

Surprising flexibility in a conserved Hox transcription factor over 550 million years of evolution

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Although metazoan body plans are remarkably diverse, the structure and function of many embryonic regulatory genes are conserved because large changes would be detrimental to development. However, the *fushi tarazu* (*ftz*) gene has changed dramatically during arthropod evolution from *Hox*-like to a pair-rule segmentation gene in *Drosophila*. Changes in both expression and protein sequence contributed to this new function: *ftz* expression switched from *Hox*-like to stripes and changes in Ftz cofactor interaction motifs led to loss of homeotic and gain of segmentation potential. Here, we reconstructed *ftz* changes in a rigorous phylogenetic context. We found that *ftz* did not simply switch from *Hox*-like to segmentation function; rather, *ftz* is remarkably labile, having undergone multiple changes in sequence and expression. The segmentation LXXLL motif was stably acquired in holometabolous insects after the appearance of striped expression in early insect lineages. The homeotic YPWM motif independently degenerated multiple times. These “degen-YPWMs” showed varying degrees of homeotic potential when expressed in *Drosophila*, suggesting variable loss of *Hox* function in different arthropods. Finally, the intensity of *ftz* *Hox*-like expression decreased to marginal levels in some crustaceans. We propose that decreased expression levels permitted *ftz* variants to arise and persist in populations without disadvantaging organismal development. This process, in turn, allowed evolutionary transitions in protein function, as weakly expressed “hopeful gene variants” were coopted into alternative developmental pathways. Our findings show that variation of a pleiotropic transcription factor is more extensive than previously imagined, suggesting that evolutionary plasticity may be widespread among regulatory genes.

molecular evolution | protein module | *cis*-regulatory module

Developmental regulatory genes encode transcription factors that participate in evolutionarily conserved gene regulatory networks (GRNs) crucial for regional specification and patterning during embryonic development (1–5). This “toolkit” of regulatory genes controls the development of diverse animals with different types of body plans (6). Furthermore, these genes are pleiotropic, being reused within individual animal lineages in different combinations and at different developmental stages (7). These findings raise two related issues. (i) How do regulatory genes change during evolution to direct the development of diverse animals? (ii) How can these changes be tolerated during development, as they are expected to be highly detrimental, reminiscent of Goldschmidt’s “hopeful monster” (8)? The modularity of toolkit genes provides a partial answer to these questions, as it allows for changes in both expression and function in only specific tissues or at specific developmental times (9). Thus, although coding regions may be similar in diverse taxa, their differential expression resulting from changes in modular *cis*-regulatory elements (CREs) contributes to morphological diversity throughout Metazoa (10, 11). However, this modularity also applies to protein-coding regions, such that changes in coding regions that affect distinct functions of a particular protein also contribute to morphological evolution. These changes may result in gain or loss of cofactor interaction motifs, posttranslational modifications, DNA binding specificity, or other functions (9, 12–20).

One scenario for changes in developmental networks is gene duplication followed by divergence (21, 22). The *Hox* genes, which

pattern the body plans of most metazoans, provide a prime example of this (2, 6, 23, 24). Duplication events that generated *Hox* clusters in early Bilateria (25) provided opportunities for genes to diverge, partitioning existing functions (subfunctionalization) or acquiring new functions (neofunctionalization) (22). A dramatic example of neofunctionalization is the *Hox* gene *fushi tarazu* (*ftz*), which switched function from an ancestral *Hox* gene to a pair-rule segmentation gene, originally identified in *Drosophila melanogaster* (13, 26, 27). Initial changes in *ftz* were likely enabled by the relaxation of constraints because of overlap in expression and function between *ftz* and *Antp* or *Scr*. This theory is supported by the finding that Ftz from several insects showed *Antp*-like functional specificity when expressed in *Drosophila* (13) and sequence comparisons that suggest *ftz* and *Antp* are closely related (25).

