

# DEC1 is a downstream target of TGF- $\beta$ with sequence-specific transcriptional repressor activities

Leigh Zawel\*<sup>†</sup>, Jian Yu\*, Christopher J. Torrance\*, Sanford Markowitz<sup>‡</sup>, Kenneth W. Kinzler\*, Bert Vogelstein\*, and Shibin Zhou\*<sup>§</sup>

\*The Howard Hughes Medical Institute and The Sidney Kimmel Comprehensive Cancer Center, The Johns Hopkins Medical Institutions, 1650 Orleans Street, Baltimore, MD 21231; and <sup>†</sup>The Howard Hughes Medical Institute, Cancer Center and Department of Medicine, Case Western Reserve University, Ireland Cancer Center, Department of Medicine, and Research Institute, University Hospitals of Cleveland, Cleveland, OH 44106

Contributed by Bert Vogelstein, December 31, 2001

To identify genes that mediate transforming growth factor- $\beta$  (TGF- $\beta$ ) signaling, a colorectal cancer cell line that was sensitive to the growth inhibitory effects of this cytokine was created. We then determined the global gene expression profiles of these cells, and those of HaCaT human keratinocytes, in the presence and absence of TGF- $\beta$ . Of the several genes identified in this screen, *DEC1* was of particular note in light of the rapidity and consistency of its induction and its potential biochemical activities. We identified a consensus DNA-binding site for *DEC1* and showed that *DEC1* could repress the transcription of a reporter containing this binding site in its promoter. Finally, both alleles of the *DEC1* locus in HaCaT cells were inactivated through targeted homologous recombination. This approach revealed that *DEC1* induction was not required for the growth inhibition mediated by TGF- $\beta$  in this line. However, *DEC1* may function in concert with other signaling components to mediate certain biologic effects of TGF- $\beta$ .

The transforming growth factor- $\beta$  (TGF- $\beta$ ) signal transduction pathway is involved in numerous biological processes (1–7). These processes include those regulating cell birth, cell death, differentiation, invasion, angiogenesis, and immunity. Therefore, disruption of the TGF- $\beta$  pathway has predictably been reported to occur in numerous tumor types. Genetic alterations of components of this pathway are particularly common in cancers of the colon and pancreas (8–14).

TGF- $\beta$  ligands bind to receptor kinases on the cell surface, leading to phosphorylation of the receptor-phosphorylated Smad proteins (R-Smads). Once phosphorylated, these Smads interact with Smad 4 and translocate to the nucleus where the Smad complex binds to specific DNA sequences in conjunction with other nuclear proteins that regulate gene expression (For reviews, see refs. 15–17). Some of the genes that are thereby activated by TGF- $\beta$  family members have been identified in *Xenopus* and invertebrate systems (4, 18–20). However, knowledge of the genes that are regulated by TGF- $\beta$  in mammalian cells is just beginning to emerge (21–24). In the current work, we have established a useful system for studying the effects of TGF- $\beta$  in colorectal cancer cells and used this system, in conjunction with more conventional ones, to identify and study such genes.

## Materials and Methods

**Cell Culture.** HCT116, DLD-1, FET, and CBS colorectal cancer cell lines were grown in Modified McCoy's 5A Medium (Invitrogen) supplemented with 10% FBS (HyClone). The CBS and FET lines were generous gifts from M.G. Brattain, and DLD-1 cells were purchased from the American Type Culture Collection. HaCaT cells, kindly provided by Dr. N.E. Fusenig and J. Massague, were routinely cultured in MEM (Invitrogen) supplemented with 10% FBS and 2 mM L-glutamine. Human embryonic kidney 293 cells were maintained in DMEM (Invitrogen) supplemented with 10% FBS. Transfections were

performed with Lipofectamine (Invitrogen) and Fugene 6 (Roche Molecular Biochemicals) according to the manufacturer's instructions.

**Generation of Inducible Lines.** A tetracycline (tet)-off system was used to establish inducible lines as described (25). The inducible T $\beta$ RII expression vector was constructed by cloning a restriction fragment containing an influenza hemagglutinin-tagged T $\beta$ RII ORF into pBI-MCS-EGFP (25). To construct the inducible *DEC1*/GFP expression vector, the PCR-amplified human *DEC1* ORF was inserted into the *Eco*R1 site of pEGFP-N1 (CLONTECH). The fused *DEC1*/GFP ORF was then subcloned into pTRE2 (CLONTECH). The expression constructs were cotransfected with pTK-Hyg (CLONTECH) into DLD-tet cells that constitutively express tTA (25). Single clones were isolated after selection with Geneticin (0.4 mg/ml) and hygromycin B (0.25 mg/ml) in the presence of Dox (20 ng/ml). Green fluorescence protein (GFP)-inducible clones were generated by introducing the pBI-MCS-EGFP plasmid (25) into DLD-tet cells. Clones were screened by fluorescence microscopy for GFP expression in the presence and absence of Dox. Cells exhibiting uniform induction and low background levels of GFP fluorescence were chosen for further analysis and were maintained in McCoy's 5A Medium supplemented with 10% FBS and 2 ng/ml of Dox.

**Xenograft Tumors.** Two groups of female athymic *nude/nude* mice (Harlan Breeders, Indianapolis) were used for tumorigenesis studies of DLD/T $\beta$ RII cells. One group was fed with 2 mg/ml Dox plus 5% sucrose in the drinking water starting at 2 days before inoculation, and the other was fed with Dox-free water. Mice were inoculated s.c. with 0.1 ml ( $5 \times 10^6$  cells) of control cells on the left flank and the same number of DLD/T $\beta$ RII cells on the right flank. After 3 weeks, Dox was removed from the drinking water. Tumors were measured in two dimensions every 3–5 days, and volumes were calculated with the formula:  $0.5 \times \text{length} \times \text{width}^2$ . For tumorigenesis studies with HaCaT cells, female *bg-nu-xid* mice (Harlan) were inoculated s.c. with  $5 \times 10^6$ – $10 \times 10^6$  HaCaT cells of varying genotype and examined weekly for up to 4 months.

