Augmenting NMDA receptor signaling boosts experience-dependent neuroplasticity in the adult human brain

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Experience-dependent plasticity is a fundamental property of the brain. It is critical for everyday function, is impaired in a range of neurological and psychiatric disorders, and frequently depends on long-term potentiation (LTP). Preclinical studies suggest that augmenting N-methyl-D-aspartate receptor (NMDAR) signaling may promote experience-dependent plasticity; however, a lack of noninvasive methods has limited our ability to test this idea in humans until recently. We examined the effects of enhancing NMDAR signaling using D-cycloserine (DCS) on a recently developed LTP EEG paradigm that uses high-frequency visual stimulation (HFvS) to induce neural potentiation in visual cortex neurons, as well as on three cognitive tasks: a weather prediction task (WPT), an information integration task (IIT), and a n-back task. The WPT and IIT are learning tasks that require practice with feedback to reach optimal performance. The n-back assesses working memory. Healthy adults were randomized to receive DCS (100 mg; n = 32) or placebo (n = 33); groups were similar in IQ and demographic characteristics. Participants who received DCS showed enhanced potentiation of neural responses following repetitive HFvS, as well as enhanced performance on the WPT and IIT. Groups did not differ on the n-back. Augmenting NMDAR signaling using DCS therefore enhanced activity-dependent plasticity in human adults, as demonstrated by lasting enhancement of neural potentiation following repetitive HFvS and accelerated acquisition of two learning tasks. Results highlight the utility of considering cellular mechanisms underlying distinct cognitive functions when investigating potential cognitive enhancers.

Significance

Experience-dependent plasticity is the capacity of the brain to undergo changes following environmental input and use, and is a primary means through which the adult brain enables new behavior. In the current study, we provide evidence that enhancing signaling at the glutamate N-methyl-D-aspartate receptor (NMDAR) can enhance the mechanism underlying many forms of experience-dependent plasticity (i.e., long-term potentiation of synaptic currents) and also enhance experience-dependent learning in healthy adult humans. This suggests exciting possibilities for manipulating plasticity in adults and has implications for treating neurological and neuropsychiatric disorders in which experience-dependent plasticity is impaired.
LTP-like processes are impaired in patients with depression (11), bipolar disorder (12), and schizophrenia (13, 14). Sensory LTP protocols therefore provide a valuable window into the cellular mechanism thought to underlie many forms of experience-dependent plasticity.

One potential method for promoting experience-dependent plasticity is to augment NMDAR signaling. The NMDAR is a primary glutamate receptor and is critical for triggering LTP at many synapses in the brain. This role stems from the receptor’s unique biophysical properties, including that (i) NMDARs are blocked by a magnesium ion at rest such that they are dually voltage and ligand gated and therefore detect coincident pre-synaptic and postsynaptic activity; (ii) NMDARs are calcium permeable and therefore initiate signaling cascades when activated, leading to structural synaptic changes such as α-amino-3-hydroxy-5-methyl-4-isoxazolepropionic acid (AMPA) receptor up-regulation and enlarged dendritic spines on which synapses are localized; and (iii) NMDARs have slow excitatory post-synaptic potential (EPSP) decay kinetics that facilitate temporal summation of EPSPs and sustained neural excitation (15, 16). Studies of transgenic and knockout mice showed that blocking NMDARs impair LTP as well as learning and memory performance. Conversely, enhancing NMDAR activity enhanced LTP and the acquisition and retention of information (17). Given the role of NMDARs in triggering the cellular machinery that supports experience-dependent plasticity, augmenting NMDAR signaling may offer a powerful means to promote LTP and learning in humans.

In the current study, we used the NMDAR agonist d-cycloserine (DCS) to examine how augmenting NMDAR signaling affects LTP-like processes and learning in the adult human brain. NMDARs are tetramers composed of two NR1 and two NR2A/B subunits. Activation requires binding of glutamate to the NR2 subunit and concurrent binding of glycine or d-serine to the NR1 subunit (18). Although direct enhancement of NMDAR signaling via the glycine site can produce excitotoxicity, indirect stimulation via the glycine site offers a safer method for facilitating activity. DCS is a partial agonist at the glycine site that readily crosses the blood–brain barrier, is approved by the Food and Drug Administration for daily use as an antituberculous drug, and has few side effects at low doses. Thus, DCS offers a safe means to augment NMDAR signaling at low doses.

