 1c Lower and SI Table 2) *pol* domain ($P > 0.4$). Similarly, no significant changes in resistance to the nonnucleoside RT inhibitor efavirenz (EFV) were observed for patient-derived C-terminal domains in the context of a TAMs-containing *pol* domain relative to the TAMs control ($P > 0.1$) (Fig. 1d and SI Table 2).

AZT Resistance Associated with the C-Terminal Domain Is Not Correlated with Replicative Capacity. To determine whether increases in AZT resistance associated with the C-terminal domains from treatment-experienced patients were correlated with their effects on replicative capacity, we infected 293T cells with equivalent amounts of virus derived from pHL[TAMs] constructs containing the patient’s C-terminal RT domains in the context of a TAMs-containing *pol* domain, as determined by p24 capsid quantities in virion preparations. Replicative capacity was measured by relative luciferase light units. No significant differences in replicative capacity were observed ($P > 0.1$), and the replicative capacity was not correlated (by linear regression analysis, $r^2 = 0.2807$; data not shown) with the observed increases in AZT resistance (Fig. 1e).

The Increase in AZT Resistance Is Primarily Associated with the cn Domain. The C-terminal domains of treatment-experienced and treatment-naïve patients contained on average 16 and 12 aa substitutions, respectively, relative to reference strain pNL4-3 (8). To determine which amino acid substitutions were responsible for the increase in AZT resistance, we subcloned patient-derived C-terminal fragments containing either the rh domain (amino acids 424–560) or the cn domain (amino acids 289–423) into pHL[TAMs] and determined the effects on AZT sensitivity

TAMs (D67N, K70R, T215Y, and K219Q)-containing *pol* domain (+TAMs). LTR, long-terminal repeat; gag, gag gene; PR, protease gene; IN, integrase gene; luc, luciferase gene. The letters above the vector designate restriction sites: M, MscI; E, Eco47III; S, SpeI; C, ClaI. (b) AZT and d4T IC_{50} values for treatment-experienced and treatment-naïve patient C-terminal RT domains in the context of a wild-type *pol* domain. (c) AZT and d4T IC_{50} values for treatment-experienced and treatment-naïve patient C-terminal RT domains in the context of a TAMs-containing *pol* domain. (d) EFV IC_{50} values for treatment-experienced patient C-terminal RT domains in the context of a TAMs-containing *pol* domain. In b–d, the fold changes in IC_{50} values vs. wild-type virus (WT) are shown above each bar. (e) Replicative capacity of virus containing treatment-experienced patient C-terminal RT domains in the context of a TAMs-containing *pol* domain. Statistically significant differences (*) in IC_{50} values or replicative capacities were measured vs. WT (b, dashed reference line) or vs. TAMs control (c–e, dashed reference line). Error bars represent SEM from 3 to 10 replicates per experiment.

