

ties, but in a higher dimensional  $P^m$  ( $m$  being the lowest dimension for which this is possible), then Theorem II still holds, if one interprets  $\Pi_k$  as the dual cocycle class of the intersection cycle of a  $(k + m - n - 1)$ -dimensional plane in  $P^m$  with  $V^n$ .

<sup>1</sup> *Die Idee der Riemannschen Flaechе*, Weyl, H., Berlin (1913).

<sup>2</sup> "Ueber Schnittflaechen in speziellen Faserungen und Felder reeller und komplexer Linienelemente," Kundert, E. G., *Ann. Math.*, 54, 215-246 (1951).

<sup>3</sup> "The Topological Invariants of Algebraic Varieties," Hodge, W. V. D., *Proceedings of the International Congress of Mathematics in Cambridge, 1950*.

A NOTE ON AUTOMORPHIC VARIETIES\*

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In this note we shall make a very limited application of Theorem 1 below—namely, to the proof of the fact that compact automorphic varieties of the type considered admit only a finite number of analytic homeomorphisms.

Let  $D$  be a bounded domain in the space of  $n$  complex variables  $z_1, \dots, z_n$ , and let  $\Gamma = \{\gamma\}$  be a discrete group of analytic homeomorphisms of  $D$  on itself. The elements of  $\Gamma$  are necessarily countable, and we shall denote them by  $\gamma_0, \gamma_1, \gamma_2, \dots$ , where  $\gamma_0$  is the identity element. If we write  $J_i(Z)$  for the Jacobian of  $\gamma_i$ , that is,

$$J_i(Z) = \frac{\partial(\gamma_i Z)}{\partial(Z)}, \tag{1}$$

then the series

$$\sum_{i=0}^{\infty} |J_i(Z)|^2 \tag{2}$$

is majorized on every closed subset of  $D$  by some convergent series of constants.<sup>1</sup> Hence, if  $H(Z)$  is any bounded holomorphic function on  $D$ , then the Poincaré series

$$\Theta(Z) = \sum_{i=0}^{\infty} H(\gamma_i Z) \cdot [J_i(Z)]^k \tag{3}$$

also represents a holomorphic function on  $D$ , provided  $k \geq 2$ ; and it has the periodicity property

$$\Theta(\gamma_i Z) = \Theta(Z) \cdot [J_i(Z)]^{-k}, \tag{4}$$

by virtue of which it is called an *automorphic form* of weight  $k$ . All the forms of a given weight  $k$  constitute a linear space, of dimension  $P_k$ , say, which in general may be infinite. We wish to establish the preliminary result that in any case this dimension  $P_k$  tends to infinity with  $k$ .

To show this let  $A = (a_1, \dots, a_n)$  be a point of  $D$  which is not a fixed point of any  $\gamma_t$  other than the identity  $\gamma_0$  (the set of points not fulfilling this requirement has complex dimension at most  $n - 1$ ); and let  $\alpha, \alpha_1, \dots, \alpha_n$  be any complex numbers,  $\epsilon$  some small fixed positive number. We propose to show that for all sufficiently large weights  $k$  there exist Poincaré series (3) satisfying<sup>2</sup>

$$|\theta(A) - \alpha| < \epsilon; \quad \left| \frac{\partial}{\partial z_j} \theta(A) - \alpha_j \right| < \epsilon \quad (j = 1, \dots, n). \quad (5)$$

Denote by  $S$  the polycylinder  $|z_j - a_j| \leq \rho, j = 1, \dots, n, \rho$  being taken small enough that  $S$  is entirely contained in  $D$ . By the remarks above we may find an integer  $q$  such that the quantities

$$c_i = \sup_S |J_i(z)| \quad (6)$$

are less than  $1/2$  for  $i \geq q$ , the series  $\sum c_i^2$  being, of course, convergent. For  $H(Z)$  choose any fixed function, a polynomial, say, such that  $H(A) = \alpha, H(\gamma_t A) = 0$  for  $i = 1, \dots, q$ , and such that  $\frac{\partial}{\partial z_j} H(A) = \alpha_j, \frac{\partial}{\partial z_j} H(Z) = 0$  at  $Z = \gamma_t A$  for  $j = 1, \dots, n$  and  $i = 1, \dots, q$ . Such a choice is always possible, since  $\gamma_t A \neq A$  for  $i = 1, 2, \dots$ . Then

$$\theta(A) = \alpha + \sum_{i=q+1}^{\infty} H(\gamma_i A) \cdot [J_i(A)]^k = \alpha + F(A),$$

where

$$F(Z) = \sum_{i=q+1}^{\infty} H(\gamma_i Z) \cdot [J_i(Z)]^k.$$

For  $Z$  in  $S$  we have

$$|F(Z)| \leq C \cdot \sum_{i=q+1}^{\infty} c_i^k = 2^{-k} C \cdot \sum_{i=q+1}^{\infty} (2c_i)^k,$$

$C$  denoting a bound for  $|H(Z)|$  on  $D$ . But for  $i \geq q$  we have  $2c_i < 1$ , so that  $(2c_i)^k \leq (2c_i)^2$ , if  $k \geq 2$ . It follows that, for  $Z$  in  $S$ , the inequality above may be replaced by

$$|F(Z)| < 2^{-k} C \cdot \sum_{i=0}^{\infty} (2c_i)^2 = 2^{-k} C' \quad (Z \text{ in } S), \quad (7)$$

the constant  $C'$  depending only on  $H(Z)$  and  $S$ . Obviously, we shall have  $|\Theta(A) - \alpha| < \epsilon$  for large  $k$ .