**Serial Analysis of Gene Expression.** Serial analysis of gene expression (SAGE) libraries were constructed from DLD/T $\beta$ RII

Abbreviations: Dox, doxycyclin; TGF- $\beta$ , transforming growth factor- $\beta$ ; T $\beta$ RII, type II TGF- $\beta$  receptor; GFP, green fluorescence protein; SAGE, serial analysis of gene expression.

<sup>†</sup>Present address: Novartis Oncology Research, 556 Morris Avenue, Summit, NJ 07901.

<sup>§</sup>To whom reprint requests should be addressed at: The Sidney Kimmel Comprehensive Cancer Center, The Johns Hopkins Medical Institutions, Bunting/Blaustein Cancer Research Building, Room 589, 1650 Orleans Street, Baltimore, MD 21231-1001. E-mail: sbzhou@jhmi.edu.

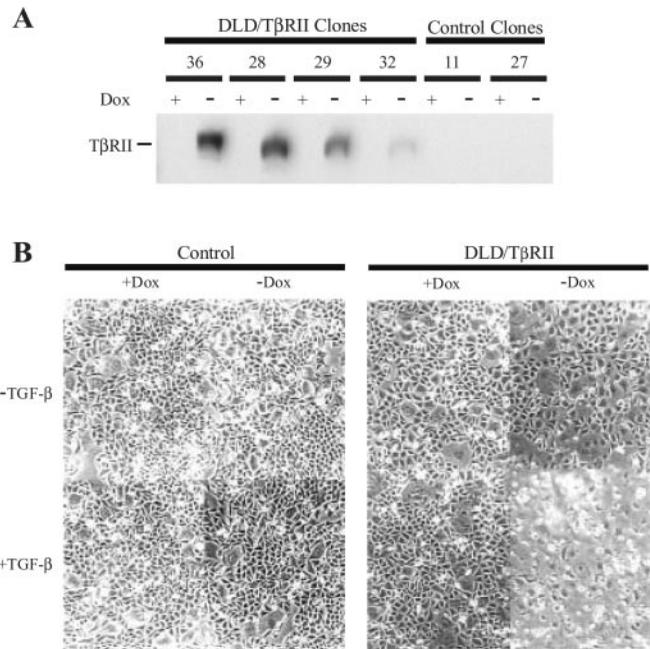
The publication costs of this article were defrayed in part by page charge payment. This article must therefore be hereby marked "advertisement" in accordance with 18 U.S.C. §1734 solely to indicate this fact.

and HaCaT cells as described in a protocol available at [www.sagenet.org/sage\\_protocol.htm](http://www.sagenet.org/sage_protocol.htm). Poly(A)<sup>+</sup> RNA prepared from untreated and TGF- $\beta$ -treated (2 ng/ml, 90 min) HaCaT cells was used to construct HaCaT SAGE libraries. SAGE tags (40,000 and 39,000) were sequenced from the untreated and TGF- $\beta$ -treated libraries, respectively. For construction of the TGF- $\beta$ -treated DLD/T $\beta$ RII SAGE library, DLD/T $\beta$ RII cells (clone 36) were cultured in TGF- $\beta$ 1 (2 ng/ml) in the absence of Dox for 9 h and then harvested for poly(A)<sup>+</sup> RNA preparation. Some 93,000 tags were sequenced from this library and then compared with other SAGE libraries constructed from DLD-1 cells lacking T $\beta$ RII expression (25) ([www.sagenet.org](http://www.sagenet.org)). Comparisons between SAGE libraries were done with SAGE ANALYSIS SOFTWARE.

**DEC1 Expression and Reporter Plasmids.** The NH<sub>2</sub>-terminal portion of the DEC1 ORF was PCR-amplified and cloned into the *Bam*H1 site of pGEX-2TK (Amersham Pharmacia) to create GST/DEC1-NH<sub>2</sub>. A restriction fragment containing the entire DEC1 ORF was cloned into the *Nhe*I site of pcDNA6/V5-His (Invitrogen) to create DEC1-FL. The NH<sub>2</sub>-terminal portion (amino acids 1<sup>Met</sup>-122<sup>Gln</sup>) of the DEC1 ORF was PCR-amplified and cloned into pcDNA6/V5-His to create DEC1-NH<sub>2</sub>. The complementary oligonucleotides 5'-TAAGCACGTGGGCAT-GCACGTGCAGGTAC-3' and 5'-CTGCACGTGCATGCCACGTGCTTAGTAC-3' (DEC1-binding sites are underlined) were annealed and concatamerized; the concatamers containing four DEC1-binding sites were cloned into a pGL3 (Promega)-derived plasmid to create pDBE4-luc. Luciferase assays were performed essentially as described, with a  $\beta$ -galactosidase expression vector for normalization (26).

**DEC1-Binding Site Selection.** The procedures for binding site selection by using random oligonucleotides have been described (27). In brief, a GST/DEC1-NH<sub>2</sub> fusion protein was produced in *Escherichia coli* and purified with glutathione-coupled agarose. The purified fusion protein was incubated with PCR products containing 20 random nucleotides in the center. Electrophoretic mobility-shift assay was performed to isolate the probes bound to GST/DEC1-NH<sub>2</sub> fusion protein. The bound probes were PCR-amplified again and subjected to the next round of selection. After three selection-amplification cycles, PCR products were cloned into pZERO2.1 (Invitrogen) and sequenced to determine the consensus-binding sequence. Random oligonucleotides were selected in parallel with GST-Smad2/MH1 fusion protein (27) and used in the electrophoretic mobility-shift assay as a control.

