

Combined niche and neutral effects in a microbial wastewater treatment community

Irina Dana Ofițeru^{a,b}, Mary Lunn^c, Thomas P. Curtis^a, George F. Wells^d, Craig S. Criddle^d, Christopher A. Francis^e, and William T. Sloan^{f,1}

^aSchool of Civil Engineering and Geosciences, Newcastle University, Newcastle upon Tyne NE1 7RU, United Kingdom; ^bChemical Engineering Department, University Politehnica of Bucharest, Bucharest RO 011061, Romania; ^cDepartment of Statistics, 1 South Parks Road, Oxford OX1 3TG, United Kingdom; ^dDepartment of Civil and Environmental Engineering, Yang and Yamazaki Building, 473 Via Ortega MC-4020, Stanford University, Stanford, CA 94305; ^eEnvironmental Earth System Science, Y2E2 Building, 473 Via Ortega, Stanford University, Stanford, CA 94305-4216; and ^fDepartment of Civil Engineering, University of Glasgow, Glasgow G12 8LT, United Kingdom

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It has long been assumed that differences in the relative abundance of taxa in microbial communities reflect differences in environmental conditions. Here we show that in the economically and environmentally important microbial communities in a wastewater treatment plant, the population dynamics are consistent with neutral community assembly, where chance and random immigration play an important and predictable role in shaping the communities. Using dynamic observations, we demonstrate a straightforward calibration of a purely neutral model and a parsimonious method to incorporate environmental influence on the reproduction (or birth) rate of individual taxa. The calibrated model parameters are biologically plausible, with the population turnover and diversity in the heterotrophic community being higher than for the ammonia oxidizing bacteria (AOB) and immigration into AOB community being relatively higher. When environmental factors were incorporated more of the variance in the observations could be explained but immigration and random reproduction and deaths remained the dominant driver in determining the relative abundance of the common taxa. Consequently we suggest that neutral community models should be the foundation of any description of an open biological system.

microbial community assembly

Naturally occurring populations of bacteria and archaea are vital to life on Earth and are of enormous practical significance in medicine (1), engineering (2), and agriculture (3). However the rules governing the formation of such communities are still poorly understood. Typically, microbial community structure is thought to be shaped mainly by deterministic factors such as competition and niche differentiation, where the relationship between taxon traits and the environment dominate (4, 5). However, such theories when applied to macroorganisms struggle to explain very diverse environments where many rare taxa can coexist (6, 7). An alternative neutral theory has emerged (8, 9) that considers birth, death, dispersal, and speciation and disregards the differences between species at the same trophic level. Hence, in the neutral theory, the explicit link between the distribution of relative abundances and the distribution of niches is broken. Despite their apparent simplicity and small number of parameters, neutral models have been remarkably successful at reproducing some of the most widely studied patterns in community ecology, including species abundance distributions (SADs) and species-area relationships (SARs) in a wide range of communities from tropical trees to bacteria (10–16). However, neutral models are not without their critics. Some argue that alternative phenomenological models fit a particular dataset marginally better (e.g., refs. 17 and 18), others that the mechanisms are just plain “too simple” to represent biological reality, and yet more that small deviations from neutrality would have large repercussions for the predicted patterns (19, 20). The arguments on the relative importance of niche and neutral forces in shaping community structure are, however, muddled by the inconclusive

nature of the most common method for testing neutral theory. In this method, the single observed distribution of taxa abundances at one location and at one period of time is compared to a distribution of abundances produced by a neutral model (15). The parameters are calibrated and it has not been possible to validate the models, and hence the underlying mechanisms (21). There is however a push to move beyond this method of fitting neutral models and use data from multiple sites (11, 22) and explore some of the richer predictions of neutral theory. The capacity of neutral theory to unite SADs and SARs has been demonstrated, which adds strength to the veracity of the underlying assumptions (12). Neutral models are derived from a dynamic stochastic process, so they might gain even more credence if the dynamics in abundance and the SAD could be explained by the same neutral model (23). We present an analysis where the population dynamics and the SAD are explained by the same neutral model.

