



# Oxidized micrometeorites suggest either high $p\text{CO}_2$ or low $p\text{N}_2$ during the Neoproterozoic

Rebecca C. Payne<sup>a,1</sup>, Don Brownlee<sup>b</sup>, and James F. Kasting<sup>a</sup>

<sup>a</sup>Department of Geosciences, Pennsylvania State University, University Park, PA 16802; and <sup>b</sup>Department of Astronomy, University of Washington, Seattle, WA 98195

Edited by Thure E. Cerling, University of Utah, Salt Lake City, UT, and approved November 20, 2019 (received for review June 22, 2019)

Tomkins et al. [A. G. Tomkins et al., *Nature* 533, 235–238 (2016)] suggested that iron oxides contained in 2.7-Ga iron micrometeorites can be used to determine the concentration of  $\text{O}_2$  in the Archean upper atmosphere. Specifically, they argued that the presence of magnetite in these objects implies that  $\text{O}_2$  must have been near present-day levels (~21%) within the altitude range where the micrometeorites were melted during entry. Here, we reevaluate their data using a 1D photochemical model. We find that atomic oxygen, O, is the most abundant strong oxidant in the upper atmosphere, rather than  $\text{O}_2$ . But data from shock tube experiments suggest that  $\text{CO}_2$  itself may also serve as the oxidant, in which case micrometeorite oxidation really constrains the  $\text{CO}_2/\text{N}_2$  ratio, not the total oxidant abundance. For an atmosphere containing 0.8 bar of  $\text{N}_2$ , like today, the lower limit on the  $\text{CO}_2$  mixing ratio is ~0.23. This would produce a mean surface temperature of ~300 K at 2.7 Ga, which may be too high, given evidence for glaciation at roughly this time. If  $p\text{N}_2$  was half the present value, and warming by other greenhouse gases like methane was not a major factor, the mean surface temperature would drop to ~291 K, consistent with glaciation. This suggests that surface pressure in the Neoproterozoic may need to have been lower—closer to 0.6 bar—for  $\text{CO}_2$  to have oxidized the micrometeorites. Ultimately, iron micrometeorites may be an indicator for ancient atmospheric  $\text{CO}_2$  and surface pressure; and could help resolve discrepancies between climate models and existing  $\text{CO}_2$  proxies such as paleosols.

micrometeorites | Archean | atmospheric  $\text{CO}_2$  | climate

Earth's atmospheric  $\text{O}_2$  concentration is widely believed to have been low prior to ~2.5 Ga, based on a variety of geologic evidence (1), including multiple sulfur isotopes (2–4). These constraints are relevant to the lower atmosphere but do not necessarily apply to the upper atmosphere. Tomkins et al. (5) proposed that ancient spherical micrometeorites could be used to determine past oxygen concentrations in the upper atmosphere. They extracted 59 iron-type micrometeorites from Pilbara limestone, dated at ~2.72 Ga, and used the iron oxides contained within them to estimate the amount of  $\text{O}_2$  the micrometeorites would have encountered as they were melted during entry. These type I cosmic spheres were small Fe-Ni metal meteoroids that totally melted to form spheres during hypervelocity entry into the atmosphere. Their oxygen content was obtained in the mesopause during a brief period of frictional melting. Tomkins et al. (5) concluded that upper atmospheric  $\text{O}_2$  concentrations must have been close to the modern value, 21% by volume, to create the largely oxidized composition of the micrometeorites. To explain the discrepancy with inferred low  $\text{O}_2$  concentrations at the surface, they suggested that vertical atmospheric mixing in the Neoproterozoic could have been inhibited by the presence of a stratospheric organic haze, which would have caused a temperature inversion by absorbing incoming sunlight.

In more detail, Tomkins et al. (5) argued that the micrometeorites would have passed through the upper atmosphere, accelerated by Earth's gravitational field, and reached maximum temperature and velocity between 85 and 90 km above the surface (6). They posited that melting and quench cooling of these sand grain-size meteorites would have occurred within approximately

2 s between 75 and 90 km, so this is where oxidation should have occurred. Tomkins et al. (5) argued that the micrometeorites must have been oxidized when passing through the atmosphere and not by later alteration, based on the presence of a layer of encasing wüstite (FeO) in some of the micrometeorites, along with the preservation of delicate surface textures. They developed a mathematical model to determine the amount of  $\text{O}_2$  that would need to have been encountered during atmospheric entry to produce the iron oxides found in the micrometeorites. This included an equilibrium chemical model coupled to a numerical model of meteorite ablation. Tomkins et al. (5) argued that  $\text{CO}_2$  would have been an ineffective oxidant on its own because of its supposedly sluggish rate of reaction, and therefore free  $\text{O}_2$  would have been needed to oxidize the meteorites.

These micrometeorite data have recently been reanalyzed by Rimmer et al. (7), who concluded that they require low atmospheric surface pressure (~0.3 bar) at 2.7 Ga. According to their analysis, this allows  $\text{H}_2\text{O}$  to penetrate into the upper atmosphere, where it produces  $\text{O}_2$  from photodissociation. Zahnle and Buick (8) suggested this previously as a possible oxidation route, although they noted that it would require a much less effective tropopause cold trap than exists today. This solution would require that  $\text{H}_2\text{O}$  was a major upper atmospheric constituent in the Rimmer et al. (7) model; however, this does not seem to be the case, based on their figure 2. Instead, the  $\text{O}_2$  in their atmosphere must be coming from photolysis of  $\text{CO}_2$ , as that is the only species that contains enough O atoms to produce it. (See our analysis below regarding conservation of O atoms with altitude.) Our own model also includes photolysis of  $\text{CO}_2$ , but this process is slower than in the Rimmer et al. (7) model, for reasons that remain to be determined. That said, while we think there may be problems with

## Significance

Paleosols (ancient soils) have been used to estimate  $\text{CO}_2$  concentrations during the Archean Eon, 4.0 to 2.5 Ga. However, different paleosol studies disagree with each other and with climate model estimates for ancient  $\text{CO}_2$  levels. Oxidized iron micrometeorites dated at 2.7 Ga represent a new  $\text{CO}_2$  proxy with which to compare. These meteorites suggest that  $\text{CO}_2$  constituted 25 to 50% of the atmosphere at that time. This is easiest to explain if the  $\text{N}_2$  partial pressure was lower than today so that the atmospheric greenhouse effect was modest and the climate was cool, consistent with evidence for contemporaneous glaciation.

Author contributions: R.C.P. and J.F.K. designed research; R.C.P. performed research; R.C.P. contributed new reagents/analytic tools; R.C.P., D.B., and J.F.K. analyzed data; R.C.P. and J.F.K. wrote the paper; and D.B. assisted with conceptual work.

The authors declare no competing interest.

