

Toward a phylogenetic chronology of ancient Gaulish, Celtic, and Indo-European

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Indo-European is the largest and best-documented language family in the world, yet the reconstruction of the Indo-European tree, first proposed in 1863, has remained controversial. Complications may include ascertainment bias when choosing the linguistic data, and disregard for the wave model of 1872 when attempting to reconstruct the tree. Essentially analogous problems were solved in evolutionary genetics by DNA sequencing and phylogenetic network methods, respectively. We now adapt these tools to linguistics, and analyze Indo-European language data, focusing on Celtic and in particular on the ancient Celtic language of Gaul (modern France), by using bilingual Gaulish–Latin inscriptions. Our phylogenetic network reveals an early split of Celtic within Indo-European. Interestingly, the next branching event separates Gaulish (Continental Celtic) from the British (Insular Celtic) languages, with Insular Celtic subsequently splitting into Brythonic (Welsh, Breton) and Goidelic (Irish and Scottish Gaelic). Taken together, the network thus suggests that the Celtic language arrived in the British Isles as a single wave (and then differentiated locally), rather than in the traditional two-wave scenario (“P-Celtic” to Britain and “Q-Celtic” to Ireland). The phylogenetic network furthermore permits the estimation of time in analogy to genetics, and we obtain tentative dates for Indo-European at 8100 BC \pm 1,900 years, and for the arrival of Celtic in Britain at 3200 BC \pm 1,500 years. The phylogenetic method is easily executed by hand and promises to be an informative approach for many problems in historical linguistics.

The quest for reconstructing the prehistory of the Indo-European language family commenced in 1786 with the discovery by Sir William Jones of the remarkable similarities between Sanskrit, Greek, Latin, Gothic, Celtic, and Persian, indicating a “common source” for these languages (1). The next major step occurred in 1863, when Schleicher proposed an evolutionary tree of descent for the Indo-European language family (2), shortly after Charles Darwin had introduced the evolutionary tree concept to the descent of species. Further insight into language evolution was supplied by Schmidt in 1872 (3), who published the wave model according to which initially distinct languages increasingly acquire similarities through borrowing. More recently, we proposed a method for uniting these two models into a single network diagram of language evolution (4), which displays a tree if the analyzed languages have evolved in a strict branching process, but degenerates into a reticulate network if the data indicate borrowing and convergence. In Forster *et al.* (4), we tested this method on vocabulary lists of Alpine Romance languages, producing a network that revealed language subclusters in close agreement with the geographic locations of the Alpine valleys in which the languages are spoken. Moreover, the hypothetical ancestral language proposed by the method was directly validated by comparison with Latin. Our Alpine analysis reconstructed the past from synchronic data, in the sense that all of the used Romance languages either were current or went extinct only very recently. But the network method is equally applicable to reconstructing prehistoric evolutionary relationships from diachronic data, i.e., from languages of quite different time levels. We now exploit this feature to tackle afresh the reconstruction of the prehistoric tree (or network?) of Indo-European languages, whose ages of attestation span several



Fig. 1. Living and extinct languages referred to in this study.

millennia, from ancient Greek to, for example, modern English. We shall see that the network infers a tree, and therefore a hypothetical ancestral Indo-European language for which we provide a tentative phylogenetic age estimate.

Our particular focus will be the Celtic languages, including ancient Gaulish, formerly spoken in what is today France and northern Italy (Fig. 1). In western Europe, Gaulish is the only pre-Roman language with a significant bilingual corpus, and knowledge of its time depth and relationship to other languages would enable valuable comparisons with the time depth and landscape of western European archaeology and genetics. In AD 98, Tacitus recorded that between Britain and Gaul “the language differs but little” (*Agricola* 11). Nevertheless, classical sources excluded Britain from the “Celtic” designation bestowed on Gaul, compare Strabo in AD 18: “The men of Britain are taller than the Celti, and not so yellow-haired” (Strabo, *Geography* 4,5,2). Buchanan (5) and Lhuyl (6) proposed a relationship between Gaulish and the British languages, hence British languages are now conventionally termed “Insular Celtic,” as opposed to “Continental Celtic” formerly spoken on the European mainland. Insular Celtic is subdivided into Brythonic (e.g., Welsh and Breton) and Goidelic (e.g., Irish and Scots Gaelic). However, there are conflicting proposals on the branching order and on relative and absolute dates of language splits in the Celtic language tree, if it is a tree at all. The underlying problems largely consist in the limited number and often uncertain translations of surviving Continental Celtic records (7). Extensive

