

ties, but in a higher dimensional P^m (m being the lowest dimension for which this is possible), then Theorem II still holds, if one interprets Π_k as the dual cocycle class of the intersection cycle of a $(k + m - n - 1)$ -dimensional plane in P^m with V^n .

¹ *Die Idee der Riemannschen Flaechе*, Weyl, H., Berlin (1913).

² "Ueber Schnittflaechen in speziellen Faserungen und Felder reeller und komplexer Linienelemente," Kundert, E. G., *Ann. Math.*, **54**, 215-246 (1951).

³ "The Topological Invariants of Algebraic Varieties," Hodge, W. V. D., *Proceedings of the International Congress of Mathematics in Cambridge*, 1950.

A NOTE ON AUTOMORPHIC VARIETIES*

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In this note we shall make a very limited application of Theorem 1 below—namely, to the proof of the fact that compact automorphic varieties of the type considered admit only a finite number of analytic homeomorphisms.

Let D be a bounded domain in the space of n complex variables z_1, \dots, z_n , and let $\Gamma = \{\gamma\}$ be a discrete group of analytic homeomorphisms of D on itself. The elements of Γ are necessarily countable, and we shall denote them by $\gamma_0, \gamma_1, \gamma_2, \dots$, where γ_0 is the identity element. If we write $J_i(Z)$ for the Jacobian of γ_i , that is,

$$J_i(Z) = \frac{\partial(\gamma_i Z)}{\partial(Z)}, \tag{1}$$

then the series

$$\sum_{i=0}^{\infty} |J_i(Z)|^2 \tag{2}$$

is majorized on every closed subset of D by some convergent series of constants.¹ Hence, if $H(Z)$ is any bounded holomorphic function on D , then the Poincaré series

$$\theta(Z) = \sum_{i=0}^{\infty} H(\gamma_i Z) \cdot [J_i(Z)]^k \tag{3}$$

also represents a holomorphic function on D , provided $k \geq 2$; and it has the periodicity property

$$\theta(\gamma_i Z) = \theta(Z) \cdot [J_i(Z)]^{-k}, \tag{4}$$

by virtue of which it is called an *automorphic form* of weight k . All the forms of a given weight k constitute a linear space, of dimension P_k , say, which in general may be infinite. We wish to establish the preliminary result that in any case this dimension P_k tends to infinity with k .

To show this let $A = (a_1, \dots, a_n)$ be a point of D which is not a fixed point of any γ_t other than the identity γ_0 (the set of points not fulfilling this requirement has complex dimension at most $n - 1$); and let $\alpha, \alpha_1, \dots, \alpha_n$ be any complex numbers, ϵ some small fixed positive number. We propose to show that for all sufficiently large weights k there exist Poincaré series (3) satisfying²

$$|\theta(A) - \alpha| < \epsilon; \quad \left| \frac{\partial}{\partial z_j} \theta(A) - \alpha_j \right| < \epsilon \quad (j = 1, \dots, n). \quad (5)$$

Denote by S the polycylinder $|z_j - a_j| \leq \rho, j = 1, \dots, n, \rho$ being taken small enough that S is entirely contained in D . By the remarks above we may find an integer q such that the quantities

$$c_i = \sup_S |J_i(z)| \quad (6)$$

are less than $1/2$ for $i \geq q$, the series $\sum c_i^2$ being, of course, convergent. For $H(Z)$ choose any fixed function, a polynomial, say, such that $H(A) = \alpha, H(\gamma_t A) = 0$ for $i = 1, \dots, q$, and such that $\frac{\partial}{\partial z_j} H(A) = \alpha_j, \frac{\partial}{\partial z_j} H(Z) = 0$ at $Z = \gamma_t A$ for $j = 1, \dots, n$ and $i = 1, \dots, q$. Such a choice is always possible, since $\gamma_t A \neq A$ for $i = 1, 2, \dots$. Then

$$\theta(A) = \alpha + \sum_{i=q+1}^{\infty} H(\gamma_i A) \cdot [J_i(A)]^k = \alpha + F(A),$$

where

$$F(Z) = \sum_{i=q+1}^{\infty} H(\gamma_i Z) \cdot [J_i(Z)]^k.$$

For Z in S we have

$$|F(Z)| \leq C \cdot \sum_{i=q+1}^{\infty} c_i^k = 2^{-k} C \cdot \sum_{i=q+1}^{\infty} (2c_i)^k,$$

C denoting a bound for $|H(Z)|$ on D . But for $i \geq q$ we have $2c_i < 1$, so that $(2c_i)^k \leq (2c_i)^2$, if $k \geq 2$. It follows that, for Z in S , the inequality above may be replaced by

$$|F(Z)| < 2^{-k} C \cdot \sum_{i=0}^{\infty} (2c_i)^2 = 2^{-k} C' \quad (Z \text{ in } S), \quad (7)$$

the constant C' depending only on $H(Z)$ and S . Obviously, we shall have $|\Theta(A) - \alpha| < \epsilon$ for large k .

