Anthropogenic disturbances jeopardize biodiversity conservation within tropical rainforest reserves

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Anthropogenic disturbances affecting tropical forest reserves have been documented, but their ecological long-term cumulative effects are poorly understood. Habitat fragmentation and defaunation are two major anthropogenic threats to the integrity of tropical reserves. Based on a long-term (four decades) study, we document how these disturbances synergistically disrupt ecological processes and imperil biodiversity conservation and ecosystem functioning at Los Tuxtlas, the northernmost tropical rainforest reserve in the Americas. Deforestation around this reserve has reduced the reserve to a mediumsized fragment (640 ha), leading to an increased frequency of canopy-gap formation. In addition, hunting and habitat loss have caused the decline or local extinction of medium and large herbivores. Combining empirical, experimental, and modeling approaches, we support the hypothesis that such disturbances produced a demographic explosion of the long-lived (>120 y old, maximum height of 7 m) understory palm *Astrocaryum mexicanum*, whose population has increased from 1,243–4,058 adult individuals per hectare in only 39 y (annual growth rate of ca. 3%). Faster gap formation increased understory light availability, enhancing seed production and the growth of immature palms, whereas release from mammalian herbivory and trapping increased survival of seedlings and juveniles. In turn, the palm’s demographic explosion was followed by a reduction of tree species diversity, changing forest composition, altering the relative contribution of trees to forest biomass, and disrupting litterfall dynamics. We highlight how indirect anthropogenic disturbances (e.g., palm proliferation) on otherwise protected areas threaten tropical conservation, a phenomenon that is currently eroding the planet’s richest repositories of biodiversity.

Hunan activities operate as dominant drivers of biodiversity change and disruption of ecosystem processes in tropical forest ecosystems (1). Forest fragmentation modifies the structure, diversity, dynamics, and species composition of arboreal communities through habitat reduction and edge effects on tree mortality and recruitment rates (2, 3), liana proliferation (4), and invasive species (5). In addition, fragmentation reduces area of habitat necessary for many vertebrates (herbivores, seed predators, or seed dispersers) to maintain viable populations (6, 7). Fragmentation also facilitates patching of game animals (7, 8), which, in turn, disrupts trophic interactions that are critical for the maintenance of species diversity (9, 10). More specifically, fragmentation reduces population sizes of some shade-tolerant tree species while fostering the presence of generalist and pioneer plant species (5, 11). Additionally, defaunation is differential, leading to the disappearance or decline of medium and large herbivores (12), and favors the proliferation of large-seeded, shade-tolerant tree species (13). All these changes reduce tree diversity in forest fragments (14, 15). Studies documenting the effects of forest fragmentation on tree communities have mostly focused on canopy species (16). In contrast, effects on long-lived, understory plants have been studied poorly (17), although such plants may play important roles for maintaining forest structure, functioning, and dynamics (18).

Ecosystem-decay processes are not only happening in unprotected, human-modified landscapes but also within protected areas (19). A recent expert assessment analysis (5) uncovered that direct and indirect human disturbances are seriously affecting tropical forest reserves around the world. In the past three decades, 85% of 60 surveyed reserves suffered reductions in cover of the adjacent forest (fragmentation) and increased levels of hunting pressures. Although such study shows that human disturbances are major drivers of biodiversity loss and ecosystem decay in reserves, the underlying mechanisms and long-term cumulative effects are understood poorly.

We conducted a long-term ecological study (1975–2013) to assess the impacts of human disturbance on a reserve (Los Tuxtlas Tropical Field Station, hereafter referred to as LTS) that protects a fraction of the northernmost tropical rainforest in the Americas (20) (Fig. S1). In particular, we focus on impacts on the dominant (>1,000 mature individuals per hectare), long-lived, understory palm *Astrocaryum mexicanum* Liebm., and analyze the consequences of such impacts on the ecosystem as a whole. During 1975–1981, we found that the population of this palm was in demographic equilibrium (21). However, over the past three decades, the palm population has been increasing rapidly, evincing a demographic explosion. This explosion is having cascading consequences for the ecosystem, including changes in the understory plant community. For example, tree species richness and tree sapling abundance are negatively related to palm abundance, suggesting that this palm plays a critical role in structuring the tree community (22, 23).

