

# The PD-1/PD-L costimulatory pathway critically affects host resistance to the pathogenic fungus *Histoplasma capsulatum*

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**The PD-1 costimulatory receptor inhibits T cell receptor signaling upon interacting with its ligands PD-L1 and PD-L2. The PD-1/PD-L pathway is critical in maintaining self-tolerance. In this study, we examined the role of PD-1 in a mouse model of acute infection with *Histoplasma capsulatum*, a major human pathogenic fungus. In a lethal model of histoplasmosis, all PD-1-deficient mice survived infection, whereas the wild-type mice died with disseminated disease. PD-L expression on macrophages and splenocytes was up-regulated during infection, and macrophages from infected mice inhibited *in vitro* T cell activation. Of interest, antibody blocking of PD-1 significantly increased survival of lethally infected wild-type mice. Thus, our studies extend the role of the PD-1/PD-L pathway in regulating antimicrobial immunity to fungal pathogens. The results show that the PD-1/PD-L pathway has a key role in the regulation of antifungal immunity, and suggest that manipulation of this pathway represents a strategy of immunotherapy for histoplasmosis.**

costimulation | fungal infection | programmed death-1

**H**istoplasmosis, caused by *Histoplasma capsulatum* (*Hc*) is the most prevalent fungal respiratory disease in the US, affecting ≈500,000 individuals each year (1). Infection typically results in a mild, often asymptomatic respiratory illness but may progress to life-threatening systemic disease, particularly in immunocompromised individuals. Upon inhalation, *Hc* is ingested by resident pulmonary macrophages, where the fungus replicates and subsequently disseminates to other organs. Macrophages are considered the most important effector cells in host resistance against histoplasmosis by functioning in both innate and cell-mediated immunity (2). However, resolution of histoplasmosis depends on the activation of cell-mediated immunity, in particular effective T cell responses (1). Both CD4<sup>+</sup> and CD8<sup>+</sup> T cells contribute to host resistance in primary *Hc* infection. Reduction of CD4<sup>+</sup> T cells results in fatal histoplasmosis in naive mice and adoptive transfer of *Hc* reactive CD4<sup>+</sup> T cells confers protection (3, 4). In mice that lack CD8<sup>+</sup> T cells, clearance of *Hc* from organs is impaired (3, 4). Sublethal infection with *Hc* evokes a Th1-like response in mice, characterized by the dominance of IL-12, TNF- $\alpha$ , and IFN- $\gamma$  during the acute phase of infection (5). Upon induction of cell-mediated immunity and the production of cytokines, macrophages are activated, and the fungus is eliminated. The importance of B cells in primary histoplasmosis is less critical (3), however, in B cell-deficient animals the progression toward lethal infection is accelerated in reactivation disease (6).

Programmed cell death-1 (PD-1, CD279) is an immune inhibitory receptor belonging to the CD28:B7 family of costimulatory molecules, which is expressed on activated T cells, B cells, and myeloid cells (7). PD-1 binds to two ligands, PD-L1 (B7-H1, CD274) and PD-L2 (B7-DC, CD273). PD-L2 has higher affinity to PD-1 and is expressed on activated dendritic cells and macrophages whereas PD-L1 is expressed on T cells, B cells, dendritic cells (DC),

and a variety of nonhematopoietic cell types (8–10). Engagement of PD-1 by its ligands simultaneously with TCR or BCR cross-linking induces negative signaling by recruitment of phosphatases such as SHP-2 and dephosphorylation of effector molecules involved in downstream TCR or BCR signaling (11). PD-1 has a crucial role in initiating and maintaining peripheral tolerance, consistent with the finding that PD-1-deficient mice (*Pdcd1*<sup>-/-</sup>) develop various spontaneous autoimmune diseases depending on the genetic background (12).