A simple computation shows that

$$\frac{\partial}{\partial z_j} \Theta(A) = \alpha_j + \frac{\partial}{\partial z_j} F(A) \quad (j = 1, \dots, n),$$

and equation 7 with the iterated Cauchy formula applied to S gives us

$$\left| \frac{\partial}{\partial z_j} F(A) \right| < \frac{C'}{2^{k\rho}} \quad (j = 1, \dots, n). \tag{8}$$

Thus our function $\Theta(Z)$, for large k , satisfies (5).

Then it is clear that, for large k , we can find n different Poincaré series $\Theta_1, \dots, \Theta_n$, all of the same weight k , corresponding to n given systems of numbers $(\alpha_{\nu 1}, \dots, \alpha_{\nu n})$, $\nu = 1, \dots, n$, such that

$$\left| \frac{\partial}{\partial z_j} \Theta_\nu(A) - \alpha_{\nu j} \right| < \epsilon \quad (\nu, j = 1, \dots, n).$$

If ϵ is suitably small and if $\det(\alpha_{\nu j}) \neq 0$, then these n forms of weight k must be linearly independent. Hence, $P_k \geq n$ for large k . But the theorem above expressed by (5) manifestly has a straightforward generalization for partial derivatives of any bounded order,³ with which a similar argument gives immediately

THEOREM 1. *The k -genera P_k tend to infinitely with k .*

The space $M = D(\text{mod } \Gamma)$ can always be regarded as a complex analytic manifold, and we shall suppose in what follows that M is compact. We shall use the theorem just established to prove

THEOREM 2. *The total group G of analytic homeomorphisms of the automorphic variety M on itself is always finite.⁴*

The link between Theorem 1 and the present problem is afforded by the fact that G must be a complex Lie group—possibly zero dimensional, in which case it is totally discrete.⁵ But G is easily seen to be compact, since D is bounded⁶ and therefore if it is zero dimensional, it must be finite.

Let $\phi_1, \dots, \phi_{P_k}$ be a basis for the holomorphic densities of weight k on M . Since the latter is compact the P_k are all finite and coincide with the numbers introduced above. For clearly every such density ϕ of weight k is the projection of an automorphic form $\tilde{\phi}$ on D of weight k (not necessarily a Poincaré series), and conversely. Now any transformation g of G transforms the ϕ_μ into new densities ϕ_μ^g , and we shall have relations of the form

$$\phi_\mu^g = \lambda_{\mu 1}(g) \cdot \phi_1 + \dots + \lambda_{\mu P_k}(g) \cdot \phi_{P_k} \quad (\mu = 1, \dots, P_k), \tag{9}$$

the $\lambda_{\mu\nu}$ depending only on g , of which they would be holomorphic functions

when considered as functions defined on G if the latter were positive dimensional. But since G is compact, the $\lambda_{\mu\nu}(g)$ would then be constants. We show that this is impossible for large k .

Let t_1, \dots, t_a be complex coordinates in a neighborhood of the identity of G , with all $t_j = 0$ at the identity; a is of course the dimension of G , assumed positive. Differentiating equation 9 with respect to t_j we have

$$\frac{\partial}{\partial t_j} \phi_\mu^g = 0;$$

and at $t_1 = \dots = t_a = 0$ this is

$$\sum_{\sigma=1}^n \frac{\partial \phi_\mu}{\partial z_\sigma} \cdot \eta_{(j)}^\sigma = 0, \quad (10)$$

where the $\eta_{(j)}^\sigma = \left. \frac{\partial z_\sigma}{\partial t_j} \right|_{T=0}$ are, of course, the infinitesimal generators of G , z_1, \dots, z_n being local coordinates on M . Since the $\eta_{(j)}^\sigma$ cannot vanish everywhere on M , we can by Theorem 1 choose k sufficiently high that equation 10 cannot hold.

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¹ Siegel, C. L., *Analytic Functions of Several Complex Variables*, Inst. for Advanced Study, Princeton, 1949, pp. 124f.

² Giraud, G., *Leçons sur les fonctions automorphes*, Gauthier-Villars, Paris, 1926, pp. 19-25.

³ Giraud, G., *loc cit.*

⁴ Andreotti, Aldo, *Sopra il problema dell'uniformizzazione per alcune classi di superficie algebriche*, *Rend. accad. Naz. XL*, Series IV, II, 16 (1951).

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Hawley, N., "A Theorem on Compact Complex Manifolds," *Ann. Math.*, 52, No. 3, 637-641 (Nov., 1950).

⁵ Bochner, S., and Montgomery, D., "Groups on Analytic Manifolds," *Ibid.*, 48, No. 3, 659-669 (July, 1947).

⁶ Bochner, S., *loc cit.*