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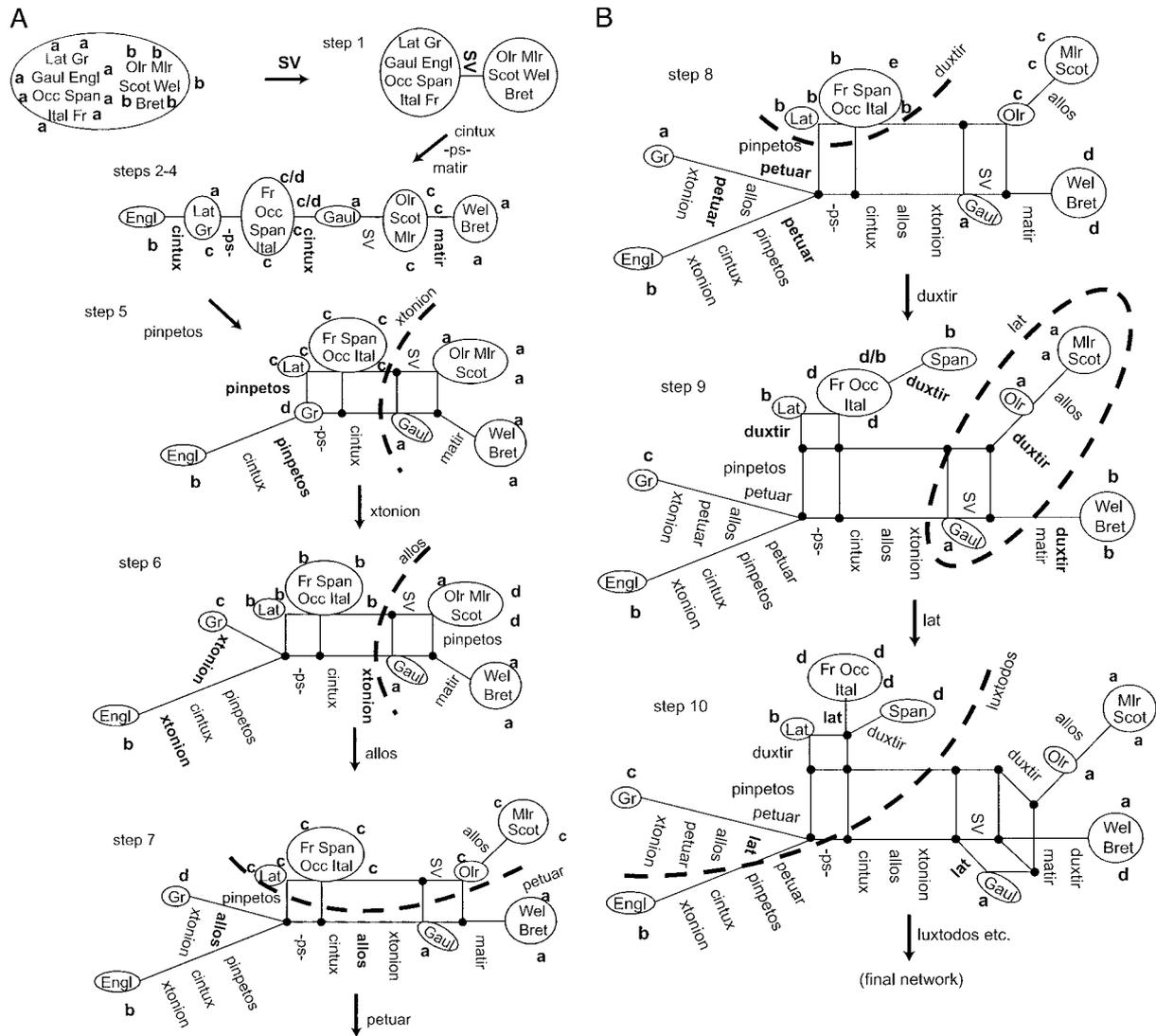


