

# Difficulties in tracking the long-term global trend in tropical forest area

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**The long-term trend in tropical forest area receives less scrutiny than the tropical deforestation rate. We show that constructing a reliable trend is difficult and evidence for decline is unclear, within the limits of errors involved in making global estimates. A time series for all tropical forest area, using data from Forest Resources Assessments (FRAs) of the United Nations Food and Agriculture Organization, is dominated by three successively corrected declining trends. Inconsistencies between these trends raise questions about their reliability, especially because differences seem to result as much from errors as from changes in statistical design and use of new data. A second time series for tropical moist forest area shows no apparent decline. The latter may be masked by the errors involved, but a “forest return” effect may also be operating, in which forest regeneration in some areas offsets deforestation (but not biodiversity loss) elsewhere. A better monitoring program is needed to give a more reliable trend. Scientists who use FRA data should check how the accuracy of their findings depends on errors in the data.**

global environmental monitoring | sustainability indicators | tropical deforestation

Widespread clearance of tropical forest has aroused concern for over forty years (1), with stress now being placed on the consequential reduction in biological diversity (biodiversity) (2), and emissions of carbon dioxide and other greenhouse gases that contribute to global climate change (3). Yet while the planet has been monitored by remote-sensing satellites since 1972, estimates of the annual deforestation rate are still inaccurate (4), and the appearance of each new estimate generates debate (5, 6). In contrast, the related long-term trend in tropical forest area has been neglected. It might become a major environmental indicator of global sustainability if it could be quantified with reasonable accuracy and frequency (7). This article examines available area data and finds that the evidence for decline is not as clear as commonly assumed, even since the 1970s, by which time as much as 300 million hectares (ha) of tropical forest may have already been cleared since 1860 alone (8).

Our main focus is on the trend in the area of tropical “Natural Forest,” which includes all naturally occurring woody vegetation with a tree canopy cover of  $\geq 10\%$  but excludes forest (timber) plantations, shrubland, and other wooded land. Values for this statistic have been obtained from, or calculated by using, statistics in the Forest Resources Assessments (FRAs) for 1980, 1990, 2000, and 2005 of the United Nations Food and Agriculture Organization (FAO) (9–13). [Our calculations are described in [supporting information \(SI\) Text](#)]. This is the first article to construct a time series for this statistic by combining estimates from every FRA. FAO has, until recently, virtually monopolized global forest monitoring: its Department of Forestry reported on world forest resources every 5 years from 1948 until 1963, when it suspended publication because of poor tropical forest data (14); in 1981 it resumed activities by launching its series of FRAs, focusing initially on the tropics.

Another reason to analyze FRA statistics is that they are an important source of data for sustainability scientists (15): in land

change studies (16) that use cross-sectional models to make international generalizations about the factors causing and controlling deforestation (17–19); and in global change research that monitors or forecasts trends in carbon stocks and emissions (3, 20) and biodiversity (21). Such studies rarely examine in depth the quality of FRA data on which their findings are based, so this article fills a gap here too.

FRA estimates of tropical forest areas and deforestation rates have been quoted in thousands of documents. We scanned  $>2,000$  scientific publications that cite FRAs and found 159 in which FRA data make a substantial contribution (Table 1). Three-quarters of them are descriptive surveys or focus on land change modeling or climate change. However, climate change and biodiversity researchers have increasingly used estimates of forest areas and deforestation rates in the humid tropics based on independent satellite remote sensing surveys. Reports on the latter account for 14% of the total, because they routinely compare their findings with those of FRAs. Some of the papers that obtained FRA data from other compilations, e.g., the *World Resources* reports (22), may be missing from our list.

Values for the area of “Forests and Woodland”—which also includes plantations and other wooded land—were published annually until 1995 by another FAO department in its *Production Yearbook* series (23). This alternative statistic was used in 20% of the “first wave” of land change modeling exercises (24), including the very first (25). But it was less authoritative than FRA statistics, because its value for each country was only that reported—not measured—by governments each year, in contrast to the more discriminating FRA compilations. Despite cautionary statements (26) it is still used for modeling (27), revealing demand for an annual time series. Another justification is that it has fewer deficiencies than FRAs, e.g., population growth was used to estimate deforestation in FRAs 1980 and 1990, which confuses tests for statistical relationships between the two variables (28).

