

# Parental stress increases the effect of traffic-related air pollution on childhood asthma incidence

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Exposure to traffic-related pollution (TRP) and tobacco smoke have been associated with new onset asthma in children. Psychosocial stress-related susceptibility has been proposed to explain social disparities in asthma. We investigated whether low socioeconomic status (SES) or high parental stress modified the effect of TRP and in utero tobacco smoke exposure on new onset asthma. We identified 2,497 children aged 5–9 years with no history of asthma or wheeze at study entry (2002–2003) into the Children's Health Study, a prospective cohort study in southern California. The primary outcome was parental report of doctor-diagnosed new onset asthma during 3 years of follow-up. Residential exposure to TRP was assessed using a line source dispersion model. Information about maternal smoking during pregnancy, parental education (a proxy for SES), and parental stress were collected in the study baseline questionnaire. The risk of asthma attributable to TRP was significantly higher for subjects with high parental stress (HR 1.51 across the interquartile range for TRP; 95% CI 1.16–1.96) than for subjects with low parental stress (HR 1.05, 95% CI 0.74–1.49; interaction *P* value 0.05). Stress also was associated with larger effects of in utero tobacco smoke. A similar pattern of increased risk of asthma was observed among children from low SES families who also were exposed to either TRP or in utero tobacco smoke. These results suggest that children from stressful households are more susceptible to the effects of TRP and in utero tobacco smoke on the development of asthma.

socioeconomic status | tobacco smoke

Asthma is the most common chronic childhood illness in developed countries and a growing concern worldwide (1). It is considered to be a complex disease with a multifactorial etiology as established risk factors have failed to explain trends in the global epidemiology of asthma (2). The incidence of asthma has been associated with environmental factors, including combustion products in tobacco smoke, especially in utero, and in air pollution (3–5). Several studies suggest that increased severity of asthma among low socioeconomic status (SES) children and adults may be explained by stress (6, 7), yet few studies have examined whether these factors modify the risk for asthma attributable to environmental pollution.

It is generally recognized that air pollution exacerbates asthma in children (8), and some studies suggest an effect on induction of asthma (9, 10). We have recently reported associations of residential traffic-related pollution (TRP) with both prevalent and new onset asthma during follow-up in the Southern California Children's Health Study (CHS) (5, 11, 12). Effects of pollution are biologically plausible given emerging evidence from human experimental, animal, and in vitro studies suggesting that ambient particulate matter and gaseous co-pollutants cause oxidative stress and inflammation, which are important features of asthma pathogenesis (13). We have also shown asthma to be associated with another oxidant pollutant, in utero tobacco smoke (4, 14–16), results which are consistent with other studies of in utero and second hand smoke (SHS) exposure (17, 18).

Effects of air pollution on asthma and other respiratory conditions have been found to be greater among individuals of lower SES (19, 20). A possible mechanism by which SES may modify the

effects of air pollution is psychological stress (6, 21). Stress has pro-oxidant effects that can increase airway inflammation (22), and high levels of stress in both children and parents predict onset of wheeze and asthma morbidity (e.g., severity, subsequent attacks) in children (23–27). Stress may also increase vulnerability to antigens through direct effects on the endocrine system, autonomic control of airways, and immune function (28, 29). Stress may thus increase vulnerability to environmental factors associated with asthma and may explain the observed susceptibility to asthma attributed to SES. Epidemiological support for this hypothesis is provided by a recent cross-sectional study showing that effects of TRP on lifetime asthma were larger in children who reported exposure to violence, a source of stress (30).

We hypothesized that low SES and high parental stress would increase childhood susceptibility for new onset asthma from 2 sources of oxidant pollution, residential TRP and maternal smoking during pregnancy. Residential exposure to TRP was assessed at study entry based on a line source dispersion model (31). Parental education was used as a proxy for SES, and parental stress was assessed using the Perceived Stress Scale (PSS), which is a widely used measure of the degree to which respondents believed their lives were unpredictable, uncontrollable, or overwhelming (32).