**Gene Targeting.** A "two-vector" targeting system was used for generation of somatic cell knockouts as described (28). In brief, a *Bam*H1 restriction fragment containing exon 4 of the human *DEC1* gene was used as the source for homologous arms. Restriction fragments corresponding to the 5' (1.7 kb) and 3' (2.2 kb) homologous arms were cloned into pFredB and pFredA vectors, respectively. The targeting vectors were designed such that after homologous recombination *DEC1* exon 4 would essentially be replaced by the neo cassette. For targeting, the two vectors were linearized and cotransfected into HaCaT cells. After selection with Geneticin (0.4 mg/ml), the drug-resistant clones were screened by PCR with primers NeoRTS2 and LSZ165. The *DEC1* heterozygote clones were then transfected with a Cre recombinase expression vector to remove the neo cassette. After single-cell dilution, two of the resultant clones were targeted again with the vectors described above. The *DEC1*-null clones were identified by PCR as described above and by genotyping with primers "a", "b", "c", and "d". Experimental details, including sequences of all PCR primers, are available from the authors upon request.



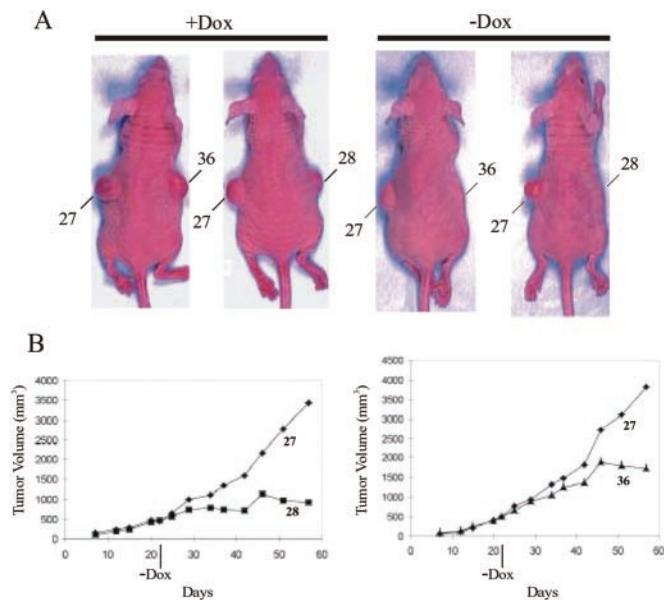
**Fig. 1.** Functional expression of T $\beta$ RII in DLD/T $\beta$ RII cells. (A) Tight control of T $\beta$ RII expression in inducible clones. A pBI-EGFP-based vector containing a hemagglutinin-tagged T $\beta$ RII expression cassette under control of a tetracycline-responsive element was introduced stably into the DLD-1 cells expressing tTA. Several independent inducible ("DLD/T $\beta$ RII") clones were established. The inducible expression of T $\beta$ RII was achieved by removing Dox from the media and detected by Western blot analysis by using an anti-hemagglutinin antibody. "Control clones" are sister clones failing to express T $\beta$ RII. (B) DLD/T $\beta$ RII cells (clone 36) and cells from a control clone (clone 27) were seeded in 12-well plates at subconfluent densities and cultivated for 3 days with or without Dox and TGF- $\beta$  (2 ng/ml) as indicated.

**Cell Growth Assays.** Subconfluent cell cultures were grown in the presence or absence of 3 ng/ml human TGF- $\beta$ 1 (R & D Systems) for 69 h. The cells were harvested, fixed, and stained with Hoechst 33258 for flow cytometric analysis as described (29). For colony formation assays, 2,000 cells were seeded in each T25 flask and cultured in the presence or absence of 3 ng/ml TGF- $\beta$ 1 for 16 days before staining with crystal violet.

## Results and Discussion

**Establishment of T $\beta$ RII-Inducible Cell Lines.** Most human colorectal cancer cells are insensitive to the growth inhibitory effects of TGF- $\beta$ , in some cases because of mutations in Type II TGF- $\beta$  Receptor (T $\beta$ RII) or one of the *Smad* genes (8–14). To establish a standard colorectal epithelial cell line responsive to TGF- $\beta$ , we chose to introduce an inducible T $\beta$ RII gene into DLD-1 cells, a well studied line whose endogenous T $\beta$ RII alleles are both mutant. For this purpose, a tightly regulated inducible system we previously described (25) was fitted with an hemagglutinin-tagged T $\beta$ RII expression cassette so that functional T $\beta$ RII was expressed only in the absence of doxycyclin (Dox). Several DLD-1 clones (DLD/T $\beta$ RII) that expressed T $\beta$ RII in this manner were derived (Fig. 1A). In each of them, the removal of Dox was associated with substantial cell death when TGF- $\beta$  was added to the media (Fig. 1B). Some growth inhibition could be observed even in the absence of exogenously added TGF- $\beta$ , likely because of endogenous TGF- $\beta$  secreted by DLD-1 cells or residual TGF- $\beta$  present in the FBS used for culturing (Fig. 1B; data not shown).