Mounting evidence suggests that the PD-1–PD-L pathway plays a central role in the interaction between host and pathogenic microbes that evolved to resist immune responses (13). Functional impairment (exhaustion) of virus-specific CD8<sup>+</sup> T cells in chronic LCMV infection of mice is associated with elevated PD-1 expression on the exhausted cells (14). PD-1 expression is up-regulated on T cells after HIV infection, and it is associated with T cell exhaustion and disease progression (15–17). Blockade of the PD-1–PD-L interactions reverses the exhaustion of virus-specific T cells and restores effector functions, cytokine production, and cell proliferation. The PD-1–PD-L pathway is also exploited by parasites, such as *Schistosoma mansoni* and *Taenia crassiceps*, which suppress effective immune responses by up-regulating PD-Ls on host macrophages (18, 19). Of further interest, the pathogenic bacteria *Helicobacter pylori* has been found to up-regulate PD-L1 on gastric epithelial cells inducing host unresponsiveness and blockade of PD-L1 results in enhanced T cell proliferation and cytokine production (20).

Although the importance of the PD-1–PD-L pathway has been studied in several infection models, there are no data available concerning the role of this pathway in fungal infections. In this study, we report the crucial role of the PD-1–PD-L pathway in a fungal infection using a mouse model of histoplasmosis. Most strikingly, PD-1-deficient mice are resistant to lethal challenge with *Hc*. During infection, PD-L1 is up-regulated on alveolar and peritoneal macrophages as well as on all mononuclear cells in the lungs and on total splenocytes, and PD-L2 is up-regulated on macrophages and DCs in the lung. The macrophages expressing elevated PD-L1 levels inhibit proliferation and cytokine production upon interaction with CD4<sup>+</sup> and CD8<sup>+</sup> T cells *in vitro*, suggesting that these macrophages similarly suppress T cell activation in

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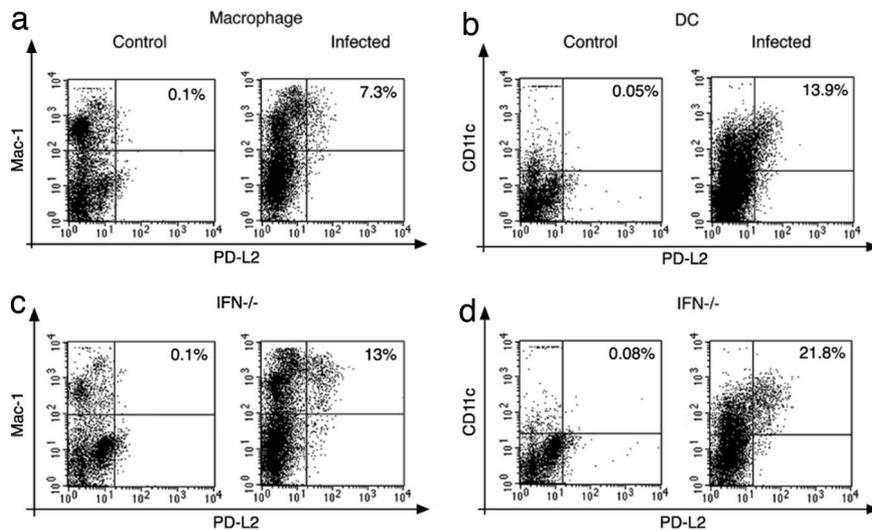
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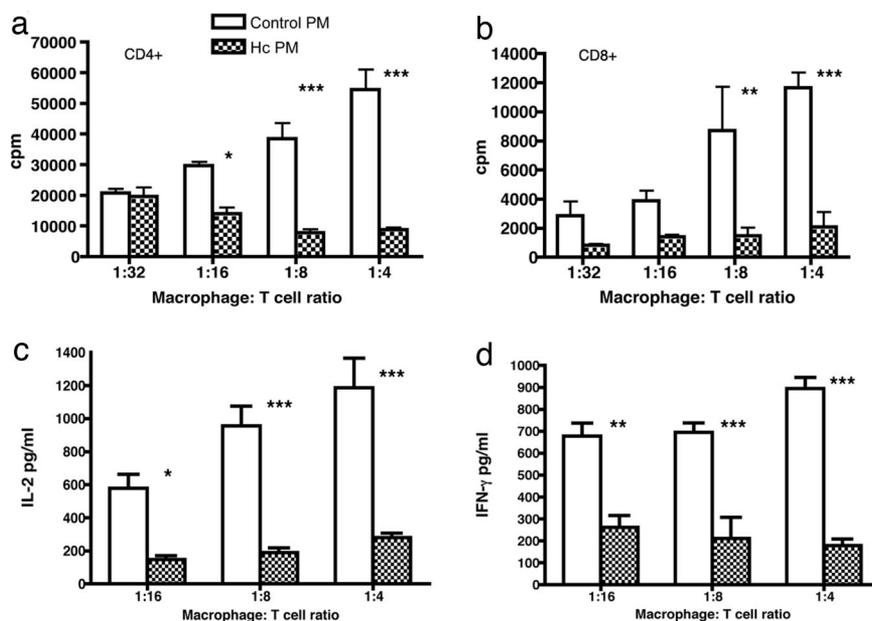


