Language acquisition in premature and full-term infants

Marcela Peña,a,b,c,1 Enrica Pittaluga,d, and Jacques Mehler*

aCognitive Neuroscience Sector, Scuola Internazionale Superiore di Studi Avanzati, Trieste 34014, Italy; bEscuela de Psicología, Pontificia Universidad Católica de Chile, Santiago 89400, Chile; cCentro de Investigación Avanzada en Educación, Universidad de Chile, Santiago 8330014, Chile; and dServicio de Pediatria, Hospital Sótero del Río, Santiago 8207257, Chile

Edited* by Dale Purves, Duke University Medical Center, Durham, NC, and approved December 31, 2009 (received for review December 14, 2009)

We tested healthy preterm (born near 28 ± 2 weeks of gestational age) and full-term infants at various different ages. We compared the two populations on the development of a language acquisition landmark, namely, the ability to distinguish the native language from a rhythmically similar one. This ability is attained 4 months after birth in healthy full-term infants. We measured the induced gamma-band power associated with passive listening to (i) the infants’ native language (Spanish), (ii) a rhythmically close language (Italian), and (iii) a rhythmically distant language (Japanese) as a marker of gains in language discrimination. Preterm and full-term infants were matched for neural maturation and duration of exposure to broadcast speech. We found that both full-term and preterm infants only display a response to native speech near 6 months after their term age. Neural maturation seems to constrain advances in speech discrimination at early stages of language acquisition.

Materials and Methods

We compared the patterns of oscillatory brain activity to native and foreign languages in a group of healthy full-term infants and a group of healthy premature infants matched by either maturational age or duration of exposure to broadcast speech (Materials and Methods).

All full-term infants were born at 39.6 ± 1.5 weeks after conception and tested either 3 (FT3) or 6 (FT6) months after birth. All premature infants were born 28.6 ± 1.6 weeks after conception, nearly 3 months before the expected term age (i.e., 40 ± 2 weeks). The preterm infants were tested either near 6 months (PT6) or 9 months (PT9) after birth. At the time of evaluation, PT6 and PT9 infants had been systematically exposed to broadcast speech for more than 4.5 months (Table S1), corresponding to the amount of broadcast speech that full-term infants require to discriminate their native language from other rhythmically similar languages (6, 7).

The exposure to broadcast speech took place outside the incubator, because the speech signal is distorted inside the incubator (8). Exposure began very soon after birth thanks to the Kangaroo protocol, which promotes skin-to-skin contact between mother and infant. Broadcast speech exposure increased near 31 weeks of gestational age when infants were transferred from the incubator to open cradles. Here, they received broadcast speech from their parents, other relatives, and the medical staff. Finally, near 34 weeks of gestational age, all preterm infants were discharged and sent home, where they received as much broadcast speech as full-term infants (Table S1; Materials and Methods).

If neural maturation constrains the development of the ability to discriminate a switch between languages from the same rhythmic class, we can expect that the brain response in PT9 and FT6 infants will be similar, because both groups are tested when their neurological age is equivalent. However, PT6 infants ought to display brain responses similar to FT6 infants if postnatal exposure to the maternal language is the main parameter responsible for enhancing the ability to distinguish it from rhythmically similar languages. Although the PT6 and FT6 infants had equal exposure to speech, the neural maturation of FT6 infants is more advanced than that of PT6 infants.

Brain activity was measured using electroencephalographic recordings during passive listening to utterances in Spanish (the maternal language), Italian (a rhythmically close language), and Japanese (a rhythmically distant language) in the previously described four groups of infants (Fig. 2).

Analysis of the oscillatory activity of the brain focuses on the induced gamma-band power, which corresponds to the nontime-locked oscillations occurring at frequencies greater than 20 Hz (Materials and Methods). The induced gamma-band power...
provide(s) a specific neural marker for the perceptual binding of the spatially separate elements in the infant brain” (9; for a review, ref. 10). To the best of our knowledge, there are very few studies on the role of the gamma band during language acquisition. Recent studies in adults have shown an increase in oscillatory activity from 40 to 200 Hz during speech perception and/or production (11, 12).

