

Ecomorphological selectivity among marine teleost fishes during the end-Cretaceous extinction

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Despite the attention focused on mass extinction events in the fossil record, patterns of extinction in the dominant group of marine vertebrates—fishes—remain largely unexplored. Here, I demonstrate ecomorphological selectivity among marine teleost fishes during the end-Cretaceous extinction, based on a genus-level dataset that accounts for lineages predicted on the basis of phylogeny but not yet sampled in the fossil record. Two ecologically relevant anatomical features are considered: body size and jaw-closing lever ratio. Extinction intensity is higher for taxa with large body sizes and jaws consistent with speed (rather than force) transmission; resampling tests indicate that victims represent a nonrandom subset of taxa present in the final stage of the Cretaceous. Logistic regressions of the raw data reveal that this nonrandom distribution stems primarily from the larger body sizes of victims relative to survivors. Jaw mechanics are also a significant factor for most dataset partitions but are always less important than body size. When data are corrected for phylogenetic non-independence, jaw mechanics show a significant correlation with extinction risk, but body size does not. Many modern large-bodied, predatory taxa currently suffering from overexploitation, such as billfishes and tunas, first occur in the Paleocene, when they appear to have filled the functional space vacated by some extinction victims.

body size | comparative methods | jaw mechanics | paleoecology | survivorship

Marine ecosystems at the close of the Cretaceous were marked by radical changes, including the devastation of many groups of organisms [planktonic foraminifera and calcareous nannoplankton (1–2)] and complete extirpation of others [† ammonites (2) and many marine reptiles (3); throughout, the dagger symbol indicates extinct groups]. For these reasons, the end-Cretaceous extinction has become a macroevolutionary laboratory for exploring the correlates of extinction risk across a diverse range of clades (2, 4–9), but the effects of this event remain obscure for many groups. The lack of a clear picture is particularly conspicuous for fishes, the dominant vertebrates in marine environments.

Previous work on fishes has centered on intensity—rather than patterns—of extinction during the end-Cretaceous event (e.g., ref. 2), with only a few studies qualitatively addressing selectivity (10–12). Among bony fishes, it has been suggested that epipelagic, predatory families were disproportionately affected (10–11). Both epipelagic and demersal taxa appear to have been hard hit according to a more complicated pattern of selectivity reported for sharks and rays (12). However, the real dynamics of extinction remain unclear for both bony and cartilaginous fishes, because previous analyses rely on qualitative inferences of ecology abstracted from fossils and do not assess the statistical significance of perceived patterns.

This study marks the first quantitative analysis of extinction selectivity among marine teleost fishes at the close of the Cretaceous by using a newly assembled genus-level database that considers 2 ecologically relevant features of anatomy preserved in fossils: body size and jaw closing mechanical advantage (MA).

Body size is a correlate of many aspects of life history and ecology (13–14), and extensive biomechanical research on extant teleosts has established the utility of simple models of jaw mechanics as predictors of diet and trophic level (14–15). This analysis combines a phylogenetic framework with models of trait evolution to account for lineages predicted on the basis of phylogeny but which have not yet been sampled (Fig. 1; see *Materials and Methods*).

Here, this dataset is analyzed by using both taxic (5–9) and comparative approaches (16) to address a series of questions concerning the effects of the end-Cretaceous extinction on marine teleosts: (i) Was this event nonrandom (selective) with respect to ecomorphology?; (ii) which anatomical traits, if any, are the correlates of extinction risk?; (iii) how does extinction in this group fit into the larger picture of biotic turnover at the close of the Cretaceous?

Results and Discussion

Extinction Selectivity Among Marine Teleosts. Randomization tests reject the null hypothesis that extinction victims represent an ecomorphologically random subset of taxa present in the final stage of the Cretaceous (Maastrichtian; 65.5–70.6 million years ago). This conclusion is robust to variation in the composition of the dataset (variants described in *Materials and Methods*) and model of morphological change (punctuated or gradual) used to infer traits of boundary-crossing lineages implied by phylogeny, with significance values ranging from $P < 0.05$ to $P < 0.001$ (Fig. 2 and Table 1). Extinction victims span the range of anatomical values on both axes but are concentrated in the upper left-hand corner of all plots. Fishes in this region share large body sizes and low MA jaws that, in nearly all cases, bear large, fang-like teeth (Fig. 3). Significantly, no lineages in this region of morphospace survive from the Maastrichtian into the Paleocene (Fig. 2).

Studies of living fishes illuminate the functional significance of the trait values common to these victims. Body size covaries positively with prey size in fishes (14), whereas low MA values are characteristic of fishes that employ rapid strikes to capture evasive prey (14–15). Large bodies and mechanically “fast” jaws suggest that these fishes were predators on large, active prey. Direct dietary evidence corroborates this inference. Fishes or pelagic cephalopods are known as gut contents from 4 (17–18) of the 10 genera that fall outside the envelope of survivors in all data partitions (Fig. 2), whereas similar prey is known for close relatives of another 4 victims [supporting information (SI) Appendix].