## Results

The study population included children enrolled in a prospective cohort study of air pollution and respiratory health and followed for 3 years (11). Age ranged from 5 to 9 years at study entry; 80% of subjects were at least 6 years old (Table 1). There were slightly more girls (52%) than boys. The majority of subjects were of Hispanic ethnicity (55%), and the plurality of the remainder was non-Hispanic white (36%); there were few subjects who were African American (3%) or of other race or ethnicity (6%). The mean score for parental stress using the PSS was 3.85 (standard deviation, 2.79), with a median value of 4. In ascending order, the 4 quartiles of the PSS distribution included values of 0 to 1, 2 to 3, 4 to 5, and 6 to 15 (See *Materials and Methods* for details). Approximately 21% of children had parents who had not finished high school ("low SES"), while almost 79% had parents with a high school diploma or greater ("high SES"). There were 120 cases of new onset asthma during follow-up (5). Significantly increased risk of new onset asthma was associated with being African American (in comparison to Hispanic children) or underweight, having a history of chest illness or allergy, parental asthma, and musty odor in the home (Table 1). There was no association of asthma with parental stress categorized into quartiles or with a continuous stress index [hazard ratio (HR) 1.02; 95% confidence interval (CI) 0.78–1.33], and children from low

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**Table 2. Associations of traffic-related pollution (TRP) with incident asthma, by parental education and parental stress**

Risk factor	Stratum	N (%) <sup>*</sup>	Mean (SD) <sup>†</sup>	25 <sup>th</sup> –75 <sup>th</sup> percentile <sup>†</sup>	Hazard ratio (95% confidence interval) <sup>‡</sup>	Interaction P value <sup>§</sup>
TRP	All subjects	2456 (100)	18.41 (16.04)	6.19–27.11	1.31 (1.07, 1.61) <sup>¶</sup>	
	Low parental education	1845 (78.4)	20.36 (17.15)	7.53–29.24	1.55 (1.09, 2.19) <sup>¶</sup>	0.25
	High parental education	507 (21.6)	17.79 (15.58)	5.71–26.48	1.20 (0.93, 1.55)	
	High parental stress	1179 (50.7)	18.99 (16.42)	6.85–27.61	1.51 (1.16, 1.96) <sup>¶</sup>	0.05
	Low parental stress	1145 (49.3)	17.81 (15.79)	5.76–26.90	1.05 (0.74, 1.49)	

<sup>\*</sup>Denominator varies due to missing data about TRP, parental education and parental stress.

<sup>†</sup>TRP in parts per billion NO<sub>x</sub>.

<sup>‡</sup>All models are adjusted for race/ethnicity with baseline strata for age and gender and community random effects. Hazard ratios and 95% confidence intervals are scaled across the interquartile range of exposure to TRP in all subjects (21 ppb).

<sup>§</sup>P value based on the  $\chi^2$  statistic using the likelihood ratio test to compare a model with base terms only to a model also containing the multiplicative interaction term. Interactions involving parental stress are based on a continuous variable describing the PSS.

<sup>¶</sup>Indicates P value < 0.05.

compared with 1.14 (95% CI 0.68–1.90) for females with low parental stress (P value for interaction among females = 0.84). The P value for a 3-way “gender-parental stress-TRP” interaction term was 0.10. When parental education was substituted for stress, there was no evidence of a 3-way interaction.

