

A vector-free microfluidic platform for intracellular delivery

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Intracellular delivery of macromolecules is a challenge in research and therapeutic applications. Existing vector-based and physical methods have limitations, including their reliance on exogenous materials or electrical fields, which can lead to toxicity or off-target effects. We describe a microfluidic approach to delivery in which cells are mechanically deformed as they pass through a constriction 30–80% smaller than the cell diameter. The resulting controlled application of compression and shear forces results in the formation of transient holes that enable the diffusion of material from the surrounding buffer into the cytosol. The method has demonstrated the ability to deliver a range of material, such as carbon nanotubes, proteins, and siRNA, to 11 cell types, including embryonic stem cells and immune cells. When used for the delivery of transcription factors, the microfluidic devices produced a 10-fold improvement in colony formation relative to electroporation and cell-penetrating peptides. Indeed, its ability to deliver structurally diverse materials and its applicability to difficult-to-transfect primary cells indicate that this method could potentially enable many research and clinical applications.

drug delivery | induced pluripotent stem cells | reprogramming | protein delivery | nanoparticle delivery

Intracellular delivery of macromolecules is a critical step in therapeutic and research applications. Nanoparticle-mediated delivery of DNA and RNA, for example, is being explored for gene therapy (1, 2), while protein delivery is a promising means of affecting cellular function in both clinical (3) and laboratory (4) settings. Other materials, such as small molecules, quantum dots, or gold nanoparticles, are of interest for cancer therapies (5, 6), intracellular labeling (7, 8), and single-molecule tracking (9).

The cell membrane is largely impermeable to macromolecules. Many existing techniques use polymeric nanoparticles (10, 11), liposomes (12), or chemical modifications of the target molecule (13), such as cell-penetrating peptides (CPPs) (14, 15), to facilitate membrane poration or endocytotic delivery. In these methods, the delivery vehicle's efficacy is often dependent on the structure of the target molecule and the cell type. These methods are thus efficient in the delivery of structurally uniform materials, such as nucleic acids, but often ill-suited for the delivery of more structurally diverse materials, such as proteins (16, 17) and some nanomaterials (7). Moreover, the endosome escape mechanism that most of these methods rely on is often inefficient; hence, much material remains trapped in endosomal and lysosomal vesicles (18). More effective gene delivery methods, such as viral vectors (19, 20), however, often risk chromosomal integration and are limited to DNA and RNA delivery.

Membrane poration methods, such as electroporation (21, 22) and sonoporation (23), are an attractive alternative in some applications. Indeed, electroporation has demonstrated its efficacy in a number of DNA (24) and RNA (25) delivery applications for previously difficult-to-transfect primary cells. However, this method can cause cell death and has been shown to damage

sensitive materials such as quantum dots, which aggregate due to exposure to electric fields (8). There have also been limited reports of successful protein delivery by this mechanism (26, 27). Microinjection, is perhaps the most direct method of delivering material to the cell cytoplasm regardless of cell type or delivery material. Although effective for certain applications, such as producing transgenic organisms, the method's low throughput is a disadvantage in many therapeutic and research applications (28, 29).

In this article, we describe a method for cytosolic delivery based on rapid mechanical deformation of the cell to produce transient membrane disruptions that facilitate the passive diffusion of material into the cell cytosol. This method was developed with the aim of delivering almost any macromolecule of interest to almost any cell type, at high throughput. Although scrape loading and shear-based delivery methods have been demonstrated previously, they are unsuitable for some applications due to low viability and/or delivery efficiency (30–32). However, such injury/diffusion-based delivery methods do have the advantage of high throughput (compared with microinjection) and independence from exogenous materials or fields. Our proposed technique is distinct from previous methods because it uses a physical constriction to deform and shear the cells in a controlled, reproducible manner, thus minimizing cell death while allowing one to optimize for delivery efficiency. Unlike the aforementioned delivery methods, this approach does not rely on electric fields, exogenous materials, endocytosis, or chemical modification of the target molecule. Our data indicate that this method could be particularly advantageous for applications involving nanomaterials, proteins, or difficult-to-transfect cell types, such as immune cells and stem cells—all of which are often underserved by current methods.