To assess their tumorigenicity, DLD/T $\beta$ RII cells were injected s.c. into athymic nude mice. Each mouse received a xenograft of a DLD/T $\beta$ RII clone on the right flank and a

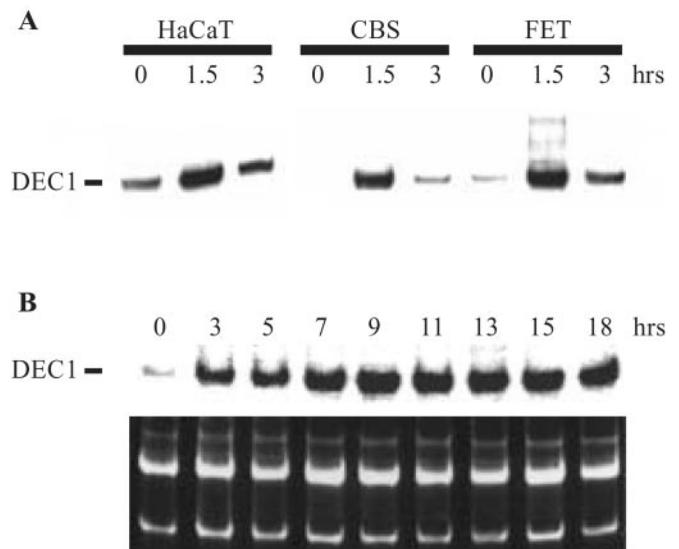


**Fig. 2.** Tumor-suppressing activity of TGF- $\beta$  signaling. (A) Athymic *nude/nude* mice were maintained on drinking water with (+Dox) or without (-Dox) 2 mg/ml Dox and then injected s.c. with DLD/T $\beta$ RII cells on one flank and control cells (clone 27, as described in Fig. 1A) on the other; "28" and "36" are two independent DLD/T $\beta$ RII clones. Photographs were taken 3 weeks after injection. (B) Growth curves of xenograft tumors. Dox was supplemented in drinking water for 3 weeks after injection and then removed as indicated (-Dox). Results from two independent DLD/T $\beta$ RII clones are presented.

control DLD-1 clone on the left flank (see *Materials and Methods*). The control clones generated large tumors whether or not Dox was added to the drinking water of the mice. In contrast, the DLD/T $\beta$ RII clones formed tumors only when the drinking water contained Dox (Fig. 2A). To determine whether defective T $\beta$ RII was required for continued tumor growth, rather than simply for tumor establishment, Dox was included in the drinking water for the first 3 weeks after s.c. injection of DLD/T $\beta$ RII (clone 28 and 36) and control (clone 27) cells. The large tumors that formed in the absence of T $\beta$ RII expression grew much more slowly once expression was initiated by removal of Dox (Fig. 2B).

**TGF- $\beta$  Target Genes.** The DLD/T $\beta$ RII cells described above were used to determine gene expression profiles in the presence or absence of TGF- $\beta$ . These expression profiles were established by SAGE, a technique that allows the quantitative analysis of transcripts in an unbiased fashion (30, 31). We purified RNA from DLD/T $\beta$ RII clone 36 cells 9 h after removal of Dox and addition of TGF- $\beta$ , well before any morphological signs of cell death. A SAGE library containing 93,000 transcript tags was prepared, analyzed, and compared with the gene expression profiles of DLD-1 cells in the absence of T $\beta$ RII expression. We identified >100 genes whose expression was induced more than 10-fold by TGF- $\beta$  in these cells. Among these genes are several that have been previously identified as TGF- $\beta$  targets, such as Jun B (15-fold), connective tissue growth factor (>14-fold), GADD45 $\beta$  (13-fold), and Smad 7 (>10-fold). SAGE data are available at [www.sagenet.org/findings.htm](http://www.sagenet.org/findings.htm).

We assumed that the most important TGF- $\beta$ -regulated genes would consistently be induced in different epithelial cell types. We therefore analyzed the global gene expression profiles of HaCaT cells before and after exposure to TGF- $\beta$ . HaCaT cells represent a spontaneously immortalized human keratinocyte line that is sensitive to TGF- $\beta$  and has been widely used to study TGF- $\beta$  signal transduction pathways (32). To enrich for tran-



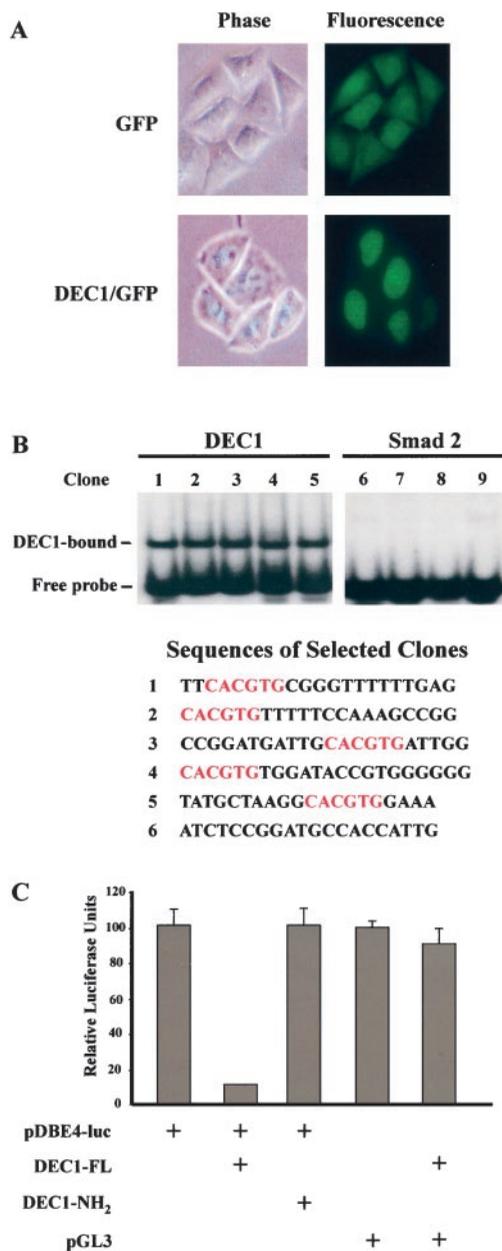
**Fig. 3.** Transcriptional induction of DEC1. (A) Induction of DEC1 was analyzed in different cell lines by Northern blotting after TGF- $\beta$  treatment for the indicated times. (B) Time-course analysis of DEC1 induction. Northern blotting was performed with total RNA from DLD/T $\beta$ RII cells (clone 36) after removal of Dox and addition of TGF- $\beta$  for the indicated times. An ethidium bromide-stained gel is shown as a loading control (Bottom).

