Seroprevalence, risk factor, and spatial analyses of Zika virus infection after the 2016 epidemic in Managua, Nicaragua

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In 2015, a Zika epidemic in Brazil began spreading throughout the Americas. Zika virus (ZIKV) entered Managua, Nicaragua, in January 2016 and caused an epidemic that peaked in July–September 2016. ZIKV seropositivity was estimated among participants of pediatric (n = 2,147) and household (n = 3,740) cohort studies, including an adult-only subset from the household cohort (n = 1,074), in Managua. Seropositivity was based on a highly sensitive and specific assay, the Zika NS1 blockade-of-binding ELISA, which can be used in dengue-endemic populations. Overall seropositivity for the pediatric (ages 2–14), household (ages 2–80), and adult (ages 15–80) cohorts was 36, 46, and 56%, respectively. Trend, risk factor, and contour mapping analyses demonstrated that ZIKV seroprevalence increased nonlinearly with age and that body surface area was statistically associated with increasing seroprevalence in children. ZIKV seropositivity was higher in females than in males across almost all ages, with adjusted prevalence ratios in children and adults of 1.11 (95% CI: 1.02–1.21) and 1.14 (95% CI: 1.01–1.28), respectively. No household-level risk factors were statistically significant in multivariate analyses. A spatial analysis revealed a 10–15% difference in the risk of ZIKV infections across our 3-km-wide study site, suggesting that ZIKV infection risk varies at small spatial scales. To our knowledge, this is the largest ZIKV seroprevalence study reported in the Americas, and the only one in Central America and in children to date. It reveals a high level of immunity against ZIKV in Managua as a result of the 2016 epidemic, making a second large Zika epidemic unlikely in the near future.

In February of 2016, the World Health Organization (WHO) announced a Public Health Emergency of International Concern (1) after the explosive transmission of Zika virus (ZIKV) due to concern that ZIKV infection was linked to congenital and developmental syndromes in the Americas and the Pacific Islands (2–7). A few months later, ZIKV infection was declared a cause of microcephaly and other serious congenital disorders (8–10). ZIKV, first isolated in 1947 from a sentinel rhesus monkey in the Zika forest, Uganda, is a re-emerging virus of the Flavivirus genus within the Flaviviridae family (11). The virus is primarily transmitted by infected Aedes aegypti mosquitoes, although sexual and perinatal/vertical transmission also occurs (12, 13). Symptomatic ZIKV infection is characterized by mild disease such as low-grade fever, rash, and/or conjunctivitis. Maternal ZIKV infection during pregnancy can lead to congenital Zika syndrome in fetuses and infants, which is characterized by severe microcephaly, a decrease in brain tissue, congenital contractures, and ocular malformations (8–10, 14, 15). ZIKV is related to other flaviviruses of public health concern, including yellow fever and West Nile viruses, and is most closely related to dengue virus (DENV). The antibody response to flavivirus infections is highly cross-reactive, representing a significant challenge for unambiguous detection of prior infection by serological assays and for determining the virus-specific seroprevalence in regions where flaviviruses cocirculate (16).

Until recently, Zika was considered a neglected tropical disease ecologically constrained to Africa and Southeast Asia. However, following several Zika epidemics in the Pacific Islands, a cluster of acute enzootic cases of unknown etiology was reported in Brazil in March of 2015 (17). Thereafter, Brazil experienced a large Zika epidemic, notable in the Northeast Region for the size of the epidemic and its association with microcephaly and other congenital birth defects (7). ZIKV quickly spread to other Latin American and Caribbean countries, resulting in ~800,000 suspected and confirmed autochthonous cases as of early January 2018 (18); however, these official Zika case numbers probably underestimate the true number of Zika cases, as Zika disease is relatively mild in the general population and therefore likely to be underreported. In Nicaragua as well as its capital, Managua, the first autochthonous Zika case was reported in January 2016. In Managua, several months of isolated cases were followed by an explosive epidemic peaking between July and September of the same year (19, 20).