Since the second half of the 20th century, high rates of deforestation have dramatically reduced forest cover in the vicinity of the study site (24). As a consequence, the reserve’s area has been reduced to a medium-sized forest fragment (640 ha), mostly surrounded by pasture (Fig. S1). This disturbance has exposed the reserve to considerable edge effects. Furthermore, synergistic...
effects of hunting and habitat loss have dramatically reduced populations of several medium and large mammalian herbivores (including tapirs, white-tailed deer, brocket deer, and white-lipped peccaries), causing their local extinction in some cases (25, 26).

The population explosion of *A. mexicanum* has resulted from these two convergent disturbances, leading to (i) increased seed production and palm growth as canopy-gap opening rates increased due to edge effects, and (ii) an increase of survival rates of seeds and young palms as mammalian seed predation, herbivory, and trampling declined due to defaunation.

To test the hypothesis that the demographic explosion of *A. mexicanum* is at least partly explained by fragmentation and defaunation, we measured population growth rate during the past four decades and assessed to what extent changes in density-dependent regulation affect population growth. We also examined whether fragmentation accelerates gap formation rates, and if so, what were the demographic consequences for the palm. In addition, we examined whether defaunation affected the demographic rates of *A. mexicanum*, exploring the palm’s life cycle stages more affected by fragmentation and defaunation, and the contribution of these stages to population growth. Finally, we assessed relationships between population increase of the palm and tree species diversity, forest composition, tree biomass, and litterfall dynamics. Our results strongly suggest that fragmentation and defaunation significantly correlate with the growth of an understory plant and this increase, in turn, affects understory plant diversity and ecosystem properties. Given the global importance of tropical rainforest ecosystems, and the widespread anthropogenic impacts on them, our findings are of broad significance.

**Results and Discussion**

**Long-Term Population Growth of *A. mexicanum***. During the past few decades (1975–2013), the palm population grew exponentially in all studied plots (*Methods*), with an average increase of 326% ($\lambda = 1.029$ or 2.9% per year; Fig. 1A). This increase corresponds to a change in population density from 1,243–4,058 adult palms per hectare, with the age structure increasingly dominated by juveniles (Fig. 1B). This change strongly contrasts with the annual population growth rate estimated through matrix modeling using data from 1975 to 1981 ($\lambda = 1.004$ or 0.4% per year), which predicted a constant population size at this time (Fig. 1C). Such negative density dependence operated on mortality, which in turn, affects understory plant diversity and ecosystem properties. Given the global importance of *A. mexicanum* population growth rate estimated through matrix modeling using data from 1975 to 1981 ($\lambda = 1.004$ or 0.4% per year), which predicted a constant population size at this time (Fig. 1C). Such negative density dependence operated on mortality, which in turn, affects understory plant diversity and ecosystem properties. Given the global importance of tropical rainforest ecosystems, and the widespread anthropogenic impacts on them, our findings are of broad significance.

**Defaunation effects**. *A. mexicanum* produces single-seeded nuts (3–5 cm in width × 4–6 cm in length) that are rich in lipids and nutrients, and are an important food for several mammals (35, 36). Seed predation by rodents (e.g., mice, squirrels, paca, agouties) and peccaries represents the most important cause of mortality during the *A. mexicanum* life cycle (21). Birds are not affected by this defaunation because the seeds are still protected from birds and other rodents due to the long seed fall period (37) and the high seed production (30). Paralleling the increased rates of gap opening, seed production of *A. mexicanum* increased significantly over time. On average, the plot and individual levels, seed production rates were more than 200% higher in the later years of the study (2005–2013) than during the previous 30–40 y (Fig. 3 B and C). Positive responses in seed production to increased light availability occur frequently in shade-tolerant tropical rainforest palms, as has been documented elsewhere (e.g., 31, 32).