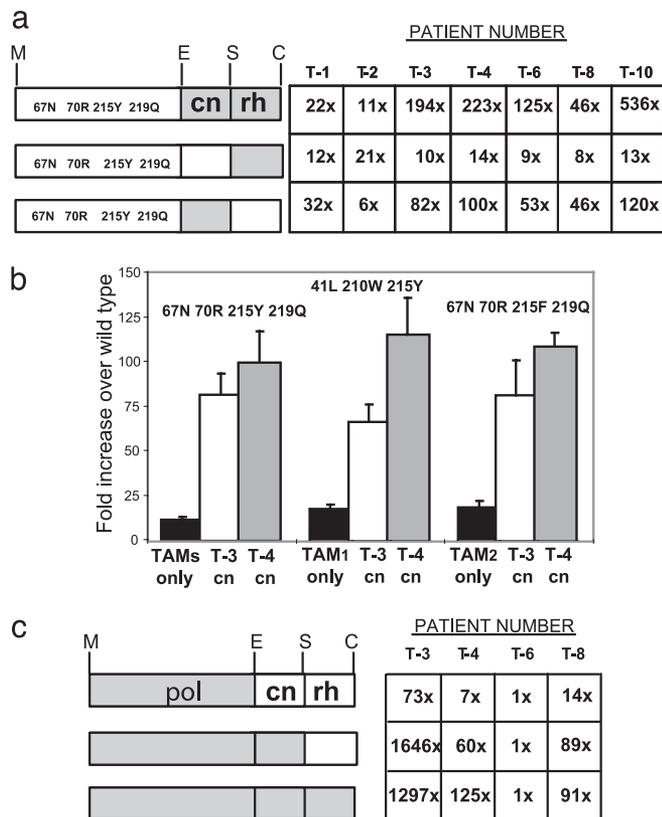


Fig. 2. The effects of the *pol*, *cn*, and *rh* domains on AZT resistance levels. (a) The resistance levels to AZT for viruses containing the patient-derived C-terminal domains, *rh* domains, or *cn* domains in conjunction with TAMs. The left side schematically shows RT divided into *pol*, *cn*, and *rh* domains. Gray boxes indicate patient-derived amino acid sequences; white boxes indicate wild-type amino acid sequences. The amino acids representing the cluster of TAMs are shown in the white box corresponding to *pol*. The numbers in the table represent the mean of fold differences in resistance to AZT over WT for the corresponding combinations of domains from three or more experiments. (b) AZT resistance level for the combination of patients' connection domains with different clusters of AZT resistance mutations in *pol*. AZT resistance is shown as the fold difference in AZT IC_{50} over WT (mean of three or more experiments \pm SEM). Black bars correspond to the combination of TAMs with WT *cn* domain; white and gray bars correspond to the combinations of TAMs with T-3 and T-4 *cn* domains, respectively. The first group of TAMs is D67N, K70R, T215Y, and K219Q; the second group (TAM₁) is M41L, L210W, and T215Y; the third group (TAM₂) is D67N, K70R, T215F, and K219Q. (c) AZT resistance levels for the patient's entire RT, patient's RT with the *rh* domain replaced by WT, and the combination of the patient's *pol* domain with WT C-terminal RT domain. All designations are the same as for a. The following mutations were present in the *pol* domain from each patient: T-3 = V60I, K64H, D67N, T69N, K70R, V106I, K122E, I135T, Y188L, T215F, D218E, K219Q, K233Q, and L228H; T-4 = M41L, K43E, E44A, D67N, L100I, K102R, K103N, V118I, K122E, D123N, T139K, D177N, M184V, G196E, L210W, R211T/A, T215Y, and K219N; T-6 = V35L, M41L, E44D, D67N, L74V, R83K, L100I, K103N, K122P, A158S, Q174K, D177E, M184V, E194D, and T215Y; and T-8 = M41L, L74I, K103N, V108I, V118I, L210W, and T215Y.

(Fig. 2a). We found that the *rh* domain fragments did not significantly increase AZT resistance compared with the 11-fold increase observed with the TAMs control. In contrast, the *cn* domain fragments from treatment-experienced patients T-3, T-4, T-6, T-8, and T-10 increased AZT resistance 46- to 120-fold relative to WT ($P < 0.05$). The increases in AZT resistance observed with the entire C-terminal domains were generally 2- to 4-fold higher than the increases observed with only the *cn* domains. Thus, the increases in AZT resistance associated with the C-terminal domains could be largely attributed to the fragments containing the *cn* domains.

Patient-Derived *cn* Domains Increase AZT Resistance in the Presence of Different TAMs Combinations. To determine whether increases in AZT resistance observed with the C-terminal domains obtained from treatment-experienced patients depended on the TAMs present in the *pol* domain, we tested AZT resistance in the presence of two additional TAMs combinations associated with the TAMs₁ and TAMs₂ pathways described in refs. 9–11 (Fig. 2b). *Cn* domains from WT HIV-1, T-3, or T-4 in combination with a *pol* domain containing D67N, K70R, T215Y, and K219Q resulted in 11-, 82-, and 100-fold increases in AZT resistance over WT (1-fold; $IC_{50} = 0.045 \pm 0.003 \mu M$), respectively. Similarly, the combination of WT HIV-1, T-3, and T-4 *cn* domains with TAMs₁ (M41L, L210W, and T215Y) or TAMs₂ (D67N, K70R, T215F, and K219Q) pathway cluster mutations resulted in 17-, 66-, and 115-fold or 18-, 81-, and 108-fold increases in AZT resistance over WT, respectively. Therefore, the addition of patient-derived *cn* domains to all analyzed TAMs combinations resulted in statistically significant increases in AZT IC_{50} values ($P < 0.005$).

The *cn* Domains from Treatment-Experienced Patients Increase AZT Resistance in the Context of Patient-Derived *pol* Domains. We determined whether the *cn* domains from treatment-experienced patients increased AZT resistance in the context of *pol* domains obtained from the same patients. We subcloned the *pol*, *pol* plus *cn*, or *pol* plus *cn* plus *rh* domains from patients T-3, T-4, T-6, and T-8 into pHL[WT] and determined AZT sensitivity (Fig. 2c). The *pol* domain of patient T-3 increased AZT resistance 73-fold vs. WT (1-fold); addition of the *cn* and the *cn* plus *rh* domains increased AZT resistance 1,646- and 1,297-fold, respectively. Similarly, the *pol* domain from patient T-4 or T-8 increased AZT resistance 7- or 14-fold vs. WT, respectively; the addition of the *cn* and the *cn* plus *rh* domains from patient T-4 or T-8 increased AZT resistance 60- and 125-fold or 89- and 91-fold, respectively. These results indicated that the *cn* domains from patients T-3, T-4, and T-8 increased AZT resistance in the context of the *pol* domain derived from the same patient.

