

# The relationship between evolutionary and physiological variation in hemoglobin

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**Physiological and evolutionary adaptations operate at very different time scales. Nevertheless, there are reasons to believe there should be a strong relationship between the two, as together they modify the phenotype. Physiological adaptations change phenotype by altering certain microscopic parameters; evolutionary adaptation can either alter genetically these same parameters or others to achieve distinct or similar ends. Although qualitative discussions of this relationship abound, there has been very little quantitative analysis. Here, we use the hemoglobin molecule as a model system to quantify the relationship between physiological and evolutionary adaptations. We compare measurements of oxygen saturation curves of 25 mammals with those of human hemoglobin under a wide range of physiological conditions. We fit the data sets to the Monod–Wyman–Changeux model to extract microscopic parameters. Our analysis demonstrates that physiological and evolutionary change act on different parameters. The main parameter that changes in the physiology of hemoglobin is relatively constant in evolution, whereas the main parameter that changes in the evolution of hemoglobin is relatively constant in physiology. This orthogonality suggests continued selection for physiological adaptability and hints at a role for this adaptability in evolutionary change.**

Baldwin effect | evolvability | adaptability | allosteric | Monod–Wyman–Changeux

The phenotype is shaped both by changes in the genotype and interaction of the organism with the environment. Physiological mechanisms responsive to the environment may enable rapid and reversible variation in phenotype without a change in the genotype. On longer time scales (generations), mutations can alter the genotype and thus permanently alter the phenotype. Despite the mechanistic differences of how physiological variation and evolutionary (genetic) variation arise, both may act in similar ways and on similar molecular targets to change the phenotype. For example, response to the environment can change the activity of an enzyme by a posttranslational modification of an amino acid, such as phosphorylation of serine. Alternatively, mutation can change the activity in a similar way by changing that same amino acid or other amino acids around the active site. This parallel relationship of physiology and genetics to the phenotype has been well appreciated by many evolutionary biologists, first in pregenetic terms in the writings of Baldwin (1), Morgan (2), and Osborn (3), and later in more modern terms by Schmalhausen (4), Waddington (5), Simpson (6), West-Eberhard (7, 8), and Lindquist and coworkers (9, 10). Furthermore, those writers have argued that physiological adaptation can facilitate evolutionary adaptation. By physiological adaptations we mean physiological responses to the environment, also referred to as acclimation. In what is often referred to as the Baldwin effect (11), a stable change in environmental conditions will result in a physiological adaptation that will enable a significant proportion of the population to survive even at reduced fitness. In a subsequent process of genetic assimilation, the physiological adaptation will be “replaced” by an evolutionarily encoded change to the genotype that will confer

the adaptation and alleviate the fitness cost. This effect can be implemented in two contrasting ways. In the simplest case, the genetic assimilation can copy the physiological change by replacing an environmental perturbation with an equivalent genetic change. Alternatively, the stabilization can occur by altering some other parameter. Our aim in this study is to enable a quantitative evaluation of the relationship between physiology and evolution by putting this discussion into a very specific biological context that is amenable to quantitative analysis.

## Hemoglobin as a Model System

We chose hemoglobin as a model system because it has a highly specific physiological role, as the means of transport of oxygen from the lungs to the tissues. Although hemoglobin is a component of a complex process of respiration, its function can be defined and measured quite independently of the other parts of the cardiovascular and pulmonary systems. The hemoglobin molecule itself should be under strong selection, because only a few other features of the vascular system could possibly be modified to compensate for its function. Furthermore, there are extensive biophysical studies of hemoglobin. It has been investigated as a model of protein structure (12, 13) and as a model for allosteric cooperativity (14, 15). For these reasons, hemoglobin combines a set of almost unique attributes useful for our study: extensively investigated in different organisms and under different conditions, intimately related to an organism’s fitness, and representing a relatively independent component of that fitness. The main property of physiological interest is the oxygen saturation curve of hemoglobin, also known as the oxygen equilibrium curve (OEC). This curve reflects the proportion of heme groups that bind oxygen at a given partial pressure of oxygen. Other important phenotypic features such as the solubility of hemoglobin or the ability to bind CO<sub>2</sub> are of interest but will be ignored in this study. Therefore, the saturation curve can be thought of as the phenotype under investigation.

