

Amygdala lesions do not compromise the cortical network for false-belief reasoning

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The amygdala plays an integral role in human social cognition and behavior, with clear links to emotion recognition, trust judgments, anthropomorphization, and psychiatric disorders ranging from social phobia to autism. A central feature of human social cognition is a theory-of-mind (ToM) that enables the representation other people's mental states as distinct from one's own. Numerous neuroimaging studies of the best studied use of ToM—false-belief reasoning—suggest that it relies on a specific cortical network; moreover, the amygdala is structurally and functionally connected with many components of this cortical network. It remains unknown whether the cortical implementation of any form of ToM depends on amygdala function. Here we investigated this question directly by conducting functional MRI on two patients with rare bilateral amygdala lesions while they performed a neuroimaging protocol standardized for measuring cortical activity associated with false-belief reasoning. We compared patient responses with those of two healthy comparison groups that included 480 adults. Based on both univariate and multivariate comparisons, neither patient showed any evidence of atypical cortical activity or any evidence of atypical behavioral performance; moreover, this pattern of typical cortical and behavioral response was replicated for both patients in a follow-up session. These findings argue that the amygdala is not necessary for the cortical implementation of ToM in adulthood and suggest a reevaluation of the role of the amygdala and its cortical interactions in human social cognition.

theory-of-mind | amygdala | lesions | false-belief | fMRI

The amygdala is considered a critical node of the “social brain” that contributes to myriad social behaviors exhibited by primates (1–4). Neurons in both the monkey (5) and human amygdala (6) respond prominently to faces, and lesions of the monkey amygdala result in complex impairments in social behavior (7, 8). Rare bilateral lesions of the amygdala in human patients impair the ability to infer emotions from facial expressions (9, 10), to make more complex social judgments from faces (11), and to guide appropriate social behaviors (12).

A core social ability of humans that emerges early in childhood has been long studied under the name of “theory-of-mind” (ToM), an ability to impute mental states to other people. Amygdala lesions can impair the ability to impute such mental states spontaneously to animated geometric shapes (13, 14) as well as other complex expressions of ToM (15). These impairments in social cognition following amygdala lesions also have been compared with the intensively studied impairments in mental-state understanding observed in autism spectrum disorder (16, 17). Indeed, the amygdala has been implicated in emotional and social dysfunction in a number of psychiatric disorders (18).

Neuroimaging studies of ToM-related abilities, on the other hand, have focused largely on cortical networks (19, 20). One of these networks, based on using a localizer requiring subjects to infer false beliefs from written stories (the “False-Belief Localizer”) (21, 22) has become so well established that it is commonly referred to as the “ToM network” and prominently includes

the temporoparietal junction as well as medial frontoparietal and anterior temporal cortices (23–28).

If the amygdala plays a critical role in social cognition, why is it not regularly identified in neuroimaging studies of ToM? One answer may be that these studies have been focused more on cortical networks, and possible amygdala activations are either underreported or underdiscussed. A second answer may be that the blood oxygenation level-dependent (BOLD) response is more difficult to evoke in the amygdala than in cortex (29, 30). However, the amygdala's vast connectivity with most of the neocortex (31), prominently including some of the key nodes of the false-belief network such as the medial prefrontal cortex (32, 33), together with its role in social cognition reviewed above, justifies a strong hypothesis. That hypothesis is that the cortical false-belief network should include or be modulated by the amygdala. The clear prediction from this hypothesis is that lesions of the amygdala should alter the functional response of cortical regions critical to ToM.