**Fig. 4.** PD-L2 is up-regulated on lung macrophages and dendritic cells in *Hc*-infected mice. (a and b) PD-L2 expression is increased in the lungs of *Hc*-infected mice on macrophages (a) and dendritic cells (b) of C57BL/6 mice. (c and d) Higher numbers of macrophages (c) and dendritic cells (d) express PD-L2 in the lungs of IFN- $\gamma$ -deficient mice infected with *Hc*. (Left) Data from control mice. (Right) Data from infected mice. Values shown are percentages of PD-L2<sup>+</sup> macrophages from the total macrophage population. Quadrants were set up by using the appropriate isotype controls so that the lower left quadrant contains cells that are negative for both antigens. Data are representative of two independent experiments performed with 2–5 mice in each group.

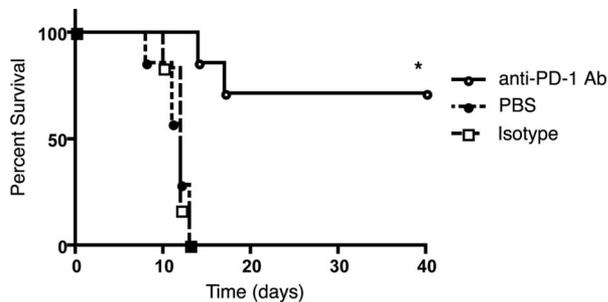
in response to anti-CD3 activation. In contrast, addition of the same number of macrophages from *Hc*-infected mice failed to increase proliferation of CD4<sup>+</sup> or CD8<sup>+</sup> T cells. CD4<sup>+</sup> T cell proliferation was inhibited by as much as 53% compared with the control when infected macrophages were added at a ratio of 1:16 to T cells, the strongest inhibition being >80% at the ratio of 1:4. Moreover, CD8<sup>+</sup> T cell proliferation was inhibited by >60% at a 1:32 macrophage-to-T cell ratio, and the highest inhibition was 80% at a 1:4 ratio. Next we looked at the early and late cytokine responses elicited by anti-CD3-activated T cells in the presence of macrophages from naïve or *Hc*-infected mice. Macrophages from naïve mice increased anti-CD3-activated secretion of cytokines such as IL-2 and IFN- $\gamma$  from CD4<sup>+</sup> T cells (Fig. 5 c and d). However, addition of macrophages from infected mice substantially decreased cytokine production. IL-2 levels were decreased by 75% and IFN- $\gamma$  levels by 60–80% at 1:16 and 1:4 macrophage-to-T cell ratios. Macrophages from *Hc*-infected mice markedly inhibited cytokine secretion of CD8<sup>+</sup> T cells as well (SI Fig. 9). These data suggest that *Hc* induces a suppressive phenotype of macrophages possibly through the up-regulation of PD-L1, which, in turn, inhibits

activated CD4<sup>+</sup> and CD8<sup>+</sup> T cells that could potentially eliminate the pathogen.