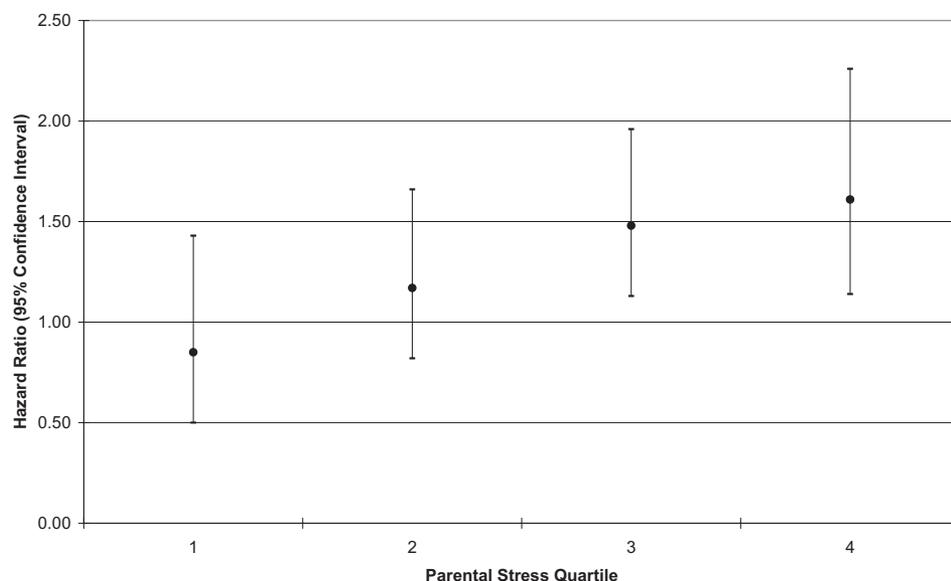
## Discussion

Children whose parents perceived their lives as unpredictable, uncontrollable, or overwhelming had increased risk of new onset asthma associated with TRP and maternal smoking during pregnancy. Furthermore, susceptibility to TRP attributable to parental education was markedly attenuated after accounting for the susceptibility attributable to parental stress. While parental stress may influence the development of asthma in a child due to biological and behavioral pathways other than psychological stress in children (26), the observed pattern of susceptibility to air pollution based on stress was not explained by potentially relevant history of illness and a range of behavioral, socioeconomic, and environmental risk factors for asthma. Although there were relatively few children with a history of in utero tobacco smoke exposure, significantly larger effects were observed both among children with low parental education and with high parental stress. Thus, common biological pathways may underlie the relationship of asthma to stress and combustion products common to both air pollution and cigarette smoke. Previous studies have reported effects of stress on lifetime asthma (30), asthma severity (33), and incident wheeze (27, 34).

Particulate and gaseous air pollutants can promote inflammatory responses in the airways, which are a central feature of asthma (34–36). The mechanisms linking exposure to inflammation have been intensively studied in recent years. Exposure to ambient particulate matter has been associated with the generation of reactive oxygen species, which are mediators of inflammation (37–40). Air pollution may also have an adjuvant effect with common allergens that favors the development of a T helper cell 2 response, a hallmark of allergic asthma (41, 42). Finally, these pollutants may also directly increase inflammation by enhancing mast cell degranulation and cytokine release (43, 44).

Emerging evidence indicates that individual variation in the inflammatory response to oxidative stress is important in the pathogenesis of asthma associated with oxidant air pollutants (45). Chronic psychological stress may modulate the response to oxidative burden, possibly due to the development of hypothalamic-pituitary-adrenal axis hyporesponsiveness resulting in a shift toward a proinflammatory T helper cell 2 phenotype (22, 29, 46). Therefore, an increase in oxidative stress and associated inflammatory response is 1 possible explanation for the stronger associations of air pollution and tobacco smoke with asthma in children with chronic psychological stress. Chronic psychological stress may also explain the larger effects of air pollution in individuals of lower SES reported elsewhere (19), as low SES is associated with more stressful environments (47).

In utero exposure to tobacco smoke has been found to increase the risk of asthma in several studies including a limited number of



**Fig. 1.** Effect of traffic-related pollution on incident asthma across parental stress quartiles.

**Table 3. Associations of in utero tobacco smoke with incident asthma, by parental education and parental stress**

Risk factor	Stratum	N (%) <sup>*</sup>	Hazard ratio (95% confidence interval) <sup>†</sup>	Interaction P value <sup>‡</sup>
Maternal smoking in utero	All subjects	156 (6.3)	1.49 (0.79, 2.80)	
	Low parental education	16 (3.2)	5.69 (1.88, 17.3) <sup>§</sup>	0.03
	High parental education	137 (7.3)	1.10 (0.51, 2.41)	
	High parental stress	89 (7.5)	2.66 (1.33, 5.33) <sup>§</sup>	0.03
	Low parental stress	62 (5.4)	0.30 (0.04, 2.18)	

<sup>\*</sup>Denominator varies due to missing data about maternal smoking in utero, parental education and parental stress.

<sup>†</sup>All models are adjusted for race/ethnicity with baseline strata for age and gender and community random effects.