This article is a PNAS Direct Submission.

Published under the PNAS license.

<sup>1</sup>To whom correspondence may be addressed. Email: rebeccapayne@psu.edu.

This article contains supporting information online at <https://www.pnas.org/lookup/suppl/doi:10.1073/pnas.1910698117/-DCSupplemental>.

First published January 6, 2020.

the Rimmer et al. (7) model, or at least with their interpretations, we do agree that lower atmospheric pressure can help explain the Tomkins et al. (5) data, as it would allow for a higher ratio of  $\text{CO}_2:\text{N}_2$ . This is a key factor in the analysis presented here.

To test both these theories of micrometeorite oxidation, we used our own 1D photochemical model to create a suite of Archean atmosphere profiles with varying concentrations of atmospheric  $\text{CO}_2$ . Rather than focus exclusively on upper atmospheric  $\text{O}_2$ , as Tomkins et al. (5) did, we included O in our calculations as well, to assess the availability of all forms of oxygen. Furthermore, we reevaluated the efficacy of  $\text{CO}_2$  as an oxidant and considered atmosphere–particle interactions to determine likely conditions for micrometeorite oxidation in the upper Archean atmosphere. We then simulated the effect of possible  $\text{CO}_2$  concentrations on surface temperature and pressure, for different values of  $p\text{N}_2$ , using a 1D radiative–convective climate model.

### Micrometeorite Oxidation

**Entry Physics.** Particles with a diameter of less than 1 mm are too small to generate a bow shock during atmospheric entry (6), meaning that micrometeorites smaller than this do not create the shock waves or gas caps that typically form around larger particles under these conditions. The interaction between the smaller micrometeorites and the atmosphere is therefore thought to be simple, as no shock-induced chemical alteration occurs to the surrounding air during entry. Instead, the micrometeorite is simply slowed by, and may react with, the gas it encounters. Deceleration is a function of momentum exchange, and frictional heating during deceleration is what causes the micrometeorite to briefly melt and quench crystallize. The speed of the micrometeorite decreases by a factor of  $1/e$  for each particle mass column of gas that the micrometeorite impacts, assuming all of the gas momentum is transferred to the micrometeorite. A micrometeorite's speed would decrease to 0.37 and 0.135 of the initial speed after colliding with gas equal to 1 and 2 particle masses, respectively. Faster micrometeorites would encounter more air while molten because of their greater momentum.

The temperature of an entering micrometeorite depends on its velocity and on the ambient gas density, such that

$$\text{radiated power} = \frac{1}{4} \cdot \left( \frac{1}{2} \rho_{\text{air}} \right) \cdot v^3. \quad [1]$$

Here,  $\rho_{\text{air}}$  is the ambient air density and  $v$  is the micrometeorite's entry velocity. The melting temperature of Fe and its oxides is  $\sim 1,500$  °C, so the micrometeorite's speed must be above 6 km/s at 80 km and above 9 km/s at 85 km (in the modern Earth's atmosphere) to reach the melting point. To take up oxygen and form the mix of magnetite, wüstite, and metal observed in the Tomkins et al. (5) spherules, the micrometeorites need to be molten.

Depending on size and particle speed, most micrometeorites should contact 1 to 2 equivalent masses of air in the time that they are traveling fast enough to be heated to their melting points. A complication is that the micrometeorites are potentially evaporating some fraction of their mass during entry, and this is strongly dependent on entry angle and initial micrometeorite size. Evaporative mass loss during entry would likely decrease the number of Fe atoms that would need to be reacting with oxidants for the micrometeorite to be fully oxidized. But newly acquired oxygen may be lost as well, and so the uncertainties associated with mass loss make it difficult to constrain in our calculations without knowing more about entry angle and initial size. For this study, we assume that the micrometeorite retains most or all of the oxygen it encounters, along with its own initial mass, so oxidizing the micrometeorites is therefore a function of how much oxygen the meteorite encounters in the upper atmosphere while molten.

Tomkins et al. (5) assumed that the altitude region within which the micrometeorites were molten would be the same in the Archean as it is in the modern atmosphere (between  $\sim 75$  and 90 km, as ref. 6 specified). But the deceleration—and frictional heating—of a micrometeorite depends on the number of particles it encounters during entry. Thus, the window in which oxidation occurs is not located at a fixed altitude range, but rather at a fixed pressure range. Pressure in our model Archean atmosphere falls off more rapidly than in the modern atmosphere (*SI Appendix, Fig. S1B*) because of the cooler, ozone-poor stratosphere, along with the higher mean molecular weight caused by increased  $\text{CO}_2$ . Thus, the “oxidation altitude range” of 75 to 90 km for the modern atmosphere should really be redefined as an “oxidation pressure range” from  $\sim 2.3 \times 10^{-5}$  bar to  $\sim 1.6 \times 10^{-7}$  bar. The corresponding altitude of oxidation therefore varies with the  $\text{CO}_2$  concentration and is generally lower than assumed by Tomkins et al. (5) (Table 1).

**Micrometeorite Oxidation Chemistry.** In a Neoproterozoic atmosphere with a primarily  $\text{N}_2\text{-CO}_2$  composition, upper atmospheric chemistry would be dominated by the reaction



Direct recombination of CO with O is spin forbidden, and therefore slow, so O primarily recombines with itself to form  $\text{O}_2$ :



In terms of micrometeorite oxidation, it should not matter much whether oxygen was present as O or  $\text{O}_2$ , as the high temperature of the molten iron micrometeorites should allow all of the gaseous species interacting with them to equilibrate with each other on short timescales.

Tomkins et al. (5) considered  $\text{O}_2$  only as a potential oxidant, assuming that the concentration of other forms of oxygen would be negligible. Their figure 4 shows calculations by Zahnle et al. (9) that appear to support this assumption. But our own photochemical model of the 2.7-Ga atmosphere indicates that both  $\text{O}_2$  and O would be present in the stratosphere (*Photochemical Calculations*). The Zahnle et al. model (9, 10), which is a derivative of our model, would almost certainly yield the same result. However, those authors were focused on the lower atmosphere and simply did not include O in their figure.