Seroprevalence studies are important to document the occurrence of epidemics and to assess the fraction of a population infected with ZIKV. Seropositivity was based on a highly sensitive and specific assay, the Zika NS1 blockade-of-binding ELISA, which can be used in dengue-endemic populations. Overall seropositivity for the pediatric (ages 2–14), household (ages 2–80), and adult (ages 15–80) cohorts was 36, 46, and 56%, respectively. No household-level risk factors were statistically significant in multivariate analyses. A spatial analysis revealed a 10–15% difference in the risk of ZIKV infections across our 3-km-wide study site, suggesting that ZIKV infection risk varies at small spatial scales. To our knowledge, this is the largest ZIKV seroprevalence study reported in the Americas, and the only one in Central America and in children to date. It reveals a high level of immunity against ZIKV in Managua as a result of the 2016 epidemic, making a second large Zika epidemic unlikely in the near future.


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that remains susceptible to future infection. Furthermore, seroprevalence studies allow for the determination of risk factors for infection in the sampled population (21). To date, no studies have documented the impact of the Zika epidemic in Central America, although high seropositivity rates have been reported in Brazil and tropical areas in Bolivia (22, 23).

In this study, we performed cross-sectional seroprevalence studies of anti-ZIKV antibodies in two cohorts operating within the catchment area of the Health Center Socrates Flores Vasquez (HCSFV), in Managua, Nicaragua. Our study used a new competition ELISA to detect total anti-ZIKV NS1 antibodies. The assay distinguishes between ZIKV and DENV infections with high sensitivity and specificity for up to several years postinfection and has been validated in six countries (including Nicaragua) (24, 25). In particular, the assay has a 95% sensitivity and a specificity against DENV of 89% in patient samples ≥20 days after the onset of symptoms compared with RT-PCR results. In late convalescent samples (e.g., >7 mo postillness as in the samples used in the present study), the assay’s sensitivity and specificity rose to 96 and 92%, respectively, in a validation study performed in Nicaragua (24, 25). This study aimed to (i) determine the seroprevalence of anti-ZIKV antibodies after the first Zika epidemic in Managua, (ii) describe the age patterns of ZIKV infection, (iii) identify potential risk factors that correlate with ZIKV infection, and (iv) characterize the neighborhood-specific spatial distribution of ZIKV seroprevalence within our study populations.

Materials and Methods
Ethics Statement. The Pediatric Dengue Cohort Study (PDCS) was reviewed and approved by the Institutional Review Boards (IRBs) of the University of California, Berkeley; the University of Michigan; and the Nicaraguan Ministry of Health. The Household Influenza Cohort Study (HICS) was reviewed and approved by University of Michigan and the Nicaraguan Ministry of Health IRBs. Parents or legal guardians of all pediatric subjects provided written informed consent. Participants aged 6–14 y provided oral assent, and participants aged 15–17 provided written assent. Participants 18 y of age and older provided written informed consent.

Study Population. Study participants from both the PDCS (n = 3,700, 2–14 y-olds in 2,127 households) and HICS (n = 2,000, 2–80 y-olds in 433 households) cohorts reside in the catchment area of the HCSFV in District II of Managua, a low-to-middle income socioeconomic status (SES) area with an illiteracy rate of 7% and where >90% of the homes have running water and a sewage system (26). The HCSFV serves ~62,000 residents of 18 neighborhoods. In 2015, the populations of District II aged 2–15 years were estimated at 14,240 and 45,312 persons, respectively. The PDCS is an ongoing study of DENV, ZIKV, and chikungunya virus (CHIKV) infection (27, 28), currently in its third year. The HICS cohort was operational from May 2017 to May 2018. Both PDCS and HICS participants receive their primary health care at the HCSFV, and a routine blood sample is collected annually from healthy participants of the pediatric cohort on their 15th birthday.

Survey Data. During the annual sampling, surveys were administered to collect data on participants’ demographics as well as potential household and individual-level risk factors for ZIKV infection (SI Appendix, SI Materials and Methods).

Laboratory Methods. All laboratory samples were processed at the Laboratorio Nacional de Virología del Centro Nacional de Diagnóstico y Referencia in Managua. Anti-ZIKV antibodies were measured by the Zika NS1 blockade-of-binding (BOB) ELISA, a competitive ELISA detecting total anti-ZIKV NS1 antibodies via competition with a highly specific human anti-ZIKV NS1 monoclonal antibody (16, 24, 25) (SI Appendix, SI Materials and Methods).