Using a double-blind design, we randomized healthy adults to receive DCS or placebo. We examined the effects of augmenting NMDAR signaling on two indices of experience-dependent plasticity: (i) LTP and (ii) incremental learning. Participants completed the visual LTP task using high-frequency visual stimulation (HFvS) to induce potentiation of visual cortex neurons, followed by a weather prediction task (WPT) (19), an information integration task (IIT) (20), and an n-back task. The WPT and IIT are incremental learning tasks in which stimulus–feedback associations are thought to be encoded by LTP at corticostriatal synapses (21, 22). The n-back is a spatial working memory task. Working memory relies on reverberating activity in cortical microcircuits over short delays to maintain information in the absence of stimuli and, thus, does not rely on LTP (23). To facilitate dissociation of the effects of DCS on experience-dependent plasticity versus working memory, the n-back task was designed to be identical to the IIT in stimuli and trial structure. Thus, the only difference participants experienced between the tasks was whether they were asked to learn about the stimuli (i.e., for the IIT) or recall whether stimuli were in the same location on the screen as recently shown stimuli (i.e., for the n-back). To assess potential delayed effects of DCS, participants returned to the laboratory the following day to repeat cognitive testing.

No drug or placebo was administered on the second day. The only difference participants experienced between the groups was the administration of DCS or placebo. We examined the effects of augmenting NMDAR signaling on two indices of experience-dependent plasticity: (i) enhanced neural potentiation following HFvS on the LTP task; (ii) enhanced performance on the WPT and IIT; and (iii) similar performance on the n-back task, compared with Placebo participants.

**Results**

**Participants.** Sixty-five healthy adults enrolled in the study and received DCS (n = 32) or placebo (n = 33). Randomization yielded groups that were well-matched in age [t(63) = 0.16, P = 0.87], gender [χ² = 0.01, P = 0.91], and IQ [t(63) = -0.08, P = 0.94] (Table 1).

**Visual Evoked Potential Responses.** To examine the effects of enhancing NMDAR signaling on LTP-like processes in the human brain, we compared changes in visual evoked potentials (VEPs) to a black-and-white checkerboard stimulus following HFvS in participants who received DCS versus those who received placebo. VEPs were assessed for 4 min immediately before HFvS to establish baseline neural responses, and during four post-HFvS blocks that occurred at 2–4, 4–6, 20–22, and 120–122 min following HFvS (SI Methods). The VEP complex was prominent at midline parietal-occipital channels and included a negative component, C1, that peaked at Oz at 100.79 ms (SD = 7.20) in Placebo participants and 102.06 ms (SD = 9.07) in DCS participants, and a positive component, P2, that peaked at Oz at 195.40 ms (SD = 29.03) in Placebo participants and 197.39 ms (SD = 24.10) in DCS participants (Fig. 1 A and B). C1 and P2 latencies did not differ between groups. For description of the time course of C1 and P2 plasticity following HFvS, see SI Results.

**DCS Enhanced Potentiation of VEP Components.** There were no differences in baseline amplitude of C1 [t(63) = 0.39, P = 0.70] or P2 [t(63) = 0.24, P = 0.81] between DCS and Placebo participants, indicating that DCS did not affect general neural excitability (SI Results). HFvS modulated C1 and P2 in both groups (SI Results), however, DCS significantly enhanced potentiation of both C1 and P2 following HFvS. Repeated-measures ANOVA on C1 amplitude change from baseline across the four post-HFvS blocks revealed that DCS participants showed greater potentiation overall compared with Placebo [F(1,63) = 4.92, P = 0.03], due to less depression of C1 during early post-HFvS blocks and greater potentiation of C1 during the last post-HFvS block (Fig. 2A). The Group by Block interaction was not significant.

Similarly for P2, repeated-measures ANOVA revealed a significant effect of Group overall, due to the DCS group showing greater potentiation of P2 across all post-HFvS blocks compared with Placebo [F(1,63) = 6.08, P = 0.02] (Fig. 2B). The Group by Block interaction was not significant. Parallel analyses using C1–P2 peak-to-peak amplitude also showed enhanced potentiation across post-HFvS blocks in the DCS group (SI Results). These results indicate that enhancing NMDAR signaling augmented potentiation of neural responses for 2 h following HFvS compared with Placebo, consistent with our first hypothesis.