It is also possible—even likely—that  $\text{CO}_2$  itself was an oxidant for the micrometeorites. Tomkins et al. (5) argued that Fe oxidation by  $\text{CO}_2$  would be slow, based on studies of Fe metallurgy at temperatures below 1,470 K (11, 12). But iron micrometeorites (and iron oxide) need to reach at least  $\sim 1,770$  K during entry to melt (6). Shock tube experiments above the Fe melting temperature indicate that oxidation rates of Fe to FeO by  $\text{O}_2$  (13) and  $\text{CO}_2$  (14) are roughly equal and are up to 3 orders of magnitude faster than reduction of FeO by CO (15). Other possible oxidation reactions include  $\text{O}_2$  oxidation of Fe to  $\text{FeO}_2$  (16) and  $\text{CO}_2$  oxidation of FeO to  $\text{FeCO}_3$  (17) at similar reaction rates. But it is worth noting that O can also reduce FeO to form  $\text{O}_2$  in the upper mesosphere (18), albeit at much lower temperatures than the micrometeorites would experience during entry. Until experimental chemical reaction rate data are obtained for the appropriate temperature and pressure range, we cannot definitively state which oxidation and reduction reactions are likely to dominate. Nonetheless, the  $\text{CO}_2$  oxidation pathway indicates the value such experiments would have for micrometeorite analysis—and, for now, existing data point to its potential significance for the Tomkins micrometeorites. In short, it suggests that at least one O atom from each  $\text{CO}_2$  molecule may contribute to micrometeorite oxidation during entry. It also suggests that reduction of the newly formed Fe oxides by CO is unlikely to occur during the short time

**Table 1. Approximate altitude of lower and upper bounds of oxidation pressure range for atmospheres with various CO<sub>2</sub> mixing ratios**

CO <sub>2</sub> , %	Modern	1%	10%	20%	25%	30%	35%	50%
Lower	75	59	55	54	52	51	50	46
Upper	90	72	70	67	65	64	62	58

Altitude is in kilometers.

that the micrometeorite is molten and that accumulating an adequate amount of oxidant in the oxidation pressure range is more important than the ratio of oxidants to reductants.

If so, then one should consider the oxidation potential from O, O<sub>2</sub>, and CO<sub>2</sub> within the oxidation pressure window. And this, in turn, makes the calculation quite simple, as nearly all O and O<sub>2</sub> in the upper atmosphere is derived from CO<sub>2</sub>. O atoms are neither created nor destroyed in the atmosphere above the altitude at which they are removed by rainout of H<sub>2</sub>O. Thus, from mass balance, it is easy to demonstrate (*SI Appendix*) that if O<sub>2</sub> concentrations are low near the surface, and if H<sub>2</sub>O concentrations are low at the tropopause, then

$$f(\text{O}) + 2f\text{O}_2 + f\text{CO}_2 = \text{const.} = f\text{CO}_2^0. \quad [4]$$

Here,  $f_i$  is the volume mixing ratio of species  $i$  and  $f\text{CO}_2^0$  is the mixing ratio of CO<sub>2</sub> at the surface. More precisely,  $f\text{CO}_2^0$  is the mixing ratio of CO<sub>2</sub> at the base of the stratosphere; however, the difference between this value and the surface CO<sub>2</sub> mixing ratio is negligible. Thus, in our analysis, the key parameter is the CO<sub>2</sub>:N<sub>2</sub> ratio at the surface. CO<sub>2</sub> oxidizes the micrometeorites, whereas both CO<sub>2</sub> and N<sub>2</sub> decelerate the meteorites. The CO<sub>2</sub>:N<sub>2</sub> ratio determines whether or not they are oxidized, independent of particle size.

To make this analysis more concrete, consider the modern atmosphere, within which we know that incoming metal micrometeorites are partly to fully oxidized to form a mix of Fe<sub>(1-x)</sub>O (wüstite), Fe<sub>3</sub>O<sub>4</sub>, and sometimes iron metal during entry (6). For total oxidation, this requires the addition of 1 to 1.3 O atoms per iron atom. In the modern atmosphere, this oxidation is accomplished almost exclusively by O<sub>2</sub>. Assume for now that the particle remains molten, and hence reactive, only during its encounter

with the first equivalent air mass. Because the atomic mass of Fe (~56 amu) is just under twice the average atmospheric mass (29.6 for N<sub>2</sub>-O<sub>2</sub>-<sup>40</sup>Ar), the micrometeorite should encounter about twice as many air molecules as it contains Fe atoms while it is still molten. Of these, 21% are O<sub>2</sub> molecules. Therefore, the ratio of O<sub>2</sub> molecules encountered to Fe atoms within the micrometeorite is equal to  $2 \times 0.21 \cong 0.4$ . The ratio of O:Fe is twice that, or 0.8. This ratio is close enough to the O:Fe ratio required to form the observed oxides (as the micrometeorites contain some varying fraction of Ni instead of Fe), provided that oxidation is total and nearly 100% efficient. We can express this relationship compactly by writing

$$\left(\frac{56}{29.6}\right) \cdot 2f\text{O}_2 \cong 0.8. \quad [5]$$

Now, let us apply this same logic to the Neoproterozoic atmosphere, assuming that it consists primarily of N<sub>2</sub> and CO<sub>2</sub> within the oxidation pressure window. (Fig. 1 and *SI Appendix*, Fig. S2 show that this is approximately true.) The mean molecular weight of the atmosphere is then

$$M_{\text{at}} \cong 44f\text{CO}_2 + 28(1 - f\text{CO}_2). \quad [6]$$

Here,  $f\text{CO}_2$  is effectively  $f\text{CO}_2^0$ , the CO<sub>2</sub> mixing ratio at the ground. We use these terms interchangeably from here on. Using the identical requirement of 0.8 O atoms per Fe atom for complete oxidation then yields

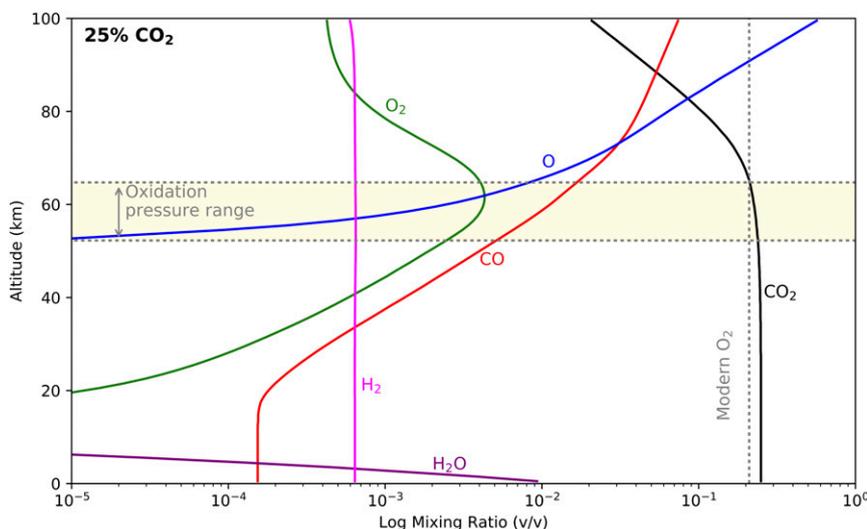
$$\left(\frac{56}{M_{\text{at}}}\right) f\text{CO}_2 = \left(\frac{56f\text{CO}_2}{44f\text{CO}_2 + 28(1 - f\text{CO}_2)}\right) \geq 0.8$$

or

$$\left(\frac{2f\text{CO}_2}{1 + \left(\frac{4}{7}\right)f\text{CO}_2}\right) \geq 0.8. \quad [7]$$

Solving for  $f\text{CO}_2$  gives a minimum value of 0.52 needed to oxidize a micrometeorite encountering one equivalent mass of air during entry.