<sup>‡</sup>P value based on the  $\chi^2$  statistic using the likelihood ratio test to compare a model with base terms only to a model also containing the multiplicative interaction term. Interactions involving parental stress are based on a continuous variable describing the PSS.

<sup>§</sup>Indicates P value < 0.05.

prospective studies (4, 18, 48). Although the main effect of this exposure was not statistically significant by itself, our results suggest that oxidant pollutant exposures to tobacco smoke early in life may have increased the susceptibility to later asthma onset due to effects of co-exposure to stress and to factors associated with low parental education. Differences in susceptibility to effects of SHS at the time of study entry were less marked. However, the strong effect of in utero or early life tobacco smoke exposure on subsequent asthma is consistent with previous findings from the CHS and elsewhere (4, 14, 49–52). In utero exposure leads to more direct exposure to, and possibly a higher dose of, combustion products of tobacco than second hand exposure during an especially vulnerable period of lung development.

Although the rates of maternal smoking during pregnancy in our study sample (6.3%) were somewhat lower than in the greater California population (9.5%) (53), this was largely explained by the exclusion by design of participants with wheeze at study entry, who had a higher rate of maternal smoking in utero (11.3%) than our longitudinal study sample. Lower rates of maternal smoking during pregnancy were observed in low (3.2%) than in high SES subjects (7.3%; see Table 3), which is opposite to the relationship reported elsewhere (54). This may be explained by the large proportion of Hispanics in our population who were of low SES (35.0%) compared with non-Hispanic subjects (4.0%), and the low rates of smoking during pregnancy among Hispanic (2.9%) compared with non-Hispanic mothers (10.8%). However, we found no evidence that Hispanic ethnicity explained the increased risk of asthma associated with the joint exposure to stress (or SES) and to in utero tobacco smoke. Although these results are consistent with the robust joint effects of TRP exposure and stress, the effects of in utero tobacco smoke exposure should be interpreted with caution due to small sample size in some strata of exposure and the low smoking rates among the largest ethnic group in our cohort.

Our results may not be generalizable to age groups beyond our primary school age range as risk factors for asthma, including atopy and the effect of variation in genes involved in modulating the response to oxidative stress, depend on age of asthma onset (55, 56). In addition, children's responses to psychological stress are age-dependent. For example, preschool children in a stressful situation are more likely to seek support from a caregiver, intervene by hitting someone, or play as a distracting behavior. School children are more likely to seek support from friends, to have developed cognitive and behavioral intervention strategies based on talking, and to have other problem solving skills less dependent on parents (57). Therefore, the relationship between psychological stress, air pollution exposure, and asthma might not be the same at different ages.

Differences in susceptibility by gender that we observed may reflect differences in the development of asthma, because boys tend to experience asthma onset earlier in childhood than girls (58). On the other hand, boys in our study may have been more likely to be negatively affected by parental stress than girls. In particular, boys

may be more sensitive to dysphoria (e.g., mood disorders, sadness) in parents compared to girls (59). Also, there may be differences in behavioral responses to stress between males and females; for example, it has been suggested that girls tend to seek social support when responding to stress, while the reflexive “fight-or-flight” response may be more predominant among boys (60).

Case ascertainment was done by parental report of physician-diagnosed asthma without clinical examination, which is widely used in epidemiological studies (61), is reproducible (62, 63), and is a valid measure of what physicians actually report to patients (64, 65). Examinations of stress and asthma using cross-sectional measurement have limitations, because sick children may cause stress in parents. However, the prospective study design and the restriction at baseline to children with no history of wheeze makes it unlikely that parental stress at study baseline resulted from earlier undiagnosed asthma. Also, in a sensitivity analysis, we excluded cases occurring during the first year of follow-up and the pattern of effects of stress and TRP was not substantially changed. Physician diagnosis of asthma is a relatively specific but somewhat insensitive method of detection of incident asthma and may be subject to bias due to access to care or to differences in assessment between physicians. Therefore, we also examined the effects of stress and TRP on new onset asthma based on either new report of physician diagnosis or first report of severe symptoms suggestive of asthma (4 or more attacks of wheeze, 1 or more nights per week of wheeze, or wheeze with shortness of breath so severe as to interfere with speech). There were 52 new cases of asthma added using this definition. We found a similar pattern of effects, suggesting that diagnostic bias or access to care did not explain our results.