Results

Delivery Method. We hypothesize that the rapid mechanical deformation of a cell, as it passes through a constriction with a minimum dimension smaller than the cell diameter, results in the formation of transient membrane disruptions or holes (Fig. 1A). The size and frequency of these holes would be a function of the shear and compressive forces experienced by the cell during its passage through the constriction. Material from the surrounding medium may then diffuse directly into the cell cytosol throughout

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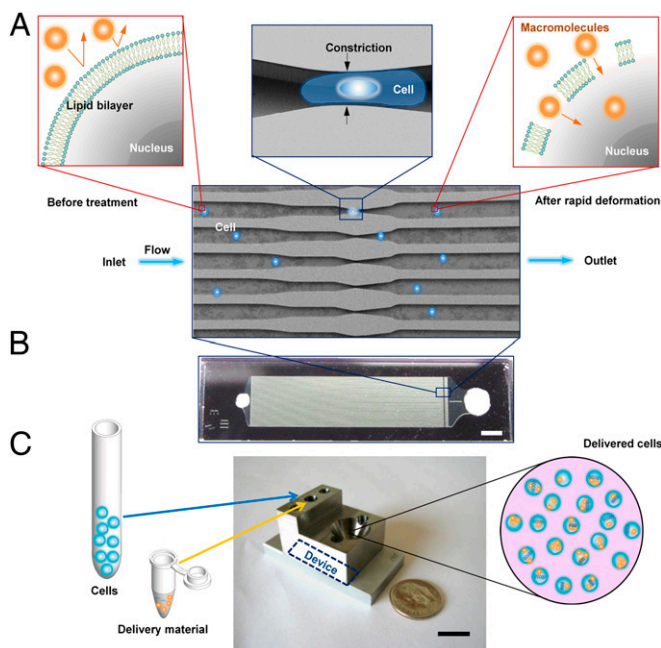


Fig. 1. Delivery mechanism and system design. (A) Illustration of delivery hypothesis whereby the rapid deformation of a cell, as it passes through a microfluidic constriction, generates transient membrane holes. Includes an electron micrograph of current parallel channel design with blue cells as an illustration. (B) Image of a finished device consisting of Pyrex bound to silicon for sealing. (Scale bar: 2 mm.) (C) Illustration of the delivery procedure in which cells and delivery material are mixed in the inlet reservoir, run through the chip, and collected in the outlet reservoir. The mounting system consists of stainless-steel and aluminum parts interfaced to the chip by inert O rings. (Scale bar: 10 mm.)

the life span of these holes. Such an approach could theoretically enable the diffusive delivery of any macromolecule small enough to fit through the holes. To implement this approach, we generated a family of microfluidic devices with different constriction dimensions and numbers of constrictions in series.

Each device (Fig. 1B) consists of 45 identical, parallel microfluidic channels, containing one or more constrictions, etched onto a silicon chip and sealed by a Pyrex layer. The width and length of each constriction (defined in Fig. S1) range from 4 to 8 μm and 10 to 40 μm , respectively. The current design is typically operated at a throughput rate of 20,000 cells/s, yielding close to 1 million treated cells per device before failure by clogging. The parallel channel design was chosen to increase throughput, while ensuring uniform treatment of cells, because any clogging or defects in one channel cannot affect the flow speed in neighboring channels (the device is operated at constant pressure). Before use, the device is first connected to a steel interface (Fig. 1C) that connects the inlet and outlet reservoirs to the silicon device. A mixture of cells and the desired delivery material is

then placed into the inlet reservoir and Teflon tubing is attached at the inlet. A pressure regulator is then used to adjust the pressure at the inlet reservoir and drive the cells through the device. Treated cells are collected from the outlet reservoir.

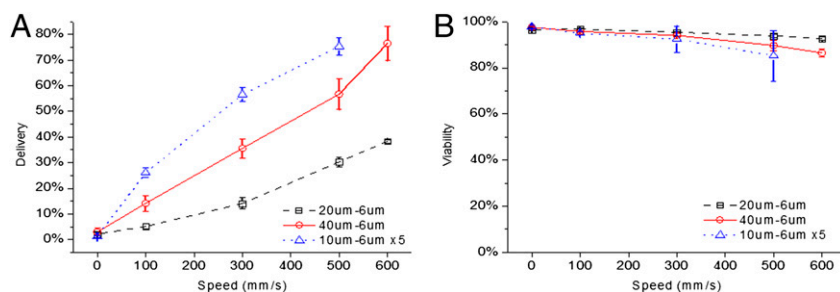
Governing Parameters. We identified cell speed, constriction dimensions, and number of constrictions as three parameters that influence delivery efficiency (defined as the fraction of live cells that receive the delivery material; Fig. S1) by altering the shear and compression rates experienced by the cells. For example, delivery efficiency of membrane-impermeable, Cascade Blue-labeled 3-kDa dextran molecules to live HeLa cells increases monotonically with cell speed across different constriction designs (Fig. 2A). Constriction dimensions also impact delivery; increasing the constriction length from 20 to 40 μm almost doubled delivery efficiency at all operating speeds (Fig. 2A), with minimal effect on viability (Fig. 2B). Decreasing constriction width had a similar effect (Fig. S2A). Increasing the number of constrictions in series also increased delivery efficiency such that a device with five 10- μm length constrictions in series outperformed a single 10-, 20-, or 40- μm length design across all cell speeds (Fig. 2A and B). One could also treat cells multiple times using the same device to enhance delivery, although this can lead to significant loss in viability. In these data, the 0 mm/s data points correspond to our control case whereby the cells undergo the same treatment as the other samples but are not passed through the device, thus reflecting any endocytotic or surface binding effects.