To test this prediction in the most direct way, we used functional MRI (fMRI) in two rare patients with bilateral amygdala lesions and closely interrogated BOLD responses within the amygdala in a large group of neurologically healthy controls. The patients with amygdala lesions had developmental-onset calcifications of the amygdala resulting from Urbach–Wiethe disease (34) (raising interesting further questions about the possible developmental contributions of the amygdala to the false-belief reasoning network, issues we take up in *Discussion*). To evoke false-belief network activation, each patient performed the well-established False-Belief Localizer twice in separate MRI sessions. The False-Belief Localizer (often called simply the “ToM

Significance

Humans use a so-called “theory-of-mind” to reason about the beliefs of others. Neuroimaging studies of belief reasoning suggest it activates a specific cortical network. The amygdala is interconnected with this network and plays a fundamental role in social behavior. For the first time, to our knowledge, we test whether amygdala lesions compromise the cortical implementation of theory-of-mind. Two patients with bilateral amygdala lesions performed a belief reasoning test while undergoing functional MRI. Remarkably, both patients showed typical test performance and cortical activity when compared with nearly 500 healthy controls. This result shows that the amygdala is not a necessary part of theory-of-mind function in adulthood and forces a reevaluation of the amygdala's role in social cognition.

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Localizer”) developed by Rebecca Saxe and colleagues (21, 22) uses brief verbal narratives to manipulate the demand to represent another person’s false belief about reality.

At the outset, we clarify that the False-Belief Localizer does not exhaustively represent the range and complexity of the human capacity to reason about mental states (35). In fact, many different behavioral tasks have been used to manipulate mental-state reasoning in previous neuroimaging studies (23, 26), and recent evidence has demonstrated convincingly that these various tasks are not interchangeable manipulations of a single ToM capacity but rather modulate dissociable cortical networks (28, 36). Nonetheless, several reasons justify our decision to focus here on the False-Belief Localizer. First, given that false-belief representation historically has been considered the most unequivocal expression of ToM (37), theory and research on ToM has long maintained a central focus on the capacity to represent false beliefs (38, 39). Second, the focus of ToM research on false-belief reasoning has remained strong in neuroimaging studies of social cognition, in large part because of the efforts of Saxe and colleagues (21, 22) to optimize and make publicly available an efficient protocol for this purpose. Because this same basic protocol has been used in numerous neuroimaging studies of neurologically healthy adults, it is now possible to generate large empirical distributions against which new data points can be compared (40). Therefore, the present study tests the hypothesis that cortical function during false-belief reasoning would show abnormalities in the absence of the amygdala, using this same false-belief neuroimaging task.

Results

Patient Behavioral Performance. We compared the performance in the patient group’s first session with the bootstrapped California Institute of Technology (Caltech) control group distribution of performance in both Belief and Photo trials. The results of this comparison are represented in Fig. S1. When examining the percentage of correct responses, we observed no evidence for atypical performance on false-belief trials (patient = 75.33%; healthy control = 75.99%; $P = 0.940$) or false-photo trials (patient = 65.00%; healthy control = 81.05%; $P = 0.229$). Similarly, we observed no evidence for atypical response times on false-belief trials (patient = 16.22 s; healthy control = 15.38 s; $P = 0.694$) or on false-photo trials (patient = 15.71 s; healthy control = 14.33 s; $P = 0.541$). Finally, both patients showed no evidence for atypical performance in their second session of performing the task (Fig. S1).

Amygdala Responses to False-Belief Reasoning in the Reference Groups. We first describe the proportion of voxels available for analysis in the amygdala regions of interest (ROIs) in the large MIT reference group ($n = 462$ subjects). Usable voxels were defined as those with a value exceeding 12.5% of the mean global signal and for every time point in the time-series [this corresponds to the default criterion for voxel inclusion in analyses conducted using the software Statistical Parametric Mapping (SPM8)]. On average, the percentage of valid voxels present in each ROI for a given participant was high in both hemispheres but was highly variable, in part because of variable signal dropout from well-known susceptibility artifacts in this region of the brain (left: mean = 90.20%, SD = 14.97%; right: mean = 94.71%, SD = 11.49%). We took this approach to prevent SPM’s standard group analysis from masking out brain regions where even a single subject might have no useable voxels. In the anatomical amygdala ROIs, a one-sample t test on usable voxels demonstrated activation to the Belief > Photo contrast of parameter estimates in both the left [$t(459) = 5.035$, $P < 0.000001$, 95% CI_{boot} (0.109, 0.247)] and right [$t(459) = 3.325$, $P < 0.001$, 95% CI_{boot} (0.043, 0.167)] amygdala. Corroborating this ROI analysis, a voxelwise whole-brain analysis including voxels with data in at least 100 subjects also revealed a response to the Belief > Photo contrast in both the left (voxel extent = 71; peak: $x = -20$,

$y = -6$, $z = -14$, $t = 6.419$) and right (voxel extent = 39; peak: $x = 22$, $y = -2$, $z = -16$, $t = 6.331$) amygdala (Fig. 1C).