**Blockade of the PD-1 Pathway Increases Survival of Lethally Infected Wild-Type Mice.** Based on our data showing that the absence of PD-1 renders mice resistant to experimental histoplasmosis, we hypothesized that blockade of this pathway in wild-type mice could be beneficial to enhance host immune responses and possibly facilitate the elimination of the infectious agent. To verify this hypothesis, we infected groups of mice with  $1.25 \times 10^7$  *Hc* yeast cells and treated them with either PD-1-blocking antibody or isotype control. The treatment was started 1 day after infection and consisted of a total of three doses, one dose given every 3 days. All of the untreated mice and those receiving the isotype control antibody were dead by day 12 after infection. However, 70% of the mice treated with the monoclonal antibody to PD-1 (clone 29F.1A12) survived (Fig. 6). Importantly, in a repeated experiment continued administration of the antibodies up to a total of five doses increased survival to 90% (data not shown). Histological analysis of the lungs of mice from each group performed 7 days after infection confirmed that,



**Fig. 5.** Macrophages from *Hc*-infected mice inhibit T cell activation. (a and b) *In vitro* proliferation of CD4<sup>+</sup> (a) and CD8<sup>+</sup> (b) T cells cocultured with macrophages from control (open histograms) or *Hc* infected mice (hatched histograms). Mean values and SEM are shown. (c and d) Cytokine production of *in vitro*-activated CD4<sup>+</sup> T cells: IL-2 (c) and IFN- $\gamma$  (d) are significantly reduced in the presence of macrophages from *Hc*-infected mice compared with macrophages from control mice. \*,  $P < 0.05$ ; \*\*,  $P < 0.01$ ; \*\*\*,  $P < 0.001$  (ANOVA, Bonferroni posttest).



**Fig. 6.** Blockade of PD-1 protects mice from lethal histoplasmosis. Survival curves of C57BL/6 mice ( $n = 10$  for each group) lethally infected with *Hc* treated with blocking monoclonal antibody to PD-1, isotype control antibody, or saline. \*,  $P = 0.005$  (log-rank test).

whereas untreated or isotype control treated mice developed severe generalized bronchointerstitial pneumonia with edema and necrosis, anti-PD-1-treated mice had more contained, focal pneumonia that ultimately resolved (SI Fig. 10). Anti-PD-1-treated mice survived and were disease free for the follow-up period (>6 months).

## Discussion

Pathogens have developed diverse mechanisms to resist host immune responses. Recent findings suggest that the PD-1/PD-L pathway plays an important role in the complex interactions between host and pathogenic microbes. The PD-1/PD-L pathway critically regulates T cell responses during chronic viral infections in mice and humans. PD-1 expression is up-regulated on exhausted virus-specific T cells causing reversible immune dysfunction and disease progression in both chronic lymphocytic choriomeningitis virus (LCMV) infection in mice (14) and HIV infection in humans (15–17). Blockade of the PD-1/PD-L pathway efficiently restored the virus-specific effector functions of the exhausted T cells (14–17). In addition, pathogens such as certain bacteria, protozoa, and some worms can exploit this pathway to evade host immune responses (18, 19, 22). Although there is some evidence that the PD-1/PD-L pathway influences immune responses after acute infections, this question has not been addressed for fungal disease.

Using a murine model of histoplasmosis, our studies show that the PD-1/PD-L pathway is crucial in fungal pathogenesis. Strikingly, mice that are deficient in PD-1 show 100% survival after high-inoculum *Hc* challenge, demonstrating that, in the absence of a functional PD-1/PD-L activity, the fungus is unable to overcome host effector responses (Fig. 1a). Importantly, pulmonary and disseminated disease occur in the PD-1-deficient mice, but the subsequent immunological responses in these mice and wild-type animals differ dramatically. The lungs of both wild-type and PD-1<sup>-/-</sup> mice have similar cfu values 1 day after infection (Fig. 1b); however, in the PD-1<sup>-/-</sup> mice, cfu values rapidly decrease, whereas they steadily increase in the wild-type mice. Histological studies of the lungs show that infected PD-1-deficient mice develop pathological findings that are similar but less severe compared with wild-type mice and that the inflammatory responses in the PD-1-deficient mice resolve, and the mice survive.

This study shows that the absence of a T cell costimulatory molecule such as PD-1 confers protection against *Hc* infection in mice. In similar studies that used mice deficient in CD40L, another costimulatory molecule involved in regulating T cell responses and production of Th1 cytokines there were no differences in survival or fungal burden (4).