scripts that were directly controlled by the TGF- $\beta$ /Smad axis, we prepared a SAGE library from HaCaT cells just 90 min after TGF- $\beta$  treatment. Comparison of  $\approx$ 40,000 transcript tags from this library to an equal number of tags from a library prepared from HaCaT cells in the absence of TGF- $\beta$  revealed only five genes that were induced at statistically significant levels and up-regulated more than 10-fold by TGF- $\beta$ . Because SAGE was performed on HaCaT cells at a very early time point after TGF- $\beta$  signaling was initiated, it was not surprising that the number of inducible genes identified in HaCaT cells was much smaller than that in DLD/T $\beta$ RII cells. The only overlap between the genes induced by TGF- $\beta$  in the two systems studied was *DEC1*.

*DEC1* (also known as *Stral3*) is a basic helix-loop-helix protein that was identified through its expression in differentiated human embryo chondrocytes (33) and through its induction by retinoic acid in murine P19 embryonal carcinoma cells (34). *DEC1* was also shown to be induced by hypoxia (35, 36), cAMP (37), and serum starvation (38), and was included in a list of 26 genes induced by TGF- $\beta$  treatment of human mammary epithelial cells (39). *DEC1* can act as a transcriptional repressor through interaction with the histone deacetylase HDAC1 or with the basal transcription factor TFIIB and can repress c-myc transcription and its own transcription through an autoregulatory loop (38). These activities may underlie the observation that overexpression of *DEC1* arrested the growth of NIH 3T3 cells (38). Conversely, disruption of the murine *DEC1* gene resulted in defective T cell activation and the genesis of autoimmune disorders in aging mice (40), perhaps through altered transcriptional control of IL-2 and related lymphoid cytokines.

**DEC1 and TGF- $\beta$ .** On the basis of the intriguing functions of *DEC1* and the SAGE results described above, we elected to study the relationship between *DEC1* and TGF- $\beta$  in detail. Northern blot analysis confirmed the SAGE data, showing that *DEC1* was induced at early times after TGF- $\beta$  signaling in HaCaT cells and DLD/T $\beta$ RII cells (Fig. 3A and B). As shown in Fig. 3A, *DEC1* was also induced as early as 1.5 h after TGF- $\beta$  treatment in two additional colorectal cancer cell lines sensitive to TGF- $\beta$  (41, 42).

We next created DLD-1 cell lines that inducibly expressed a



**Fig. 4.** DEC1 is a sequence-specific transcriptional repressor. (A) Nuclear localization of DEC1 was determined by fluorescence microscopy (Right) after inducible expression of a DEC1/GFP fusion protein in DLD-1 cells. A DLD-1 line inducibly expressing GFP alone was used as a control. (B) Representative electrophoretic mobility-shift assay results are shown for DEC1-selected (Left) and Smad 2-selected (right) clones. <sup>32</sup>P-labeled probes prepared from selected clones (see Materials and Methods) were incubated with GST/DEC1-NH<sub>2</sub> fusion proteins before electrophoresis. DEC1-bound and free probes are indicated. Sequences of selected clones are shown (Bottom). DEC1-selected clones all contain a 5'-CACGTG-3' motif (highlighted in red). (C) 293 cells were transfected with different combinations of luciferase reporters and DEC1 expression constructs as indicated in the figure. pDBE4-luc is a luciferase reporter containing four DEC1-binding sites (DBE) in tandem. DEC1-FL denotes the expression vector for a full-length human DEC1, whereas DEC1-NH<sub>2</sub> expresses the NH<sub>2</sub>-terminal portion of DEC1. pGL3 is the parental luciferase vector from which pDBE4-luc was derived. All results were normalized for  $\beta$ -galactosidase activities. Bars and brackets represent the means and SDs calculated from triplicate transfections.

DEC1/GFP fusion protein. As shown in Fig. 4A, the fusion protein was localized exclusively in the nucleus, whereas GFP produced from an analogous control cell line was distributed uniformly

throughout the cell. Previous experiments showed that the mouse DEC1 protein did not bind to known consensus motifs (E-box and N-box) for basic helix-loop-helix proteins (34). To determine whether this was true of the human protein, we constructed the GST/DEC1-NH<sub>2</sub> expression vector containing the NH<sub>2</sub>-terminal portion of DEC1 and expressed the recombinant protein in bacteria. The GST/DEC1-NH<sub>2</sub> fusion protein was incubated with PCR products containing 20 random nucleotides to select for DEC1-binding sequences, as described under Materials and Methods. After three rounds of selection/amplification, the selected oligonucleotides were cloned into plasmid vectors and individually assessed for binding to DEC1. Representative results are shown in Fig. 4B. All DEC1-selected but no Smad 2-selected oligonucleotides were able to bind the GST/DEC1-NH<sub>2</sub> fusion protein. Twelve of fourteen of the DEC1-selected clones contained a classic E-box (5'-CACGTG-3') recognizable by a subclass of basic helix-loop-helix proteins including c-myc. One of the two remaining clones contained a 5'-CACGCG-3' motif, whereas the other contained 5'-CATGTG-3'.

