

Then $f_\mu(V) \cap g_\mu(W) \neq \emptyset$.

Remark 5: In case X is a Hilbert space, (12) is equivalent to

$$(G(-P_w x) - F(P_v x), x) \leq \|x\|^2, \quad x \in S_r. \quad (13)$$

Let us note that the main result of Granas⁴ is an immediate consequence of our Theorem 5 above and Theorem 3 in reference 9.

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THE CLASSIFICATION OF THE COMPLEX PRIMITIVE INFINITE PSEUDOGRUUPS

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The primitive infinite pseudogroups were first classified by E. Cartan;¹ however, there were some serious gaps in his proof (cf. refs. 5 or 6). In this note we sketch a complete proof of the classification theorem. This proof follows Cartan's quite closely; however, Lemmas 1 and 2 below lead to essential simplifications in his proof in addition to supplying the missing details.

Like Cartan, we only consider *complex analytic* pseudogroups. Thus the underlying manifold has a complex structure, the local diffeomorphisms are holomorphic, and the differential equations defining the pseudogroup are complex analytic. For definitions and elementary facts about pseudogroups, we refer to references 4 or 6.

Definition: A pseudogroup, Γ , which acts on a manifold M , is called *primitive* if there is no (nontrivial) foliation on M whose leaves are permuted by Γ .

A primitive pseudogroup is necessarily transitive, otherwise its orbits would be an invariant foliation.

Let Γ be a primitive pseudogroup acting on the manifold M . Let x_0 be a fixed point of M , let T_{x_0} be the tangent space to M at x_0 , let $T_{x_0}^*$ be the dual space, and

let $S^k(T^*)_{x_0}$ be its k -fold symmetric product. Let γ_k be the set of Γ -vector fields (cf. ref. 6) which vanish to order k at x_0 . The quotient γ_{k-1}/γ_k can be identified with a subspace, g^k , of $T_{x_0} \otimes S^k(T^*)_{x_0}$; and, in particular, γ_0/γ_1 can be identified with a subalgebra, g , of $\text{Hom}(T_{x_0}, T_{x_0}^*) \approx T_{x_0} \otimes T_{x_0}^*$. This subalgebra is called the *linear isotropy algebra* of Γ at x_0 . Our first task is to determine it completely.

We make the following definition:

A nonzero vector, $\xi \in T_{x_0}^*$, is *characteristic* if for every positive integer k there exists a $v \in T_x$ such that $v \otimes \xi^k \in g^k$.

LEMMA 1. *A necessary and sufficient condition that Γ be of infinite type is that non-characteristic vectors exist.*

In reference 2 we prove the following:

LEMMA 1'. *A necessary and sufficient condition that g be of infinite type is that it contain elements of rank one.*

Lemma 1 follows by applying Lemma 1' to Γ and its various prolongations.

We will say that a characteristic vector $\xi \in T_{x_0}^*$ is *integrable* if there exists a neighborhood \mathfrak{U} of x_0 and a (holomorphic) function f defined in \mathfrak{U} such that $(df)_{x_0} = \xi$ and $(df)_x$ is characteristic for all x in \mathfrak{U} .

LEMMA 2. *If Γ is infinite, there exist integrable characteristic vectors.*

The proof of this fact depends on results in partial differential equations which will be discussed elsewhere (cf. ref. 3).

COROLLARY. *The set of vectors, ω , in $T_{x_0}^*$ with the property that $\exists v \in T_{x_0}$, with $v \otimes \omega \in g$, spans $T_{x_0}^*$.*

Proof: Let C_x be the subspace of T_x^* spanned by the integrable characteristic vectors. The set of integrable characteristic vectors is mapped onto itself by Γ ; therefore, since Γ is transitive, $\dim C_x$ does not vary from point to point. Thus $x \rightarrow C_x$ gives rise to an invariant foliation on M . By the lemma, $\dim C_x > 0$; therefore, since Γ is primitive, $C_x = T_x^*$ and in particular $C_{x_0} = T_{x_0}^*$. q.e.d.

Let V be a subspace of T_{x_0} invariant under g , and let W be the quotient, T_{x_0}/V . There is a natural Lie algebra homomorphism $g \rightarrow \text{Hom}(W, W)$ whose kernel we will denote by g_1 .

LEMMA 4. *$g|V$ and $g_1|V$ are both of infinite type.*

Proof: By Lemma 3 there exist elements of rank one in g whose restrictions to V do not vanish. These elements lie in g_1 by the invariance. Lemma 4 then follows from Lemma 1'.

Now let V be a minimal invariant subspace of T_{x_0} . Thus $g|V$ is irreducible and we can apply the following:

LEMMA 5. *If $g \subset \text{Hom}(\mathbf{C}^n, \mathbf{C}^n)$ is an irreducible Lie algebra of infinite type, it must be one of the following four algebras.*

- (1) $sl(n, \mathbf{C})$.
- (2) $gl(n, \mathbf{C})$.
- (3) $sp(n, \mathbf{C})$.
- (4) $sp(n, \mathbf{C}) + \{cI\}$.

(For the proof cf. ref. 2.)

From Lemma 4 it follows that $g|V$ must be one of the above algebras. Since $g_1|V$ is an ideal in $g|V$ and contains elements of rank one, there are only limited possibilities for it also, namely:

If $g|V$ is (1), $g_1|V$ is (1).

If $g|V$ is (2), $g_1|V$ is (1) or (2).

If $g|V$ is (3), $g_1|V$ is (3).