The increase in gap formation rates also affected growth and survival of palms. Stem growth increased notably in juvenile and young adult palms (<200-cm stem length), showing 20–70% higher growth rates in recent years than in past years (Fig. 3D). Such increases have important demographic consequences, because faster growth rates in gaps reduce age to first reproduction (27); shortening the prereproductive period increases population growth rates in expanding populations (33, 34). Other studies have shown that growth rates of small understory palms increase with light availability (32). However, taller *A. mexicanum* showed similar growth rates and suffered increased mortality over time (Fig. 3E). Such behavior can be attributed to the increase in physical damage caused by the frequent fall of trees and branches (22, 27, 29) and to physiological damage on *A. mexicanum* palms directly exposed to sunlight for extended periods, as has been documented for other understory, shade-tolerant palms (31).

**Fragmentation effects**. As a consequence of fragmentation, the LTS has been subject to edge effects. Edges of forest fragments experience stronger wind exposure and root desiccation, increasing the risk for fall of trees and large limbs (3, 4). This dynamic results in a more open canopy, augmenting light availability in the understory. Using a gap formation dating protocol (29) (*Methods*), we estimated that in our permanent plots, gap formation rate (percentage of forest area opened per year) increased 2.7-fold during the study period, from 1.3% in 1975 to 3.6% in 2013 (Fig. 3A). In a previous study, we showed that seed production and growth rates of *A. mexicanum* increase within tree-fall gaps until forest regeneration shades the palms (27). Also, smaller gaps that result from the fall of tree limbs produce pulses of high seed production (30). Paralleling the increased rates of gap opening, seed production of *A. mexicanum* increased significantly over time. On average, the plot and individual levels, seed production rates were more than 200% higher in the later years of the study (2005–2013) than during the previous 30–40 y (Fig. 3 B and C). Positive responses in seed production to increased light availability occur frequently in shade-tolerant tropical rainforest palms, as has been documented elsewhere (e.g., 31, 32).

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unchanged over time. This constancy can result from an increase in seed removal by small mammals (mice and squirrels). Small mammals have increased in the LTS due to reduced competition with other granivorous vertebrates (decimated or extinct) or due to the disappearance of their major predators (10, 38). Indeed, mouse density was fivefold higher in the LTS than in other tropical rainforest reserves (39). However, seed removal by rodents does not compensate for the seed consumption by large mammals (cows and horses) consume palm leaves when they occasionally intrude into the forest. Experimental evidence suggests that a single total defoliation event increases mortality of juvenile palms by 50% (43), but such damage is absent in the LTS (26). A vertebrate exclusion experiment between 1985 and 1991 (Methods) did not find significant differences in density of seedlings between enclosure and control (open to vertebrate activity) treatments (Fig. S2), a fact that supports the idea that folivorous mammals presently do not represent a mortality factor for *A. mexicanum* seedlings or saplings.

During 2005–2013, the annual mortality rate of juvenile palms decreased 26% in comparison to rates from 1975 to 1981 [from 0.031 to 0.023 individual per individual (ind/ind) per year; Fig. 3]. The lack of herbivory and trampling by large vertebrates (26), as well as increased light conditions in the understory, likely contributes to this decrease.

**Exploring Causal Factors.** To assess whether the observed demographic changes account for the exponential growth of *A. mexicanum* during the past four decades (Fig. 1A), we constructed a matrix model using demographic data from 2005 to 2013 (Methods and Fig. S3B). Given that we lack data to assess disturbance effects on seedlings, we conservatively assumed that survival and growth rates at this stage remained unaltered over time. Our model projected

- **Fig. 2.** Population dynamics of *A. mexicanum* at the LTS. Population growth rate (λ) vs. initial population density (D) during three periods: 1975–1981 (A), 1981–2005 (B), and 2005–2013 (C). Annual mortality rate (AMR; ind/ind per year; continuous line) and annual recruitment rate (ARR; ind/ind per year; dashed line) vs. initial population density for 1975–1981 (D), 1981–2005 (E), and 2005–2013 (F). In all cases, λ was calculated as \( N_t / N_0 \) vs. \( N_0 / N_0 \) at year 0 and \( N_0 / N_0 \) years after, respectively. In A and D, best-fit significant parameter regressions are shown; in all other cases, the trends were nonsignificant.