Our findings show that a functional PD-1 pathway is essential for this fungal pathogen to progressively invade and kill the host. It is also extremely relevant that the pathogen itself can modulate this pathway. Our data show a substantial up-regulation of PD-L1 on primary alveolar and peritoneal macrophages infected with *Hc* (Fig.

3a and SI Fig. 8). Moreover, *Hc* infection also induces up-regulation of PD-L1 on other cell types, such as DCs, CD4<sup>+</sup>, and CD8<sup>+</sup> T cells and B cells in the lung and spleen (Fig. 3 b–f and SI Table 1). IFN- $\gamma$  is essential for PD-L1 up-regulation on splenocytes (Fig. 3f) but not in the lungs of *Hc*-infected mice (SI Table 2), suggesting that other mechanisms are involved, such as a direct effect of the pathogen or stimulation from other cytokines released by the infected macrophages. PD-L2 up-regulation was also detected on a subset of macrophages and DCs in the lungs of *Hc*-infected wild-type mice (Fig. 4). When IFN- $\gamma$ -deficient mice were infected, there was a twofold increase in the number of PD-L2-expressing macrophages and DCs, suggesting that IFN- $\gamma$  has a negative effect on PD-L2 expression (24). However, IFN- $\gamma$  is a key effector cytokine in host resistance against histoplasmosis, and absence or blockade of IFN- $\gamma$  enhances the severity of *Hc* infection (25, 26). Because of the complexity of the role of IFN- $\gamma$  in *Hc* infection, it remains to be clarified whether the effects observed in the IFN- $\gamma$ -deficient mice are due to the direct effects of IFN- $\gamma$  or secondary changes in the pathogenesis of the infection in the absence of this critical cytokine.

Unlike in other infection models, such as chronic viral infections, we were not able to detect up-regulation of PD-1 on any cell types in *Hc*-infected mice in this acute experimental model at 1 week after infection. It remains to be determined whether this is due to the low percentage of antigen-specific cells or to the transient kinetics of PD-1 expression in acute *Hc* infection.

Our data suggest that *Hc* is able to induce suppression of T cell responses facilitating its survival within the host. Peritoneal macrophages from *Hc*-infected mice expressing high levels of PD-L1 (SI Fig. 8) significantly inhibited *in vitro* proliferation and cytokine production of CD4<sup>+</sup> and CD8<sup>+</sup> T cells, whereas control macrophages from uninfected mice dose-dependently enhanced anti-CD3-induced T cell activation (Fig. 5). The inhibition observed here could be the direct effect of the increased expression of PD-L1 on macrophages from the infected mice. However, we cannot exclude the role of other mediators, such as nitric oxide, that has been shown to be involved in inducing a suppressive phenotype in macrophages (27). Similar studies using other parasites such as *Taenia crassiceps* and *Schistosoma mansoni* have also shown induction of anergy of naïve T cells through the selective up-regulation of PD-L1 and PD-L2 on macrophages (18, 19). Okazaki *et al.* (12) suggested a model in which expression of PD-L versus other costimulatory ligands on DCs would decide the fate of T cell activation, resulting in either inactivation/anergy or efficient activation. In accordance with this, in our model, selective increase of PD-L1 or PD-L2, but not B7-1, B7-2, or ICOSL, on *Hc*-infected macrophages induces a suppressive phenotype, in which PD-L expression will predominate over other “positive” costimulatory ligands. Macrophages expressing this suppressive phenotype triggered by the presence of the pathogen will, in turn, inhibit activated T cells aimed to eliminate the pathogen, favoring its survival.

PD-L1 up-regulation on other cell types such as CD4<sup>+</sup> and CD8<sup>+</sup> T cells could lead, through an as yet to be identified mechanism (perhaps involving T cell–T cell signaling; possibly through the recently identified PD-L1/B7-1 interaction), to a decrease in the number of CD4<sup>+</sup> or CD8<sup>+</sup> T cells that will again facilitate the survival of the pathogen (28). Based on this model, blockade of the PD-1 pathway in wild-type mice would enhance clearance of the pathogen and promote survival of the host, similar to what occurs in the PD-1<sup>-/-</sup> mice.