Suppose now that the meteorite remains molten during its encounter with 2 equivalent air masses. The required CO<sub>2</sub>



**Fig. 1.** Vertical profiles of major constituents mixing ratios for  $f\text{CO}_2 = 25\%$ , close to our minimum estimated value. The pressure range for micrometeorite oxidation is indicated by the shaded yellow region. The modern O<sub>2</sub> mixing ratio is shown by the vertical dotted line. Atmosphere also contains 0.8 bar N<sub>2</sub>.

mixing ratio is lower, but not exactly by a factor of 2. The analog to Eq. 7 is

$$\left(\frac{56}{M_{\text{at}}}\right) \cdot 2f\text{CO}_2 = \left(\frac{56f\text{CO}_2}{44f\text{CO}_2 + 28(1-f\text{CO}_2)}\right) \geq 0.8$$

or, more simply,

$$\left(\frac{4f\text{CO}_2}{1 + \left(\frac{4}{7}\right)f\text{CO}_2}\right) \geq 0.8. \quad [8]$$

Solving for  $f\text{CO}_2$  in this case yields a value of 0.23. It is slightly less than half the value predicted from Eq. 7 because the mean molecular weight of the atmosphere is lower, causing the micrometeorite to encounter more air molecules as it decelerates.

Similar calculations can be performed for the case when  $\text{CO}_2$  is not considered to be an oxidant for Fe. We do this here because it remains unclear which assumption is actually correct. In this case,  $\text{CO}_2$  and  $\text{N}_2$  would still be the dominant constituents in the oxidation pressure range, so  $M_{\text{at}}$  remains unchanged, but the fraction of oxidant is  $f\text{O}_{\text{oxy}} \equiv (f\text{O} + 2f\text{O}_2)$ , rather than  $f\text{CO}_2$ . Thus, if the particle remains molten during encounter with one equivalent air mass, we can write

$$\left(\frac{56}{M_{\text{at}}}\right) f\text{O}_{\text{oxy}} = f\text{O}_{\text{oxy}} \left(\frac{56}{44f\text{CO}_2 + 28(1-f\text{CO}_2)}\right) \geq 0.8$$

or

$$\left(\frac{2 \cdot f\text{O}_{\text{oxy}}}{1 + \frac{4}{7}f\text{CO}_2}\right) \geq 0.8. \quad [9]$$

The value of  $f\text{O}_{\text{oxy}}$  needed to oxidize the micrometeorites still depends on the mixing ratio of  $\text{CO}_2$ , but the relationship is more complicated, as O and  $\text{O}_2$  are both produced, directly or indirectly, from  $\text{CO}_2$  photolysis. Thus, a photochemical model is needed to determine this relationship. We have performed such modeling (*Photochemical Calculations*) and, not surprisingly, the required atmospheric  $\text{CO}_2$  mixing ratios for micrometeorite oxidation using only O and  $\text{O}_2$  are much higher. For a 2-air-mass oxidation event, the required value of  $f\text{O}_{\text{oxy}}$  in Eq. 9 is cut exactly in half, as the mean molecular mass does not change. But, as we shall see, attaining even this lower value of  $f\text{O}_{\text{oxy}}$  is difficult to achieve in a realistic Archean atmosphere.

### Photochemical Calculations

**Methods.** Photochemical model calculations are required if  $\text{CO}_2$  is not included as a possible oxidant for Fe micrometeorites. For our calculations, we used a version of our 1D photochemical model developed for high- $\text{CO}_2$ , low- $\text{O}_2$  atmospheres (19). Our model contains 49 chemical species involved in 221 reactions (see supporting information in ref. 20 for the full list). It extends upward from the Earth's surface to 100 km in 1-km thick layers. Vertical mixing is parameterized as a combination of eddy and molecular diffusion, using a profile appropriate for the modern atmosphere (21). Absorption and scattering of solar radiation were calculated using a 2-stream algorithm (22), assuming a fixed solar zenith angle of  $50^\circ$ . The time-dependent, coupled chemistry/diffusion equations were integrated to steady state using the (fully implicit) reverse Euler method. We also calculated changes to the solar UV flux using a parameterization developed by Ribas et al. (23). Properly scaling the UV flux is essential for this analysis, as the rate of free oxygen production via  $\text{CO}_2$  photolysis depends on this parameter. At 2.7 Ga, the Sun would have been only  $\sim 81\%$  as bright as today in the visible wavelength range (24, 25), but  $\sim 50\%$  brighter than today at far-UV wavelengths ( $<1,750 \text{ \AA}$ ).

Calculations were performed for a 1-bar,  $\text{CO}_2\text{-N}_2$  atmosphere with 1 to 50%  $\text{CO}_2$ , along with low concentrations of methane (below). We should note that we are solving minor-constituent diffusion equations for major species, which introduces some error in the ratio of  $\text{CO}_2\text{:CO:O}$  at high altitudes in the model atmosphere. However, this should have little effect on our results because, as discussed earlier, it is only the sum of  $\text{CO}_2$ ,  $\text{O}_2$ , and O that matters, as iron reduction by CO is slow. Furthermore, the largest errors in these ratios occur close to the top of the model atmosphere, above the altitude range at which most meteorite oxidation occurs.

We assumed a simplified temperature structure that decreases from 285 K at the surface to 175 K at 9.5 km and then remains constant above that height (*SI Appendix, Fig. S1A*). This is consistent with predictions from 1D climate models (e.g., ref. 26), which suggest that the temperature profile of an ozone-free atmosphere should follow a moist adiabat from the surface up to the tropopause and then become roughly isothermal above that altitude. We have not attempted to keep the surface temperature consistent with the assumed  $\text{CO}_2$  concentration and solar flux in these calculations, reasoning that upper atmospheric composition should be relatively insensitive to this parameter. We examine the implications of atmospheric composition and photochemistry on surface temperature in our climate calculations (*Discussion*).