Correlates of Extinction Risk. Two approaches were taken to investigate the nonrandom distribution of victims in ecomor-

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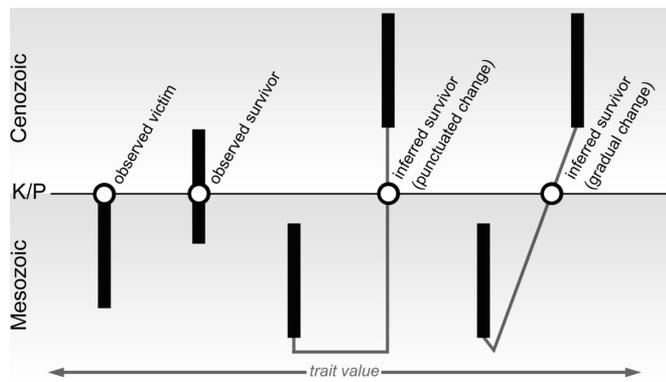


Fig. 1. Extinction victims and survivors considered by this analysis. Bold black lines represent genus-level lineages, whereas finer gray lines indicate phylogenetic relationships. The vertical axis represents time (K/P indicates Cretaceous/Paleogene boundary), whereas the horizontal axis corresponds to variation in a hypothetical trait value. The first 2 lineages represent the only groups typically incorporated by studies of fossil data: taxa that make their last appearance in the interval preceding the horizon of interest (observed victim) and those that appear on both sides of the horizon (observed survivor). Phylogenies can imply further, unsampled, boundary-crossing lineages, but these are rarely considered. Trait values for inferred survivors are estimated here by using both punctuated (on the left) and gradual (on the right) models of trait evolution.

phospase. The first of these treated all taxa as independent data points [the taxic approach typically applied to extinction in fossil datasets (5–9)], whereas the second used comparative methods [the approach typically applied to extinction in modern datasets (16, 19–20)]. Taxic approaches highlight differences in raw trait values between victim and survivor pools, summarizing the features that distinguish those groups. However, such analyses can deliver misleading interpretations of the correlates of extinction risk, because trait values of related taxa are not statistically independent due to common ancestry (16). This problem becomes clear in a hypothetical “worst-case” scenario, where (i) only those taxa with a particular trait go extinct, and (ii) all of these victims form a clade to the exclusion of all other taxa studied. These closely related victims will share many aspects of biology that might influence survivorship apart from the focal trait, but a taxic analysis would nevertheless isolate that one feature as a clear correlate of extinction risk. In contrast, a comparative analysis that considered the phylogenetic distribution of the trait would not find a significant relationship, because it would only recognize a single link between the character and elevated vulnerability.

It should be apparent from the foregoing example why studies that treat taxa as independent data points are expected to show elevated rates of type I error when relevant characters show a phylogenetic pattern (16). This prediction is borne out by analyses of extinction risk in modern taxa, where fewer significant correlates of vulnerability are inferred when shared evolutionary history is considered (19–20). Despite its associated problems, I have included a taxic analysis here to: (i) demonstrate how interpretations of extinction correlates are sensitive to the methods applied, (ii) deliver a set of results comparable to those given by other paleobiological studies, and (iii) provide a clear picture of how victims differ from survivors, even though distinguishing attributes might not represent significant predictors of vulnerability. This final result is particularly relevant in a paleobiological context, because it highlights devastated regions of ecomorphospace that might be populated in successive geological intervals as newly evolving groups fill the functional roles once held by victims.