A simple computation shows that

$$\frac{\partial}{\partial z_j} \Theta(A) = \alpha_j + \frac{\partial}{\partial z_j} F(A) \quad (j = 1, \dots, n),$$

and equation 7 with the iterated Cauchy formula applied to  $S$  gives us

$$\left| \frac{\partial}{\partial z_j} F(A) \right| < \frac{C'}{2^k \rho} \quad (j = 1, \dots, n). \tag{8}$$

Thus our function  $\Theta(Z)$ , for large  $k$ , satisfies (5).

Then it is clear that, for large  $k$ , we can find  $n$  different Poincaré series  $\Theta_1, \dots, \Theta_n$ , all of the same weight  $k$ , corresponding to  $n$  given systems of numbers  $(\alpha_{\nu 1}, \dots, \alpha_{\nu n})$ ,  $\nu = 1, \dots, n$ , such that

$$\left| \frac{\partial}{\partial z_j} \Theta_\nu(A) - \alpha_{\nu j} \right| < \epsilon \quad (\nu, j = 1, \dots, n).$$

If  $\epsilon$  is suitably small and if  $\det(\alpha_{\nu j}) \neq 0$ , then these  $n$  forms of weight  $k$  must be linearly independent. Hence,  $P_k \geq n$  for large  $k$ . But the theorem above expressed by (5) manifestly has a straightforward generalization for partial derivatives of any bounded order,<sup>3</sup> with which a similar argument gives immediately

**THEOREM 1.** *The  $k$ -genera  $P_k$  tend to infinitely with  $k$ .*

The space  $M = D(\text{mod } \Gamma)$  can always be regarded as a complex analytic manifold, and we shall suppose in what follows that  $M$  is compact. We shall use the theorem just established to prove

**THEOREM 2.** *The total group  $G$  of analytic homeomorphisms of the automorphic variety  $M$  on itself is always finite.<sup>4</sup>*

The link between Theorem 1 and the present problem is afforded by the fact that  $G$  must be a complex Lie group—possibly zero dimensional, in which case it is totally discrete.<sup>5</sup> But  $G$  is easily seen to be compact, since  $D$  is bounded<sup>6</sup> and therefore if it is zero dimensional, it must be finite.

Let  $\phi_1, \dots, \phi_{P_k}$  be a basis for the holomorphic densities of weight  $k$  on  $M$ . Since the latter is compact the  $P_k$  are all finite and coincide with the numbers introduced above. For clearly every such density  $\phi$  of weight  $k$  is the projection of an automorphic form  $\tilde{\phi}$  on  $D$  of weight  $k$  (not necessarily a Poincaré series), and conversely. Now any transformation  $g$  of  $G$  transforms the  $\phi_\mu$  into new densities  $\phi_\mu^g$ , and we shall have relations of the form

$$\phi_\mu^g = \lambda_{\mu 1}(g) \cdot \phi_1 + \dots + \lambda_{\mu P_k}(g) \cdot \phi_{P_k} \quad (\mu = 1, \dots, P_k), \tag{9}$$

the  $\lambda_{\mu \nu}$  depending only on  $g$ , of which they would be holomorphic functions

when considered as functions defined on  $G$  if the latter were positive dimensional. But since  $G$  is compact, the  $\lambda_{\mu\nu}(g)$  would then be constants. We show that this is impossible for large  $k$ .

Let  $t_1, \dots, t_a$  be complex coordinates in a neighborhood of the identity of  $G$ , with all  $t_j = 0$  at the identity;  $a$  is of course the dimension of  $G$ , assumed positive. Differentiating equation 9 with respect to  $t_j$  we have

$$\frac{\partial}{\partial t_j} \phi_\mu^g = 0;$$

and at  $t_1 = \dots = t_a = 0$  this is

$$\sum_{\sigma=1}^n \frac{\partial \phi_\mu}{\partial z_\sigma} \cdot \eta_{(j)}^\sigma = 0, \quad (10)$$

where the  $\eta_{(j)}^\sigma = \left. \frac{\partial z_\sigma}{\partial t_j} \right|_{T=0}$  are, of course, the infinitesimal generators of  $G$ ,  $z_1, \dots, z_n$  being local coordinates on  $M$ . Since the  $\eta_{(j)}^\sigma$  cannot vanish everywhere on  $M$ , we can by Theorem 1 choose  $k$  sufficiently high that equation 10 cannot hold.

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<sup>1</sup> Siegel, C. L., *Analytic Functions of Several Complex Variables*, Inst. for Advanced Study, Princeton, 1949, pp. 124f.

<sup>2</sup> Giraud, G., *Leçons sur les fonctions automorphes*, Gauthier-Villars, Paris, 1926, pp. 19-25.

<sup>3</sup> Giraud, G., *loc cit.*

<sup>4</sup> Andreotti, Aldo, *Sopra il problema dell'uniformizzazione per alcune classi di superficie algebriche*, *Rend. accad. Naz. XL*, Series IV, II, 16 (1951).

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<sup>5</sup> Bochner, S., and Montgomery, D., "Groups on Analytic Manifolds," *Ibid.*, 48, No. 3, 659-669 (July, 1947).

<sup>6</sup> Bochner, S., *loc cit.*