- **Fig. 3.** Temporal changes in gap formation dynamics and demographic rates of *A. mexicanum*. (A) Mean (±SE) annual rates of gap formation between 1953 and 2013 at 5-y intervals; the red line represents the best-fitted regression line (parameters are shown inside the panel). (B) Mean (±1.96 SE) variation in annual seed production in plots initially established at closed-canopy forest sites (*n* = 6); dashed lines represent averages in the 1975–1986 and 2005–2013 periods. (C–E) Changes in size-specific fecundity, growth, and mortality rates (±1.96 SE), respectively, in past and recent periods; in all cases, ANOVA detected significant size × time effects (\( P < 0.05 \)). (F) Proportion of seeds predated as a function of available seeds; the best-fit nonlinear model (logistic of three parameters) is shown. GOR, gap opening rate; ind, individual.
a population growth rate of $\lambda = 1.015$ (i.e., an annual increase of 1.5%), which was lower than the empirical annual population growth rate ($\lambda = 1.029$ or 2.9% per year; Fig. 5AB). To explore to what extent the potential effects of anthropogenic disturbances on seedling survival and growth could contribute to the palm population explosion, we conducted a sensitivity analysis with a manual perturbation approach (Methods). By increasing the annual progression probability of seedlings to the juvenile stage from 0.017 to 0.045 (Fig. S5A), simulating the fact that seedling growth was affected positively by increased light conditions in the understory as shown elsewhere (44), $\lambda$ reached the empirical value [95% confidence interval of this $\lambda$ value was 1.012–1.046 by Monte Carlo procedure calculation (45)]. The same result is obtained by increasing the annual seedling survivorship rate from 0.85 to 0.96 (Fig. S5B). Such change is in line with the empirical increase in the survival rate of juvenile palms (discussed above). Indeed, the population growth trajectory based on the new $\lambda$ tightly matches the observed one (Fig. S5C), indicating that positive effects of disturbance on survival or growth of seedlings likely played a role in the population explosion. Also, a retrospective sensitivity analysis (46) (details are provided in Methods) showed that the increases in survival and growth rates at early life stages had the greatest contribution to the change in $\lambda$ (Fig. S6).

Overall, results from matrix modeling support the hypothesis that anthropogenic disturbances affected the demographic rates of $A. mexicanum$, resulting in a population explosion, and explain why the population age structure of $A. mexicanum$ has become dominated by juveniles (Fig. 1B). We previously documented that waves of regeneration occur when a gap opens, creating a hump in the population age structure that diminishes with time in the absence of new gaps (22). In small islands of tropical forest formed by the creation of the Panama Canal, a few large-seeded canopy species dominated tree communities; apparently, such species increased because large mammal herbivores became extinct on those islands (153). This finding supports the observation of high-density patches of large-seeded plants (26) and our argument that deforestation caused by the increased fall of trees associated with forest fragmentation (discussed above). However, reductions in tree abundance and diversity dominated tree communities; apparently, such species increased because large mammal herbivores became extinct on those islands (13). Leaf area index (LAI) of $A. mexicanum$ increased (Fig. 4D). A mature $A. mexicanum$ palm has a single hard stem [6-cm diameter at breast height (DBH), 0.5–7 m in height] that sustains a dense crown of 13–15 leaves (1.6 m long each) covering a mean area of 8 m² (47). As a consequence, the population explosion of $A. mexicanum$ resulted in reductions of space and other resources for trees in the understory. In 1976–1977, we conducted a census of all trees (DBH ≥ 3.3 cm) in our permanent plots, and we conducted a new census in 2013 (Methods). With these data, we assess whether the population increase of $A. mexicanum$ affected tree diversity, species composition, or functional attributes of the forest.