Fig. 2. Construction of the linguistic network. Thick broken lines indicate splits to be introduced in the following step. Character states a–z are taken from Table 1. Characters (e.g., SV, cintux) are entered perpendicularly to their links. Parallel links in a reticulation (here, a square or cube) signify the same character. The end result is shown in Fig. 3.

earliest split separates Gaulish (Continental Celtic) from the Insular Celtic languages, explaining Lambert’s (7) paradoxical observation that “other [Gaulish] words are archaisms which can only be explained by calling upon the other Indo-European languages [. . .]: in this case, the other Celtic languages are of no help at all.” The network method subsequently splits Insular Celtic into Brythonic (Welsh and Breton) and Goidelic (Irish and Scots Gaelic), in agreement with the traditional P/Q subclassification of Insular Celtic. The Celtic branching pattern evident in the network possibly reflects the prehistoric migration route of the ancient Celtic language: the split between Continental and Insular Celtic would then correspond to the arrival in the British Isles, and the split between Goidelic and Brythonic would correspond to their subsequent isolation in Ireland and Britain, respectively. Furthermore, the recent (circa 6th century) migrations of Irish to Scotland and of British to France are reflected in the short Scots Gaelic and Breton tips of the Celtic branches in Fig. 3.

Delving deeper into time, what can the network tell us about the often suspected existence of an ancestral Italo-Celtic branch (14, 15) within Indo-European? The network displays a multi-

furcation of Indo-European branches rather than a common Italo-Celtic branch. But it would be mistaken to conclude that the network disproves the hypothetical Italo-Celtic relationship. Because our item list is short, the network would be unlikely to distinguish brief periods of common ancestry. In other words, either Italo-Celtic never existed as a language, or it did exist but split into Italic and Celtic at a relatively early date.

A discussion of the Indo-European network would be incomplete without reference to its reticulations, expressing the “wave” aspect of nontree-like language evolution. For example, Celtic is unambiguously defined by “cintux,” “allos,” and “xtonion,” while the “ps” loss (Graeco-Latin “parapsidi” becomes “paraxidi” in Gaulish inscriptions) is also shared by the modern Romance languages, which are otherwise quite distant. This character conflict is represented by the perpendicular reticulation in Fig. 3 and is thought (7) to indicate the survival in modern Romance languages of the Celtic tendency to eliminate “ps;” compare Latin “capsa” vs. Italian “cassa;” French “caisse;” and Spanish “caja.”

Phylogenetic time estimates have not previously been attempted in linguistics to our knowledge, but are statistically

features. Third, our method combines individual items and looks at their collective inheritance (akin to DNA sequence inheritance) rather than on their individual inheritance (akin to the chemical change of a single DNA nucleotide, or the etymological change of a word). It has long been recognized that any single item (e.g., the centum/satem criterion for subdividing Indo-European, or the P/Q criterion for classifying Celtic within Indo-European) can be unsatisfactory for language classification, and accordingly, historical linguists now secure more extensive item lists. These lists are not trivial to evaluate, and the phylogenetic approach we present here can assist in exploiting such combined information to the fullest extent.

Outlook

The present analysis excludes a number of interesting ancient Indo-European languages such as Hittite, Tocharian, etc. These omissions are an inevitable side effect of including the fragmentary corpus of ancient Gaulish: other ancient and fragmentary corpora would have little or no overlap with the Gaulish items, thus preventing any comprehensive phylogenetic analysis. To

circumnavigate this difficulty and to arrive at a complete tree of ancient and modern Indo-European languages, future analyses may focus on the phylogenetic placement of a specific fragmentary language, as we have performed here for Gaulish, and may then piece together the resulting partial phylogenetic networks into a unified Indo-European language network. The unified network would yield improved age estimates for Indo-European, which in turn would assist in confirming or weakening the case for an early (possibly Neolithic) arrival and fragmentation of Proto-Indo-European in Europe (17) as suggested in this study.

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