The initial polarization of “nichists” and “neutralists” caused by Hubbell’s (9) monograph has waned and recognition that neutral models embody mechanisms (birth, death, immigration, and sometimes speciation) that are indisputable features of virtually all biological systems (24) has led to calls for, what some call, “reconciliation” (25, 26). To this end, a variety of niche models have been extended to include some stochastic elements (27–29). Although these are often elegant expressions of plausible conceptual models, they mostly defy calibration. For the microbial communities in which we are interested, where diversity is awe inspiring (30) and traits are difficult to measure, it is impractical to aim for a model that requires a suite of taxon-specific parameters. However, we maintain that a more parsimonious purely statistical approach can be taken to layering the influence of the environment on top of a neutral model when multiple realizations of a community composition exist.

Here we examine the microbial communities in a wastewater treatment plant to see if the stationary taxa rank abundance distribution is consistent with neutral theory. From ranked abundance distributions alone we cannot rigorously calibrate the model; we can only determine whether or not the neutral model is a candidate. Etienne et al. (2006) (31) and Hubbell (2001) (9) demonstrate the insensitivity of the abundance distributions where modest changes in the parameter values are only reflected in the abundance of rare taxa. In microbial surveys using molecular fingerprinting techniques, like terminal restriction fragment

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¹To whom correspondence should be addressed. E-mail: Sloan@civil.gla.ac.uk.

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length polymorphism (T-RFLP), we can only observe taxa that exist at a relative abundance above approximately 0.01 and hence the rare taxa are missed. However, in removing the taxon names and considering merely their rank, a huge amount of information contained within the time series is lost. Wells et al. (32) suggest that the uncertainty in parameter values might be reduced by supplementing taxa-abundance distributions with time-series data. Therefore, we examine the dynamics of the most abundant taxa to see if they are also consistent with the neutral model, to refine the parameter estimates, and to see if adding the influence of environmental covariates allows more of the variance to be explained.

Wastewater treatment plants are inherently open systems that rely on dozens, perhaps hundreds, of different species of bacteria and protozoa coming together to form a microbial community that will transform the waste into biomass, CO₂, or some other, less harmful, substances. Thus a model of the community assembly process could have wide practical application. Wells et al.'s (32) comprehensive study of bacterial population dynamics of the Palo Alto Regional Water Quality Control Plant (PARWQCP) is used to test our hypotheses with dynamic data for the heterotrophic and ammonia oxidizing communities. They collected samples weekly for 1 year and profiled the communities ammonia oxidizing bacteria (AOB) and heterotrophs using T-RFLP analysis. Ten operational taxonomic units (OTUs) were identified for AOB on the basis of *amoA* analysis and 126 of heterotrophic bacterial OTUs were identified from 16S rRNA gene analysis. Wells et al. (32) managed to encapsulate the patterns of relative abundance of taxa in a reduced set of ordinates that did a good job of preserving a measure of the distance between samples in the original data. They were then able to relate these coordinates of samples to combinations and interactions between a large number of operating/environmental conditions, explaining as much as 30.2% and 25.5% of the variance for the AOB community and heterotrophic bacteria, respectively. So the community as a whole, at least partially, responds to the environment with temperature, dissolved oxygen, influent nitrite, and chromium appearing to be important. However, the response of the microbial communities in a wastewater treatment plant to changing operating conditions is unlikely to be immediate. Therefore, it becomes important to analyze serial correlations and characterize the dynamics of individual taxa, preferably in a manner that lends itself to biological interpretation. Furthermore, it is natural to speculate on the 70% variance that is unexplained by the multivariate statistical methods, which when used with many environmental variables, offer up the best prospect of explaining the variance in the data. Although modeling the fluctuation in the biomass of distinct functional groups of organisms has been successfully achieved in microbial ecology, we know of no studies in which a significant portion of the dynamics of individual taxa within a functional group has been explained. In our study, we assume that the relative height of peaks in T-RFLP plots are estimates of the relative abundance of taxa.

The neutral model we use is that of Hubbell (9) formulated and extended for microbial communities into a continuous format that permits the inclusion of environmental effects (11). Thus, the wastewater treatment communities were assumed to be fed by immigrants from a source community where taxa abundances are distributed according to a log-series distribution with a single parameter θ that determines its shape. High values of θ correspond to diverse source communities and low values to less diverse communities. The distribution of taxa in the local community deviates from that in the source community as a function of the product of a pair of parameters, N_T and m ($N_T m$). N_T is the number of individuals in the neutrally assembled local community and m is the probability that when a member of the local community dies or is removed, it is replaced by an individual from the source community rather than through local reproduction. Low migration tends to deplete the local richness of taxa and

promote the dominance of common taxa. Advantage or disadvantage is conferred on a particular taxon by a factor α' (11) applied to the probability of birth.