We previously showed that changes in two cofactor interaction motifs in Ftz switched its regulatory specificity from a canonical homeotic protein to a segmentation protein, found in *Drosophila*: (i) an LXXLL motif in *Dm*-Ftz confers strong interaction with the orphan nuclear receptor Ftz-F1 and is required for segmentation function (28–30); (ii) the YPWM motif, present in most *Hox* proteins, is degenerate in *Dm*-Ftz. The YPWM motif is required for homeotic function by virtue of interaction with cofactor Extradenticle (Exd), a TALE family homeodomain protein (31–35). These two protein changes resulted in gain of segmentation potential and loss of homeotic potential, specializing *Dm*-Ftz for segmentation. Ftz proteins that include an intact YPWM motif, such as grasshopper *Sg*-Ftz and beetle *Tc*-Ftz, have homeotic potential when expressed in *Drosophila*, and addition of a YPWM motif to *Dm*-Ftz restored ancestral homeotic function (14). In addition to these protein changes, *ftz* expression changed during arthropod evolution from a *Hox*-like domain in an arthropod ancestor (25, 36–38) to seven pair-rule stripes, seen in modern day drosophilids (39, 40). Striped expression was also observed in the basal insect *Thermobia* (41) and two other holometabolous insects, the beetle *Tribolium castaneum* and the honey bee *Apis mellifera* (42, 43), but stripes were absent in a grasshopper *Schistocerca gregaria* (44). This finding suggests that striped expression was either gained twice in arthropods, in a basal insect lineage and during early radiations of holometabolous insects, or was gained once in basal insects and lost in orthopteran lineages.

Here, we address the question: When and in what order did the changes in *ftz* expression and function occur during arthropod evolution? We find that the LXXLL motif was stably acquired at the base of the holometabolous insects but the YPWM degenerated in sequence and function multiple times independently in various arthropod lineages. Although strong *ftz* *Hox*-like expression is likely ancestral, it has decreased to marginal

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levels in a crustacean, the brine shrimp *Artemia*, where Ftz lacks an LXXLL and carries a degenerate YPWM motif. We suggest a mechanism that incorporates both *cis*-regulatory and coding changes to explain how large variations in an embryonic transcription factor can be tolerated during evolution.

Results

ftz Gene Diversity in the Arthropod Tree of Life. To identify when during arthropod evolution Ftz segmentation and homeotic cofactor interaction motifs were gained and lost, *ftz* orthologs were isolated and sequenced from organisms at representative points along the phylogenetic path from the base of Arthropoda to *D. melanogaster*, spanning ~550 million years of geological time (45). These data were combined with published *ftz* genes and reconstructed sequence information from ongoing genome projects (Figs. 1, and 2, and Fig. S1). Full-length *ftz* cDNAs were isolated from embryonic RNA of organisms that could be cultured: beetles *Callosobruchus maculatus* (*Cm*) and *Dermestes maculatus* (*Dmac*), thysanuran *Thermobia domestica* (*Td*), collembolan *Folsomia candida* (*Fc*), and brine shrimp *Artemia salina* (*As*). For the dermapteran *Forficula auricularia* (*Fa*) and archaeognathan *Pedentotus saltator* (*Ps*), putative full-length *ftz* coding regions were isolated from genomic DNA. Although the Ftz homeodomain is similar to that of other Hox proteins, the characteristic nine residues at the amino terminal end of the homeodomain [KR(T/S)RQTYTR] distinguish Ftz from other Hox paralog groups. *Thermobia*, *Folsomia*, and *Artemia* partial *ftz* homeobox, and 3' fragments had been previously identified (41, 47). We used these sequences to design *ftz*-specific primers to isolate full-length sequence. Because there was no *ftz* sequence data in the literature for *Callosobruchus*, *Dermestes*, *Forficula*, or *Pedentotus*, partial homeobox sequence was isolated by degenerate PCR, using primers specific for the Ftz homeodomain N-terminal arm and another highly conserved region of the homeodomain (QIKIWFQN). Once *ftz* was positively identified, sequence up- and downstream of the homeobox was isolated using 5' and 3' RACE (rapid amplification of cDNA ends) or modified, gene-specific AFLP (amplified fragment-length polymorphism) and genomic walking (*Materials and Methods*). In combination with *ftz* genes assembled from available genomes, we report nine previously unrecorded Ftz sequences: *Bm*-Ftz (447 amino acids), *Am*-Ftz (713 amino acids), *Cm*-Ftz (368 amino acids), *Dmac*-Ftz (377 amino acids), *Td*-Ftz (369 amino acids), *Fa*-Ftz (191 amino acids), *Ps*-Ftz (134 amino acids), *Fc*-Ftz (161 amino acids), and *As*-Ftz (201 amino acids) (Fig. 2 and Fig. S1). Adding these 9 previously unrecorded sequences to the

11 previously described yields 20 full-length arthropod *ftz* gene sequences available for analysis (Fig. 1).