We then used the estimated amygdala response in the MIT reference group to calculate the statistical power for observing an effect in each ROI in an independently conducted study. This analysis suggested that to achieve a detection power of 80%, a study would need to acquire 270 subjects for the left and 470 subjects for the right amygdala. At the typical sample size of 20 used in neuroimaging studies to date, detection power for the left and right amygdala was estimated to be 16.10% and 12.52%, respectively. Unsurprisingly, therefore, we did not observe reliable contrast in either ROI in the Caltech reference group ($n = 18$; $P_s > 0.50$). However, we did find that individual differences in amygdala activation in the Belief > Photo contrast were significantly associated with activation in several cortical regions of the false-belief network, namely, the superior temporal sulcus and temporoparietal junction bilaterally and the precuneus (Table 1). Although not statistically reliable when taken individually, the correlations of amygdala activation with the remaining cortical ROIs were all positive (minimum $r = 0.32$). Taken together, these findings support the idea that the amygdala contributes to the functioning of the false-belief network, even though its activation is not generally reported.

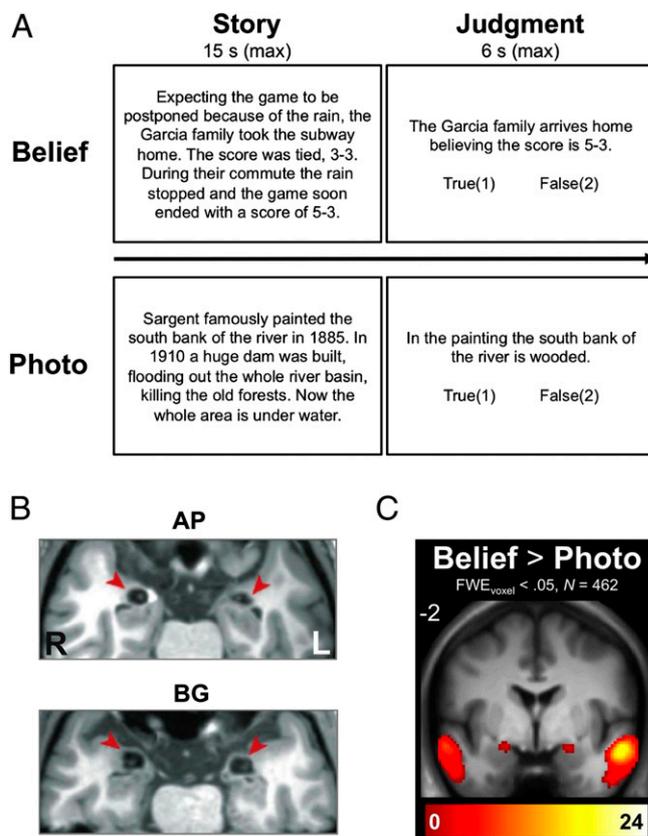


Fig. 1. Study design and rationale. (A) Schematic showing the design of the False-Belief Localizer task. The rows show the Story and Judgment screens for an actual trial in the False-Belief and False-Photo conditions. (B) Structural MRIs showing each patient’s amygdala lesions. Displayed are 1-mm isotropic T1-weighted MRI transverse sections of the patients’ anterior medial temporal lobes. Red arrows highlight focal calcification damage in the amygdalae of patients AP and BG. (C) Evidence that the Belief > Photo contrast activates bilateral amygdala in the typically developing brain. L, left; R, right.