Cytosolic Delivery by Diffusion. As the majority of current nanoparticle and CPP-based delivery techniques are predicted to exploit endocytotic pathways (33), we sought to rule out the influence of endocytosis in our delivery mechanism. Confocal microscopy of cells treated with Cascade Blue-conjugated 3-kDa dextran demonstrate diffuse cytosolic staining (Fig. 3A) as opposed to the punctate characteristic one would expect of endocytotic methods (7). Moreover, when delivery experiments are conducted at 4 $^{\circ}\text{C}$, a temperature at which endocytosis is minimized (34), delivery efficiency is minimally affected by temperature for both 3- and 70-kDa dextran (Fig. S2B). These data indicate that endocytosis is unlikely to be responsible for delivery in this system.

To test our diffusive delivery hypothesis, we characterized the delivery kinetics over time. In this experiment, cells were treated by the device in the absence of delivery material and subsequently exposed to Cascade Blue-labeled 3-kDa dextran at defined time intervals after treatment. The results indicate that 70–90% of delivery occurs within the first minute after treatment regardless of device design (Fig. 3B). The observed timescale supports the hole formation hypothesis as previous works on membrane repair kinetics have reported membrane sealing occurring at about 30 s after an injury is induced (35).

If delivery of material through the membrane disruptions is diffusive, material could be exchanged into and out of the cell throughout the lifetime of the hole. To demonstrate bidirectional transport of material across the cell membrane, we conducted an experiment consisting of three delivery cycles. Cells were first treated in the presence of 3- and 70-kDa dextran (cycle 1), washed

Fig. 2. Delivery performance depends on cell speed and constriction design. Constriction dimensions (Fig. S1) are denoted by numbers (e.g., 10 μm – 6 μm \times 5) such that the first number corresponds to constriction length, the second to constriction width and the third (if present) to the number of constrictions in series per channel. (A) Delivery efficiency and (B) cell viability 18 h after treatment as a function of cell speed for 40 μm – 6 μm (O), 20 μm – 6 μm (□), and 10 μm – 6 μm \times 5 (Δ) device designs. Efficiencies and viabilities were measured by flow cytometry after propidium iodide staining. For information regarding cell recovery rates, refer to SI Note S1. All data points were run in triplicate, and error bars represent 2 SDs.