For the taxic analysis, the raw dataset was examined by using

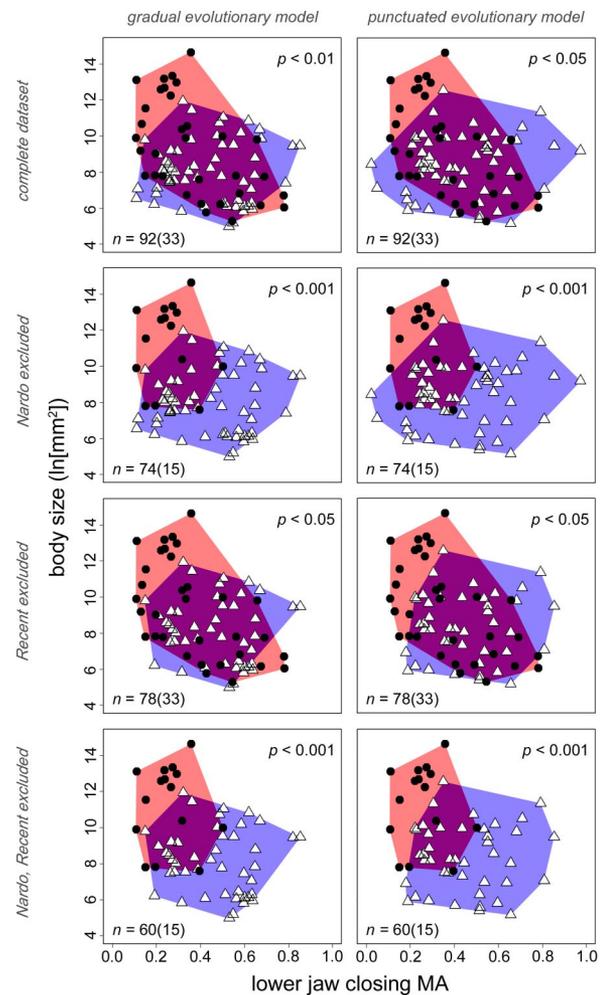


Fig. 2. Distribution of marine teleost survivors (open triangles, blue envelope) and victims (filled circles, red envelope) of the end-Cretaceous extinction, showing the effect of excluding some dataset partitions (vertical axis) and different models of character evolution used to estimate trait values for inferred boundary-crossing lineages (horizontal axis). The distribution of survivors and victims is significantly different regardless of these permutations (significance indicated in upper right-hand corner of the plots). The number of genera is indicated in the lower left-hand corner of the plots; the figure in parentheses indicates the number of victims. Dataset partitions are as follows: Nardò: taxa making their last appearance in the imprecisely dated Nardò fossil assemblage; Recent: boundary crossing lineages inferred on the basis of extant taxa alone (i.e., no Cenozoic body fossil record).

logistic regression models that evaluated the relationship between the 2 anatomical traits and the binary response variable (extinction/survival). A series of models were fitted to each of the raw dataset variants by using maximum likelihood, with the fit of competing models assessed by using Akaike weights (AW). Most dataset iterations were best fit by a model involving both body size and jaw MA, rather than either variable in isolation (Table 1). In cases where they do provide the best fit, single-variable models are not supported substantially better than more complicated ones. Body size is always the most important factor and is significant in all dataset configurations except those excluding lineages with no Cenozoic fossil record and fitted with models including both traits, where P is above the 0.05 threshold (gradual, $P = 0.14$; punctuated, $P = 0.11$; *SI Appendix*). MA is a significant extinction correlate in only half of the dataset variants when analyzed with regression models also incorporating body size and is always less important than that factor. When

0.011; punctuated, $P = 0.022$; one-tailed t test of MA shifts associated with extinction; Fig. 4). This relationship is particularly striking, because MA is not a significant predictor of extinction risk in the same 2 variants of the dataset when raw genus values are considered. The failure of the comparative analysis to recover body size as a significant correlate of vulnerability appears attributable to the clustering of many of the largest extinction victims within a few clades (\dagger Ichthyodectiformes, Aulopiformes).

Shifts in the correlates of extinction risk inferred under different analytical frameworks seen here raise questions about the interpretation of results derived from phylogenetically uncorrected trait values in other studies. Such datasets are common in paleobiological analyses of extinction because phylogenies are generally unavailable for fossil invertebrates. However, there is strong evidence that many of the factors isolated by these studies as predictors of vulnerability show a nonrandom phylogenetic distribution (22). It is uncertain how interpretations of extinction risk for these groups might change when shared evolutionary heritage is considered (but see refs. 19–20); further work is clearly needed (16).

Reliability of Inferred Extinctions at the End Cretaceous. Review of the fossil records of putative victims indicates that the most reliable inferences of extinction apply to: (i) large-bodied genera and (ii) genera with low MA values. This is significant, because it is these same taxa that appear to be selected against during the end-Cretaceous extinction regardless of the approach used to infer the correlates of vulnerability.

Of the 33 genera making their last appearance in the Maastrichtian, at least 17 are singletons (i.e., they occur only in this stage; this number ranges from 17 to 20 owing to taxonomic uncertainties surrounding specimens from the type Maastrichtian). Remaining extinction victims are known from at least one additional stage before the Maastrichtian. The disparity in body-size and MA distributions of singleton and multistage extinction victims is clear; on average, singletons are smaller than multistage victims, with higher jaw MA (*SI Appendix*). This difference is significant and robust to the exclusion or variable taxonomic treatment of the problematic forms from the type Maastrichtian (Kolmogorov–Smirnov and Mann–Whitney U tests, respectively, for: MA, $P = 0.0027$ – 0.015 , 0.0048 – 0.030 ; body size, $P = 4.0 \cdot 10^{-5}$ – 0.0065 , $9.2 \cdot 10^{-6}$ – 0.00021). Most importantly, victims with the largest body sizes and lowest jaw MA are known from several stages, and many of these occur in every stage between their first and last appearance in the fossil record (*SI Appendix*). The apparently high preservation potentials of these genera suggest that their absence from Cenozoic deposits is genuine, instead of an artifact of nonpreservation. This implies that the extinctions of these taxa are the most reliable in this analysis. The fact that few of these genera have close relatives in Cenozoic deposits or the Recent fauna bolsters this conclusion. Such relatives would decrease apparent selectivity by indicating additional boundary-crossing lineages with similar morphologies, but their absence points to the total extinction of the extended clades to which the victims belong.