## Results

Our time series for Natural Forest area consists of two estimates for 1980 and three trends (Fig. 1). The latter result from FAO revising estimates in previous FRAs to be consistent with the latest ones. According to FRA 1980 Natural Forest covered 1,970 million ha in 76 tropical countries in 1980 (9). This was corrected to 1,935 million ha in a 1982 summary (10). In FRA 1990 it was cut again, and forest area was said to decline from 1,910 to 1,756 million ha between 1980 and 1990 for 90 countries (11). According to FRA 2000, for the same countries forest area fell from 1,926 to 1,799 million ha between 1990 and 2000 (12). In FRA 2005 the 1990 estimate was raised again, and forest area

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**Table 2. Natural Forest area (10<sup>6</sup> ha) 1980–2005 in 90 tropical countries\* from data in Forest Resources Assessments (FRAs) 1980, 1980 (1982 revision), 1990, 2000, and 2005**

Location	FRA1980	"FRA 1982"	FRA 1990		FRA 2000		FRA 2005		
	1980	1980	1980	1990	1990	2000	1990	2000	2005
Africa	703	703	569	528	684	629	672	628	607
Asia-Pacific	337	337	350	311	307	265	342	312	296
Latin America <sup>†</sup>	931	896	992	918	936	905	934	889	865
Totals <sup>‡</sup>	1,970	1,935	1,910	1,756	1,926	1,799	1,949	1,829	1,768
No. of countries	76	76	90	90	90	90	90	90	90

Sources: refs. 9–13.

\*Except for FRA 1980 and "FRA 1982" (a summary of FRA 1980 containing revised estimates). The 76 and 90 countries are listed in [SI Table 4](#). For continuity, East Timor is aggregated with Indonesia, and Eritrea is aggregated with Ethiopia throughout 1980–2005

<sup>†</sup>Includes the Caribbean, Central America, and South America.

<sup>‡</sup>Totals may not match subtotals due to rounding.

The size of FRA 2000's correction to the FRA 1990 estimate for 1990 shows how great such errors may be.

**Variation in the Natural Forest Time Series.** There are three main sources of errors. First, those incurred in undertaking national forest surveys. For instance, estimates were based on subjective expert assessments for 33% of our 90 countries in FRAs 2000 and 2005 and on maps derived from more accurate remote sensing data for only 59% of countries in FRA 2000 and 51% in FRA 2005 (12–13).

Second, projection errors. To estimate total tropical forest area, FAO must project the result of the last national forest survey for each country from the year it was carried out to a common reporting year for all countries, e.g., 2000 for FRA 2000. This usually involves linear projection and, preferably, extrapolating the line joining the areas found in the last two surveys (12). The longer the time since the last survey, however, the higher the errors may be. Projection "gaps" often extend to 10–20 years. In FRA 1990, estimates of forest areas for 1980 and 1990 were projected from surveys before 1980 for 29 of the 90 countries, and in FRA 2000 estimates for 1990 and 2000 were projected from outside the decade for 15 countries. This fell to 13 by FRA 2005, but for 4 countries estimates still relied on surveys from 1970 or earlier.

Errors may also occur when revising estimates in previous FRAs to form the three trends in Fig. 1. For some countries, the revisions result from FAO "projecting backwards" from the most recent survey finding on which the latest estimates are based. For the majority, however, there is interpolation between the areas found in that survey and the one before. Yet again, in both cases, the longer the gap since the last estimate, the greater the scope for errors. Confusion may also arise between estimate appreciation and net reforestation, which is becoming more common (see below). For example, in FRA 1990, the estimate of 45.7 million ha for Venezuela in 1990 was obtained by projecting forward 13 years from the 55.8 million ha of Natural Forest found in a 1977 survey. For FRA 2000, FAO had the luxury of a survey that found 49.9 million ha in 1995. So it projected forward from this to give 48.6 million ha for 2000, and by interpolation produced a new estimate of 51.3 million ha for 1990. This assumed, of course, that deforestation continued since 1977, but given the long gap this is not certain. In principle, forest area may have dropped as sharply as FRA 1990 reported, but then recovered to 49.9 million ha in 1995. Because a long gap obscures the current trajectory this can lead to errors in forward projection too. So if, hypothetically, forest area did indeed expand in Venezuela in the 1990s then the actual area in 2000 would be >49.9 million ha, not lower, as reported in FRA 2000.

Changes in projection methods give more scope for variation. FRAs 1980 and 2000 relied on linear projection and expert

assessment. However, FRA 1990 switched to nonlinear models in which forest area declined with rising population density, and this may be why many African estimates, especially, were unduly depressed. In FRA 2005, FAO asked governments to make their own projections within a common framework (33), selecting the projection method they thought most appropriate (although most opted for the linear method). They could also choose the survey on which to base their estimates, and so could use data that FAO rejected in 2000 on quality grounds or (for 27% of countries) new data. They could even interpret differently the same data FAO used for FRA 2000: the Venezuelan government gave estimates of 52.0, 49.2, and 47.4 million ha for 1990, 2000, and 2005, respectively, by interpreting the 1995 survey mentioned above to reveal the presence of 50.6 million ha of forest in 1995, not 49.9 million ha (34). Such discretion may explain the contradictory adjustments to 1990 estimates for 60% of countries in FRAs 2000 and 2005. FAO admits that it often caused figures in the two FRAs to differ (35). So the FRA 2005 trend is probably best seen as an alternative to that in FRA 2000, not a consistent refinement of it and hence the third in a series of increasingly accurate trends.