An upward  $\text{CH}_4$  flux of  $3.0 \times 10^9$  molecules- $\text{cm}^{-2}\cdot\text{s}^{-1}$  was assumed for our photochemical calculations. This is about 3% of the present  $\text{CH}_4$  flux and well below the estimated  $\text{CH}_4$  flux during the Archean (27). Unlike Tomkins et al. (5), we do not rely on a stratospheric temperature inversion to help build up upper atmospheric  $\text{O}_2$ , and so we avoid the regime in which  $f\text{CH}_4/f\text{CO}_2 > 0.1$  and in which organic haze may form (28). The actual amount of  $\text{CH}_4$  present should have little effect on micrometeorite oxidation; however, it does have implications for climate at that time, so we return to this issue in *Discussion*.

Data for both photochemical and climate model calculations are available on request from the corresponding author.

**Results.** Vertical profiles of major atmospheric constituents for our 25%  $\text{CO}_2$  case are shown in Fig. 1. Key reducing and oxidizing species in the upper atmosphere at different  $\text{CO}_2$  concentrations are shown in *SI Appendix, Fig. S2*. Both O and  $\text{O}_2$  are present within the micrometeorite oxidation pressure range, with O dominating in the upper part of this region and  $\text{O}_2$  in the lower part. In all these simulations, the sum of  $f\text{O} + 2f\text{O}_2$  ( $f\text{O}_{\text{oxy}}$ ) is much less than  $f\text{CO}_2$ . That is because virtually all of the O and  $\text{O}_2$  is coming from  $\text{CO}_2$  and because  $\text{CO}_2$  itself is relatively resistant to photolysis ( $\text{CO}_2$  photolyzes only below  $\sim 200$  nm, where the solar UV flux is relatively low). Accumulating large amounts of O and  $\text{O}_2$  in the stratosphere would require unrealistically low eddy mixing. Tomkins et al. (5) argued that such low mixing might result from a stratospheric temperature inversion caused by the presence of organic haze. But the eddy diffusion profile used here already accounts for this phenomenon, as it was derived for the modern stratosphere which has a temperature inversion caused by ozone.

Even with  $\text{CO}_2$  concentrations as high as 50%, the fraction of the atmosphere within the oxidation pressure range that is composed of O and  $\text{O}_2$  combined is less than 2% (*SI Appendix, Fig. S2*). Oxidizing the micrometeorites with oxygen alone (Eq. 9) requires reaching a value of  $f\text{O}_{\text{oxy}}$  roughly 10 times higher than this. Doing so would thus require both an extremely high  $\text{CO}_2$  concentration and extremely low eddy mixing. It is therefore difficult, or even impossible, to oxidize the Tomkins et al. (5) micrometeorites using just O and  $\text{O}_2$ , unless  $\text{O}_2$  was abundant throughout the atmosphere. But this

possibility is ruled out by geologic data, including sulfur isotope studies, as mentioned earlier. It is much more likely that the micrometeorites were oxidized by  $\text{CO}_2$ , in which case the limits on  $f\text{CO}_2$  derived in *Micrometeorite Oxidation Chemistry* remain applicable.

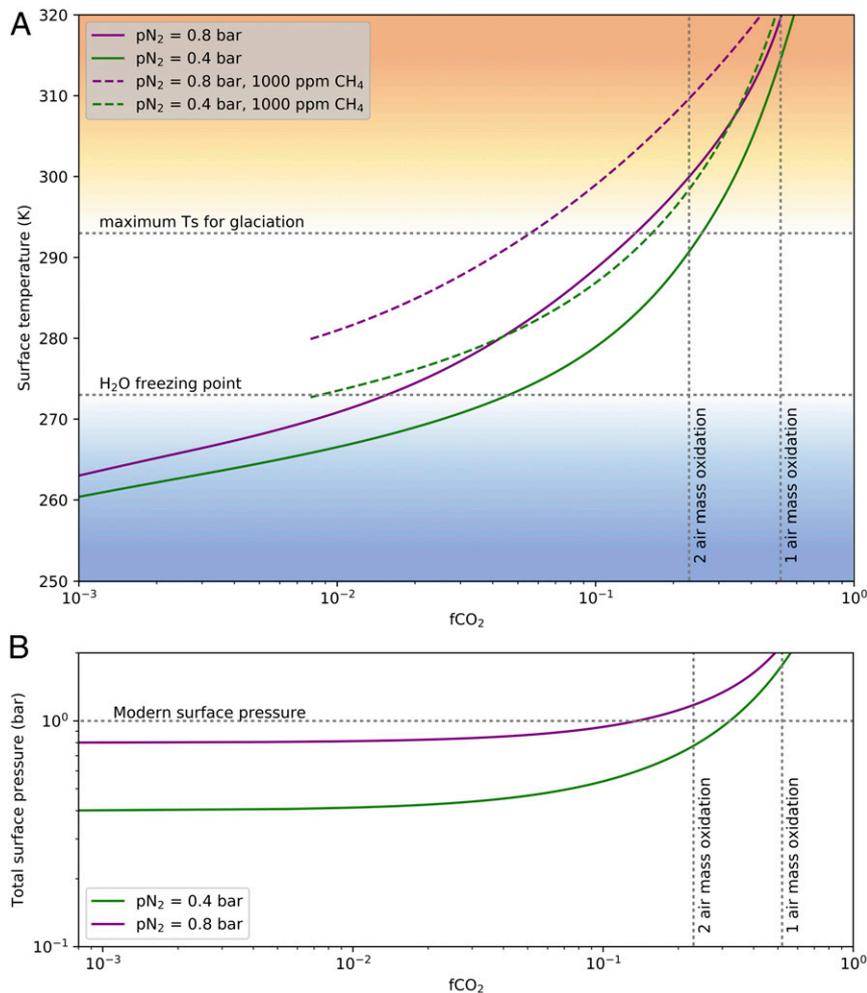
### Discussion

**Constraints on  $p\text{CO}_2$  from Neoproterozoic Climate.** The high atmospheric  $\text{CO}_2$  concentrations required to oxidize the micrometeorites can be compared with  $\text{CO}_2$  levels required to explain the climate of the Neoproterozoic Earth. Ojakangas et al. (29) have reported diamictites dated at 2.7 Ga in the Dharwar Supergroup, India. This is approximately the same age as the micrometeorites ( $2.721 \pm 0.004$  Ga) analyzed by Tomkins et al. (5). Even more convincing evidence for glaciation is found in 2.9-Ga rocks from the Pongola Supergroup in South Africa (30). Together, these observations suggest that the climate of the Neoproterozoic was not too different from that of today. In a long-term sense, Earth's climate is glacial today because ice caps exist at both poles.