At the same time, these patterns suggest a taphonomic bias against the preservation of small-bodied and, to a lesser degree, high-MA taxa. Spurious patterns of selectivity might arise if victims with such traits are underrepresented in the dataset. However, this filter applies to victims and survivors alike, and although the number of these unsampled Maastrichtian genera is unknown, it is certain that small-bodied survivors implied by phylogeny are underrepresented in this study. When coupled with the apparent inverse relationship between the ecomorphological traits considered here (Fig. 2), this biases the analysis against recovering a relationship between increased body size/lower MA and extinction risk.

The relative paucity of small survivors derives from 2 conser-

vative rules used in the assembly of the dataset. First, in cases where clade intrarelationships are unclear, only a single boundary-crossing lineage was reconstructed (*SI Appendix*). As phylogenetic hypotheses within these radiations mature, the number of boundary-crossers will either remain the same or increase; they can never decrease. Second, and more importantly, this analysis has only considered boundary-crossing lineages within clades that are represented by at least one Mesozoic taxon. Many teleost groups are therefore excluded here because they are unknown from pre-Cenozoic deposits, even though the presence of these groups before the end Cretaceous is implied by large-scale (i.e., interordinal level) phylogenetic analyses. This is particularly true for the supraordinal group Acanthomorpha; recent molecular phylogenies, combined with the presence of tetraodontiforms (a derived group nested within acanthomorphs) in Upper Cretaceous rocks, draws no fewer than 7 additional lineages into the Mesozoic (23). These guidelines were applied to clades whose members, nearly without exception, have vastly smaller body sizes than the largest extinction victims. Better-resolved phylogenies and the discovery of Mesozoic exemplars for groups previously unknown from sediments of that age will likely increase the ecomorphological disparity between victims and survivors.

Evolutionary Significance: Synthesizing Patterns of Extinction Selectivity at the End Cretaceous. Biological context for exploring patterns of vulnerability inferred for fossil fishes comes from the large body of research targeting patterns of selectivity during and ecosystem change after the end-Cretaceous extinction. However, any interpretations made here must be coupled with an important caveat: The current analysis lacks the stratigraphic resolution to reject the possibility that extinctions might be spread throughout the Maastrichtian, rather than clustered at the end of the stage (see ref. 6).

Among macroscopic marine invertebrates, it has been argued that preferential survival of detritivores [bivalves (5), but see ref. 6 and echinoids (7)] and strong selection against photosynthetic groups [corals (8)] stem from a temporary halt in photosynthesis precipitated by bolide impact-induced darkness (1, 4). However, patterns of selectivity with respect to these ecological traits might be complicated by “hitchhiking” on population-level features including geographic range, which appears to be the dominant determinant of survivorship for some invertebrate groups (refs. 8 and 9, but see ref. 7). Despite these ambiguities, multiple lines of evidence—including a sharp decline in the accumulation rate of fish teeth in deep-sea sediment cores—point to drastic postimpact changes in open-ocean ecosystems that persisted millions of years into the Paleocene before higher trophic levels fully recovered (1). When combined with empirical (24) and theoretical (25) ecological studies, this emerging picture of ecosystem perturbation implies that fish extinctions might have been driven by bottom-up trophic dynamics triggered by a decline in primary productivity (11).

The clearest pattern delivered by this study is the complete extirpation of large-bodied fishes with biomechanically fast jaws (Figs. 2 and 3). Alternative analytical approaches isolate different combinations of these traits as underlying correlates of extinction (independent contrasts: jaw mechanics alone; raw data: body size and often, but not always, jaw mechanics), but it is nevertheless clear that the ecomorphologies of victims differed substantially from those of survivors. Elevated extinction intensity among teleosts appearing to occupy higher trophic levels is consistent with the collapse of oceanic food webs, corroborating earlier hypotheses implicating diet as an important determinant of survivorship among fishes (10–11).

The most prominent teleostean casualties of the end-Cretaceous extinction include the predatory \dagger pachycormids, \dagger pachyrhizodontids, \dagger ichthyodectiformes, \dagger enchodontids, and

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