## Characterization of Hemoglobin Physiology in Terms of $p_{50}$ and $n$

The hemoglobin saturation curve (Fig. 1*a*) is usually characterized empirically in terms of the partial pressure of oxygen at which hemoglobin is half saturated, usually denoted as  $p_{50}$ , and the cooperativity of the saturation curve at the half saturation point, denoted as  $n$ . It has long been appreciated that the hemoglobin saturation curve is sigmoidal, reflecting cooperat-

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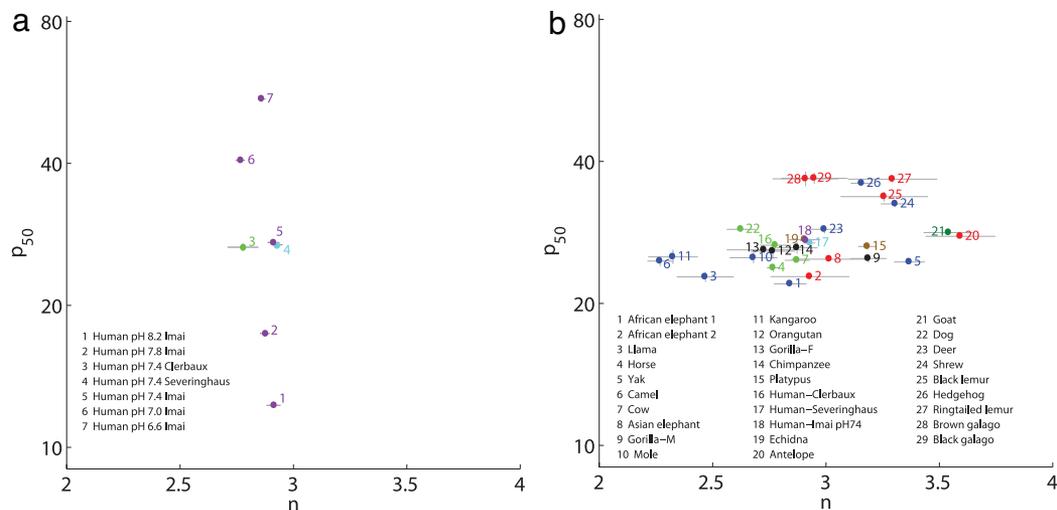
Abbreviations: MWC, Monod–Wyman–Changeux; OEC, oxygen equilibrium curve; DPG, diphosphoglycerate.

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**Fig. 2.** Phenotypic parameters for human hemoglobin under varying physiological conditions (a) and for different mammals (b). The parameters are based on fits to data measured by various groups. Different colors denote different sources of information (see details in *SI Tables 1 and 2*). Note that  $p_{50}$  in units of mmHg is given in log scale.

changes in humans (25), although *SI Figs. 7 and 8* also include data based on purified hemoglobin for horse, rabbit, and bovine (26) and for changes in DPG and  $\text{CO}_2$  in humans (27). The physiological effector pH results in a pronounced change in  $p_{50}$  and a relatively small change in  $n$  (Fig. 2a; small and large are meant in comparison to the changes in different organisms).  $p_{50}$  changes range from 15 to 60 mmHg ( $\sigma_{\log p_{50}} = 0.22$ ), whereas  $n$  changes range from 2.75 to 2.95 ( $\sigma_n = 0.07$ ).

In contrast, when we analyze the change in these parameters for different organisms under similar physiological conditions, we find that the situation reverses and  $n$  changes appreciably whereas  $p_{50}$  changes only to a small extent (Fig. 2b).  $p_{50}$  changes range from 25 to 40 mmHg ( $\sigma_{\log p_{50}} = 0.07$ ), whereas  $n$  changes range from 2.2 to 3.6 ( $\sigma_n = 0.32$ ). The opposite trend in which parameters vary in physiology versus evolution is shown in Fig. 4c. Several caveats in extracting values of  $n$  and  $p_{50}$  are discussed below and in *SI Text*.