The dynamics of the relative abundance, $X(t)$, of the i th taxon at time t is entirely governed by $N_T m$ and the relative abundance of the taxon in the source community, p say, and can be described by a stochastic differential equation (SI Text)

$$dX(t) = \{N_T m [p - X(t)] + 2\alpha' X(t) [1 - X(t)]\} \frac{1}{a} dt + \frac{1}{\sqrt{a}} \sqrt{2X(t)[1 - X(t)]} dW_t, \quad [1]$$

where W_t is a Wiener process (standard Brownian motion) and a is an unknown constant that is related to the time between births and deaths. This differential equation is more general than a purely neutral model, because the term involving α' confers an advantage ($\alpha' > 0$) or disadvantage ($\alpha' < 0$) in the birth rate of the i th taxon (33). The advantage coefficient α' is assumed to depend on external factors, thereby breaking the neutrality assumption but consistent with the simplifying assumptions of "mean field" models (34) in that it uses an equation for a given species which does not involve relative abundance of other species. A different α' can be used for each taxon and hence the model allows for differential birth rates but is not specific about the biological mechanisms that convey the advantage; we allow the data to define the advantage. When $\alpha' = 0$, then the differential equation describes purely neutral dynamics. From the observations of abundance for the i th taxon, $X(t)$ is known at 52 discrete time points and $dX(t)$ can be crudely approximated as the change in relative abundance between successive times. So Eq. 1 maps onto a simple linear model,

$$dX = m_0 + m_1 Y_1 + m_2 Y_2 + \varepsilon, \quad [2]$$

where $m_0 = \frac{N_T m p}{a}$, $m_1 = -\frac{N_T m}{a}$, $m_2 = \frac{2\alpha'}{a}$, $Y_1 = X$, $Y_2 = X(1 - X)$, and ε is an error term given by $\varepsilon = \frac{1}{\sqrt{a}} \sqrt{2X(t)[1 - X(t)]} dW_t$. Thus, whereas W_t is normally distributed, $N(0,1)$, ε is not. However, Eq. 2 gives us a straightforward method of calibrating the unknown parameters $N_T m$ and a , under the assumption that $\alpha' = 0$. Performing a weighted least-squares regression analysis, using observations of the dependent dX and independent variable in which the weights are $[X(1 - X)]^{-1}$, gives estimates of the parameters m_0 and m_1 . The weighted errors should be normally distributed and hence the standard residual error produced by the least-squares analysis should be $\sqrt{2/a}$. Thus, all of the original model parameters in Eq. 1 can be retrieved from a linear least-squares analysis (SI Text). Furthermore, if we allow a nonzero advantage term, α' , to be a linear function of n observed covariates, $\{Z_j\}_{j=1}^n$, such as temperature or chemical concentration,

$$\alpha' = \alpha_0 + \sum_{j=1}^n \alpha_j Z_j, \quad [3]$$

then incorporating the effects of environment on the birth-death process in the community is achieved by merely extending the linear least-squares analysis to incorporate more independent variables,

$$dX = m_0 + m_1 Y_1 + m_2 Y_2 + m_3 (Y_2 Z_1) + m_4 (Y_2 Z_2) + \dots + m_{n+2} (Y_2 Z_n) + \varepsilon, \quad [4]$$

where the coefficients are related to the advantage parameters by $m_j = \frac{2\alpha_j - 2}{a}$ for $j \geq 2$.

Results

One of the predictions of neutral theory is that for a neutrally assembled community the distribution of ranked abundances for the taxa will essentially remain constant within bounds imposed by the natural variability of a stochastic birth–death–immigration process. The relative abundance of the most abundant AOB and heterotrophic bacteria, is very dynamic (Fig. 1). The identity of the top ranked taxon changes many times during the year. However, ignoring the taxon labels and merely ranking their relative abundance for each week (Fig. 2), we see order emerge from what appeared to be a highly complex and dynamic system. It is extremely rare to see a time series of so many ranked abundance distributions from a single site, and the prediction that ranked abundances will remain constant even if the individual taxa abundances are highly dynamic has never previously been shown experimentally. We sought the best fit of the neutral model to these data in a least-squares sense. It transpires, however, that a very good fit to the data was achievable for both communities for a broad swathe of the parameter space (Fig. 3), which confirms previous findings (31). However, much of the information in the original time series is lost by ignoring the identity of the taxon. Therefore, working with the dynamic representation of the model (Eq. 1), we calibrated a completely neutral model $\alpha' = 0$ using the time series of abundances of the two most abundant organisms in the two communities (Table 1). The estimates of the model parameters are statistically significant at the 99.9% level and the 95% confidence limits of the parameter estimates within each community overlap significantly for both the AOB and the heterotrophs. The R-squared values indicate that approximately a fifth of the variance in the time series of abundance are explained by a purely neutral model.