**Table 1. Demographic characteristics of Placebo and DCS participants**

<table>
<thead>
<tr>
<th>Group</th>
<th>n</th>
<th>Age (SD)</th>
<th>Sex</th>
<th>WASI IQ (SD)</th>
</tr>
</thead>
<tbody>
<tr>
<td>Placebo</td>
<td>33</td>
<td>20.55 (2.41)</td>
<td>19 F/14 M</td>
<td>120.42 (9.33)</td>
</tr>
<tr>
<td>DCS</td>
<td>32</td>
<td>20.59 (2.69)</td>
<td>18 F/14 M</td>
<td>120.78 (8.23)</td>
</tr>
</tbody>
</table>
DCS Enhanced Experience-Dependent Learning. The WPT is a probabilistic classification learning task in which participants viewed combinations of cues that probabilistically predicted sun or rain. Following each response, participants were shown feedback regarding the actual outcome for each trial (SI Methods). Successful learning of associations between cues and probabilistic outcomes is thought to depend on LTP at corticostriatal synapses (21, 22). Both groups showed successful learning on the WPT, as indicated by improved performance over trial blocks \( F(3,180) = 10.35, P < 0.001 \) and days \( F(1,180) = 20.05, P < 0.001 \). However, the DCS group showed enhanced performance overall \( F(1,60) = 5.60, P = 0.02 \). Improved performance in the DCS group was evident within the first block of trials, indicating that DCS participants learned more rapidly and maintained gains over Placebo, despite no drug being given on the second day (Fig. 3A). No interactions of Group with Block or Day were significant.

The IIT is a classification learning task in which participants viewed sine-wave grating stimuli that varied in bar width and orientation. Participants were instructed to integrate the two dimensions and use auditory feedback to learn whether stimuli belonged to category A or B (SI Methods). Modeling of participant responses confirmed that the majority of participants \( (95\%) \) used the optimal information integration decision strategy to learn the IIT (SI Results). Similar to the WPT, although both groups showed learning across trial blocks \( F(3,171) = 7.48, P < 0.001 \) and days \( F(1,171) = 27.03, P < 0.001 \), participants who received DCS showed enhanced learning compared with participants who received placebo. Enhanced performance in the DCS group was particularly evident during early learning, as indicated by a significant Group by Block by Day interaction \( F(3,171) = 4.76, P = 0.003 \), due to the DCS group showing significantly enhanced performance during the first \( (P = 0.03) \) and second trial blocks on day 1 \( (P = 0.02) \). The DCS group also showed a trend toward enhanced performance during the fourth trial block on day 1 \( (P = 0.06) \) (Fig. 3B). Although correct responses remained higher for DCS than Placebo participants on the second day of testing when no drug was administered, this effect was not significant. Thus, consistent with our second hypothesis, DCS significantly enhanced acquisition of two incremental learning tasks.

In contrast to the effects of DCS on the IIT and WPT, DCS did not affect performance on the n-back. The n-back was a spatial working memory task with four memory loads (0- to 3-back). Both groups performed better at lower working memory loads \( F(3,180) = 146.26, P < 0.001 \) and showed practice effects over testing days \( F(1,180) = 35.33, P < 0.001 \). However, consistent with our third hypothesis, DCS and Placebo participants did not differ in working memory performance at any load. Thus, the main effect of Group and interactions of Group with Day and 
memory load were not significant (values of $P > 0.05$) (Fig. 3C). This lack of effect of DCS on the n-back task was evident despite identical stimuli, trial structure, and auditory feedback to the IIT (SI Methods). Furthermore, there were no group differences in reaction times on any task (SI Results).

Discussion

Augmenting NMDAR signaling using the partial agonist DCS enhanced experience-dependent plasticity as shown by persisting enhancement of neural potentiation following repetitive HFvS on the LTP task and enhanced acquisition of two incremental learning tasks. Thus, DCS augmented potentiation of the C1 and P2 components following HFvS, without affecting baseline neural excitability. DCS also improved acquisition of the WPT and IIT without affecting performance on the n-back working memory task. Together, these results suggest that DCS enhanced both a mechanism (i.e., LTP) and behavioral correlates of experience-dependent plasticity (i.e., incremental learning), and provide compelling evidence that enhancing NMDAR signaling can boost experience-dependent plasticity in the adult human brain.