The comparison in terms of  $n$  and  $p_{50}$  of physiological change mediated by pH and DPG in humans to evolutionary change across different mammals is striking. It raises the question of whether in other mammals' physiological effectors will act the same way. Data collected by Imai (26) on the impact of various effectors on four organisms (human, horse, rabbit, and bovine; *SI Figs. 7 and 8*) show that physiological adaptation acts predominantly through  $p_{50}$ , with little change in  $n$ . Winslow *et al.* (28) varied the effectors pH, DPG, and  $\text{pCO}_2$  through their physiological ranges. Unfortunately, the data are available only through the parameters fitted to the Adair model (29), a phenomenological model of hemoglobin that assumes a different affinity for each oxygen binding event. We extracted the phenotypic parameters ( $p_{50}$  and  $n$ ) from the fitted parameters (see *Methods*) (*SI Figs. 9 and 10*). Again, a change in pH affects mostly  $p_{50}$ , whereas a change in DPG or  $\text{CO}_2$  also affects  $n$  to some extent. In general, although the contrast in this case is less striking, the difference with respect to the evolutionary scenario is still quite clear. Yet we do not know how to relate  $p_{50}$  and  $n$  to structural or thermodynamic features of the hemoglobin molecule. We therefore proceeded to analyze the process of adaptation from the viewpoint of a microscopic model using the MWC model.

The MWC model is traditionally analyzed in terms of the parameters  $L_0$ ,  $K_R$ , and  $K_T$ . This set of parameters has the advantage of having concrete, easy-to-visualize "mechanical"

interpretation in terms of the protein, and they are very familiar to those who have studied hemoglobin. Yet these parameters have two disadvantages: they show very unequal sensitivity (Fig. 3a) to experimental variation in measurements and most importantly they do not map independently to the two principal physiological parameters,  $p_{50}$  and  $n$ . The model can be reparameterized by other combinations of these parameters, as discussed in *SI Text*. If instead of  $L_0$  and  $K_R$ , we use the combination  $L_0 \cdot K_R^4$  ( $L_{\text{TOR}4}$ ) and  $L_4$ , the data will be very constrained by  $L_4$  and  $L_{\text{TOR}4}$ , while the other parameter,  $K_R$  can have a wide range of values. A further advantage of the parameter set  $L_4$ ,  $L_{\text{TOR}4}$ ,  $K_R$ , is that these parameters can independently be related to  $p_{50}$  and  $n$ . As shown in *SI Text*, we find that  $L_{\text{TOR}4}$  can be robustly estimated and is strongly correlated (Fig. 3c) with the phenotypic parameter  $p_{50}$ . In fact, our analysis (see *SI Text*) demonstrates that to first order

$$p_{50} \cong g(L_{\text{TOR}4}) = \frac{L_{\text{TOR}4}^{1/4}}{L_4 \cdot K_T} = L_0 \cdot K_R.$$

Microscopically,  $L_{\text{TOR}4}$  is the equilibrium constant between the  $T_0$  (fully deoxygenated tense conformation) and  $R_4$  (fully oxygenated relaxed conformation) states under standard conditions (Fig. 1c).<sup>†</sup> For a more elaborate discussion of these issues see *SI Text*.