Arthropod Ftz orthologs differ greatly in size and composition (Fig. 2 and Table S1). The putative *Ps*-Ftz and *Fa*-Ftz sequences have very short coding regions upstream of the homeodomain (<30 amino acids), and *As*-Ftz and *Fc*-Ftz have slightly longer protein sequences upstream of the homeodomain (~100 amino acids). Interestingly, Ftz sequences that have an LXXLL motif are much larger (Fig. 2). Although we cannot positively confirm the coding sequences of *Ps*-Ftz and *Fa*-Ftz because embryonic RNA is not available, we have several reasons to believe these sequences are full-length. First, there are splice donor (GT) and splice acceptor (AG) sites flanking small introns directly upstream of the homeobox, which are comparable in size to other *ftz* introns (Table S1). Second, there are no other ORFs with a splice donor site ~800-bp upstream of the homeodomain. Third, there are several possible transcription initiator and TATA-consensus sequences upstream of the translation start site. Finally, sequence from the aphid genome shows that the predicted *ftz* gene in this organism does not encode an LXXLL or YPWM motif, and has very little coding region upstream of the homeodomain (32 amino acids; Aphid Genome Project).

LXXLL Was Stably Acquired at the Base of Holometabola. The LXXLL motif in *Dm*-Ftz is necessary for segmentation function and mediates interaction with the cofactor Ftz-F1 (29, 30, 48). Ftz from the flour beetle *T. castaneum* (*Tc*-Ftz) contains an LXXLL motif and displayed segmentation potential when expressed in *Drosophila* (13). We found that Ftz orthologs from *Callosobruchus* and *Dermestes*, long- and intermediate-germ beetles, encode proteins very similar to *Tc*-Ftz, including LRALL sequences and similar flanking amino acids. Ftz sequences assembled from the genomes of the silkworm *Bombyx mori* (*Bm*-Ftz), honey bee *A. mellifera* (*Am*-Ftz), and mosquitoes *Aedes aegypti* (*Aa*-Ftz) and *Anopheles gambiae* (*Ag*-Ftz) all include LXXLL motifs. Interestingly, most of these Ftz proteins share an LRALL sequence. Although the importance of the "RA" in Ftz has not been studied, *Am*-Ftz and *Nv*-Ftz (wasp) have EM and ES substituted at these positions. This finding suggests the internal residues (XX) are somewhat flexible, but the three leucine residues required for interaction with Ftz-F1 (14) are constrained. Whereas all Ftz proteins isolated to date from holometabolous insects harbor LXXLL motifs (Fig. 1, green), no other insect *ftz* encodes this motif: *Sg*-Ftz, *Ap*-Ftz, *Fa*-Ftz, *Td*-Ftz, *Ps*-Ftz, *Fc*-Ftz, and *As*-Ftz all lack LXXLL sequences. A Ftz LXXLL motif (LNPLL) appears in one other arthropod, the crustacean *Daphnia pulex* (*Dp*-Ftz). However, although functional experiments will be interesting in the future, as proposed by Papillon and