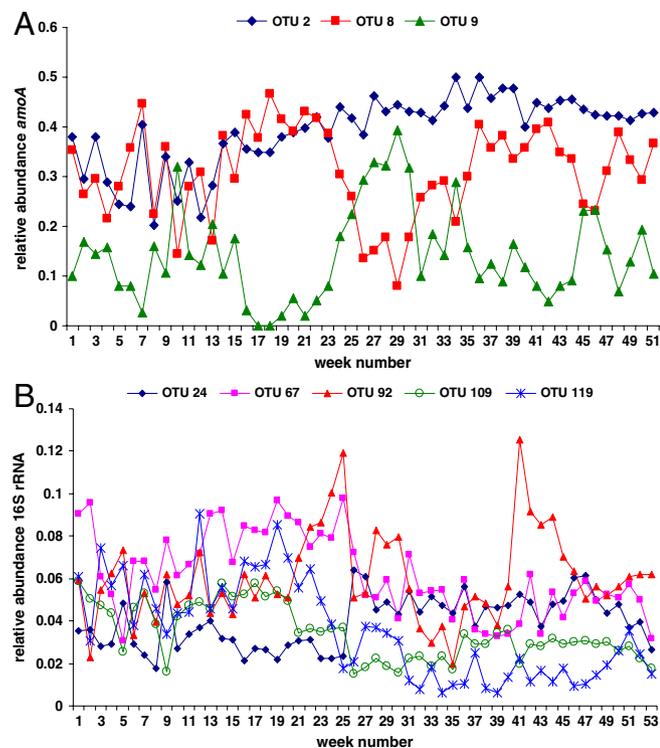


Fig. 1. Relative abundance of (A) the three most abundant AOB and (B) the five most abundant heterotrophic bacterial measure at weekly intervals for 1 year in the PARWQCP. The OTUs and their abundances were using the relative area under peaks in T-RFLP electropherogram of the *amoA* genes for the AOB and the 16S rRNA genes for the heterotrophs. The identity of the most abundant taxon changes over time, both for AOB and heterotrophic OTUs. The abundance of rarer organisms, which are not shown on these graphs, frequently drop below the measurement detection limit.

Examining the dynamics has allowed us to determine the parameter $N_T m$ for a neutral model without any knowledge of the distribution of taxa in the source community; the parameter θ does not appear in the stochastic differential equation [1] for the relative abundance of a single taxon. Armed with this knowledge, we can go back to the ranked abundance distribution which gives an indication of how the log-series distribution of the taxa abundances in the source community is distorted by dispersal limitation into the local wastewater treatment plant, and refine our estimates of θ for both for AOB and the heterotrophs. The best least-squares fit between the observed and simulated ranked abundance distribution was achieved using a θ value of 2.5 for the AOB and 23 for the heterotrophs. These values are consistent with the widespread and plausible assumption that the AOB are much less diverse than the heterotrophs.

For our optimal parameter pairs ($N_T m = 55$ and $\theta = 2.5$ for AOB data, $N_T m = 198$ and $\theta = 23$ for heterotrophs), we generated 500 realizations of the wastewater treatment communities from which we sampled 10^6 individuals at random to simulate the physical sampling done in a T-RFLP analysis. The average abundances and the fifth and the 95th percentile abundances (Fig. 2) for each rank were calculated using these simulations. Clearly, the vast majority of the observed ranked abundances for each week fall within the 90% confidence limits of the simulated abundance distributions. Thus determining the $N_T m$ from dynamic data constrains our search for the value of data θ using the ranked abundance distributions.