Statistical Analysis. All data were analyzed using R version 3.4.2 (29). ZIKV seroprevalence. Intercept-only logistic regression models were used within a generalized estimating equations (GEE) framework to estimate the log-odds of ZIKV seropositivity in the three analytic groups, averaging across households. GEE models used the household ID variable as the clustering unit, assumed an exchangeable correlation structure, and estimated confidence intervals (CI) using robust variance estimators (30). Estimated log-odds were then transformed to the probability scale for the point and interval estimates of ZIKV seropositivity.

Age trend and breakpoint analysis. Generalized additive models (GAMs) are quadratically penalized generalized linear models (GLMs) that use smooth functions of the dependent variables to fit the data with less error than traditional GLMs (31). GAMs with thin plate regression splines were used to model and graph the functional association of age and ZIKV seroprevalence in the three groups (32). Smoothing parameters were estimated using generalized cross-validation, and basis dimensions were tested to ensure appropriate smoothing (33). A quantile-based, 95% confidence band was estimated by nonparametrically cluster bootstrapping the data 10,000 times at the household level to account for clustering. Age trends are presented for all three groups and stratified by age group. We conducted a series of linear regressions joined together at breakpoints (34). We used the segmented R package to estimate the major breakpoints in the age trend curves as well as the average value of linear slopes between the breakpoints.

Risk factor analysis. Modified Poisson regression was used to estimate crude and multivariate-adjusted prevalence ratios (PRs) in the pediatric and adult groups (35). GEE models were parameterized as previously indicated. A literature search guided the decisions of which household- and individual-level variables to include in the models. Considering that mosquitoes prefer to feed on larger targets, other conditions remaining the same (36–38), we compared separate GEE Poisson models for ZIKV seroprevalence with weight, body mass index (BMI), BMI percentiles, and estimated body surface area (BSA) with the QIC statistic (39). Pediatric BMI accounted for age and sex and was compared with WHO reference populations (40, 41), and BSA was estimated using Mosteller’s formula (42). The variable that maximized the smallest QIC, value (BSA) was included in the risk factor analysis. Crude and adjusted PRs were estimated for age, BSA, an SES proxy, daily hours without water, presence of a water faucet inside the home, school attendance period (pediatric cohort only), water storage (adult cohort only), and knowledge about ZIKV (adult cohort only). Potential factors associated with ZIKV seropositivity were explored separately in the pediatric and adult groups, as children and adults may have different risk factors for infection (26). The potential for age and BSA to interact and synergistically contribute to ZIKV seroprevalence was explored using a 2D risk surface produced from a GAM model with a 2D smoothing spline on age and BSA. GAM models were conditioned on SES, presence of an interior faucet, and hours without running water. Three-dimensional contour maps were produced for the pediatric cohort (where the sample size was largest) and the household group (to span the entire age range in our study).

Mapping seroprevalence. To examine spatial trends in our study site, neighborhood-specific, intercept-only GEE models were fit to estimate neighborhood-specific seroprevalence levels, as in the overall analysis.

Results
Study Participants. ZIKV seroprevalence was estimated for the PDCS and HICS cohorts (SI Appendix, Table S1). The male-to-female ratio was ~1.1 in the pediatric cohort (PDCS), 1.25 in the adult group (HICS), and 1.16 in the household group (HICS). The HICS population had more females than males because there were more adult women living in the households than adult men, more women than men were home during recruitment, and adult men were more likely to decline to participate. The mean number of participants per household was 1.76 (SD 1.13) in the pediatric cohort, 2.48 (SD 1.49) in the adult group, and 4.96 (SD 2.49) in the household group. Based on WHO guidelines (40, 41), approximately one-third (32.3%) of the children in the pediatric group were overweight or obese (BMI score ≥ 85 percentile), whereas almost three-quarters (71.7%) of the adult participants were overweight or obese (BMI score > 25). Less than 4% of either cohort’s participants were underweight (SI Appendix, Tables S2 and S3).