Table 1. Correlation of individual differences in the Caltech reference group between activation to the Belief > Photo contrast in amygdala and cortical ROIs and percent correct during performance of the False-Belief Localizer task

Region name	Amygdala (AAL)		Percent correct	
	Left	Right	Belief	Photo
L Amygdala (AAL)	—	0.77**	0.32	0.48*
R Amygdala (AAL)	0.77**	—	0.06	0.08
L TPJ	0.48*	0.42	0.62**	0.23
R TPJ	0.55*	0.50*	0.40	0.18
Precuneus	0.60**	0.50*	0.41	0.23
DMPFC	0.35	0.32	0.40	-0.02
MMPPFC	0.43	0.43	0.32	-0.00
VMPFC	0.33	0.41	0.25	-0.03
R STS	0.72***	0.76***	0.35	0.08

Amygdala ROIs are from the Automated Anatomical Labeling atlas (AAL). DM, dorsomedial; L, left; MM, mid-medial; PC, precuneus; PFC, prefrontal cortex; R, right; STS, superior temporal sulcus; TPJ, temporoparietal junction; VM, ventromedial. Probability values (uncorrected): * $P < 0.05$, ** $P < 0.01$, *** $P < 0.001$.

Cortical Responses to False-Belief Reasoning in the Patient and Reference Groups.

Whole-brain responses. Fig. 2 displays whole-brain renderings of the thresholded Belief > Photo contrast estimated for the two reference groups, in patient AP, and in patient BG. Table S1 lists the cortical regions surviving correction in each whole-brain analysis. In terms of gross visual comparison, both patients show largely typical cortical responses to false-belief reasoning. The analyses that follow aim to determine if the patient cortical response shows any sign of abnormality.

Comparison with Caltech reference group. We first compared the patient responses with those of the Caltech reference group ($n = 18$), whose data were collected using the same scanner and task used with the patients (although the task was translated into German for patient BG). Given the relatively small size of the Caltech reference group, we used a bootstrapping procedure to create a distribution of the average response for every possible combination of two individuals. This procedure yielded a bootstrapped population estimate based on 153 groups of two, which we used as a reference to evaluate the typicality of the average response on every outcome observed in the two patients.

Using the MIT group-level unthresholded and gray matter-masked Belief > Photo contrast map as a benchmark ($n = 462$), we first determined if the overall spatial response pattern observed in the Caltech group was more typical than that in the patient group. The result of this comparison is shown in Fig. 3. Compared with the average correlation of the bootstrapped Caltech distribution ($r_{\text{mean}} = 0.50$), the patients showed no evidence of atypical response patterns in session 1 ($r_{\text{mean}} = 0.50$; $P_{\text{typical}} = 0.985$), and this typical response pattern was reproduced in the data collected during the patients' second session ($r_{\text{mean}} = 0.54$; $P_{\text{typical}} = 0.506$).

We next examined the pattern of response in a mask containing all a priori functional ROIs that were defined on the basis of the Belief > Photo contrast in the MIT reference group (Fig. S2). As before, we used the spatial pattern observed in the MIT reference group as a benchmark. Compared with the average correlation of the bootstrapped Caltech distribution ($r_{\text{mean}} = 0.49$), the patients again showed no evidence of atypical response patterns in session 1 ($r_{\text{mean}} = 0.48$; $P_{\text{typical}} = 0.971$), and once again this typical response pattern was reproduced in session 2 ($r_{\text{mean}} = 0.54$; $P_{\text{typical}} = 0.425$).

Finally, we examined the magnitude (mean and peak) and peak location (x -, y -, and z -coordinates) of the patient response in each of the seven functional ROIs. Response magnitude

results are shown in Table 2. Mirroring the response pattern analyses reported above, the patients did not demonstrate a response that was reliably atypical across the two sessions. In fact, fewer than 3% of the comparisons performed within each session showed evidence of an abnormality, reflecting a false-positive rate that would be expected by chance alone.

Comparison with the MIT reference group. We capitalized on the large MIT reference group to perform a comparison focused on the individual patient response data. We compared the whole-brain spatial pattern of the Belief > Photo contrast for each patient with that of each individual in the MIT reference group ($n = 462$). To create a leave-one-out reference distribution, we took each individual in the MIT reference group and computed the mean correlation of their whole-brain response with the remaining members of the MIT reference group. This procedure yielded a distribution of 462 correlation values (mean = 0.14, SD = 0.07) that we used to test the null hypothesis that each patient's correlation with the MIT Reference group was abnormal.