Surprisingly, the *pol* domain obtained from patient T-6 did not increase AZT resistance, even with the inclusion of the *cn* or the *cn* plus *rh* domains. In an effort to understand why the *cn* domain from T-6 increased AZT resistance when the *pol* domain contained TAMs (D67N, K70R, T215Y, and K219Q), but not when the *pol* domain was obtained from the same patient, we analyzed the amino acid sequence of the *pol* domain from patient T-6 (Fig. 2). The *pol* domain of patient T-6 contained the M184V and L74V substitutions in addition to the TAMs, both of which have been shown to increase AZT sensitivity by decreasing the efficiency of nucleotide excision (12–15). This result suggested that the *cn* domain mutations were likely to have been selected during the treatment of patient T-6 and the AZT-sensitizing mutations M184V and L74V were selected later, perhaps in response to treatment with 2',3'-dideoxy-3'-thiacytidine (3TC), abacavir and/or dideoxyinosine 2',3'-dideoxyinosine (ddI) (SI Table 3). The observation that the *cn* domain from T-6 did not increase AZT resistance indicated that these *cn* domain mutations could not overcome the AZT-sensitizing effects of M184V plus L74V. Patient-derived T-3, T-4, and T-8 *pol* domains also showed the presence of TAMs (Fig. 2), with T-4 also containing M184V and T-8 also containing L74I. These mutations likely decreased the enhancing effects of the *cn* domain on AZT resistance (compared with patient T-3 lacking these mutations), but they were not as severe as the M184V plus L74V double mutation in T-6.

Identification of Mutations in the *cn* Domain That Are Associated with AZT Resistance. The *cn* domains from treatment-experienced patients T-3, T-4, T-6, T-8, and T-10 contained 6 to 10 aa substitutions relative to the reference NL4-3 sequence. To

(NIAID) at the Clinical Center of the National Institutes of Health (NIH), Bethesda, MD, and was approved by the Institutional Review Board of NIAID. Blood samples were collected, with written consent, from seven NRTI treatment-experienced and seven treatment-naïve patients. Whole-blood samples were processed and centrifuged within 4 h of collection to separate plasma, and aliquots were stored at -80°C . Treatment-experienced patients were labeled with a number preceded by "T." Treatment-naïve patients were labeled with a number preceded by "N." The patient treatment histories are summarized in [SI Table 3](#). NRTI inhibitors AZT and d4T were obtained from Sigma-Aldrich (St. Louis, MO). The nonnucleoside RT inhibitor EFV was obtained from the NIH AIDS Research & Reference Reagent Program.

Cells, Transfection, Virus Production, and Single-Replication Cycle Drug Susceptibility Assay. Human 293T cells (American Type Culture Collection, Manassas, VA) and the 293T-based cell line GN-HIV-GFP (5) were maintained at 37°C and 5% CO_2 in Dulbecco's Modified Eagle's Medium (CellGro, Herdon, VA) supplemented with 10% FCS (HyClone, Logan, UT), penicillin (50 units/ml; GIBCO, Carlsbad, CA) and streptomycin (50 $\mu\text{g}/\text{ml}$; GIBCO). Hygromycin (Calbiochem, San Diego, CA) selection was performed at a final concentration of 270 $\mu\text{g}/\text{ml}$. Virus production and drug susceptibility testing was carried out as described in refs. 3 and 6.

Replicative Capacity Assay. Virus containing the entire C-terminal RT domain from patient clones was harvested from 293T cells,

and normalized p24 capsid (HIV-1 p24 ELISA Kit; PerkinElmer, Shelton, CT) values were used to infect target 293T cells in a single-replication-cycle assay. Luciferase light units were measured 48 h after infection to determine replicative capacity.

Determination of RT Template Switching Frequency. RT template-switching frequency was determined by using the direct-repeat-deletion assay as described in ref. 5. For the mobilization of provirus from the GN-HIV-GFP cell line, cotransfection with vesicular stomatitis virus envelope protein and the corresponding pHL[WT]-based helper construct were used.

Statistical Analysis. Group comparisons were quantified by using a one-way ANOVA (SAS, JMP software). Dunnett's test was used to compare a control group with several other groups. Additional multiple comparisons were completed by using the Matlab software system (Mathworks, Natick, MA) with the Kruskal-Wallis nonparametric ANOVA. Bonferroni's adjustment was used where appropriate to control for type I errors. In some cases, Student's *t* test was used to describe differences (SIGMAPLOT 8.0 software). *P* values of <0.05 were regarded as significant.

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