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ZIKV Seroprevalence. The pediatric group had an overall ZIKV seroprevalence of 36.1% (95% CI: 34.5, 37.8) across households. The household and adult-only groups had a seroprevalence of 45.8% (95% CI: 43.4, 48.3) and 56.4% (95% CI: 53.1, 59.6), respectively.

Age Trend Analysis. Across all three groups, overall ZIKV seroprevalence had nonlinear associations with age (Fig. 2). In the pediatric cohort, we observed a sharp rise in ZIKV seroprevalence from ~22% at age 2 to 58% at age 14, overall and for both sexes (Fig. 2A). Breakpoint analysis indicated that the overall slope after age 6.4 is ~2.2 times that of the slope before age 6.4. ZIKV seroprevalence was higher for girls than for boys for children past age 15 (Fig. 2B). In the adult cohort, a cubic relationship was observed for the overall ZIKV seroprevalence, with a local maximum of 56% at age 15, followed by a decrease coinciding with early reproductive age (global minima: 50% seroprevalence at age 23) and then a slow rise in seroprevalence during adulthood (Fig. 2C). Overall seroprevalence peaked at 68% at age 64 and plateaued thereafter. However, the male seroprevalence trend line displayed different behavior than the overall trend line (Fig. 2D). Whereas the male trend line increased linearly across age, the female trend line displayed a U-shaped relationship between the ages of 15 and 34 with a nadir of 50% estimated seroprevalence at age 24.

To resolve differences in seroprevalence trends between the pediatric and adult groups, as well as to increase the precision of seroprevalence estimates during early adulthood, we also analyzed seroprevalence age trends within the entire household group (Fig. 2E and F). Overall, a sharp rise in seroprevalence was observed during childhood, as in the pediatric group. Thereafter, the slope of seroprevalence with respect to age gradually increased from 50% at age 17 to its peak of 67% at age 65 (Fig. 2E). The smooth behavior of the household group's seroprevalence curve around age 15 indicated that our results are robust to the age boundaries of the PDCS and HICS groups. In the sex-specific analysis, females were observed to have a higher estimated seroprevalence than males across most of the 2- to 80-y age range, with a dip from ages 17–24 (Fig. 2F).

Risk Factor Analysis. Estimated BSA was shown to be an overall stronger predictor of ZIKV infection status than other body-size variables (SI Appendix, Table S4), including obesity status, which was itself significantly associated with ZIKV seroprevalence in preliminary univariate analyses. Therefore, BSA was the only body size variable included in the risk factor analysis. In the univariate analyses for the pediatric group, age, female sex, BSA, and school attendance period were significantly associated with ZIKV seroprevalence. In the univariate analysis, age showed a strong, linear association with increasing ZIKV seroprevalence. In a multivariable model without BSA, age was statistically significant; however, age lost its significance after adjusting for BSA. Only female sex and BSA were significantly associated with ZIKV seroprevalence in the full multivariate analysis (SI Appendix, Table S5). Averaging across households, female children had a significantly higher seroprevalence than male children with prevalence ratios of 1.11 (95% CI: 1.02, 1.21) after adjusting for age, BSA, an SES proxy, and household water availability variables. Seroprevalence significantly increased from an adjusted prevalence ratio of 0.73 (95% CI: 0.55, 0.97) in the lowest BSA quintile to an adjusted prevalence ratio of 1.28 (95% CI: 1.07, 1.52) in the highest quintile. Participants with the lowest SES level had a marginally significant positive association with ZIKV seroprevalence.

In both the univariate and multivariate analyses of the adult group, increasing age was associated with increasing ZIKV seroprevalence (SI Appendix, Table S6). Averaging across households, the ZIKV seroprevalence for adults aged 60–74 was 1.24 (95% CI: 1.03, 1.49) times that of the 30- to 44-y-old adults, adjusting for BSA, an SES proxy, and household water availability variables. After adjustment, the ZIKV seroprevalence in adult females was 1.10 (95% CI: 1.01, 1.28) times that of adult males. Although ZIKV seroprevalence generally increased with BSA, in both the univariate and multivariate analyses of adult participants, its association was nonsignificant and weaker than in the pediatric participants. As before, the SES proxy variable and household water availability variables were not statistically significant.