In our study, we explored whether the power of the induced gamma-band oscillations can track the gains in language discrimination during the first stages of language acquisition in healthy full-term and premature infants. To estimate gains in neural maturation, we carried out periodical clinical assessments and also evaluated the early components of the event-related potentials (ERPs) that are associated with age-related changes in auditory processing. The latency and amplitude of the early speech ERPs change dramatically from 3 to 6 months of life in full-term infants (13, 14). These changes are mainly correlated with changes in myelination, synaptic efficacy, neural connectivity, and the anatomy of the infant’s head (15, 16). In this study, we measure the early ERP response as a marker of the age-related changes in neural maturation. If speech exposure induces improvement in native language discrimination even when the brain is highly immature, the gamma-band response of PT6 infants should reveal discrimination of the rhythmically similar languages even when their ERP patterns are similar to those of the more immature full-term infants (i.e., FT3 infants).

Results
Fifteen healthy infants per group were analyzed (Materials and Methods). All infants evolved with normal pediatric and neural development after a 2-year outcome (Table S2).

Gamma-Band Power. The computation of the time-resolved spectral power is detailed in Materials and Methods. The time course of the mean spectral power from 1 to 100 Hz for all languages and groups is displayed in Fig. 3.

The statistical analysis of the spectral power was focused on the time range from 300 to 2,800 ms after the onset of the utterances and on the frequency range from 55 to 75 Hz. Our motivation arose from the observation that the oscillatory activity in this time-frequency range, but not in other time-frequency windows, displays significant differences with respect to the baseline when all languages and groups are pooled (Fig. 4; see Materials and Methods). We submitted the mean induced gamma-band power of this time-frequency window to repeated ANOVA with language (Spanish, Italian, and Japanese) as a within-subjects factor and group (FT3, FT6, PT6, and PT9) as a between-subjects factor.

We found a main effect of language [F(1,02, 55.8) = 5.7, P < 0.01] with greater gamma-band power for Spanish and Italian than for Japanese (P < 0.01 and P < 0.04, respectively). Moreover, a significant interaction of language × group [F(3.02, 55.8) = 3.8, P < 0.02] was observed, because the mean gamma-band power for Spanish (the maternal language) was significantly greater than that observed for Italian utterances in FT6 and PT9 infants only. In fact, the gamma-band power for Spanish was significantly greater than that for Italian in FT6 (P < 0.01) and PT9 (P < 0.01) infants regardless of the fact that Spanish and Italian belong to the same rhythmic class. In contrast, FT3 and PT6 infants displayed similar gamma-band power for Spanish and Italian, suggesting that this neural marker for distinguishing between native and rhythmically similar languages arises only in more mature infants.

ERP Response. The ERP computation is detailed in Materials and Methods. The early ERP includes a positive component, peaking near 200 ms, observed in all groups of infants over the central anterior electrodes and a negative component, peaking at about 240 ms, observed only in older infants over the central posterior electrodes (Fig. 5). The mean latency peak and the mean zero-to-peak amplitude of the positive component were submitted to the corresponding one-way ANOVA with group (FT3, FT6, PT6, and PT9) as a between-subjects factor. Scheffé’s test was used for post hoc multiple comparisons.

A significant effect of group [F(3, 56) = 24.3, P < 0.005] was observed in the mean latency, because the mean latency of the positive peak was significantly shorter for older as compared with younger groups [i.e., latency was shorter for FT6 as compared with FT3 infants (P < 0.001) and for PT9 as compared with PT6 infants (P < 0.01)]. No significant differences in the mean zero-to-peak amplitude were observed for the positive component. We did not compare the negative component because it was not identified in several infants in the younger groups (i.e., FT3, PT6). The absence of a negative component around 250 ms has been previously reported in 3-month-old infants (14) as an age-related change in ERP topography. This does not mean that this component does not exist, but it could mean that the corresponding dipoles are oriented out of the recorded area in the scalp.