We tested whether more of the variance in the time-series data might be explained by incorporating the effects of environment by conveying an advantage on the birth rate of taxa that is linearly related to environmental factors. Thus environmental variables were incorporated by adding extra terms in the linear model (Eq. 3). Wells et al. (32) collated a times series of 20 environmental variables measured at the same time as the microbiological samples were taken. We tested a suite of linear models (Eq. 3) that included each of these variables individually and models where combinations of the variables were included, but we have only presented the models that explained the most variance over-and-above the purely neutral model as defined by the first two terms in the linear model (Eq. 2) using statistically significant estimators for the environmental factors included. For the most abundant heterotrophs, the model which best met these criteria was achieved by making the advantage term in Eq. 3 a linear function of the dissolved oxygen concentration (Z_1),

$$m_2 = \frac{2\alpha'}{a} = -0.08 \times Z_1, \quad [5]$$

whereas for the most frequently occurring AOB species, it is a function of temperature (Z_1) and chromium (Z_2) concentration:

$$m_2 = \frac{2\alpha'}{a} = 0.027 \times Z_1 - 0.026 \times Z_2. \quad [6]$$

The complete sets of parameters m for both sets of data are reported in Table 2. The α confers a relative advantage on the individual taxon, not the community as whole. Thus, although the productivity of the whole heterotroph community may increase with an increasing concentration of dissolved oxygen, some taxa within the community will respond relatively less well than others.

The purely neutral model ($\alpha = 0$) accounts for 0.23 of the variability (measured by R^2) in the time-series data for AOB and 0.20 for heterotroph data. When these taxa were allowed an advantage, α , in the probability of birth, which was linearly related to environmental variables, a bigger percentage of the variability could be explained, increasing the coefficient of

influence the community (32) were also identified by this combined model. There is still substantial unexplained variation in the data, which could be attributable to unmeasured environmental factors, a nonlinear relationship between environment and advantage, or substantial measurement error. The effect of the environment on the most abundant T-RF could also be weakened if the T-RF did not comprise an ecologically homogenous group, for example, an unrelated less-abundant organism had the same T-RF. However, it should be remembered that the very best quantitative molecular methods have a coefficient of variation of about 20% (37) and so we can expect at least this much “noise.” It may be that the model could be improved if the advantage parameter α was allowed to vary nonlinearly with environmental factors. However, this added complexity is unlikely to be worthwhile until we are able to garner more high-resolution and high-quality data. In particular, our ability to encapsulate the dynamics using a stochastic differential equation model would be enhanced if regular weekly samples were supplemented by periods of more frequent sampling.

Sceptics might suggest that the excellent performance of the neutral community models (NCMs) may occur because the Palo Alto wastewater treatment plant is a carefully managed system in a climate with little seasonal variations. Only high-quality, high-resolution time series in more variable environments can answer this question. However, even if sceptics were right, there are many well-controlled stable environments where NCM may find application. The gut, for example, is a plug flow reactor held at a constant temperature. Those wishing to explore or engineer the human or animal microbiome will find NCM invaluable. It could, for example, be used to rationally design and deploy pro- and pre-biotics. From an engineers perspective, realizing that microbial community composition is so dependent on neutral processes and cannot be entirely shaped by environmental conditions could change the way we design sewage works. Bacterial community

size correlates with the volume of the sewage works and immigration of new species with the rate at which waste is fed, so changing these two variables could allow us to manipulate the diversity and the timescales over which the population dynamics occur. So, for example, there may be a minimum reactor size and flow rate to ensure that organisms which are rare but important, such as those that can metabolize endocrine-disrupting chemicals, are maintained in the system. Or we might be able to predict the frequency with which important taxa are likely to drift below critical thresholds. More generally, those seeking to engineer or explore any real microbial environment, and many such systems are under consideration for fuel generation or carbon capture, will benefit from a sound body of theory. We believe that NCM should form the core of that body of theory.

Methods

The two microbial time series examined as part of this study were obtained from the same local environment (the four well-mixed aeration basins of the PARWQCP) and during the same time period (February 2005–February 2006). The activated sludge samples are 24-h composite (collected every 30 min), gathered weekly from the combined outlet of all basins. The first time series was generated via β -proteobacterial-specific *amoA* T-RFLP (digested with the restriction enzyme *TaqI*), and the second was generated via bacterial-specific 16S rDNA T-RFLP (digested with the restriction enzyme *RsaI*). The optimal volume (and hence DNA quantity) applied for fragment sizing was chosen to maximize total fluorescence signal while avoiding detector saturation. Both T-RFLP datasets were binned and normalized, such that individual OTU scores in each sample represent a measure of relative abundance. All peaks below the background noise (<0.5% of the total summed peak heights in any given sample) were neglected, yielding a detection limit of $d = 0.005$.

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