To explore the potential for synergistic, statistical interaction between age and BSA on ZIKV seroprevalence without arbitrarily categorizing either continuous variable, GAM models that used 2D smoothing splines on age and BSA were used to graph this 3D association (Fig. 3). The association of age and ZIKV seroprevalence from the trend analysis held across all levels of age.
BSA in the pediatric group (Fig. 3A and B). The steeper slope of the BSA-seroprevalence association, relative to the age-seroprevalence association, implies, as the risk factor analysis did, that BSA had a stronger association with ZIKV seroprevalence than age. Conditional on age, the association of BSA with ZIKV seroprevalence was nearly linear. Combinations of age and BSA that synergistically increased (or decreased) the conditional probability of ZIKV infection would appear as quadratic peaks (or troughs) on the 3D risk surface. No such quadratic contours were apparent in the risk surfaces of the pediatric group, implying a lack of statistical interaction between age and BSA. Hence, age and BSA contributed linearly to ZIKV seroprevalence and did not display statistical interaction in their association with ZIKV seroprevalence in our pediatric participants. In the household group, ZIKV seroprevalence increased linearly with BSA for both males and females, conditional on age (Fig. 3C and D). However, conditional on age, the slope of the BSA–ZIKV seroprevalence association was between 1.5 and 1.9 times greater among females than males, suggesting that BSA had a stronger association with ZIKV seroprevalence in females than in males across all ages after accounting for an SES proxy and household water availability variables. Conditional on BSA, the age trends identified in the trend analysis likewise held for males and females in the household group, where age and BSA appeared to be nonlinearly associated with ZIKV seroprevalence, without statistical interaction.

Spatial Distribution. The neighborhood-specific ZIKV seroprevalence was estimated and mapped for the pediatric and adult groups (Fig. 4). The seroprevalence in a given neighborhood was ~20% higher for the adult group compared with the pediatric group. The overall seroprevalence estimates indicated a similar 20% difference between the adult and pediatric groups, suggesting that this overall difference between children and adults did not vary by neighborhood. A west-to-east seroprevalence pattern was detected in both cohorts, with the western neighborhoods near the cemetery having a seroprevalence ~10–15% higher than the eastern neighborhoods. That such a difference was observed over a study area that is 3 km across its widest point suggests that spatial variation in ZIKV seroprevalence may exist at small geographical scales. Due to the low number of study participants from the southern neighborhoods, it was impossible to ascertain whether a north-to-south seroprevalence gradient exists in our study site.

Discussion
To our knowledge, to date this is the largest ZIKV seroprevalence study in the Americas and the only one reported in Central America and in a sizable pediatric population from the recent Zika epidemic. We document a high number of ZIKV infections that occurred during the Zika epidemic that swept through Managua, Nicaragua, peaking during July–September of 2016, after the virus was first identified in the country and its capital in January of 2016. ZIKV seropositivity was estimated among participants of a pediatric cohort (n = 3,740; 36%) and a full household-based cohort (n = 2,147; 46%). Seropositivity was also analyzed in an adult-only subset of the household cohort (n = 1,074; 56%). Importantly, seropositivity was based on a highly sensitive and specific assay, the Zika NS1 BOB ELISA, which can be used in a dengue-seropositivity was based on a highly sensitive and specific assay, the Zika NS1 BOB ELISA, which can be used in a dengue-seropositivity was based on a highly sensitive and specific assay, the Zika NS1 BOB ELISA, which can be used in a dengue-seropositivity was based on a highly sensitive and specific assay, the Zika NS1 BOB ELISA, which can be used in a dengue-seropositivity was based on a highly sensitive and specific assay, the Zika NS1 BOB ELISA. 3D). However, Netto et al. (23) preferentially sampled adult participants. We show a sharp rise with age in our pediatric group and a flatter, but slowly increasing, seroprevalence across adulthood. Interestingly, similar increases in seroprevalence with age were observed in seroprevalence studies of anti-CHIKV antibodies after the 2014–2015 chikungunya epidemic in Managua’s then-naive population (26, 44, 45). CHIKV is an alphavirus, which should preclude the possibility of anti-CHIKV antibodies cross-reacting with anti-DENV antibodies to produce the age trends similar to the ones that we observed; this similarity implies that our age trends are not due to nonspecific antibody measurement by the Zika NS1 BOB ELISA.