Clinical Assessments. Development of all participants in our study was normal, as assessed through periodic evaluations that took place every 3 months until the infants were 24 months old (after the term age for premature infants). Preterm infants were also evaluated neurologically every 3 months and given a minimum of two ophthalmological and audiometric tests at the end of their second year of life. No neurological or developmental anomalies were detected in these clinical assessments. Clinical assessments of the full-term and preterm groups were not significantly dif-

Fig. 1. Maturational age and duration of exposure to broadcast speech. Horizontal bars indicate the duration of intra- and extrauterine life (green and yellow, respectively) for the four groups. The age in months for the evaluation of full-term and preterm infants is indicated at the top of the horizontal bar (i.e., 3 and 6 months after birth for full-term infants, 6 months after birth and after term age for preterm infants). The term age corresponds to the date at which infants should have been born after 9 months of gestation.

Fig. 2. Stimulation protocol. All infants listened passively to a series of 54 utterances in Spanish (red squares), Italian (blue squares), and Japanese (green squares), obtained by adding 18 utterances provided by three different speakers per language.
The amount of word/sentence comprehension and the number of words produced evaluated using the McArthur Communicative Development Inventories (CDI) (17) at 12 months (after the term age in premature infants) showed that all infants were within the norm of the regional and international standards. Likewise, the Mental and Psychomotor Developmental Indexes of the Bayley test (18) applied at 24 months (after the term age in preterm infants) were within the regional and international standards (Table S2). Critically, there were no significant differences between full-term and preterm groups in the CDI or Bayley test scores.

Correlations Between the Clinical and Electrophysiological Evaluations.

No significant correlations were observed between the Mental and Psychomotor Developmental Indexes of the Bayley test given at 24 months and the gamma-band power amplitude (for any language) or latency of the early ERP measurements in any group of infants.

Discussion

The gamma-band results (Fig. 3) for the more mature infants (i.e., FT6 and PT9) are the only ones that show greater activity for Spanish as compared with Italian. These results mesh well with behavioral data showing that full-term infants distinguish the maternal language from a rhythmically similar language only 4 months after birth (6, 7). Clearly, FT6 and PT9 infants have had more than 4 months of exposure to broadcast speech. Crucially, the induced gamma-band response of FT6 and FT3 infants to Spanish and Italian is not significantly different between the two groups. In contrast, the FT6 infants respond significantly only to Spanish and not to Italian. The induced gamma-band response of the PT6 infants is thus more similar to that of the most immature infants (i.e., FT3), even though PT6 infants had received more than 4.5 months of broadcast speech exposure. We suggest that PT6 and FT3 infants still respond to Italian because they have not attained the level of neural maturation that might allow them to recognize that the maternal language is separate from other rhythmically similar languages. Although, we cannot discard the influence of other unknown factors, our results are consistent with numerous behavioral studies that have previously documented this language acquisition landmark.

Moreover, our early ERP results agree with previous studies showing that changes in latency, amplitude, and spatial distribution reflect age-related gains in neural maturation (14, 15). First, we found that the latency of the P200 was significantly longer in FT3 and PT6 infants as compared with F6 and PT9 infants, respectively, suggesting similar improvements in neural development for full-term and preterm infants. The previously mentioned studies showed that the latency of the P150 decreases significantly between 2 and 6 months of age in full-term infants. We conjecture that the P150 latency reported in previous studies and the P200 latency observed in the current study reflect the same neural and cognitive correlates. Previous studies used a few syllables and tones, whereas our study used a larger set of spoken sentences. Second, we showed that a clear N250 is mostly absent in FT3 and PT6 infants but becomes stronger in FT6 and PT9 infants. These observations are also consistent with previous results are consistent with numerous behavioral studies that have previously documented this language acquisition landmark.
studies showing that full-term infants demonstrate a substantial increase in N250 from 3 to 6 months of age (13). Taken together, these results confirm that the neural substrates for auditory processing are less mature in the younger groups of both full-term and preterm infants. Our results show that to attain the aforementioned early landmark of language acquisition, the highly premature infants must reach the required level of brain maturation. Indeed, the premature infants, who, like the full-term infants, had near 6 months of broadcast speech experience, failed to display a discriminatory neural signature to two rhythmically similar languages. In contrast, when the premature infants were given 9 months of exposure to clear speech, and thus reached a comparable level of neural maturation as the full-term infants, they displayed a discrimination response to the two rhythmically similar languages. Neural maturation and plasticity are the best potential candidates to explain the impact of experience on language acquisition. Our results suggest that neural maturation plays a more central role for language acquisition at this early stage of life.