LTP of synaptic currents is the most well-studied form of activity-dependent plasticity. It persists into adulthood, is frequently NMDAR dependent, and has been observed at subcortical and sensory cortex synapses (1–6). Although classical LTP studies used high-frequency electrical stimulation to induce LTP, HFvS also induces lasting potentiation of neural responses (8–14), and potentiated neural responses following HFvS show cardinal features of synaptic LTP (7, 8). In the current study, participants who received DCS showed greater potentiation of the VEP following HFvS compared with participants who received placebo. This is consistent with prior findings that DCS augmented increases in motor cortex excitability following anodal transcranial direct-current stimulation in humans (37) and augmented LTP in rat hippocampus following high-frequency electrical stimulation (38, 39). Our finding is also consistent with preclinical studies demonstrating that potentiation of the VEP following HFvS is NMDAR dependent (8).

It is interesting to note that both Placebo and DCS participants showed depression of C1 in the early minutes following HFvS, before showing potentiation at 2 h post-HFvS. C1 is the first major visual event-related potential component and is generated by neurons in primary visual cortex (40). Although one study using a similar LTP paradigm in humans found that C1 was potentiated up to 22 min following HFvS (13), additional studies found no change or a trend toward reduced C1 amplitude up to 28 min following HFvS (11, 12). Given that VEPs measured by EEG capture the electrical discharge of populations of neurons, this variable direction of C1 plasticity may reflect interactive effects of homosynaptic LTP and heterosynaptic LTD across visual cortex synapses, where homosynaptic synapses are those synapses that are directly activated by electrical stimulation and heterosynaptic synapses are nearby synapses that are not directly activated by stimulation. Traditional investigations of LTP have focused on homosynaptic plasticity. However, a growing body of research indicates that the same procedures that induce plasticity in one direction in a given synaptic pathway frequently induce inverse plasticity at adjacent synapses (41–43). Thus, stimulation that results in LTP at tetanized, homosynaptic synapses has been shown to produce LTD at non-tetanized, heterosynaptic synapses, such that minimal change in total synaptic weight occurs over a population of neurons (42). Such heterosynaptic plasticity is thought to represent a homeostatic mechanism to provide stability at the neural system level (for review, see ref. 41). Importantly, whereas homosynaptic plasticity is associative and usually NMDAR dependent, heterosynaptic plasticity is nonassociative and usually depends on non-NMDAR mechanisms such as retrograde signaling by nitric oxide and endocannabinoids. Few studies have investigated the time course of heterosynaptic plasticity in neocortical regions of mature animals; however, at least one study found that heterosynaptic depression induced in somatosensory neurons following high-frequency stimulation was more transient (<10 min) than the induced homosynaptic LTP (6). Although speculative, we suggest that the variable direction of C1 plasticity during early post-HFvS blocks in the current study may reflect the net effect of homosynaptic potentiation and heterosynaptic depression at the neuron population level. Nevertheless, our finding that DCS participants showed enhanced potentiation of C1 and P2 across post-HFvS assessments compared with Placebo is consistent with evidence that homosynaptic LTP is usually NMDAR dependent, and suggests that enhancing NMDAR signaling resulted in enhanced homosynaptic LTP in visual cortex neurons.

Enhancing NMDAR signaling also enhanced performance on the WPT and the IIT. The WPT and IIT both depend on incremental, feedback-based learning that is generally mediated by corticostriatal circuits, although prefrontal and medial temporal lobe structures may also be involved (21, 22, 44, 45). In particular, rapid acquisition of stimulus–outcome contingencies that coincides with early gains in learning is thought to be mediated by NMDAR-dependent LTP at dorsomedial striatal (DMS) synapses (21, 22, 46).
As stimulus–outcome contingencies are consolidated and motor responses are increasingly automatized during late learning, performance asymptotes. In contrast to early learning, later expression of automated responses is thought to be supported by LTD at dorsolateral striatal synapses following metabotropic glutamate and D2 dopamine receptor activation (22, 46). In the current study, enhanced performance in the DCS group was evident within the first block of 80 trials for both the IIT and the WPT. This suggests that augmenting NMDAR signaling facilitated the encoding of stimulus–outcome contingencies, possibly by accelerating or augmenting LTP at DMS synapses. Our findings of enhanced acquisition of the WPT and IIT are consistent with other findings of enhanced incremental learning following DCS administration, including on category learning (28), motor learning (25), and mental rotation learning tasks (47).