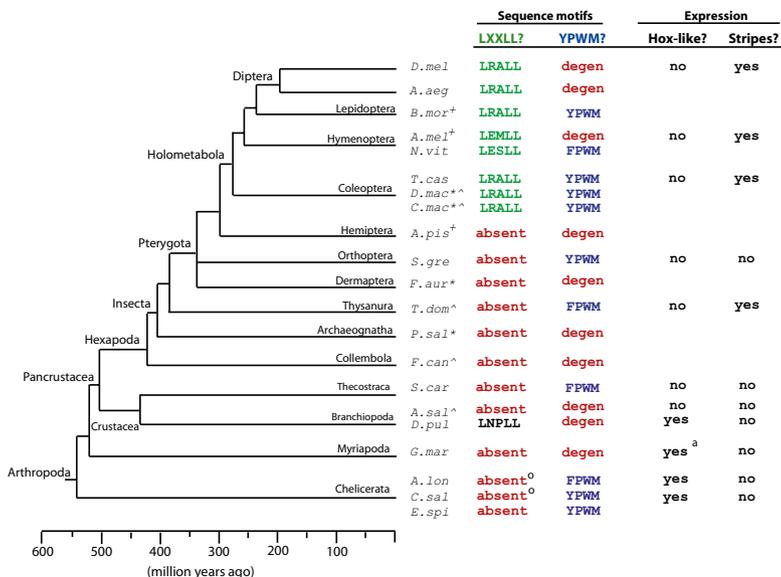


Fig. 1. The *ftz* genes from diverse arthropods display remarkable flexibility. Cladogram of major arthropod taxa is shown with divergence timeline below. The presence of cofactor interaction motifs (LXXLL motif, green; YPWM motif, blue; absent, red) and observed expression patterns (stripes; Hox-like) are indicated. [†]*ftz* assembled from genome project contigs [Sources: *B. mor* (69); *A. mel*, Honey bee Genome Sequencing Consortium; *N. vit*, Nas_1.0, 2007; *A. pis*, BCM-HGSC]; [^]*ftz* sequence isolated in this study by RACE; ^{*}*ftz* sequence isolated in this study by modified AFLP from gDNA; ^aStriped expression seen only after segments formed (38); ^osequence not full length. Other sequences: (*Sg*) (44); (*Dp*) (36); (*Sc*) (46); (*La*) (38); (*Gm*) (37); (*Cs*) (70). Partial *ftz* sequences: AAS17755 (*Td*), AAK51915 (*Fc*), CAA49684 (*As*) (36), AAF63162 (*Al*), CAI91292 (*Cs*).

	LXXLL	(Y/F) PWM	Homeodomain	
<i>D. mel</i> ¹	(108) --LRALL--(123)	DFNWSH--(11)	SKRTRQTYTRYQTLELEKEFHFNRYITRRRRIDIANALSLSERQIKIWFQNRMMKSKKR--(97)	
<i>A. gam</i> ²	(184) --LRALL--(117)	SNSWTQ--(11)	SKRTRQSYSRHQTLELEKEFHFNRYLNRRRIEIASMLKLTERRQIKIWFQNRMMKAKKDN--(120)	
<i>A. agy</i> ³	(191) --LRALL--(109)	-----	SKRTRQSYSRHQTLELEKEFHFNRYLRRRIEIVANVLRLLTERQVIKWFQNRMMKAKKDR--(63)	
<i>B. mor</i> ⁴	(174) --LRALL--(120)	YFPWMK--(10)	SKRTRQTYTRYQTLELEKEFHFNKYLSSRRRIEVSHALGLTERQIKIWFQNRMMKAKKDG--(72)	
<i>A. mel</i> ⁵	(170) --LEMLL--(329)	NYSWLK--(13)	QKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIAHALHLTERQVIKWFQNRMMKAKKFN--(130)	
<i>N. vit</i> ⁶	(95) --LESLL--(151)	DFPWMK--(14)	QKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLTERQIKIWFQNRMMKAKKDS--(117)	
<i>T. cas</i> ⁷	(125) --LRALL--(37)	FYPWMK--(9)	NKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIASLRLTERQIKIWFQNRMMKAKKDT--(48)	
<i>C. mac</i> ⁸	(140) --LRALL--(61)	FYPWMK--(9)	NKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIAHLLCLTERQIKIWFQNRMMKAKKGG--(87)	
<i>D. mac</i> ⁹	(159) --LRALL--(68)	FYPWMK--(9)	GKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIAHALCLSERQIKIWFQNRMMKAKKDN--(70)	
<i>A. pis</i> ⁵	-----	(32)	GKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIAHALCLSERQIKIWFQNRMMKAKKDN--(135)	
<i>S. gre</i> ⁷	-----	(189)	AKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLTERQIKIWFQNRMMKAKKDS--(48)	
<i>F. aur</i> ⁸	-----	(17)	SIPQRK--(5)	SKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIAHALHLTERQIKIWFQNRMMKAKKDT--(103)
<i>T. dom</i> ⁸	-----	(220)	PKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(75)	
<i>P. sal</i> ⁹	-----	(10)	FYPQMS--(8)	PKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(51)
<i>F. can</i> ⁹	-----	(38)	FYPWLK--(26)	SKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(31)
<i>S. car</i> ¹⁰	-----	(206)	IFPWMK--(10)	AKRTRQSYTRYQTLELEKEFHFNRYLRRRIEIVASLGLTERQIKIWFQNRMMKAKKDS--(111)
<i>A. sal</i> ¹¹	-----	(90)	PYHQM--(18)	QKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(27)
<i>D. pul</i> ¹²	(204) --LNLL--(59)	PGSMQ--(8)	PKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(86)	
<i>G. mar</i> ¹³	-----	(235)	KDCWKA--(19)	RKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(45)
<i>E. spi</i> ¹⁴	-----	(193)	FYPWMK--(8)	PKRTRQTYTRYQTLELEKEFHFNRYLRRRIEIVASLGLSERQIKIWFQNRMMKAKKES--(35)