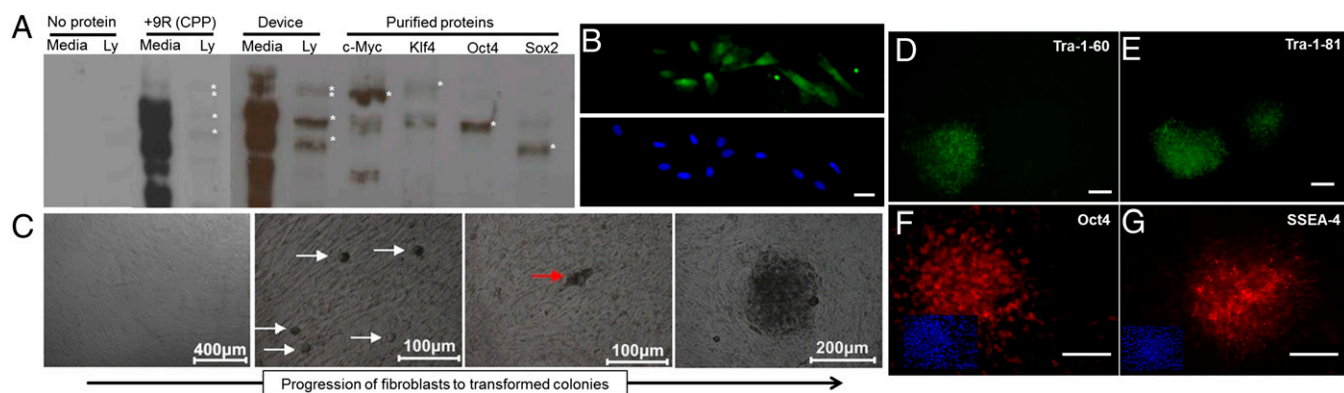


Fig. 6. Altering cell morphology and gene expression by cytosolic delivery of transcription factors. (A) A Western blot analysis of c-Myc, Klf4, Oct4, and Sox2 delivery to NuFF cells by cell-penetrating peptides versus a $10\ \mu\text{m} \times 6\ \mu\text{m}$ device. Each of the four proteins has an additional nine arginine (9R) groups to facilitate uptake. The lysate (Ly) columns correspond to the protein content of cells that are washed and lysed, whereas the media columns correspond to the protein content of the media environment. (B) Confocal microscopy images of NuFF cells fixed after delivery of the reprogramming factors. The proteins are tagged using an Alexa 488-conjugated anti-FLAG antibody and the nucleus is stained by DAPI. (Scale bar: $15\ \mu\text{m}$.) (C) A progression of morphological changes from fibroblasts into colonies. The white arrows indicate potentially transformed cells. The red arrow points toward coalescing cells forming a colony. (D–G) Expression of the human embryonic stem cell marker Oct4, SSEA-4, Tra-1-60, and Tra-1-81 in transformed colonies (*SI Note S3*). Where appropriate, the small box represents a DAPI counterstain. (Scale bars: $100\ \mu\text{m}$.)

Moreover, this effect appears to be applicable across a wide range of cell types (Fig. 4), especially those that are difficult to treat with current methods, as we have demonstrated successful delivery in primary fibroblasts, embryonic stem cells, and a range of immune cells. In the future, by better understanding the effects of shear and compressive forces throughout the deformation process, one could potentially generate a family of devices with each optimized for a particular range of cell types and applications.

This delivery mechanism provides a number of potential advantages over existing methods. Similar to electroporation (22) and microinjection (28), it is a membrane disruption-based mechanism and hence does not rely on exogenous materials, chemical modification of payloads, or endocytotic pathways. In contrast to electroporation, however, it does not rely on electrical fields, which have had limited success in protein delivery (26), can damage target material (8), are dependent on the electrical charge of target material (40), or cause cytotoxicity (21). Indeed, current results have demonstrated relatively high viability in most applications and there is no underlying mechanism by which sensitive payloads, such as quantum dots or proteins, could be damaged. Direct comparisons to electroporation and CPP delivery of transcription factors further illustrated the system's advantage in improving biological activity.

The system could be an enabling research tool with its ability to deliver carbon nanotubes, gold nanoparticles, and antibodies (Fig. 5)—three materials that are difficult to deliver with current techniques. Such capabilities would significantly expand the research community's ability to probe intracellular processes by facilitating antibody and quantum dot staining of live cell structures/proteins and enabling the use of carbon nanotubes as a cytosolic molecular probe or chemical sensor. As a robust method of protein delivery, it could potentially be used for high-throughput screening of peptide/protein libraries because, unlike most CPP or nanoparticle-based techniques, this method is expected to be insensitive to protein structure and chemistry (41), does not rely on endocytotic pathways (14), and should not affect protein functionality (16). Moreover, it is possible that membrane disruption by rapid mechanical deformation occurs *in vivo* in response to certain stimuli or as part of a disease. Hence, investigating the phenomenon may prove relevant to better understanding disease mechanisms or physiological responses to trauma.

As a research tool, the microfluidic basis of our approach would allow it to be incorporated into a larger integrated system consisting of multiple pretreatment and posttreatment modules. At its current average throughput rate of 20,000 cells/s, the device could, for example, be placed in-line with a flow cytometry

machine to sort cells or perform other analytical tasks immediately after delivery.

Finally, the technique could potentially enable novel approaches to therapy (Fig. 6). One can envision an approach whereby a patient's target cells are isolated from the blood or other tissue, treated by the device to deliver the desired therapeutic, and reintroduced into the body. Such an approach would take advantage of the potentially increased delivery efficiency of therapeutic macromolecules and could be safer than existing techniques because it would obviate the need for potentially toxic vector particles and would mitigate any potential side effects associated with reticuloendothelial clearance and off-target delivery.