For patient AP, we observed no evidence for an atypical response pattern when examining the whole-brain contrast from both session 1 ($r_{\text{mean}} = 0.21$; $P_{\text{typical}} = 0.306$) and session 2 ($r_{\text{mean}} = 0.22$; $P_{\text{typical}} = 0.256$). For patient BG, we similarly failed to observe any evidence for atypical responses in both session 1 ($r_{\text{mean}} = 0.22$; $P_{\text{typical}} = 0.237$) and session 2 ($r_{\text{mean}} = 0.26$; $P_{\text{typical}} = 0.091$). For both patients and across both sessions, we also observed no evidence for atypical response patterns when restricting the space to the functionally defined false-belief network (all P s > 0.140).

Discussion

We used fMRI to examine cortical function during false-belief reasoning in two patients with rare bilateral amygdala lesions. When comparing the patients with two neurologically healthy reference groups, we found remarkably clear evidence for typical behavioral performance and cortical responses in the patient group. Moreover, this finding was replicated in a second session. These results indicate that the amygdala is not necessary for either the behavioral or neural expression of ToM. However, this

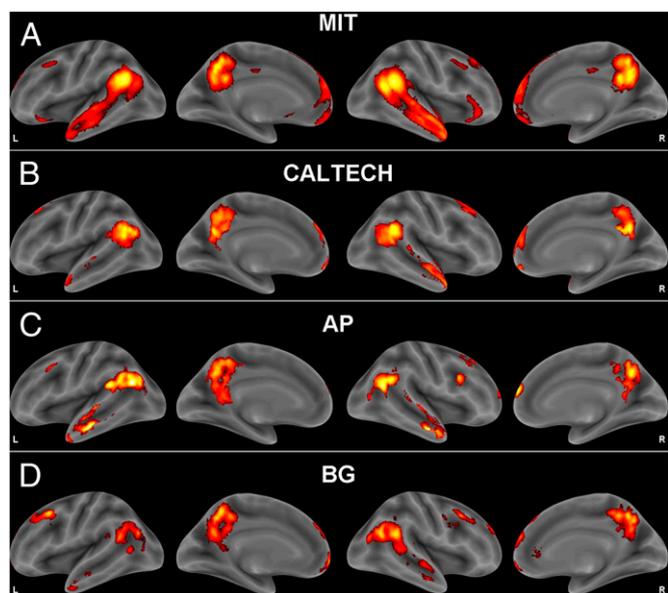


Fig. 2. Whole-brain renderings of the Belief > Photo contrast in the MIT reference group ($n = 462$; corrected at a voxel-level familywise error of 0.05) (A), the Caltech reference group ($n = 18$; corrected at a cluster-level familywise error of 0.05) (B), and the amygdala-lesion patients AP (C) and BG (D) (both estimated using combined data from their two independent sessions and corrected at a cluster-level familywise error of 0.05). L, left; R, right.

studies of ToM in adulthood (23, 25, 26, 28). Developmentally transient amygdala function could account for the findings observed in the present study: The amygdala may well be necessary early in development to acquire normal ToM abilities but become inessential once this function has been offloaded to the mature cortical network for false-belief reasoning. The view that amygdala function may be most important for ToM early in development is supported by evidence suggesting that it plays a critical role in the early expression of joint attention (50, 51), which is thought to be a developmental precursor to ToM (52). Unfortunately, we do not know the age of onset of amygdala lesions in our patients, although we have surmised that their diseases calcified the amygdala around age 10 y (53). Other patients with amygdala lesions, some of them children and adolescents, are available, so in future studies it could be possible to probe ToM abilities across development in such a group (46).