In contrast to the WPT and IIT, DCS participants did not differ from Placebo participants on the n-back, despite identical stimuli and trial structure between the IIT and n-back. This dissociation of cognitive effects is consistent with an emerging neurobiological framework that suggests that transient plasticity that underlies working memory is modulated in a fundamentally different way than lasting plasticity changes that support learning and memory consolidation (23, 48). Thus, whereas experience-dependent learning is thought to be mediated by lasting structural changes at dendritic spines following NMDAR-induced signaling cascades, short-term representation of stimuli for spatial working memory is thought to be mediated by transient, persistent firing of dorsolateral prefrontal cortex (dIPFC) microcircuits over brief delays. These working memory microcircuits involve glutamatergic pyramidal neurons in layer III dIPFC with similar spatial tuning that excite each other via AMPA and NMDA receptors, and GABAergic interneurons that inhibit neurons with dissimilar spatial tuning. Sufficient NMDAR activity is necessary to generate reverberating activity; however, it is lateral inhibition from GABAergic interneurons and dynamic modulation from acetylcholine and dopamine that is thought to enhance neuron firing for preferred directions, reduce firing for nonpreferred directions, and sculpt network activity to define the specifics of mental representation (23). This framework suggests that, given the minimum NMDAR activation necessary to produce transient, persistent firing, further NMDAR activation should have relatively limited effect on working memory performance. Our finding of similar working memory performance between DCS and Placebo groups is consistent with this theory and is in line with prior studies that failed to find effects of DCS on working memory in healthy volunteers or patient groups (27, 34–36). This dissociation of effects of DCS on experience-dependent learning versus working memory highlights the importance of considering the cellular mechanisms underlying distinct cognitive functions and demonstrates how investigating targeted hypotheses that capitalize on basic cognitive neuroscience can help reconcile discrepant effects of potential cognitive-enhancing drugs.

Some limitations to the current study should be noted. First, the current investigation was limited to healthy young adults. Studies in healthy participants provide critical information about potential mechanisms through which procognitive drugs exert their effects, as well as early feedback on how drugs affect specific cognitive domains and ideas for how effects may best be investigated in patient groups (49). Nevertheless, given that a key motivation for investigating effects of enhancing NMDAR signaling is to identify manipulations that can ameliorate plasticity deficits in patient populations, parallel studies in older adults and patient groups are an obligatory next step. Additionally, investigation of prolearning effects of DCS in the current study was limited to classification learning tasks that depend primarily on corticostriatal circuits. NMDAR-dependent LTP is thought to underlie distinct forms of learning in distributed circuits. For example, fear learning is thought to be encoded by LTP at lateral amygdala synapses (4), whereas motor sequence learning is thought to be encoded by LTP in motor cortex synapses (2).

Other studies have shown that DCS can enhance learning mediated by other circuits, including fear (24) and motor learning (25), and indeed, some of the most reliable effects of DCS have been found when administered before cognitive behavioral therapy (CBT) exposure sessions to enhance fear extinction (ref. 29; but see also refs. 30 and 31). Future studies that systematically assess the effects of DCS on learning across multiple neural circuits will strengthen our understanding of the distributed effects of augmenting NMDAR signaling on experience-dependent plasticity. Finally, the current study did not assess how varying the parameters of DCS administration affects the efficacy of DCS. For example, some evidence suggests that the NMDAR complex may show desensitization when DCS is administered repeatedly (50). Although beyond the scope of the current study, future studies that characterize the optimal time window for DCS administration and examine whether effects of DCS persist with chronic dosing will be critical before practical applications of DCS can be realized.