Studies on gamma-band activity during early cognitive development are rare. However, a recent study has shown that 8-month-old but not 6-month-old infants display an increase in gamma-band power when they manifest behavioral evidence about the notion of object permanence (9). Further studies are needed to determine the precise nature of the changes in the gamma-band activity associated with speech processing. However, we can conjecture that the increase in gamma-band activity observed in our study reflects an improvement in the infants’ ability to focus on specific features of their native language, for instance, native phonemes and syllabic structures among others. Indeed, studies with adult participants have shown an increase in gamma-band activity during visual (19) and auditory (20) selective attention. Learning to distinguish the native language from other rhythmically similar languages requires the recognition of segmental properties that apply to one language but not to the other. Exposure to speech may allow for the characterization of vowels and consonants in Spanish that do not arise in Italian. Individuating such segmental properties requires a certain level of brain maturation as well as 4.5 months of exposure. However, as we pointed out previously, 4 months of exposure to the maternal language does not suffice to boost learning the linguistic elements that are unique to the maternal language.

From a methodological point of view, our results further support the functional role of gamma-band oscillations during the first steps of language acquisition. Contrary to a recent study that attributes the gamma activity recorded on the scalp to muscle activity alone (21), our results are congruent with the view that movements are not the only possible source for observed gamma-band oscillation activity. Indeed, our gamma-band response involves a narrow range of frequencies (55–75 Hz) and only appears for the native language exclusively in mature infants.

Our study presents two main findings. First, our gamma-band power-based study shows that brain maturation constrains the impact of experience on language acquisition during the first months of life. Second, gamma-band power is a sensitive marker for tracking the early stages of language learning and is congruent with the functional role of gamma-band oscillations during the interaction of biological constraints and the experience that is needed to attain the early stages of language acquisition. These results provide important information for models of cognitive and language development for mature and immature infants. Moreover, they suggest that tracking brain oscillation can play an important role in the study of early language acquisition.

**Materials and Methods**

**Participants.** We excluded seven FT3, eight FT6, five PT9, and seven PT6 infants because they failed to complete the experiment. At birth, all infants presented: (i) Appar scores greater than 6 and 8 at 1 and 5 months, respectively; (ii) weight, size, and head circumference within the norm for gestational age; and (iii) normal otoacoustic emissions. Pediatric assessments for full-term and auditory brainstem-evoked responses, cranial ultrasound, and neuropsychological evaluation in premature infants were within the norm for gestational age and continued to be normal in all the periodical tests, which were continued until the end of the 2-year follow-up. Infants were only exposed to Spanish in a lower-middle class environment. In the 6 to 9 months after conception, full-term infants perceived muffled speech inside the uterus. Because the auditory system is functional near the 25th week of gestational age (for a recent review, ref. 22), preterm infants could perceive broadcast speech.

All preterm infants were periodically stimulated after birth, outside the incubator with broadcast speech mainly under the following circumstances (Table S1):

1. During the Kangaroo protocol, a medical procedure widely used in the neonatal intensive care unit, consisting of skin-to-skin contact between the mother and the newborn (23). The Kangaroo protocol starts as soon as possible after birth and lasts from 15 minutes to 4 hours per day while infants are in the incubator. On average, PT6 and PT9 infants were evaluated 6 and 8.5 months, respectively, after they started to be exposed to broadcast speech during the Kangaroo protocol.

2. As soon as the preterm newborns were physiologically stable and their temperature was regulated, they were placed in open cradles. The open cradles were located in a room shared by six to eight infants, where they were cared every 2 hours for feeding, cleaning, and clinical control. In this room, premature infants were regularly exposed to broadcast speech from their mothers, but they also could hear speech from other mothers, medical staff, relatives, and other persons who talked to or near them. PT6 and PT9 infants were evaluated at 5.7 and 8.2 months, respectively, after they started to hear broadcast speech in open cradles.