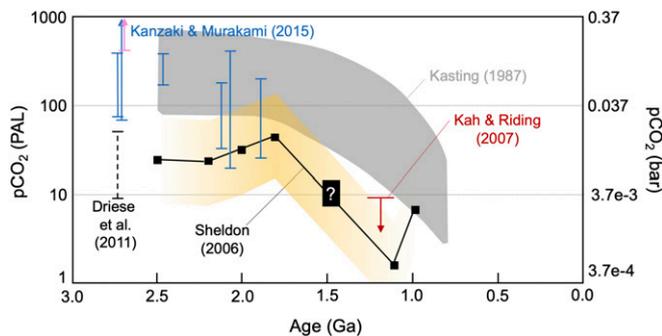
Approximate constraints on global mean surface temperature,  $T_S$ , during glacial periods have been estimated by Kasting (31), and we follow the same approach here. The modern value

of  $T_S$  is  $\sim 288$  K. Polar ice caps were absent during the early Cenozoic and preceding Mesozoic eras. The Antarctic ice cap started to grow about 35 My ago, at which time  $T_S$  was about  $5^\circ\text{C}$  warmer than today, or 293 K, based on oxygen isotopes in deep sea carbonate cores. This suggests that 293 K is a reasonable upper limit for continental-scale glaciation. The argument is not ironclad, because changes in land-sea distributions—in particular, the opening of the Drake passage at about this same time—could also have helped trigger Antarctic glaciation. But we use this as a reasonable guess at the upper limit on  $T_S$  at 2.7 Ga. At the same time, we can take  $0^\circ\text{C}$ , or 273 K, as a reasonable lower limit on  $T_S$ , as climate models predict that Earth's climate would go into a Snowball state if temperatures were to drop much below this value. Climate theory (32) then predicts that silicate weathering would slow, and atmospheric  $\text{CO}_2$  would build up if this were the case.

We used an existing 1D climate model (33) to study the effects of high atmospheric  $\text{CO}_2$  levels on Neoproterozoic climate. To do so, we needed to first establish a relationship between  $f\text{CO}_2$  (the  $\text{CO}_2$  mixing ratio) and surface pressure. This relationship is nonlinear because the total atmospheric



**Fig. 2.** Results from our 1D climate model. (A) Surface temperature as a function of  $f\text{CO}_2$ , for atmospheres with 0.8 bar (purple) and 0.4 bar (green) of  $\text{N}_2$ . Solid curves are for zero  $\text{CH}_4$ ; dashed curves are for 1,000 ppm  $\text{CH}_4$ . Blue shaded region denotes subfreezing global mean surface temperatures; orange shaded region indicates a global mean surface temperature too high to facilitate glaciation (main text). The 1- and 2-air-mass oxidation lines represent the  $f\text{CO}_2$  needed for oxidation to occur if the micrometeorite reacts with these amounts of air during entry. (B) Surface pressure versus  $f\text{CO}_2$  for a  $\text{N}_2$ - $\text{CO}_2$  atmosphere with 0.8 bar  $\text{N}_2$  (purple) and 0.4 bar  $\text{N}_2$  (green), as calculated from Eq. 11 in the main text.



**Fig. 3.**  $p\text{CO}_2$  estimates from paleosols compared to those from climate model calculations (gray shaded region) (31). Estimates from Sheldon (39) are shown by the black squares and solid black line (with error in yellow). The dashed vertical bar is the paleosol estimate at 2.7 Ga from Driese et al. (38). The downward red arrow is the upper  $p\text{CO}_2$  limit from cyanobacterial sheath calcification at 1.2 Ga (Kah and Riding, ref. 42). The vertical bars in blue are the paleosol estimates from Kanzaki and Murakami (41). The upward pink arrow indicates the  $p\text{CO}_2$  from our calculations at 2.7 Ga if  $p\text{N}_2$  was 0.8 bar. Reproduced from ref. 43 with permission of Cambridge University Press through PLSclear.

pressure changes as  $f\text{CO}_2$  increases, given a fixed amount of  $\text{N}_2$ . The required relationship (derived in *SI Appendix*) is

$$p\text{CO}_2 = p\text{N}_2 \cdot \left(\frac{44}{28}\right) \cdot \left(\frac{f\text{CO}_2}{1-f\text{CO}_2}\right). \quad [10]$$

Here,  $p\text{CO}_2$  and  $p\text{N}_2$  are the “partial pressures” of  $\text{CO}_2$  and  $\text{N}_2$ . The term partial pressure is used loosely here, as these are not true partial pressures. Rather, they represent the surface pressure that would be exerted by that amount of gas were it to exist by itself in Earth’s atmosphere. Lighter gases cause heavier ones to diffuse away from Earth’s surface, whereas heavier gases cause lighter ones to diffuse toward it; hence, the actual partial pressure of a gas can be different from its pressure in isolation, seemingly contrary to Dalton’s law. The advantage of defining these terms in this way is that surface pressure,  $P_s$ , is then given by

$$P_s = p\text{CO}_2 + p\text{N}_2. \quad [11]$$

With these relationships in hand, we used the 1D climate model to calculate mean surface temperature as a function of  $f\text{CO}_2$ . The results are shown in Fig. 2. The assumed solar luminosity was 0.81 times present, following Gough (24). Fig. 2A shows  $T_s$  versus  $f\text{CO}_2$  for different amounts of  $\text{N}_2$  and  $\text{CH}_4$ .  $\text{CH}_4$  is a greenhouse gas which is scarce enough to have little effect on surface pressure, but abundant enough (1,000 ppmv, or parts per million by volume, in these calculations) to raise  $T_s$  by 8 to 10 °C. This  $\text{CH}_4$  concentration is an approximate upper limit derived from Archean ecosystem modeling (27). The micrometeorite oxidation constraints are on  $f\text{CO}_2$ , not  $p\text{CO}_2$ , and are displayed as vertical dotted lines.

The results show that if  $p\text{N}_2$  were the same as today ( $\sim 0.8$  bar), the climate at 2.7 Ga would have been warm—300 K or more—even if  $f\text{CO}_2$  was equal to the minimum value,  $\sim 0.23$ , estimated from 2-air-mass oxidation. For  $f\text{CO}_2 = 0.52$ , the minimum value for 1-air-mass oxidation, then  $T_s \geq 320$  K. Either of these mean surface temperatures would almost certainly have precluded polar glaciation.

The results are more promising for a potentially glacial climate if  $p\text{N}_2$  was half its present value, or 0.4 bar. For  $f\text{CO}_2 = 0.23$ , the predicted  $T_s$  for the no-methane case is  $\sim 290$  K, which is within the glacial “window.” The high-methane case is several degrees warmer and is outside our nominal window. However, given the uncertainties in estimating this window, along with the uncertainties in age dating of the micrometeorites and the glaciations, this result also seems plausible.