**Effects on tree abundance and diversity.** The number of tree stems declined over time as cover of $A. mexicanum$ increased (Fig. 4A). Rarefied values of species richness (Methods) showed the same trend (Fig. 4B). A similar relationship was observed for stem density in both groups (Fig. 4C), when analyzing understory trees (≥10 m tall) separately from canopy trees. As expected, only understory trees showed a decline in species richness as the cover of $A. mexicanum$ increased (Fig. 4D). Species diversity of canopy trees did not change over time. Among understory trees, the relative abundance of $A. mexicanum$ (≥1.3 m tall) increased 25% from 1976 to 2013 (Fig. 4E), whereas other species suffered reductions of 22% in abundance and 37% in richness. The understory species diversity based on abundance (including $A. mexicanum$; Methods) decreased 57%. Considering basal area, these changes were even more pronounced (Fig. 4F).

The reduction in abundance of canopy trees over time was likely caused by the increased fall of trees associated with forest fragmentation (discussed above). However, reductions in tree abundance and species diversity in the understory resulted from spatial exclusion by $A. mexicanum$. Based on data from tree censuses conducted in 2013 and a neighboring distance spatial analysis (Methods), trees showed a clumped spatial distribution and were significantly overdispersed relative to mature $A. mexicanum$ (Table S1). Light limitation and seed rain interception by palms are two possible mechanisms that affect such a spacing process. A palm’s crown reduces light availability under its shadow by 5–10% (48) and intercepts significant amounts of leaf litter, including seeds falling from the forest canopy (49). Leaf area index (LAI) of $A. mexicanum$ (Methods) increased, on average, from less than 0.5 m²/m² in 1975 to 2.5 m²/m² in 2013 (i.e., presently, there are more than two layers of palm crowns). Another study showed that abundance and species diversity of non-$Astrocaryum$ seedlings exhibited a negative relationship with cover of $A. mexicanum$ (50). Also, falling palm leaves physically damage and may kill tree seedlings and saplings, as shown for other understory palm species (51, 52).

**Effects on tree species composition.** A nonparametric multidimensional ordination analysis (Methods) showed that composition of tree assemblages changed over time [multivariate ANOVA (MANOVA): $F_{5,3} = 79.8, P < 0.001$], with a change in the identity of codominant species in the understory. $A. mexicanum$ displaced other species, becoming even more dominant in the understory as influenced by abundance or basal area (Fig. 4E). Also, species similarity of understory trees [as indicated by plot scores in nonmetric multidimensional scaling (NMDS) dimension 1] was negatively correlated with cover of $A. mexicanum$ (Table S1).
with palm abundance, indicating an important role of *A. mexicanum* as an assemblage-structuring species (Fig. S7). Such a shift in assemblage composition and species dominance has been documented in systems where a very competitive species experiences predator release, as has been shown for invertebrate marine (53) and kelp forest systems (54). For canopy trees, reproduction is a seedling recruitment in composition, but much smaller than observed in the understory. These purported effects of population explosion on the forest will likely become obvious as changes observed in the understory trees propagate into the forest canopy. This hypothesis warrants further, long-term examination.

The increase of palms has reduced the variability in species composition among plots, especially in the understory (Fig. S7). Other studies have shown that fragmentation and the extirpation of game animals are conducive to a floristic convergence in forest fragments (55, 56). In this context, our study documents how such disturbances may lead to a floristic homogenization in the forest understory due to the explosion of a single species. In the Los Tuxtlas region, it has been found that homogenization among fragments occurs when forest cover has been reduced severely in the landscape (57), but floristic divergence among fragments can also occur if different types or magnitudes of disturbance operate in different fragments (56).