Fig. 2. Ftz orthologs have similar homeodomain sequences but vary in their cofactor interaction motifs and protein lengths. Residues in the segmentation LXXLL, homeotic YPWM, and homeodomain are shown as identical (gray) or similar (yellow) to the most common amino acid at that position in Ftz. All Ftz proteins share a characteristic nine amino acid N-terminal homeodomain arm (KRT/sRQT/sYT/sR/k). Sequences in red were isolated in this study. Other sequences: National Center for Biotechnology Information accession numbers: 1, NP_477498; 2, NT_078265; 3, CH477233; 4, XP_001603670; 5, NP_001034539; 6, NW_001923321; 7, CAA52160; 8, AAS17755; 9, AAK51915; 10, AAM50460; 11, CAA49684; 12, ABQ22961; 13, CAJ56096; 14, ABD46730.

Telford (36), this motif is probably not functional in *Daphnia*, as it is unlikely to participate in segmentation, particularly in light of the *Hox*-like expression of *Dp-ftz*. Together, these findings suggest that the segmentation LXXLL motif was acquired once at the stem of the holometabolous clade and that it has been stably retained in this lineage.

YPWM Motif “Flickers” in Arthropod Phylogeny. Although the homeodomain is sufficient for binding DNA, a (Y/F)PWM sequence (referred to throughout as “YPWM motif”) found at variable distances upstream of the homeodomain in most Hox proteins is crucial for cooperative binding to Exd/Pbx (33, 49–51) and biological specificity in vivo (35, 52). The YPWM motif is found in diverse Antp and Ubx proteins (Fig. S2) and is considered the ancestral condition for Ftz, represented by a chelicerate (mite, *Al-Ftz*) (25) and Onychophora (53), an arthropod outgroup. Consensus YPWM motifs are also found in Ftz in both holometabolous (beetles *Tc-Ftz*, *Cm-Ftz*, *Dmac-Ftz*) and other insects (grasshopper *Sg-Ftz*, firebrat *Td-Ftz*). However, a degenerate motif (FNWS), with decreased Exd-binding ability and homeotic potential, is found in *Dm-Ftz* (14). We found degenerate motifs (degen-YPWMs) in several other Ftz sequences, including a YPPWLK in *Fc-Ftz*, a YHQM in *As-Ftz*, an IPQM in *Ps-Ftz*, and an IPQRK in *Fa-Ftz* (Fig. 2 and Fig. S1). These sequences all resemble YPWM, and are considered degenerate rather than completely lost. Additionally, degenerations appear to have occurred independently, as each motif has a different sequence. Dollo parsimony, which allows only losses after one initial gain, indicates that the motif degenerated eight times (Fig. 1: Diplopoda, Branchiopoda, Collembola, Archaeognatha, Dermoptera, Hemiptera, Hymenoptera, Diptera). Alternatively, a strict parsimony analysis, which minimizes the number of total evolutionary events regardless of direction, suggests five losses (Diplopoda, Dermoptera, Hemiptera, Hymenoptera, Diptera) and two gains (Thecostraca, Insecta). We favor the Dollo parsimony analysis, suggesting that this motif independently degenerated multiple times for several reasons. First, in each case the specific sequence change is different, sometimes involving changes in amino acid sequence (e.g., FNWS or IPQM), other times involving insertions and amino acid substitutions (e.g., YPPWLK). Second, within multiple taxa, closely related species “flicker” (54) with respect to YPWM. For example, within Hymenoptera, honey bee Ftz (*Am-Ftz*) has a degenerate YPWM but wasp Ftz (*Nv-Ftz*) retains a consensus YPWM; within crustaceans, brine shrimp Ftz (*As-Ftz*) YPWM is degenerate but barnacle Ftz (*Sc-Ftz*) retains YPWM (46). Third, some losses (e.g., dipterans) may be secondary, occurring after addition of LXXLL, and presumed gain of segmentation function. In sum, whereas the LXXLL motif of Ftz has established itself at