Indeed, our data show that administration of monoclonal antibody to PD-1 can efficiently prevent *Hc*-induced lethality in wild-type mice resulting in >70% survival (Fig. 6). Importantly, our data strongly suggest that therapeutic targeting of the PD-1 pathway could be beneficial in the management of histoplasmosis. The significance of our findings is highlighted by the fact that, despite intensive therapy with amphotericin B, mortality rates in disseminated histoplasmosis range from 5% to 10% in immunologically

intact individuals (29, 30) and 46–70% in patients with HIV infection (31, 32). *Hc* is an opportunistic pathogen highly associated with severe disease in individuals infected with HIV (in fact it is an AIDS-defining infection). Because PD-1 was shown to be up-regulated on exhausted CD8<sup>+</sup> T cells in chronic viral infections such as HIV, it is reasonable to suggest that blockade of the PD-1 pathway would benefit the host immune response against both pathogens through restoration of the antiviral function of CD8<sup>+</sup> T cells and abolishing T cell suppression mediated through *Hc*-infected antigen-presenting cells (APCs). This supposition is supported by the finding that sublethal *Hc* infection associated with persistent infection of LCMV clone 13 resulted in reduced immunity leading to increased fungal burdens and high mortality (33). Because LCMV has been shown to cause exhaustion of CD8<sup>+</sup> T cells through up-regulation of PD-1 (14), it is intriguing to consider that *Hc* can cause fatal disease in these mice by efficiently avoiding host immune responses in the setting of high expression of both PD-Ls and PD-1.

Overall, our studies extend the role of the PD-1/PD-L pathway in regulating antimicrobial immunity to fungal pathogens by showing that the PD-1/PD-L costimulatory pathway dramatically affects immune responses against *Hc*. Blockade of this pathway by antibodies or other pharmacological agents could offer therapeutic tools in the treatment of histoplasmosis and perhaps other fungal diseases.

## Materials and Methods

**Mice.** C57BL/6 mice (6–12 weeks old) were purchased from NCI. PD-1-deficient mice in the C57BL/6 background were kindly provided by Tasuku Honjo (Kyoto University) and were used in the experiments at 6–12 weeks of age. IFN- $\gamma$ -deficient mice (6–8 weeks old) were purchased from The Jackson Laboratory. All mice were maintained in pathogen-free conditions in the animal facility at Albert Einstein College of Medicine (AECOM). All animal work was performed according to the guidelines set by the AECOM Institutional Animal Care and Use Committee.

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**Fungus and Infections.** *Hc var. capsulatum* ATCC 26032 (G217B) was obtained from the American Type Culture Collection. Infections, cfu counts, and histological analysis were performed as described in *SI Experimental Procedures*.

**Cell Preparation.** Alveolar and peritoneal lavage was used to isolate alveolar and peritoneal macrophages, from infected and control mice 7 days after infection. Single-cell suspensions were prepared by collagenase digestion from lungs (34) and spleens as described in *SI Experimental Procedures*.

**Flow Cytometry.** Anti-CD11b-APC, anti-CD19-PE-, FITC-, and APC-conjugated anti-CD11c, anti-F4/80-APC, anti-CD49b-APC, anti-PD-L1-PE, and biotinylated antibodies for PD-1, PD-L1, and PD-L2 were purchased from eBiosciences, anti-CD4-PE and anti-CD8-FITC from BD Biosciences. FACS staining and analysis were performed as described in *SI Experimental Procedures*.

**In Vitro T Cell Assays.** T cell assays were performed as described in *SI Experimental Procedures*.

**Blocking Antibody Treatment.** For blocking PD-1 *in vivo*, 200  $\mu$ g of rat anti-mouse PD-1 monoclonal antibody (clone 29F.1A12) (35) in PBS was administered i.p. every third day. Rat IgG2b isotype control (Bioexpress) was similarly administered. Ten mice were used for each group.

**Statistical Analysis.** Statistical analysis was performed as described in *SI Experimental Procedures*.

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