Third, errors arise from the increasing aggregation of FRA statistics. Although FRA 1980 listed national areas of Closed Forest, which has a closed canopy, this was amalgamated with Open Forest (e.g., savanna woodland) in FRA 1990 as Natural Forest, which was combined with Forest Plantations in FRAs 2000 and 2005 as "Total Forest." (This is why for some years in these two FRAs we had to calculate Natural Forest area by subtracting Forest Plantations area from Total Forest area.) Open forest accounts for ≈40% of Natural Forest, but area estimates are far less accurate than for closed forest, because its boundaries are more diffuse and surveys infrequent (36). The locations and boundaries of forest plantations are better defined, but FAO routinely deducts 30% from official reports of their areas to correct for errors because of tree mortality (12). Most of these errors are removed here by focusing on Natural Forest, but those related to open forest remain. FAO has always placed great stress on identifying its data sources and assessing their reliability, but increasing aggregation of statistics makes the latter task more difficult.

**Variation in a Tropical Moist Forest Time Series.** To remove open forest errors, we constructed another time series for closed forest in the humid tropics only. Tropical moist forest accounts for ≈90% of tropical closed forest and comprises two main types: tropical rain forest and tropical moist deciduous forest (37). Our series comprises three sets of estimates. First, five expert assessments from before 1990, including one of our own based on FRA 1980 data (14, 38–41). Another, using Closed Broadleaved Forest data (FAOCB) from FRA 1990, was included too. Second, two estimates derived from areas of ecosystem types in

**Table 3. Tropical moist forest area (10<sup>6</sup> ha) 1973–2000, with alternative totals for 63 countries (65 for Sommer)**

Source	Date of estimate	No. of countries	Total	Total (63 countries)
Persson	1973	61	979	980
Sommer	1975	65	935	935
Myers	1980	51	973	982
Grainger	1980	63	1,081	1,081
Myers*	1989	34	778	801
FAO <sup>†</sup>	1990	53	1,136	1,180
FAOE <sup>‡</sup>	1990	85	1,510	1,434
TREES <sup>§</sup>	1990	56	1,150	1,152
TREES	1997	56	1,116	1,118
FAOE <sup>‡</sup>	2000	100	1,426	1,347
GLC <sup>¶</sup>	2000	42	1,123	1,181

The 63 countries are listed in SI Table 7. Sources: refs. 11, 12, 14, 38–42, and 44–47.

\*Myers's own extrapolation for "all tropical moist forest" was 800 million ha.

<sup>†</sup>Based on FRA 1990 closed broadleaved forest area data.

<sup>‡</sup>From FAO ecosystem type estimates in FRAs 1990 and 2000.

<sup>§</sup>Updates an earlier, almost identical, estimate (43).

<sup>¶</sup>JRC's own aggregate GLC estimate for tropical moist forest (48) is 1,094 million ha, but the number of countries is not specified.

FRAs 1990 and 2000 (FAOE). FRA estimates of Natural Forest area were divided between major ecosystems, using proportions found in separate surveys, and from these we combined areas of Tropical Rain Forest, Tropical Moist Deciduous Forest, and Montane Forest (assumed to include high altitude forms of the other two types). Third, three estimates based on remote sensing surveys by the TREES Program of the European Commission's Joint Research Center (JRC) (42–43) and its successor, the Global Land Cover (GLC) Program (44–47) (Table 3).

Estimates for the same year vary, as for Natural Forest, but here because they draw on different sources, not revisions. Systemic differences arise because each source could choose its own methods, ecosystem classification systems, data, and geographical scope. We corrected for the latter in Fig. 2 by adjusting all estimates to cover 63 countries (based on ref. 38 and listed in SI Table 7) containing 95% of all tropical moist forest.