the base of the holometabolous insects, the YPWM motif in Ftz proteins shows a complex evolutionary history with a flickering pattern in arthropod phylogeny, suggesting that it has been independently lost in multiple lineages.

Degen-YPWMs Vary in Homeotic Potential. The lability of the YPWM motif through phylogeny reflects surprising evolutionary flexibility in this homeotic cofactor interaction motif. In contrast to what is observed for Ftz, the homeodomains and YPWM motifs encoded by neighboring *Hox* genes are highly conserved. Comparison of Antp, Scr, and Ubx from five divergent taxa (*D. mel*, *A. mel*, *T. cas*, *A. pis*, *D. pul*) revealed only one amino acid change in a YPWM motif of the 15 proteins, and virtually identical homeodomains among orthologous proteins (Fig. S2). Thus, the changes in Ftz YPWM, as well as the divergence seen within the homeodomains (Fig. 2), are specific to this protein and not a general feature of Hox proteins. The YPWM motif is required for interaction with a hydrophobic pocket on the surface of the Exd homeodomain and, based upon the mode of action of YPWM in mediating interaction with Exd (33, 49–51), the observed deviations from YPWM reported here are all expected to result in loss of interaction with Exd. We therefore asked whether these degen-YPWMs retain homeotic potential in vivo. We previously showed that ectopic expression of *Dm-Ftz* in imaginal discs did not cause a homeotic transformation, but rather resulted in antennal truncation because of cell death. In contrast, more homeotic-like *ftz* genes, such as *Tc-ftz*, resulted in *Antp*-like transformations of antennae to legs accompanied by activation of *Antp*-target genes (13). Additionally, replacement of FNWS in *Dm-Ftz* with YPWM conferred homeotic function to *Dm-Ftz* (14). Here, we used a similar strategy to assess the activity of degen-YPWMs from Ftz in other taxa. The homeotic potential of *Dm-Ftz*-FNWS (*Drosophila* degenerate motif), *DmFtz*-YPPWLK (*Folsomia* degenerate motif), and *DmFtz*-YHQM (*Artemia* degenerate motif) were compared with that of a protein that completely lacked a functional motif, *DmFtz*-AAAA. All mutations were made in a *Dm-Ftz* background that included a mutation of LRALL to LRAAA because homeotic effects were found to be stronger when the LXXLL motif was inactivated (14). Additionally, the degen-YPWMs tested in this experiment were derived from Ftz proteins lacking LXXLL motifs (Fig. 2).

Multiple independent transformant lines were established for each construct and modified Ftz proteins were expressed in developing imaginal discs with a *Dll-GAL4* driver (Figs. 3 and Fig. S3). Transgenic flies expressing *UAS-lacZ* (negative control) had wild-type antennae with three antennal segments (A1–A3) and arista, demonstrating that phenotypes seen with *ftz* transgenes