3. Based on international recommendations (24), preterm infants were discharged to home when they were physiologically stable, had reached a weight of 1,800 g, and exhibited nutritive sucking that was mature enough to ensure proper feeding. On average, these criteria were reached at near 34 weeks of gestational age.

At the time of testing, the mean duration of exposure to broadcast speech at home was 4.8 and 7.4 months for PT6 and PT9 infants, respectively. The duration of the exposure to broadcast speech in FT3 and FT6 infants is shown in Table S3. The present study received approval from the Ethics Board for Biomedical Research of the Hospital Sótero del Río, Santiago de Chile. Parents signed a written informed consent form.

**Stimuli.** We recorded speech samples from three female monolingual native speakers per language (i.e., three Spanish, three Italian, and three Japanese speakers) while they uttered a series of 54 sentences using adult-directed speech. We obtained stimuli from nine speakers to avoid a voice habituation effect. The sentences were rhythmically similar languages. Neural maturation and plasticity are the best potential candidates to explain the impact of experience on language acquisition. Our results suggest that neural maturation plays a more central role for language acquisition at this early stage of life.

**Procedure.** Infants were tested in a soundproof Faraday cage. While holding the infant during testing, the parent heard masking sounds. Utterances were
pseudorandomly presented in groups of four consecutive utterances per language, separated by 800-ms silent pauses. Inside each group of utterances, no consecutive repetition of the same language or same speaker was allowed. Utterances from different languages were separated by 2,000–2,200-ms pauses. Repetition of groups of utterances from the same language was not permitted. All utterances were delivered at 60-dB sound pressure level. Randomly ordered attention-grabbing attracting images were centrally displayed on a monitor. The order of language presentation was counterbalanced across participants. The study was immediately stopped when the infants manifested discomfort (crying or fussiness), which was very rare for the infants in this study. The experiment was stopped for less than 60 seconds for only four infants.

**EEG Data Acquisition.** EEG data were collected using a 64-electrode geodesic-sensor-net (Electrical Geodesic, Inc. system) referenced to the vertex. The EEG was digitized at 500 Hz.

### Analysis of the Brain Oscillations

The brain oscillatory activity analysis was focused on passive listening to each utterance. The raw EEG signal was low-pass-band at 100 Hz with a 50-Hz notch filter (Fig. S1) shows an example of the signal with and without the 50-Hz notch filter) and then segmented into a series of 3,800-ms long epochs starting at 800 ms before the stimulus onset. To reject contaminated epochs, we applied an automatic process followed by visual verification. First, epochs containing more than 15 electrical oscillations whose amplitudes exceeded 100 μV or electrooculogram activity exceeding ±100 μV were rejected. All electrodes were taken into account for this procedure. Next, epochs contaminated by visually detected artifacts were also rejected from the analysis (the epochs rejected by visual criteria concerned, at most, one trial by an infant). Finally, the contaminated channels of the remaining epochs were excluded from the analysis. Each infant contributed 30 to 54 utterances per language to the computation of the spectral activity. For each nonrejected epoch, for each participant and at every frequency bin, we applied a sliding-window fast Fourier transform for amplitude values (window length = 232 ms, step = 10 ms, window overlap = 90% for the >10-Hz frequency range; window length = 500 ms, step = 10 ms, window overlap = 95% for the 1–10-Hz frequency range). For all infants and samples, the amplitude at every 10-Hz frequency range (points) were zero-padded and fast Fourier-transformed to get an interpolated frequency resolution of 1 Hz per frequency bin. Instantaneous amplitude was then computed by taking the real and imaginary Fourier coefficients [C(f),r(t) and C(f),i(t)], squaring and adding them, and taking the square root \(\sqrt{S_n^2} = \sqrt{(S - \mu)/\sigma}\) as follows:

\[
\text{Amplitude}(f,t) = \sqrt{C(f,t)^2 + C(f,t)^2}
\]