Finally, it should be emphasized that the False-Belief Localizer engages ToM under the demands of a specific experimental task and depends strongly on language. When explicit cues are absent, as is the case in most natural social environments, evidence suggests that patients with amygdala lesions fail to exhibit the spontaneous use of ToM (14). Furthermore, there are a variety of ToM tasks that do not depend on language. Thus it would be important to test both performance and brain activation patterns in patients who have amygdala lesions on such a larger battery of ToM tasks. It remains possible that, even in adulthood, the amygdala plays a key role in the bottom-up control of cortical networks for ToM use, but this role may be revealed only on tasks that are relatively implicit in their cognitive demands, such as nonverbal tasks. This suggestion highlights the more general theme that ToM is quite heterogeneous in its behavioral expression, operational definition, and neural correlates (28, 35, 36). A more comprehensive investigation, such as the one in the present paper but over a larger battery of ToM tasks, could help parse that heterogeneity into types that do not depend on the amygdala and types that may.

Conclusion

We have shown that the amygdala is not a necessary component or modulator of the cortical network for false-belief reasoning assessed with the False-Belief Localizer. Conditional on the caveats we enumerated above, this conclusion was quite robust in our data: It held clearly for whole-brain and ROI-based analyses, and it was replicated across two different patients and across two experimental sessions in each patient. We also documented that the amygdala is indeed activated in healthy participants in the False-Belief Localizer, but that statistical power for detecting its activation requires unusually large sample sizes. Our study provides previously unidentified evidence concerning the amygdala's role in ToM processes and more generally demonstrates the power of combining lesion and fMRI studies in the same individuals.

Materials and Methods

Participants.

Patient group. The patient group originally included three females (referred to herein as "AP," "AM," and "BG") who had focal bilateral amygdala lesions caused by Urbach-Wiethe disease (34). AP is an English-speaking American, was 27 y of age at testing, has worked since she obtained her Bachelor's degree, and is fully right-handed. AM and BG are identical twin sisters from rural southern Germany. They were 36 y of age at testing, are married with children, have been in full-time employment since they completed 13 y of education in Germany. Although BG is fully right-handed, her sister AM is fully left-handed. Given that our control groups were entirely right-handed, and that the False-Belief Localizer task features strong language demands and produces hemispherically asymmetric cortical responses, we chose to exclude AM's data from the present study. Hence, our final patient group consisted of AP and BG, who both have IQs in the average range [BG: Hamburg-Wechsler Intelligence Test for Adults-Revised (HAWIE-R) score: 96;

AP: Wechsler Abbreviated Scale of Intelligence (WASI) score: 98] (54). Their lesions are similarly symmetric and confined to the amygdala (BG, 1.15 cm³; AP, 0.71 cm³). The damage includes complete ablation of the basolateral amygdala with minor damage to other amygdaloid regions, including anterior and ventral regions at the rostral level and lateral and medial parts of the central nucleus and amygdalo-hippocampal area at the caudal level (Fig. 1A). Each patient participated in two separate sessions, both of which involved performing the False-Belief Localizer while undergoing fMRI at the Caltech Brain Imaging Center (CBIC).

The two patients with amygdala lesions were compared with two healthy comparison groups. The first group, the Caltech reference group, provided the closest comparison, because participants were scanned on the same scanner and task as the amygdala patients; the second group, the MIT reference group, provided a larger and more generalizable independent reference group against which our data could be compared. Given that published data on a large sample has documented that there are no apparent age and sex differences in responses to the False-Belief Localizer (40), we included participants regardless of age and sex to maximize the size of our reference groups.

Caltech reference group. The first reference group consisted of 18 neurologically healthy adults (13 males and 5 females; mean age, 28.44 y; age range, 21–46 y), all of whom performed the most recent version of the False-Belief Localizer while undergoing fMRI at the CBIC. Each participant was neurologically and psychiatrically healthy, had normal or corrected-to-normal vision, spoke English fluently, had IQ in the normal range (as assessed using the WASI), and was not pregnant or taking any psychotropic medications.

MIT reference group. The second reference group consisted of 462 neurologically healthy adults (223 males, 239 females; mean age, 24.9 y; age range, 18–69 y), all of whom performed some version of the False-Belief Localizer while undergoing fMRI at the Martinos Imaging Center for Brain Research at MIT between 2006 and 2013. Complete details about this reference group can be found in Dufour et al. (40).