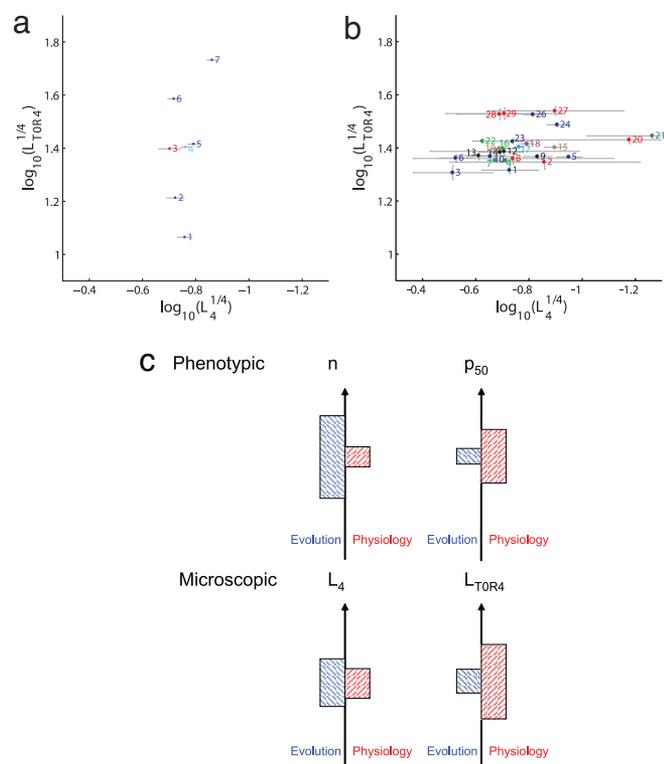
$L_4$  correlates with the cooperativity,  $n$  (Fig. 3b). The connection between  $n$  and  $L_4$  as well as  $p_{50}$  and  $L_{\text{TOR}4}$  is a basic property of the MWC model in the parameters domain occupied by hemoglobin. This can be understood from an analysis of the energy-level diagram and an analytic derivation (see *SI Text* and *SI Figs. 11 and 12*) where we demonstrate that

$$n \cong \frac{(4 + l_4 \cdot (1 + l_4)^{3/4} \cdot (1 - l_4)^{1/4}) \cdot (1 + l_4)^3 \cdot (1 - l_4)}{(1 + l_4 \cdot (1 + l_4)^{3/4} \cdot (1 - l_4)^{1/4})^4} + l_4 \cdot (1 + l_4)^{3/4} \cdot (1 - l_4)^{1/4} = f(l_4),$$

where for simplicity of notation we use  $l_4 = L_4^{1/4}$ . Although the MWC parameter  $K_T$  can be robustly extracted from the data,  $K_T$  itself does not independently dictate a phenotypic parameter.

<sup>†</sup>It was previously appreciated that  $L_4 \cdot K_T^4$  is an approximation to a parameter called  $P_m$  and referred to as the median oxygen pressure (21).