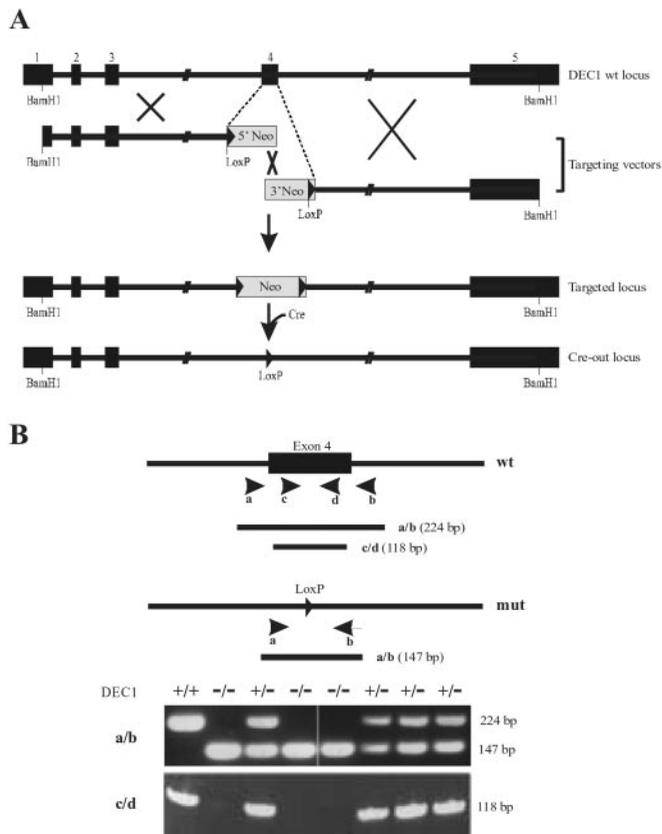
To determine whether the DEC1/E-box interaction could function within cells, we constructed a plasmid (pDBE4-luc) containing four 5'-CACGTG-3' motifs upstream of a basal promoter and luciferase reporter. As shown in Fig. 4C, when pDBE4-luc was cotransfected with full-length DEC1 into 293 cells, luciferase activity was suppressed significantly. The C terminus of mouse DEC1, containing three  $\alpha$ -helices, is required for its autoregulatory repression (38). Accordingly, we found that the C-terminal domain of DEC1 was required for the repression of the pDBE4-luc reporter (Fig. 4C). The repressor activity noted in our experiments was entirely sequence specific, because no repression was observed when luciferase expression was driven by a promoter lacking E-box motifs (pGL3 reporter in Fig. 4C).

**Targeted Disruption of DEC1 in HaCaT Cells.** To evaluate the biologic role of DEC1 in a physiologic context, the DEC1 gene was inactivated in HaCaT cells through homologous recombination. The targeting strategy involved deletion of exon 4, encoding part of the basic helix-loop-helix domain, as depicted in Fig. 5A. After two sequential rounds of targeting, three independent clones with disruption of both DEC1 alleles were isolated. The deletion of exon 4 was demonstrated by PCR (Fig. 5B) and confirmed by reverse transcription-PCR analysis of RNA and by genomic Southern blot analysis (data not shown).

Several different assays were used to test the effects of DEC1 deletion in the presence and absence of TGF- $\beta$  in the growth media. Both parental and DEC1<sup>-/-</sup> cells were growth arrested by TGF- $\beta$  when grown as monolayers on plastic. This was demonstrated through cell cycle analysis (Fig. 6A) and through measurements of BrdUrd incorporation as an indicator of DNA synthesis (data not shown). Colony formation was also equivalently inhibited by TGF- $\beta$  in both parental and DEC1<sup>-/-</sup> cells (Fig. 6B). We tested several other treatments shown to induce DEC1, including serum starvation and hypoxia. No significant differences between the parental and the DEC1<sup>-/-</sup> HaCaT cells were observed in any of these experiments (data not shown).

Finally, we tested tumorigenicity of the DEC1<sup>-/-</sup> cells by implanting them s.c. in *bg-nu-xid* mice. None of three xenografts from parental cells and only one of 23 xenografts from DEC1<sup>+/-</sup> clones developed tumors. On the other hand, one of three of the DEC1<sup>-/-</sup> clones reproducibly formed large s.c. tumors (10 of 15 xenografts). Because only one of the three tested clones were tumorigenic, however, we believe that this phenotype cannot be attributed to the deletion of DEC1 alone.

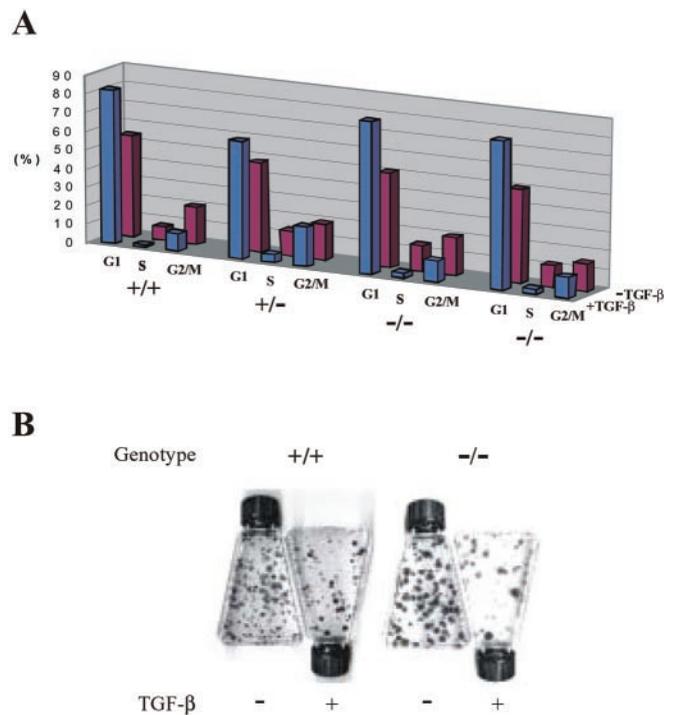
From the results described here and in other studies, several facts about DEC1 and TGF- $\beta$  emerge. First, DEC1 clearly is rapidly and consistently induced by TGF- $\beta$ , because such induction was observed in three different colorectal cancer cell lines as well as in a keratinocyte line in the present study, and was