Errors for each estimate vary in scale and type, but differences between the remote sensing and FAOE estimates (20% for 1990 and 12% for 2000) may be linked to systematic errors in both sets. Using a single remote sensor to survey all tropical forest within a few years of the reporting year minimizes projection and other combination errors and reduces subjectivity, but relying on coarse

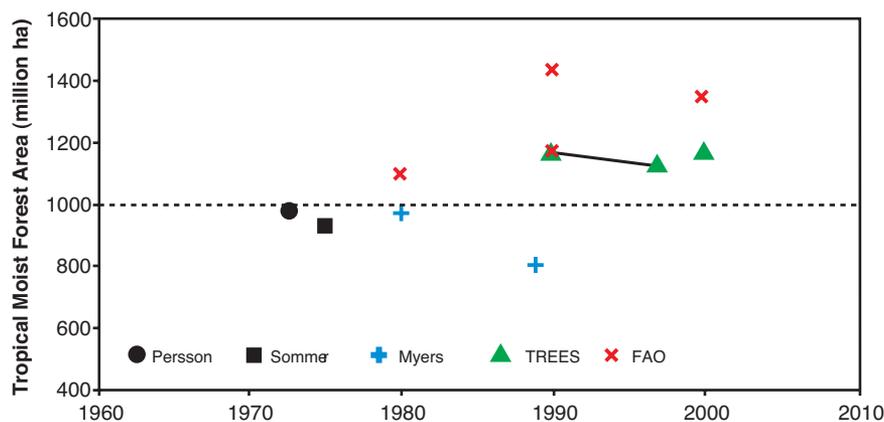
resolution images limits accuracy. Errors for the FAOE estimates occur because of reliance on FRA data and misclassification, e.g., open forest being wrongly classed as Tropical Moist Deciduous Forest. In our view, the Köppen–Trewartha system (49–50) used in FRA 2000 misclassifies less forest than the Yangambi–United Nations Educational, Scientific, and Cultural Organization system (51–52) in FRA 1990 (53), so the lower figure for 2000 is expected. It should also be more accurate, because Natural Forest was allocated to ecosystem types by computer overlay of an ecosystem map and a global forest map based on satellite images, whereas in FRA 1990 allocation used various vegetation maps. The expert assessments are affected to varying degrees by subjectivity; Myers's innovative use of consultative appraisal may explain why his 1989 estimate was so low.

### Variation Among Estimates for Different Years

**Long-Term Trends.** If errors do make a major contribution to variation in the Natural Forest time series (Fig. 1), then, regardless of internal consistency in each of the FRA trends, this raises questions about whether any of them offers a reliable guide to the long-term trajectory in tropical forest area. Indeed, if back projections to correct for changes between FRAs are ignored, the sequence of contemporary estimates only—1,970, 1,756, 1,799, and 1,768 million ha for 1980, 1990, 2000, and 2005, respectively—has no clear trajectory after 1990.

In contrast, the Tropical Moist Forest time series (Fig. 2) appears to show a long-term rising, not declining, trend. Yet here too errors must be taken into account. If the Myers (1989) and FAOE estimates are treated as outliers, owing to their fairly large systematic errors, then a more conservative interpretation is that tropical moist forest area has changed little since at least 1990, within the limits of errors generated when producing global estimates. The relative consistency between the remote sensing estimates and expert assessments—the TREES and FAO estimates for 1990 differ by just 28 million ha—is understandable given estimate appreciation resulting from greater use of satellite monitoring.

Of the remote sensing estimates between 1990 and 2000, only the GLC 2000 and TREES 1990 figures are based on comprehensive surveys. The GLC estimate (1,181 million ha) does exceed the TREES estimate (1,152 million ha), but errors will have been introduced by extrapolation to 63 countries, and by differences in ecosystem classification systems among the various GLC regional surveys, and between these and the TREES study. So inferring forest expansion from the two figures would be unwise. Equally, although the TREES 1997 estimate is below that for 1990 (1,118 vs. 1,152 million ha), it was estimated by



**Fig. 2.** Estimates of tropical moist forest area (10<sup>6</sup> ha) for 63 countries 1973–2000. For clarity, the Grainger (1980) estimate derived from FRA 1980 and the FAO<sup>†</sup> 1990 estimate are both shown as FAO estimates, and the GLC estimate is shown as a TREES estimate. (Sources: refs. 11, 12, 14, 38–42, and 44–47.)



from its member states also constrain the quality of its statistics, owing to the need to respect national sovereignty. The sustainability science community, meanwhile, lacks suitable global forest monitoring institutions of its own, and has had to compromise by using coarse resolution satellite images for area assessment and high resolution images for sampling deforestation.

Raven was right to question whether current international institutions are adequate for “building a sustainable world” (74). Quantifying changes in tropical forest cover is crucial to mod-

eling and monitoring global environmental change and assessing sustainability. So sustainability scientists must press for a global monitoring program compatible with the quality of global data they need to supply society with the reliable knowledge it demands.

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