All of this suggests that if the micrometeorite oxidation story—with  $\text{CO}_2$  facilitating Fe micrometeorite oxidation by up to 2 air masses during entry—is correct, levels of  $p\text{N}_2$  must have been appreciably lower than those today back at 2.7 Ga. While some theoreticians have argued just the opposite (34), more recent authors have provided empirical support for this hypothesis. For example, analysis of vesicles in 2.7-Ga basaltic lavas erupted at sea level imply  $P_s < 0.5$  bar (35), and measured  $\text{N}_2/^{36}\text{Ar}$  ratios in fluid inclusions trapped in 3- to 3.5-Ga hydrothermal quartz suggest  $P_s < 1.1$  bar to possibly as low as 0.5 bar (36, 37). All 3 of these estimates are consistent with the low  $p\text{N}_2$  and  $P_s$  values derived here.

**Constraints on  $p\text{CO}_2$  from Paleosols.** Archean  $\text{CO}_2$  levels have also been estimated from paleosols. Driese et al. (38) published an estimate of 10 to 50 PAL (times the Present Atmospheric Level)  $\text{CO}_2$  at  $\sim 2.7$  Ga, based on an analytical technique developed by Sheldon (39). A total of 1 PAL  $\text{CO}_2$  corresponds to 370 ppmv, or  $3.7 \times 10^{-4}$  bar, in their model, so their estimate is  $\sim 0.004$  to 0.02 bar. By comparison, our minimum estimates of  $p\text{CO}_2$  are  $\sim 0.16$  bar for the 0.4-bar  $p\text{N}_2$  case and  $\sim 0.25$  bar for the 0.8-bar  $p\text{N}_2$  case (these are true  $\text{CO}_2$  partial pressures—hence, no prime on  $p\text{CO}_2$ —obtained by multiplying  $f\text{CO}_2 = 0.23$  by the corresponding surface pressure in *SI Appendix*, Fig. S1B). Our  $p\text{CO}_2$  estimates are clearly much higher than Sheldon’s (39) estimates. But Sheldon’s method of analysis can be criticized on several different grounds (40). Most importantly, it implicitly assumes that every  $\text{CO}_2$  molecule that enters the soil will react with a silicate mineral, which is probably not the case. Hence, his method should provide only a lower limit on atmospheric  $p\text{CO}_2$ . A more recent analysis of the same paleosols by Kanzaki and Murakami (41) yields  $p\text{CO}_2$  values ranging from 0.03 bar to almost 0.4 bar (Fig. 3). The upper end of these estimates overlaps nicely with the  $\text{CO}_2$  partial pressures derived here. So,  $\sim 0.2$  bar might be considered a “best guess” of atmospheric  $p\text{CO}_2$  at the time when the Tomkins et al. (5) micrometeorites fell to Earth. At the very least, the  $p\text{CO}_2$  estimates from micrometeorite oxidation provide support for the higher Kanzaki and Murakami (41)  $p\text{CO}_2$  estimates from paleosols compared to the older estimates from Driese et al. (38) and Sheldon (39).

## Conclusions

To truly solve this problem, experimental data on iron oxidation by  $\text{CO}_2$ , O, and  $\text{O}_2$  in conditions like those a micrometeorite would experience during entry are needed. Nonetheless, existing data support the idea that the oxidation of Archean iron micrometeorites, melted during atmospheric entry, depends primarily on the amount of  $\text{CO}_2$  available in the atmosphere. Assuming 2-air-mass oxidation and that  $\text{CO}_2$  itself is the primary oxidant, we find that at least  $\sim 23\%$   $\text{CO}_2$  would be needed to oxidize the Tomkins et al. (5) micrometeorites at 2.7 Ga. This  $\text{CO}_2$  concentration can be reconciled with values derived from paleosols, provided that one accepts the higher estimates of Kanzaki and Murakami (41). It is most easily reconciled with climate models if levels of  $p\text{N}_2$  were lower than they are today, as this would facilitate a global mean surface temperature low enough for glaciation to occur. A surface pressure of about 0.6 bar, with less than 25%  $\text{CO}_2$ , would have allowed the Tomkins et al. (5) micrometeorites to be oxidized without conflicting with the evidence for glaciation at 2.7 Ga. There is thus no need to invoke unusually high atmospheric  $\text{O}_2$  concentrations to explain the micrometeorite oxidation. Instead, these oxidized micrometeorites imply a Neoproterozoic atmosphere that was rich in  $\text{CO}_2$  and somewhat poorer in  $\text{N}_2$  than today’s atmosphere.

**ACKNOWLEDGMENTS.** We (R.C.P. and J.F.K.) thank Benjamin P. Hayworth for his contributions to our analysis of the chemical and physical aspects of micrometeorite oxidation.