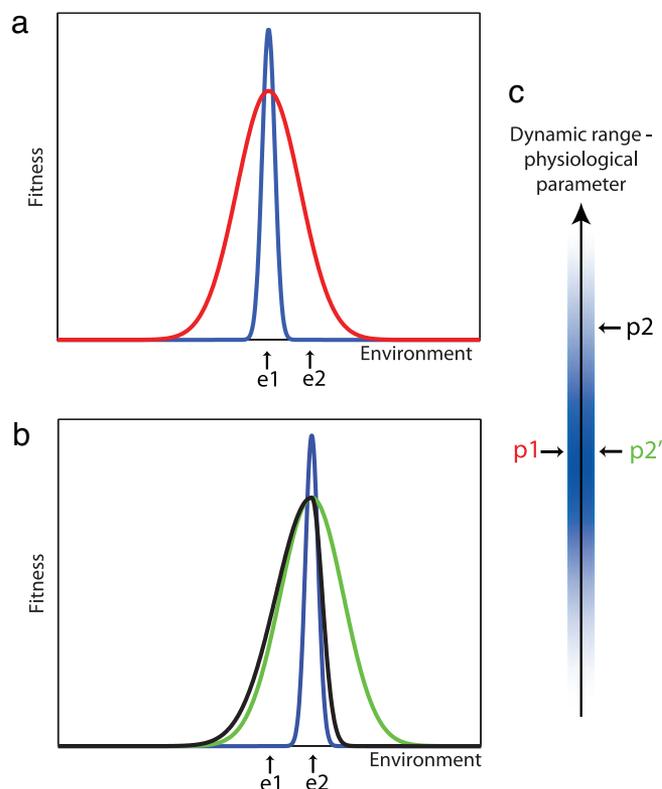




**Fig. 4.** Variation in microscopic parameters in evolution and physiology. (a and b) Microscopic parameters for human hemoglobin under varying physiological conditions (a) and for different mammals (b). Parameters are extracted from fits to data measured by various groups (different colors denote different sources of information, see details in *SI Tables 1 and 2*). (c) The cooperativity  $n$  changes more through evolutionary adaptations than through physiological adaptations. In contrast,  $p_{50}$  changes more in physiological adaptations than in evolutionary adaptations. The trend observed for phenotypic parameters is also evident in microscopic parameters.  $L_4$  changes more in evolutionary adaptations than by physiological adaptations. In contrast,  $L_{TOR4}$  changes more in physiological adaptations than by evolutionary adaptations. Bar heights are proportional to the SD in the parameters' evolutionary and physiological ranges.

DPG levels become substoichiometric, there will be a loss in cooperativity (31). How well this was appreciated and controlled in each case is hard to judge. Some of these physiological and methodological variations will only change the physiological working point and not affect the conclusions; in other cases, it may be expected to flatten the dissociation curves. Even with these caveats in the available data, we feel that there is strong justification in trying to connect physiology and evolution in a single biochemical model.

**Proposed Explanations for the Different Parameters Used for Adaptations: A Possible Orthogonality Principle or Selection for Adaptability.** The observation that the microscopic route taken by physiological adaptations is not fixed by evolutionary adaptation but rather that a different “knob” is used for evolutionary adaptations can have several explanations. One explanation is that the  $L_{TOR4}$  knob used by physiological adaptation is not fixed to a new value because the alternative adaptation that changes the value of  $n$  ( $L_4$ ) has the advantage of not compromising the future ability to physiologically modulate  $p_{50}$ , thus conserving the dynamic range for physiologic adaptability (Fig. 5). Another possible factor is that  $L_4$  is more amenable to genetic changes than  $L_{TOR4}$  and therefore has a higher chance of changing by random mutations. Once a mutation is found that gives the correct effect on the phenotype it will be fixed. The analysis of



**Fig. 5.** Schematic of the Baldwin effect and its possible implementations. (a) The fitness of an organism, when adapted to environment  $e_1$ , is plotted as a function of the prevailing environmental conditions. Physiological adaptation (red), also referred to as somatic adaptation, increases the inherent (blue) range of fitness of the organism. On a change from condition  $e_1$  to  $e_2$ , instead of extinguishing all organisms (assuming mutations do not yet exist), physiological adaptation allows survival. (b) After evolutionary adaptation to environment  $e_2$  the maximal fitness is centered at the prevailing condition. If evolutionary adaptation occurred by genetic assimilation of the microscopic physiological response (black), the range of further physiological adaptations would be compromised. In contrast, if the evolutionary adaptation was achieved via an independent parameter, survival by physiological adaptation would still be possible under further changing conditions (green). (c) The physiological adaptation is assumed to have a limited biochemical dynamic range. Evolutionary adaptation by changing the original value of  $p_1$  to  $p_2$  (black line) compromises the ability to further increase this parameter in the future to the same extent as before. An adaptation using an orthogonal axis ( $p_2'$ ; green line) preserves the full dynamic range for physiological adaptations.

this possibility would benefit from a detailed mapping between the genotypic sequence space and the space of model parameters, something that might be possible by examining recombinant mutant hemoglobins. A third explanation is that there is some optimality to the values of  $n$  and  $p_{50}$  adapted through evolution. It should be pointed out that the saturation at some other value of the partial pressure of oxygen, e.g.,  $p_{20}$ , might be optimized for oxygen unloading, and this value would be tunable both by changes in  $n$  and  $p_{50}$ , or specifically, changes in  $L_{TOR4}$  and  $L_4$ . The exact optimization would be hard to predict and will presumably include other fitness advantages, such as the transport of oxygen to the fetus (32–34). We still lack a full understanding of the importance for the phenotype of the evolutionary changes in the cooperativity observed in different mammals.

**If the Baldwin Effect Occurs in Hemoglobin, It Is Not Manifested Using Identical Physiological and Evolutionary Parameters.** The simplest implementation of the Baldwin effect is that physiological