**Fig. 5.** Targeted disruption of *DEC1* gene. (A) The wild-type (wt) human *DEC1* gene locus is aligned with the targeting vectors. Solid boxes represent exons 1–5. The neomycin cassette is shown as shaded boxes, which replaced exon 4 during targeting. LoxP sites are shown as solid triangles. Cre denotes Cre recombinase. (B) Genotyping by PCR was performed with genomic DNA extracted from parental HaCaT (+/+), four heterozygote (+/-), and three *DEC1*-null (-/-) clones. The positions and orientations of primers used are shown by arrows. The sizes of PCR products are noted on the right.

independently observed in breast epithelial cells in another study (39). It is likely that *DEC1* is a direct transcriptional target of Smad proteins. Although the palindromic Smad-binding element 5'-GTCTAGAC-3' (27) was not found in the promoter region (at least 79,000 bp upstream of *DEC1* ORF) of the *DEC1* gene, numerous minimal binding sites 5'-AGAC-3' or its variants 5'-CAGAC-3' (17, 43) are located within 1 kb upstream of the *DEC1* ORF. The functional significance of these putative binding sites remains to be addressed. Second, *DEC1* clearly is a sequence-specific transcriptional repressor. We have demonstrated that *DEC1* binds classic E-boxes in a highly specific manner and that these recognition sequences are sufficient to endow a reporter with *DEC1*-repressible activity. The C terminus of *DEC1* is required for this repression (Fig. 4C; ref. 38).

*DEC1* overexpression inhibits cell growth in NIH 3T3 cells, and we have reproduced this growth inhibition by using an inducible *DEC1* expression system in DLD-1 cells (data not shown). On the basis of these observations, in conjunction with knowledge of the potent transcriptional activity of *DEC1*, one



**Fig. 6.** Human *DEC1* is not required for negative growth regulation by TGF- $\beta$ . (A) Parental (+/+), heterozygote (+/-), and two independent *DEC1*-null (-/-) clones were cultured with (+) or without (-) TGF- $\beta$ , followed by Hoechst 33258 staining and flow cytometric analysis. The percentage of cells in each cycling phase (G1, S, and G2/M) is presented. (B) Representative results from colony formation assays are shown. Cells with the indicated genotypes were seeded at the density of 2,000 cells per T25 flask, treated with TGF- $\beta$ , and allowed to grow for 2 weeks before staining.

might have predicted that the absence of *DEC1* would lead to substantial resistance to the growth inhibitory effects of TGF- $\beta$ . This prediction was rigorously tested through knockout of the endogenous *DEC1* alleles in HaCaT cells; no significant differences in growth in the presence or absence of TGF- $\beta$  or other inducers of *DEC1* were observed in these experiments. There are at least three potential explanations for these results. The first is that *DEC1*, although induced by TGF- $\beta$ , plays no role in the biologic responses to this cytokine. The second is that *DEC1* does play an important role, but is redundant with other transcription factors that can substitute for *DEC1* when *DEC1* is deleted. Third, it is possible that *DEC1* plays a unique and important role in the biologic responses to TGF- $\beta$ , but that the assay systems used in our study do not capture this function. The environment surrounding naturally occurring tumors *in vivo* is considerably different from that used in the model systems tested here. Hopefully, further understanding of the complex network of events orchestrated by TGF- $\beta$  will allow a distinction between these three models of *DEC1* function.

We thank members of the Molecular Genetics Laboratory for helpful discussions. This work was supported by the Clayton Fund and by National Institutes of Health Grants CA 43460 and CA 62924.

1. Massague, J. (2000) *Nat. Rev. Mol. Cell. Biol.* **1**, 169–178.
2. Letterio, J. J. & Roberts, A. B. (1998) *Annu. Rev. Immunol.* **16**, 137–161.
3. Moses, H. L. & Serra, R. (1996) *Curr. Opin. Genet. Dev.* **6**, 581–586.
4. Whitman, M. (1998) *Genes Dev.* **12**, 2445–2462.
5. Dunker, N. & Kriegstein, K. (2000) *Eur. J. Biochem.* **267**, 6982–6988.
6. Blobe, G. C., Schieman, W. P. & Lodish, H. F. (2000) *N. Engl. J. Med.* **342**, 1350–1358.

7. Derynck, R., Akhurst, R. J. & Balmain, A. (2001) *Nat. Genet.* **29**, 117–129.
8. Markowitz, S., Wang, J., Myeroff, L., Parsons, R., Sun, L., Lutterbaugh, J., Fan, R. S., Zborowska, E., Kinzler, K. W., Vogelstein, B., et al. (1995) *Science* **268**, 1336–1338.
9. Parsons, R., Myeroff, L. L., Liu, B., Willson, J. K., Markowitz, S. D., Kinzler, K. W. & Vogelstein, B. (1995) *Cancer Res.* **55**, 5548–5550.