We found that BSA was statistically associated with increasing seroprevalence in children and that, conditional on age, ZIKV seroprevalence increased linearly with increasing BSA. The
documented preference of mosquitoes to feed on larger targets (36–38), the trend analysis, and the extended risk factor analysis all suggest that the large and continual increases in BSA during childhood and adolescence are primarily responsible for the sharp rise in ZIKV seroprevalence during that period. *Aedes* mosquitoes, the only known vectors for arboviruses in Nicaragua (46), are attracted to carbon dioxide and other components associated with human respiration and perspiration, which aid female *Aedes* mosquitoes in locating a blood meal (36, 47). Larger and heavier persons expel more of these attractive components, explaining, together with exposed skin surface area, the general trend for older individuals to have a higher seroprevalence than younger individuals. In the univariate analysis, attending school in the afternoon was significantly associated with higher seroprevalence levels, but not in the multivariate analysis. In our population, older children tend to go to school in the afternoon, and since older age in children implies greater BSA, this could account for the lack of significant association when BSA is included in the model. However, we could not explain why female ZIKV seropositivity was higher than male seropositivity across almost all ages, nor why female seropositivity notably rose and then dipped during early reproductive age, in contrast to the linear seroprevalence trend observed in males. We did not find any graphical evidence of statistical interaction between BSA and age, even in children. This suggests that our age variable, after accounting for BSA, could be a serving as a proxy for other factors. Potential factors underlying the age–seroprevalence patterns that we observed include increased mobility in adolescence and adulthood that could lead to more frequent encounters with mosquito populations outside the home, as well as public health messaging regarding the potentially adverse consequences of ZIKV infection during pregnancy. At least one study has documented a statistically significant association between low SES and both ZIKV infection and disease (23). Two ecological studies have shown statistically significant associations between Zika-associated incident microcephaly measures and both poor living conditions and low per capita GDP (48, 49). Increasing levels of poverty were nonsignificantly associated with increasing ZIKV seropositivity in our study. Although it is possible that SES is related to ZIKV disease manifestation and not ZIKV infection, our two cohort studies were based in overall low- to-middle–SES neighborhoods, which likely explains why our SES proxy variable was nonsignificant.

Our spatial analysis found up to a 15% difference in the risk of ZIKV infection across a 3-km-wide study area. The thousands of homes in our study site, combined with accurate assessments of ZIKV infection outcomes, provide for a high resolution of spatial infection patterns at the neighborhood level. Our analysis shows a clear west–east seroprevalence gradient in our study site, suggesting that the general cemetery in the western region of our site may be an environmental source that amplifies local acquisition of ZIKV infection. Cemeteries have been well established as ecosystems for mosquito development according to a recent review of 30 papers (50). Vegetation and freshly cut flowers provide energy in the form of sugars for adult mosquitoes; visitors, cemetery caretakers, and stray animals provide an ample supply of blood meals for female mosquitoes; and flower vases and other water containers provide ideal sites for larval and pupal development. Previous ZIKV spatial analyses have mostly been based on case data or less sensitive serological assays (23, 51–53), making direct comparisons between the results of our spatial analysis and the published literature difficult. A follow-up study is examining the spatial distribution of ZIKV infections and disease cases at finer spatial scales than was possible here.

Our study was strengthened by the large sample sizes across two cohort studies, participants ranging from 2 to 80 y of age, sensitive and specific measurements of anti-ZIKV antibodies using the Zika NS1 BOB ELISA, and robust analysis of age trends and risk factors. Nonetheless, our study had several limitations. First, our knowledge of the effects of ZIKV infection during pregnancy, which
might explain some of the age trends that we estimated. Third, southern neighborhoods in our sample were under-represented in the large 2016 epidemic, making a large Zika epidemic in Managua unlikely in the near future.

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