1. H. D. Holland, The oxygenation of the atmosphere and oceans. *Philos. Trans. R Soc. Lond. B Biol. Sci.* **361**, 903–915 (2006).
2. J. Farquhar, H. Bao, M. Thiemens, Atmospheric influence of Earth's earliest sulfur cycle. *Science* **289**, 756–759 (2000).
3. A. A. Pavlov, L. L. Brown, J. F. Kasting, UV shielding of NH<sub>3</sub> and O<sub>2</sub> by organic hazes in the Archean atmosphere. *J. Geophys. Res.* **106**, 23267–23287 (2001).
4. C. E. Harman, A. A. Pavlov, D. Babikov, J. F. Kasting, Chain formation as a mechanism for mass-independent fractionation of sulfur isotopes in the Archean atmosphere. *Earth Planet. Sci. Lett.* **496**, 238–247 (2018).
5. A. G. Tomkins *et al.*, Ancient micrometeorites suggestive of an oxygen-rich Archean upper atmosphere. *Nature* **533**, 235–238 (2016).
6. S. G. Love, D. E. Brownlee, Heating and thermal transformation of micrometeoroids entering the Earth's atmosphere. *Icarus* **89**, 26–43 (1991).
7. P. B. Rimmer, O. Shorttle, S. Rugheimer, Oxidised micrometeorites as evidence for low atmospheric pressure on the early Earth. *Geochem. Perspect. Lett.* **9**, 38–42 (2019).
8. K. Zahnle, R. Buick, Atmospheric science: Ancient air caught by shooting stars. *Nature* **533**, 184–186 (2016).
9. K. Zahnle, M. Claire, D. Catling, The loss of mass-independent fractionation in sulfur due to a Paleoproterozoic collapse of atmospheric methane. *Geobiology* **4**, 271–283 (2006).
10. K. J. Zahnle, Photochemistry of methane and the formation of hydrocyanic acid (HCN) in the Earth's early atmosphere. *J. Geophys. Res. Atmos.* **91**, 2819–2834 (1986).
11. H. T. Abuluwafa, R. I. L. Guthrie, F. Ajersch, Oxidation of low carbon steel in multi-component gases: Part I. Reaction mechanisms during isothermal oxidation. *Metall. Mater. Trans. A Phys. Metall. Mater. Sci.* **28**, 1633–1641 (1997).
12. R. Bredesen, P. Kofstad, On the oxidation of iron in CO<sub>2</sub>+CO mixtures: II. Reaction mechanisms during initial oxidation. *Oxid. Met.* **35**, 107–137 (1991).
13. U. S. Akhmadov, I. S. Zaslonko, V. N. Smirnov, Mechanism and kinetics of interaction of Fe, Cr, Mo, and Mn atoms with molecular oxygen. *Kinet. Catal.* **29**, 2 (1988).
14. A. Giesen, J. Herzlera, P. Rotha, High temperature oxidation of iron atoms by CO<sub>2</sub>. *Phys. Chem. Chem. Phys.* **4**, 3665–3668 (2002).
15. V. N. Smirnov, Rate constant of the gas-phase reaction between Fe atoms and CO<sub>2</sub>. *Kinet. Catal.* **49**, 607–609 (2008).
16. V. N. Smirnov, Thermochemical parameters and rate constants of the reactions Fe+O<sub>2</sub>+M ↔ FeO<sub>2</sub>+M and FeO+O<sub>2</sub> ↔ FeO<sub>2</sub>+O. *Kinet. Catal.* **53**, 543–553 (2012).
17. R. J. Rollason, J. M. C. Plane, The reactions of FeO with O<sub>3</sub>, H<sub>2</sub>, H<sub>2</sub>O, O<sub>2</sub> and CO<sub>2</sub>. *Phys. Chem. Chem. Phys.* **2**, 2335–2343 (2000).
18. D. E. Self, J. M. C. Plane, A kinetic study of the reactions of iron oxides and hydroxides relevant to the chemistry of iron in the upper mesosphere. *Phys. Chem. Chem. Phys.* **5**, 1407–1418 (2003).
19. C. E. Harman, E. W. Schwieterman, J. C. Schottelkotte, J. F. Kasting, Abiotic O<sub>2</sub> levels on planets around F, G, K, and M stars: Possible false positives for life? *Astrophys. J.* **812**, 137 (2015).
20. C. L. Stanton *et al.*, Nitrous oxide from chemodenitrification: A possible missing link in the Proterozoic greenhouse and the evolution of aerobic respiration. *Geobiology* **16**, 597–609 (2018).
21. S. T. Massie, D. M. Hunten, Stratospheric eddy diffusion coefficients from tracer data. *J. Geophys. Res.* **86**, 9859–9868 (1981).
22. O. B. Toon, C. P. McKay, T. P. Ackerman, Rapid calculation of radiative heating rates and photodissociation rates in inhomogeneous multiple scattering atmospheres. *J. Geophys. Res.* **94**, 16287–16301 (1989).
23. I. Ribas, E. F. Guinan, M. Gudel, M. Audard, Evolution of the solar activity over time and effects on planetary atmospheres—I: High energy irradiances. *Astrophys. J.* **622**, 680–694 (2005).
24. D. O. Gough, Solar interior structure and luminosity variations. *Sol. Phys.* **14**, 21–34 (1981).
25. J. F. Kasting, Early earth: Faint young sun redux. *Nature* **464**, 687–689 (2010).
26. J. F. Kasting, The evolution of the prebiotic atmosphere. *Orig. Life* **14**, 75–82 (1984).
27. P. Kharecha, J. Kasting, J. Siefert, A coupled atmosphere–ecosystem model of the early Archean Earth. *Geobiology* **3**, 53–76 (2005).
28. J. D. Haqq-Misra, S. D. Domagal-Goldman, P. J. Kasting, J. F. Kasting, A revised, hazy methane greenhouse for the Archean Earth. *Astrobiology* **8**, 1127–1137 (2008).
29. R. W. Ojakangas, R. Srinivasan, V. S. Hegde, S. M. Chandrakant, S. V. Srikantia, The Talya conglomerate: An Archean (~2.7 Ga) glaciomarine formation, Western Dharwar Craton, Southern India. *Curr. Sci.* **106**, 387–396 (2014).
30. D. J. C. Young, W. E. L. Minter, Earth's oldest reported glaciation; physical and chemical evidence from the Archean Mozaan Group (~2.9 Ga) of South Africa. *J. Geol.* **106**, 523–538 (1998).
31. J. F. Kasting, Theoretical constraints on oxygen and carbon dioxide concentrations in the Precambrian atmosphere. *Precambrian Res.* **34**, 205–229 (1987).
32. J. C. G. Walker, P. B. Hays, J. F. Kasting, A negative feedback mechanism for the long-term stabilization of Earth's surface temperature. *J. Geophys. Res. Oceans* **86**, 9776–9782 (1981).
33. R. C. Payne, A. V. Britt, H. Chen, J. F. Kasting, D. C. Catling, The response of Phanerozoic surface temperature to variations in atmospheric oxygen concentration. *J. Geophys. Res. Atmos.* **121**, 10089–10096 (2016).
34. C. Goldblatt *et al.*, Nitrogen-enhanced greenhouse warming on early Earth. *Nat. Geosci.* **2**, 891–896 (2009).
35. B. Marty, L. Zimmermann, M. Pujol, R. Burgess, P. Philippot, Nitrogen isotopic composition and density of the Archean atmosphere. *Science* **342**, 101–104 (2013).
36. S. M. Som *et al.*, Earth's air pressure 2.7 billion years ago constrained to less than half of modern levels. *Nat. Geosci.* **9**, 448–451 (2016).
37. G. Avice *et al.*, Evolution of atmospheric xenon and other noble gases inferred from Archean to Paleoproterozoic rocks. *Geochim. Cosmochim. Acta* **232**, 82–100 (2018).
38. S. G. Driese *et al.*, Neoproterozoic paleoweathering of tonalite and metabasalt: Implications for reconstructions of 2.69 Ga early terrestrial ecosystems and paleoatmospheric chemistry. *Precambrian Res.* **189**, 1–17 (2011).
39. N. D. Sheldon, Precambrian paleosols and atmospheric CO<sub>2</sub> levels. *Precambrian Res.* **147**, 148–155 (2006).
40. J. Kasting, Atmospheric composition of Hadean–early Archean Earth: The importance of CO. *Geol. Soc. Am. Spec. Pap.* **504**, 19–28 (2014).
41. Y. Kanzaki, T. Murakami, Estimates of atmospheric CO<sub>2</sub> in the Neoproterozoic–Paleoproterozoic from paleosols. *Geochim. Cosmochim. Acta* **159**, 190–219 (2015).
42. L. C. Kah, R. Riding, Mesoproterozoic carbon dioxide levels inferred from calcified cyanobacteria. *Geology* **35**, 799–802 (2007).
43. D. C. Catling, J. F. Kasting, *Atmospheric Evolution on Inhabited and Lifeless Worlds* (Cambridge University Press, 2017).