10. Hahn, S. A., Schutte, M., Hoque, A. T. M. S., Moskaluk, C. A., Dacosta, L. T., Rozenblum, E., Weinstein, C. L., Fischer, A., Yeo, C. J., Hruban, R. H. & Kern, S. E. (1996) *Science* **271**, 350–353.
11. Riggins, G. J., Thiagalingam, S., Rozenblum, E., Weinstein, C. L., Kern, S. E., Hamilton, S. R., Willson, J. K., Markowitz, S. D., Kinzler, K. W. & Vogelstein, B. (1996) *Nat. Genet.* **13**, 347–349.
12. Eppert, K., Scherer, S. W., Ozcelik, H., Pirone, R., Hoodless, P., Kim, H., Tsui, L. C., Bapat, B., Gallinger, S., Andrulis, I. L., *et al.* (1996) *Cell* **86**, 543–552.
13. Thiagalingam, S., Lengauer, C., Leach, F. S., Schutte, M., Hahn, S. A., Overhauser, J., Willson, J. K., Markowitz, S., Hamilton, S. R., Kern, S. E., *et al.* (1996) *Nat. Genet.* **13**, 343–346.
14. Iijichi, H., Ikenoue, T., Kato, N., Mitsuno, Y., Togo, G., Kato, J., Kanai, F., Shiratori, Y. & Omata, M. (2001) *Biochem. Biophys. Res. Commun.* **289**, 350–357.
15. Heldin, C. H., Miyazono, K. & ten Dijke, P. (1997) *Nature (London)* **390**, 465–471.
16. Derynck, R., Zhang, Y. & Feng, X. H. (1998) *Cell* **95**, 737–740.
17. Massague, J. & Wotton, D. (2000) *EMBO J.* **19**, 1745–1754.
18. Chen, X., Rubock, M. J. & Whitman, M. (1996) *Nature (London)* **383**, 691–696.
19. Kim, J., Johnson, K., Chen, H. J., Carroll, S. & Laughon, A. (1997) *Nature (London)* **388**, 304–308.
20. Xu, X., Yin, Z., Hudson, J. B., Ferguson, E. L. & Frasch, M. (1998) *Genes Dev.* **12**, 2354–2370.
21. Hua, X., Liu, X., Ansari, D. O. & Lodish, H. F. (1998) *Genes Dev.* **12**, 3084–3095.
22. Feng, X. H., Zhang, Y., Wu, R. Y. & Derynck, R. (1998) *Genes Dev.* **12**, 2153–2163.
23. Brodin, G., Ahgren, A., ten Dijke, P., Heldin, C. H. & Heuchel, R. (2000) *J. Biol. Chem.* **275**, 29023–29030.
24. Feng, X. H., Lin, X. & Derynck, R. (2000) *EMBO J.* **19**, 5178–5193.
25. Yu, J., Zhang, L., Hwang, P. M., Rago, C., Kinzler, K. W. & Vogelstein, B. (1999) *Proc. Natl. Acad. Sci. USA* **96**, 14517–14522.
26. Zhou, S., Buckhaults, P., Zavel, L., Bunz, F., Riggins, G., Dai, J. L., Kern, S. E., Kinzler, K. W. & Vogelstein, B. (1998) *Proc. Natl. Acad. USA* **95**, 2412–2416.
27. Zavel, L., Dai, J. L., Buckhaults, P., Zhou, S., Kinzler, K. W., Vogelstein, B. & Kern, S. E. (1998) *Mol. Cell* **1**, 611–617.
28. Jallepalli, P. V., Waizenegger, I. C., Bunz, F., Langer, S., Speicher, M. R., Peters, J., Kinzler, K. W., Vogelstein, B. & Lengauer, C. (2001) *Cell* **105**, 445–457.
29. Waldman, T., Kinzler, K. W. & Vogelstein, B. (1995) *Cancer Res.* **55**, 5187–5190.
30. Velculescu, V. E., Zhang, L., Vogelstein, B. & Kinzler, K. W. (1995) *Science* **270**, 484–487.
31. Zhang, L., Zhou, W., Velculescu, V. E., Kern, S. E., Hruban, R. H., Hamilton, S. R., Vogelstein, B. & Kinzler, K. W. (1997) *Science* **276**, 1268–1272.
32. Boukamp, P., Petrussevska, R. T., Breitkreutz, D., Hornung, J., Markham, A. & Fusenig, N. E. (1988) *J. Cell Biol.* **106**, 761–771.
33. Shen, M., Kawamoto, T., Yan, W., Nakamasu, K., Tamagami, M., Koyano, Y., Noshiro, M. & Kato, Y. (1997) *Biochem. Biophys. Res. Commun.* **236**, 294–298.
34. Boudjelal, M., Taneja, R., Matsubara, S., Bouillet, P., Dolle, P. & Chambon, P. (1997) *Genes Dev.* **11**, 2052–2065.
35. Ivanova, A. V., Ivanov, S. V., Danilkovitch-Miagkova, A. & Lerman, M. I. (2001) *J. Biol. Chem.* **276**, 15306–15315.
36. Wykoff, C. C., Pugh, C. W., Maxwell, P. H., Harris, A. L. & Ratcliffe, P. J. (2000) *Oncogene* **19**, 6297–6305.
37. Shen, M., Kawamoto, T., Teramoto, M., Makihira, S., Fujimoto, K., Yan, W., Noshiro, M. & Kato, Y. (2001) *Eur. J. Cell Biol.* **80**, 329–334.
38. Sun, H. & Taneja, R. (2000) *Proc. Natl. Acad. Sci. USA* **97**, 4058–4063.
39. Chen, C. R., Kang, Y. & Massague, J. (2001) *Proc. Natl. Acad. Sci. USA* **98**, 992–999.
40. Sun, H., Lu, B., Li, R. Q., Flavell, R. A. & Taneja, R. (2001) *Nat. Immunol.* **2**, 1040–1047.
41. Wu, S. P., Theodorescu, D., Kerbel, R. S., Willson, J. K., Mulder, K. M., Humphrey, L. E. & Brattain, M. G. (1992) *J. Cell Biol.* **116**, 187–196.
42. Wu, S. P., Sun, L. Z., Willson, J. K., Humphrey, L., Kerbel, R. & Brattain, M. G. (1993) *Cell Growth Differ.* **4**, 115–123.
43. Shi, Y., Wang, Y. F., Jayaraman, L., Yang, H., Massague, J. & Pavletich, N. P. (1998) *Cell* **94**, 585–594.