Conclusion

In summary, we have detailed a method for cytosolic delivery that relies on the rapid mechanical deformation of a cell to induce transient membrane disruption. This technique has demonstrated the potential to deliver a broad range of materials, some of which are challenging to use with current methods, to a variety of difficult-to-transfect cell types, including stem cells and immune cells. By providing flexibility in application and obviating the need for exogenous materials or electrical fields, this method could potentially enable new avenues of disease research and treatment. Indeed, our work has demonstrated this system's ability to deliver carbon nanotubes, quantum dots (38), and antibodies to live cells—applications that could enable new sensing and imaging modalities—and we have illustrated the system's superior performance, relative to current methods, in applications such as transcription factor delivery for reprogramming.

Materials and Methods

Device Fabrication and Mounting System. The silicon-based devices are fabricated at the Massachusetts Institute of Technology microfabrication facility using photolithography and deep reactive ion etching techniques. In this process, $6''$ silicon wafers with a $450\text{-}\mu\text{m}$ thickness are treated with hexamethyldisilazane, spin coated with photoresist (OCG934; Fujifilm) for 60 s at 3,000 rpm, exposed to UV light (EV1; EVG) through a chrome mask with the constriction channel design, and developed in AZ405 (AZ Electronic Materials) solution for 100 s. After 20 min of baking at $90\ ^\circ\text{C}$, the wafer is etched by deep reactive ion etching (SPTS Technologies) to the desired depth (typically $15\ \mu\text{m}$). The process is repeated on the opposite side of the wafer (i.e., the one not containing the etched channels) using a different mask, which contains the access hole patterns, and using a thicker photoresist AZ9260 (AZ Electronic Materials). Wet oxidation is then used to grow 100–200 nm of silicon oxide before the wafer is anodically bonded to a Pyrex wafer and diced into individual devices. Before each experiment, devices are

visually inspected and mounted onto a holder with inlet and outlet reservoirs (all designed in-house and produced by Firstcut). These reservoirs interface with the device using Buna-N O-rings (McMaster-Carr) to provide proper sealing. The inlet reservoir is connected to a home-made pressure regulator system using Teflon tubing to provide the necessary driving force to push material through the device. Our current system can only accommodate pressures up to 120 psi.

Dendritic Cell Isolation. Murine dendritic cells were isolated from the spleen of BL6 mice (Taconic) using a CD11c-positive selection MACS sort (Miltenyi Biotec).

Delivery Procedure. To perform an experiment, cells are first suspended in the desired delivery buffer [growth medium, PBS, or PBS supplemented with 3% FBS and 1% F-68 Pluronic (Sigma)], mixed with the desired delivery material, and placed in the device's inlet reservoir. This reservoir is connected to a compressed air line controlled by a regulator, and the selected pressure (0–70 psi) is used to drive the fluid through the device. Treated cells are then collected from the outlet reservoir. Cells are incubated at room temperature in the delivery solution for 5–20 min after treatment to ensure hole closure before being subjected to any further treatment.

Delivery Materials. To deliver fluorescently labeled dextran molecules (Invitrogen), the experiments were conducted as described above such that the delivery buffer contained 0.1–0.3 mg/mL dextran. GFP knockdown is measured as the percentage reduction in a cell population's average fluorescence intensity relative to untreated controls. Lipofectamine 2000 plus siRNA particles were prepared by combining 1 μ g of siRNA with 1 μ L of Lipofectamine 2000 reagent in 100 μ L of PBS.

Confocal Microscopy. Confocal images were taken using techniques described previously (38).

Reprogramming Factor Purification by FLAG. FLAG-tagged reprogramming factors (c-Myc, Klf4, Oct4, and Sox2) were generated as previously described (4).

Western Blotting. After treatment by the device, cells were seeded on to a culture plate. After 4 h, the culture media, which contains any undelivered proteins, was collected and processed as previously described (4).

Transcription Factor Delivery Studies. We replicated the delivery procedure illustrated by a previous group to generate pluripotent stem cells using recombinant proteins with a CPP modification (39). Briefly, 10^5 cells (per sample) were treated by the device (30 μ m – 6 μ m at 500 mm/s) or Neon Transfection System (Invitrogen) in the presence of 80 μ g/mL of each transcription factor (Stemgent). Treatment was repeated once every 2 d with a media change every day as previously described. After treatment was complete, the cells were transferred to mTeSR-1 media (Stemcell) and colony counts were performed at 16–18 d (device case) or 30–31 d (nucleofection and CPP cases) after treatment. The difference in counting time reflects the earlier emergence of colonies in the device case.

Raman Spectroscopy. The home-built Raman system was used as previously described (42).

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