Mean levels of TRP were higher among subjects with higher parental stress and lower parental education, although the ranges of exposure in these strata overlapped. If the main effect of TRP on asthma onset was nonlinear, e.g., quadratic, then the larger effects of TRP observed among subjects with higher parental stress may have reflected exposure to higher levels of TRP. However, a previous analysis found the main effect of TRP on asthma onset was linear over the range of exposure (5), which suggests that the stress-related TRP susceptibility was not simply a reflection of larger effects of TRP at higher exposure levels.

Parental stress measured with the PSS was 1 of the few indicators available for assessment of psychological stress in a large population-based survey of young school children. Although we did not directly measure stress in the children, previous research has demonstrated a relationship between parental, especially maternal, stress and psychological stress in children (66, 67). When we limited the analysis to children whose biological mother responded to the baseline questionnaire (81% of subjects), the interaction between TRP and parental stress grew stronger (interaction term HR 1.41 versus 1.36 in the total sample). The effect of TRP in high maternal stress children was 2.04 (95% CI 1.31–3.16) and was 0.84 (95% CI 0.50–1.40) in children of low maternal stress. These results are consistent with the intensive caregiving role that mothers tradition-

ally play during childhood (68). Parental PSS in other studies predicted asthma-related outcomes in children prospectively. For example, high parental stress measured in the months immediately following birth predicted increased severity of asthma and onset of wheeze among children (27, 69). The joint effects of stress and traffic or tobacco smoke exposure were not examined in these studies. We observed little effect of stress in the absence of exposure to oxidant pollutants, so it is possible that the children in these studies were in high pollution environments or that the effect of stress varies by age, requiring co-exposure to oxidant pollutants in children of school age, but not in younger children.

This study provides evidence that parental stress increases susceptibility to new onset childhood asthma associated with traffic-related air pollution. The similarity in the pattern of susceptibility to maternal smoking in utero suggests that biological pathways common to the response to combustion products may explain this susceptibility. Further study is warranted to evaluate the role of stress induced by characteristics of life in low SES environments as a potential explanation for disparities in the health impact of air pollution observed in low SES populations. More broadly, understanding the role of air pollution in the causation of complex diseases like asthma requires consideration of how social factors may modify the effects of environmental exposures.

## Materials and Methods

**Study Population.** The CHS cohort enrolled students in kindergarten and first grade (ages 5–9) from participating schools in 13 southern California communities in 2002 and 2003 (11). All students in kindergarten and first grade at selected schools in the 13 study communities were invited to participate, and 5,349 (65%) returned valid questionnaires. In order to remove subjects with previously undiagnosed asthma from follow-up, children were excluded if they had a history of physician diagnosed asthma at study entry (715), a history of wheezing episodes (1,505), and missing or “don’t know” responses about history of asthma (397) or wheeze (261). Of the 3,372 children classified as “disease free” at baseline, 340 children had no information about residential TRP because their home address could not be geo-coded, and another 535 children were lost before 1 year of follow-up. Therefore, the study population for this analysis included 2,497 children with no history of asthma or wheeze at study entry. Informed consent was obtained from parents, and the study was approved by the University of Southern California Institutional Review Board.

**Assessment of New Onset Asthma and Covariates.** Assessment of new onset asthma and covariates was based on questionnaires at study entry and annually during follow-up by parents of children enrolled in the study. Children with new onset asthma were identified by parental report of physician-diagnosed asthma on annual questionnaires during 3 years of follow-up. Household exposure to TRP was assessed based on a line source dispersion model of total NO<sub>x</sub> (see below), and information was collected at study entry from responses given by parents on a baseline questionnaire about in utero exposure to tobacco smoke. Variables describing potential effect modifiers were also measured from responses on the baseline questionnaire. Educational attainment in parents was used as a measure of SES. The PSS, which was used to measure parental stress, has been validated as a measure of negative affective states and physical symptoms of stress (70, 71). We used a 4-item version of the scale that has been previously used to predict incidence of wheeze in children (26, 27). Items included: “In the last month, how often have you felt”: (i) “that you were unable to control the important things in your life;” (ii) “confident about your ability to handle your personal problems;” (iii) “that things were going your way;” and (iv) “your difficulties were piling up so high that you could not overcome them.” Each item is scored on a scale of 0–4, and the PSS gives equal weight to each item, resulting in scores ranging from 0 to 16. A representative U.S. sample found an overall mean and standard deviation of 4.49 and 2.96, respectively (32).