This amplitude is equivalent to the magnitude of the observed oscillation at a given time and frequency point, and it was used to construct a time-frequency map by epoch. Each time-frequency map was normalized against an 800-ms prestimulus baseline and averaged across the nonrejected epochs and 48 nonpolar electrodes. Peripheral electrodes were frequently noisy across infants and were excluded from the analysis (Fig. S2). Normalization involves subtracting the baseline average and dividing it by the baseline SD on a frequency-by-frequency basis, wherein \(S\) is a signal, \(\mu\) is the average of the signal during the baseline period, and \(\sigma\) is the SD of the same baseline period. The normalized signal, \(S_n\), is then computed by

\[S_n = \frac{S - \mu}{\sigma}\]

To identify relevant time and frequency ranges for the analysis, we applied the following procedure. For each infant, we computed an average of the time-frequency responses for all three languages. This average comprises a 100 × 1,900 matrix of signal amplitude values: 100 frequency bins from 1–100 Hz and 1,900 time samples from 800 ms before the utterance onset until 3,000 ms thereafter (the sampling period was 2 ms). The first 400 time samples of this average response matrix correspond to the silent period prior to utterance onset that we take as baseline. To obtain a single baseline value for each infant, we averaged the first 400 time samples of the response matrix at each frequency bin. We were thus left with a 100 × 1,501 matrix of response amplitudes per baby, wherein the first time sample corresponds to baseline. Each of the 100 × 1,501 nonbaseline values of these response matrices was submitted to a paired t-test comparison (\(\alpha = 0.5\), two-tailed) with all infants pooled irrespective of group. At each frequency bin, we compared each of the 1,500 time samples of the infant group’s spectral power with the single point of the corresponding baseline using this procedure, we identified a time-frequency range from 55 to 75 Hz and from 300 to 2,800 ms after the onset of the utterances, wherein the spectral power of the brain activity was significantly different from baseline (Fig. 4). Because we pooled all groups and languages together, the obtained time-frequency range is not a priori biased toward any one of them.

**ERP Analysis.** For the ERP analysis, the continuous EEG signal was low-pass-filtered (20 Hz) with a finite impulse response filter (Kaiser), which has a linear phase response [passband gain = 99% (50-99.9%, −0.1 dB), stopband gain = 1% (−49.9%, −40.0 dB)], and was then segmented into a series of 3,200-ms long epochs starting 200 ms before the utterance onset. Epochs containing more than 15 electrodes with voltage fluctuations exceeding ±130 μV, transients exceeding ±130 μV, or electrooculogram activity exceeding ±100 μV were rejected. From the pool of artifact-free epochs (n = 162), we selected a subset of those for which all infants had contributed at least 1 epoch. This selection allowed us to compare the ERP responses across groups using the identical set of acoustically matched utterances. This set of utterances was averaged by infant, digitally transformed to an average response matrix, and was then segmented into a series of 3,800-ms long epochs starting at 800 ms before the stimulus onset. Each infant contributed 50 utterances to the computation of the ERP. We visualized the mean ERP using EEGLAB (26). The ERP comprised two early components: a central/anterior bilateral positivity observed in all groups and a central/posterior bilateral negative negativity observed almost exclusively in older infants (i.e., FT6, PT9) (Fig. 5). The mean latency of the peak and the mean zero-to-peak amplitude of the positive and negative components of the ERP were computed across the anterior and posterior groups of electrodes, where the maximum activity was observed across all groups (Fig. 5). The mean latency and amplitude of the early ERP components were then submitted to statistical comparisons using one-way ANOVA.

### ACKNOWLEDGMENTS

We thank the infants and parents who participated in our study. We also thank the personnel at the Hospital Sótero del Río y Consultorio Recreo, Santiago de Chile, who helped us to recruit the infants. We thank nurses Margarita Lúa, Paulita Soto, and Patricia Fernández for their help in many phases of the study; we also thank Lucy Mellon for her help in testing infants and for her contribution to the analysis of data. We express our gratitude to Susana Franck, who helped us with the editing of this manuscript. M.P. was supported by a grant from Fondecyt (Grant 1060767) and Conicyt-PBCT (Grant C154E-05). J.M. was supported by a grant from the James S. McDonnell Foundation.