**Effects on Ecosystem Properties.** Palm crowns intercept considerable amounts of organic matter falling from the canopy (49). Using estimates of the amount of litter trapped by an average palm crown (Methods), we calculated that the amount of litterfall intercepted by the population of adult *A. mexicanum* increased 3.3-fold over the past four decades, from 18 to 59 Mg ha−1 y−1 (Fig. S8). In China, litter intercepted by understory vegetation decomposition at slower rates than did litter in the soil (58). Further research is needed to understand the implication of litter retention by *A. mexicanum* on nutrient flux rates and carbon cycling.

The population explosion of *A. mexicanum* also affects the dynamics of tree biomass. Using basal area as a surrogate for biomass, we estimated that biomass of understory trees in permanent plots (*n* = 8) increased 2.4-fold between 1976 and 2013 due to the population growth of *A. mexicanum* (Fig. S9). The relative contribution of this palm to community basal area (trees with DBH ≥ 3.3) increased 5.6-fold, from an average of 2.7% (±0.6, SE) in 1976 to 15.4% (±2.1) in 2013. Longevity of adult *A. mexicanum* is about 100 y, whereas longevity of canopy tree species is, on average, two to fourfold longer (59). The replacement of tree biomass (with longer residence periods) by palm biomass (with shorter residence periods) may have important effects on carbon dynamics, which needs to be explored by future studies.

**Concluding Remarks.** How widespread are our observed fragmentation and defaunation consequences for tropical rainforest reserves? A recent worldwide survey has discussed that most reserves in the tropics exhibit signals of contemporaneous human disturbances (mainly fragmentation and defaunation) that are eroding biodiversity (5). As far as we know, no other study explicitly unravels the ecological causes of such processes. We clearly documented the effects of anthropic disturbances on the ecology of a dominant keystone, shade-tolerant plant with consequences for the structure and function of the forest ecosystem. Our study highlights the negative impact of local extinctions, as represented by the loss of trophic interactions on the maintenance of species diversity. Also, it provides insights about the cascading disruptions associated with human disturbances that characterize the Anthropocene, documenting that such effects even transpire in protected areas.

**Methods**

**Permanent Plots.** Demographic data of palms representing all life cycle stages were gathered from 1975 to 1981 in eight permanent plots (20 × 30 m each) established in six closed-canopy and two gap forest sites (21, 27). More than 2,500 palms were tagged, measured, and monitored in yearly censuses for survival, growth, reproduction, and seedling recruitment. Reproduction and growth were monitored until 1986 (27). We returned to the same eight plots in 2005, 2011, and 2013, and registered all of the surviving palms and recruits and estimated size-specific survival, growth, and reproduction rates.
Matrix Modeling. With data gathered during 2005–2013 in our original closed-canopy plots, we constructed a new matrix population model (NPM; Fig. S38). Given that we do not have direct estimations of new demographic rates for infants (newborn seedlings and 1- to 8-y-old palms with bifid leaves), those rates recorded in the period 1975–1981 were used. To assess the impact on $\lambda$ of a plausible increase in the progression probability for infants, we used a sensitivity analysis with a manual perturbation approach (46). In this analysis, the progression or survival probabilities for infants were systematically increased, starting with the probabilities recorded during 1975–1981. Finally, we conducted a retrospective one-way life-table response experiment (LTRE) (46) to assess the effects on $\lambda$ caused by the demographic changes experienced by A. mexicanum between the periods 1975–1981 and 2005–2013. For this experiment, we computed differences between our original population transition matrix (corresponding to the period 1975–1981) and the new one (NPM; corresponding to the period 2005–2013), weighted by a mean sensitivity matrix, according to the following formula:

$$\lambda_{t+1} = \lambda + \sum_{i,j} \left( a_{i,j} \delta_{i,j} / C_{i,j} - a_{i,j} \right) \lambda_{t}.$$  

Here, $\lambda_{t}$ is the population growth rate observed in the period 1975–2005 (t), $\lambda_{t+1}$ is the population growth rate observed in the period 1975–1981 (t), $a_{i,j}$ corresponds to the transition probabilities in the matrix at time t and $t+1$, and $\delta_{i,j}$ represents stage-specific sensitivity values calculated on the average transition matrix of the two periods ($A^\prime$).

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