Potential confounders in this study were defined as variables that could

plausibly explain increased effects of TRP or in utero exposure to tobacco smoke on new onset asthma in subjects with lower SES or with higher parental stress. Covariates considered as potential confounders in this study were measured from responses given by parents on the baseline questionnaire at study entry. In addition to race and ethnicity, English- or Spanish-language questionnaire response was recorded for each subject. Characteristics of the child’s current residence included mold or mildew on household surfaces, history of water damage or flooding, presence of a musty odor, history of cockroaches and other pests, use of a gas stove, air conditioner, humidifier or vaporizer, carpet in the child’s bedroom, type of dwelling, and whether the child lived at another dwelling for more than 50 days per year. Exposure to SHS was assessed by asking whether anyone currently living in the child’s home smoked cigarettes, cigars, or pipes inside the home on a daily basis. Type of medical insurance coverage, history of chest-related illness and allergies, and family history of asthma were reported, and body mass index was calculated based on measurements of height and weight at study baseline using the Centers for Disease Control and Prevention gender-specific body mass index-for-age reference values for the year 2000. Accordingly, subjects with a body mass index below the 5<sup>th</sup> percentile of the reference values were classified as underweight, while those between 85<sup>th</sup> and 95<sup>th</sup> percentile were at risk for becoming overweight, and those above the 95<sup>th</sup> percentile were overweight.

**Air Pollution Exposure Assessment.** Methods to estimate exposure to local TRP in this cohort have been described elsewhere (11). Briefly, household exposure to total NO<sub>x</sub> from traffic on local roads was estimated as a marker for pollutants from traffic exhaust using the CALINE4 dispersion model (31). Estimates of TRP represented annual average incremental increases due to primary emissions from local vehicular traffic independent of background ambient levels (11). Because there was a high correlation between measures of TRP and other pollutants generated using the same model (e.g., carbon monoxide, nitrogen dioxide, elemental and organic carbon, and particulate matter with aerodynamic diameter less than 10 and less than 2.5 μg/m<sup>3</sup>) (R > 0.90), measures of TRP represented not only primary local NO<sub>x</sub> from vehicular traffic, but a mixture of other pollutants related to near-source traffic exposure (11).

**Statistical Methods.** Risk factors for asthma onset were assessed using multilevel Cox proportional hazards models (72). All models contained age and gender stratifications of the baseline hazard, adjustment for race and ethnicity, and random effects for community of residence, which allowed for clustering and assessment of residual community variation in time to asthma onset. Analyses were conducted using R software (73) and software designed to run within R for implementing random effects Cox proportional hazards models (72, 74). The multilevel Cox proportional hazards model took the following form:

$$h_{ij}(t) = h_{0s}(t) \eta_j \exp(\beta X_{ij} + \delta^T Z_{ij});$$

$h_{ij}(t)$ : hazard function for the  $i$ th subject in  $j$ th community;

$h_{0s}(t)$ : the baseline hazard function for stratum  $s$  (i.e., age at study entry and gender);

$\eta_j$ : positive random effects for community  $j$  with expectation 1 and variance  $\sigma^2$ ;

$X_{ij}$ : risk factors (e.g., race and ethnicity) for individual  $i$  in community  $j$ ; and

$Z_{ij}$ : TRP or maternal smoking in utero for individual  $i$  in community  $j$ .

Modification of the effect of TRP and maternal smoking during pregnancy by SES and parental stress was assessed by modeling multiplicative interaction terms along with base terms. We evaluated confounding of pollutant interactions with parental stress using a 2-step process. For example, for TRP, all relevant covariates were first screened for 2-way interactions with TRP on asthma onset using an alpha level of 0.20. Second, the 2-way interaction models for parental stress with TRP were co-adjusted for relevant interactions from the first step (i.e.,  $P < 0.20$ ), and confounding was identified where the coefficient for the stress-specific interaction term was changed by more than 10%.

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