All participants in the three groups provided written informed consent according to protocols approved by the Institutional Review Boards of the California Institute of Technology or MIT and were compensated monetarily for their time.

False-Belief Localizer Task. The patient and Caltech reference groups performed the most recent version of the publicly available False-Belief Localizer (Fig. 1B) (22) (downloaded from saxelab.mit.edu/tomloc.zip, version September 7, 2011). The MIT reference group performed either this most recent (English) version of the task or one of several earlier versions that featured the same conceptual contrast, namely, False-Belief versus False-Photo verbal scenarios, but which differed in one or more minor methodological details (for further details, see ref. 40). Additional information about the task and the analysis of behavioral outcomes are provided in *SI Materials and Methods*.

Image Acquisition. Imaging data for the patient group and the Caltech reference group was acquired using a Siemens Trio 3.0-Tesla MRI scanner outfitted with a 32-channel phased-array head-coil. We acquired 242 T2*-weighted echoplanar image (EPI) volumes (slice thickness = 3 mm, 47 slices, TR = 2,500 ms, TE = 30 ms, flip angle = 85°, matrix = 64 × 64, FOV = 192 mm). We also acquired a high-resolution anatomical T1-weighted image (1 mm isotropic) and field maps for each participant. Imaging data for the MIT control group was acquired using a Siemens 3.0-Tesla MRI scanner outfitted with a 32-channel ($n = 74$) or 12-channel ($n = 388$) head-coil (variable slice thickness; in-plane resolution of 3.125 × 3.125 mm; TR = 2,000 ms; TE = 30 ms; flip = 90°).

Image Analysis. Image preprocessing and analysis was conducted using Statistical Parametric Mapping (SPM8; Wellcome Department of Cognitive Neurology, London). Details regarding the preprocessing pipeline and single-subject model estimation are provided in *SI Materials and Methods*. Following model estimation, we computed the Belief > Photo contrast image for each participant, along with a statistical t-image indexing the reliability of the Belief > Photo contrast across the whole brain. Our analyses are focused on this latter contrast and were aimed at answering the question: Is this image atypical in our patient group compared with either the Caltech or MIT reference groups?

To empirically estimate the typical distribution of activity from the smaller Caltech reference group ($n = 18$), we used a bootstrapping procedure to construct a distribution of the average response for every possible combination of two individuals [in MATLAB: `nchoosek(1:18, 2)`]. This procedure yielded a bootstrapped population estimate based on 153 groups of two,

which we used as a reference to evaluate the typicality of the average response of patient AP and BG.

Using the MIT group-level unthresholded and gray matter-masked Belief > Photo contrast map as a benchmark ($n = 462$), we first determined if the overall spatial response pattern observed in the Caltech group was more typical than that in the patient group. We next examined the pattern of response in a mask containing all a priori functional ROIs that were defined on the basis of the Belief > Photo contrast in the MIT reference group. As before, we used the spatial pattern observed in the MIT reference group as a benchmark. Finally, we examined the magnitude (mean and peak) and peak location (x -, y -, and z -coordinates) of the patient response in seven cortical ROIs. These ROIs were defined from the group-level contrast observed in the MIT reference group in a manner consistent with previous literature (21, 22): the right and left temporoparietal junction, the precuneus, the dorsal, middle, and ventral components of the medial prefrontal cortex, and the right superior temporal sulcus. These ROIs are displayed in Fig. S2.

We capitalized on the large MIT reference group to perform a comparison focused on the individual patient response data. We compared the whole-brain (gray matter-masked) spatial pattern of the Belief > Photo contrast for each patient with each individual in the MIT reference group ($n = 462$). To create a leave-one-out reference distribution, we took each individual in the MIT reference group and computed the mean Pearson correlation of their whole-brain response with each remaining member of the MIT reference group. For both AP and BG and for each session separately, we computed the Pearson correlation of their whole-brain response with every member of the MIT reference group. We then compared the mean of the resulting correlation distribution with the actual typical distribution of such correlation means estimated from the MIT group.

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