Taken together, the current results provide compelling evidence that enhancing NMDAR signaling can augment experience-dependent plasticity in the adult human brain. Effects of DCS were seen across tasks probing both the mechanism thought to underlie many forms of experience-dependent plasticity (i.e., LTP) and behavioral correlates of experience-dependent plasticity (i.e., acquisition of incremental learning). These findings suggest exciting possibilities for using NMDAR agonists to help ameliorate plasticity deficits in neurodegenerative and psychiatric disorders. Our results complement a growing literature that suggests that DCS can enhance new learning during CBT interventions (29, 32) and cognitive training programs (33, 34). The dissociation of effects of DCS on incremental learning versus working memory highlights the importance of capitalizing on progress in basic cognitive neuroscience to develop more specific hypotheses for targets of cognitive-enhancing drugs.

Methods

Participants and Procedures. Healthy volunteers between 18 and 30 y completed the study (SI Methods). Study procedures were approved by the University of California, Los Angeles, Human Participants Institution Review Board, and written informed consent was obtained from all participants.

Testing consisted of a 2-d, randomized, double-blind 100-mg DCS versus placebo design. On the first day, DCS or placebo was administered as encapsulated pills. EEG testing began 3 h later, followed by cognitive testing (SI Methods). To explore potential delayed effects of DCS on memory consolidation (31), participants returned to the laboratory the next day to repeat the cognitive tasks. No drug or placebo was administered on the second day.

LTP Task. The LTP task was adapted from Cavus¸ et al. (13) and assessed VEPS in 2-min blocks before and after exposure to HFsV. VEPS were assessed to a standard circle stimulus filled with a black-and-white checkerboard pattern, presented at 0.83 Hz. The HFsV block consisted of repeated presentation of the standard circle at ∼8.87 Hz for 2 min. For additional details on the task and on EEG recording and processing, see SI Methods.

Cognitive Tasks. The WPT was a probabilistic classification task adapted from Wagenshmidt and Ashby (20). The IIT consisted of four blocks of 80 trials separated by 10-s rest periods, for 320 total trials. Stimuli belonged to category A or B, and consisted of circular sine-wave gratings that varied in bar width and bar orientation. On each trial, a single stimulus was presented in one of four quadrants of the screen for 2 s. Participants were instructed to integrate information about the two dimensions and choose which stimuli belonged to category A or B; following response, participants were provided auditory feedback for 500 ms indicating whether they made a correct or incorrect response. There was also a spatial working memory task where stimuli were presented on a circle, which was designed to be identical in stimuli and trial structure to the IIT. Thus, participants viewed a sequence of 80 stimuli at each memory load, and on each trial, were asked to respond “yes” or “no,” whether the stimulus was in the same quadrant
on the screen as the stimulus they saw n trials earlier. See SI Methods for additional details on the cognitive tasks.

**Statistical Analyses.** Statistical analyses were conducted with SPSS, version 22.0 (SPSS). Independent-samples t tests assessed group differences in age and IQ, and a χ² test assessed differences in gender.

**LTP analyses.** C1 and P2 components were identified at midline occipital channels and were analyzed using the channels available for all participants that showed the largest amplitude for each component. Thus, C1 analyses used Oz, Iz, O1, O2, and P2 analyses used POz, Oz, Iz, PO1, O1, O2. As initial analyses showed no interactions of channel with Group or Block, average amplitude across the four C1 channels and six P2 channels were used for all analyses. Paired-samples t tests showed no differences between the two baseline VEP blocks for either group for C1 or P2; thus, amplitudes for the two baseline blocks were averaged to yield one baseline. To first characterize the time course of HVs 5 effects, component amplitudes were investigated using Group by Block repeated-measures ANOVA, followed by tests of simple contrast to baseline (SI Results). Next, to obtain a measure of potentiation induced by HVs 5, baseline VEP amplitude was subtracted from each post-HV5 amplitude. Group differences in potentiation were assessed using Group by Block repeated-measures ANOVA.

**Cognitive analyses.** Percent correct responses per 80-block trials were calculated for each cognitive test. For the WPT, trials for cue combinations that equally predicted sun and rain (i.e., probability of 0.5) were excluded. Group differences in accuracy and reaction time were assessed using Group by Block repeated-measures ANOVA. An α value of 0.05 was used to determine significance for all analyses.

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