If $g|V$ is (4), $g_1|V$ is (3) or (4).

We will explore these various possibilities. First, we note that there is a natural mapping $c: V \wedge V \rightarrow W$. To define this, let v_1, v_2 be vectors in V and let X_1, X_2 be Γ vector fields such that $X_1 = v_1$ and $X_2 = v_2$ at x_0 . If X'_1 and X'_2 are Γ vector fields having the same property at x_0 , there exist $\alpha_i \in \mathfrak{g}$, $u = 1, 2$, such that $X_i - X'_i = \alpha_i$. Thus $[X_1, X_2]_{x_0} = [X'_1, X'_2]_{x_0} + \alpha_1(v_2) - \alpha_2(v_1)$. Since the last part of this expression is in V , the projection of $[X_1, X_2]_{x_0}$ on W is equal to the projection of $[X'_1, X'_2]_{x_0}$ on W . Thus we get a natural mapping of $V \wedge V$ into W , and this is c .

LEMMA 6. c is equivariant under the induced representation of g on $V \wedge V$ and on W .

The lemma is an immediate consequence of the definition of c and Jacobi's identity.

Since g_1 is zero on W , it follows from Lemma 6 that if g_1 is either 1, 2, or 4, c must be zero. This is impossible unless $V = T_{x_0}$, since otherwise V would give rise to an invariant foliation. Thus, either $g_1 = 1, 2, 3$, or 4 and $V = T_{x_0}$, or $g_1 = 3$. In the second case, the range of the mapping $c: V \wedge V \rightarrow W$ can be one-dimensional at most; otherwise, g_1 would have to leave invariant two linearly independent elements of $V \wedge V$, and this would exclude the symplectic algebra.

In the second case, let V' be the subspace of T_{x_0} spanned by V and the range of c . We will show this space must be all of T_{x_0} . It is g -invariant by Lemma 6; therefore, there is also a canonical mapping $c': V' \wedge V' \rightarrow W'$ associated with it. (We use the notation W' for T_{x_0}/V' .) From the definition, it follows that $c' = 0$ when restricted to $V \wedge V$. Let v' be any element of V' not in V , and let $c_{v'}$ be the mapping of V into W' defined by

$$c_{v'}(v) = c'(v' \wedge v).$$

This mapping is defined invariantly up to a scalar multiple, and its kernel, which is an invariant subspace, must be either V or $\{0\}$ because of the minimality of V . We will exclude the second possibility. If the kernel is $\{0\}$, $c_{v'}$ is injective. Taking $A \in \mathfrak{g}_1$, we get

$$0 = Ac'(v'w) = c'(Av', w) + c'(v', Aw) = c_{v'}(Aw) \quad \text{for } w \in V,$$

thus, $Aw = 0$. We have shown, however, that $g_1|V$ is nonzero, in fact, of infinite type; so this case is ruled out.

If the kernel is V , then c' is identically zero on $V' \wedge V'$ (since $c'(v' \wedge v') = 0$). This means that V' corresponds to an integrable distribution, or, since Γ is primitive, $V' = T_{x_0}$.

Summarizing what we have proved so far:

THEOREM 1. If Γ is an infinite primitive pseudogroup, either the linear isotropy algebra is irreducible and equal to one of the four algebras listed in Lemma 5, or there exists a one form, ω , on M such that ω is preserved up to a scalar multiple by Γ ; and, restricted to the subspace $\omega = 0$ in T_{x_0} , the linear isotropy algebra is the symplectic algebra plus center: $sp(n, \mathbf{C}) + \{cI\}$.

The only item in Theorem 1 we have not proved is that g , restricted to the subspace $\omega = 0$, is the algebra $sp(n, \mathbf{C}) + \{cI\}$. Our argument shows that it is either

this or the algebra $sp(n, \mathbf{C})$. If it were $sp(n, \mathbf{C})$, Γ would actually have to leave the form ω invariant, and, therefore the one-dimensional foliation associated with $d\omega$; but this is excluded by the primitivity. q.e.d.

From Theorem 1 it is not difficult to determine Γ itself. For details we refer to reference 6. We state here the results:

If the linear isotropy algebra is (1), Γ is the pseudogroup of diffeomorphisms leaving a volume element on M fixed.

If the linear isotropy algebra is (2), Γ is either the pseudogroup of all diffeomorphisms of M , or the pseudogroup of diffeomorphisms which preserves a volume element up to a constant multiple.

If the linear isotropy algebra is (3), Γ is the pseudogroup of diffeomorphisms leaving fixed a symplectic structure on M .

If the linear isotropy algebra is (4), Γ is the pseudogroup of diffeomorphisms which preserves a 2-form of maximal rank up to a constant multiple.

If the linear isotropy algebra leaves invariant a hyperplane, Γ is the pseudogroup of diffeomorphisms leaving fixed a contact structure on M .

There are thus six distinct cases in all.

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ON THE CONSTRUCTION OF DIVISION RINGS BY THE DEFORMATION OF FIELDS*

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This note presents certain explicit formulas for the deformation of an associative ring. Since a deformation of a division ring is again a division ring, while the formulas when applied to any ring generally produce a noncommutative ring, it follows that the formulas in particular give methods for constructing classes of division rings as deformations of fields. It is not known, in the finite-dimensional case, whether all the division rings so constructed are in fact crossed products; this is the principal open question raised here. The formulas given are special cases of ones which will be given in detail elsewhere.

1. Let A be an associative algebra over a field k . Following the author,¹ let a