were specific and not caused by the GAL4 driver (Fig. 3A). Expression of *DmFtz*-AAAA resulted in antennae with normal A1 and A2 segments, but a malformed A3 segment with extra bristles and a truncated arista (Fig. 3B, arrowhead). Expression of *DmFtz*-FNWS (Fig. 3C) (14) and *DmFtz*-YHQM (Fig. 3D) caused phenotypes similar to *DmFtz*-AAAA, suggesting neither the *Drosophila* FNWS nor the *Artemia* YHQM conferred any further homeotic potential to *Dm*-Ftz. In contrast, the YPPWLK motif (*DmFtz*-YPPWLK) conferred some homeotic potential (Fig. 3E), but the transformation was not as strong as that induced by *DmFtz*-YPWM (Fig. 3F): *DmFtz*-YPWM transformed antennae to complete legs with five distinguishable segments (Fig. 3F) but *DmFtz*-YPPWLK animals showed only two distal leg segments (Fig. 3E, arrows) and a malformed A3 segment. Together, these results suggest that the YPWM motif has functionally degenerated independently multiple times and that it has lost function to different extents in different lineages.

Loss of Hox-Like Expression in Crustaceans. In addition to changes in sequence, *ftz* expression has changed during the radiation of arthropods from *Hox*-like to stripes. Our sequence of *Td*-Ftz suggests that the LXXLL motif was acquired after *ftz* was expressed in a striped pattern (Fig. 1). To investigate how a *ftz* gene encoding neither an LXXLL nor YPWM motif is expressed, we examined *ftz* expression in the brine shrimp *Artemia*. *Artemia* naupliar development is similar to that of short-germ insects, in that upon hatching the antennular, antennal, and mandibular segments are present, but the remaining segments are added on sequentially from the growth zone during postembryonic development. As shown in Fig. 4, *As-ftz* is not expressed in nauplii in a pattern seen for *ftz* in other species. The expression of *ftz* was undetectable in L1 and L2 nauplii (Fig. 4A, E, I) and later, *ftz* was weakly expressed throughout the trunk of the L4 nauplius (Fig. 4I). This pattern was better visualized when *Artemia* were over-stained (Fig. 4M and N). Quantitative RT-PCR with L1 and L4 nauplii confirmed *ftz* expression in the trunk was not background or a staining artifact, and strong *ftz* expression in the nervous system later in development (Fig. 4O) confirmed that the weak expression observed was not the result of technical problems with the probe. In other taxa, *ftz* expression has been observed in the growth zone, in stripes, or in a *Hox*-like pattern in segment

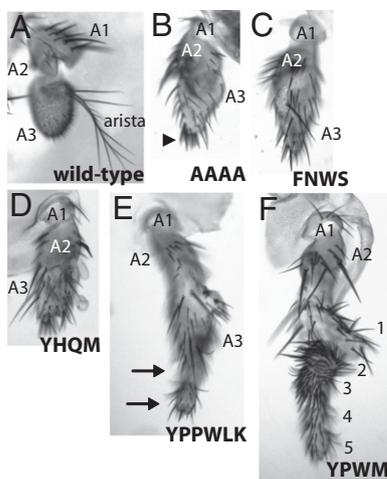


Fig. 3. Degenerate YPWM motifs retain varying degrees of homeotic potential. The *ftz* transgenes carrying examples of natural variation in YPWM motifs were expressed in developing imaginal discs with the *Dll*-Gal4 driver. (A) Control, expression of *UAS-lacZ* did not cause homeotic transformation of antennae. (B) *DmFtz*-AAAA animals showed normal A1 and A2, but abnormal A3 segments with bristles (arrowhead) and no arista. (C) *DmFtz*-FNWS and (D) *DmFtz*-YHQM effects were similar to *DmFtz*-AAAA. (E) *DmFtz*-YPPWLK caused transformation of arista into partial legs with two segments (arrows) and malformed A3 segments. (F) *DmFtz*-YPWM caused complete transformation of arista to legs with five segments.

primordia (Fig. 1). Although we were able to detect expression in these regions using various probes [growth zone: *cad* (55) (Fig. 4D, H, L); stripes: *en* (56) (Fig. 4C, G, K); *Hox*: *Antp* (57) (Fig. 4B, F, J)], none of these patterns were seen for *ftz* in *Artemia* (Fig. 4A, E, I). Together, the expression and quantitative data suggest that *ftz* has lost *Hox*-like expression and potential to participate in homeosis in *Artemia* nauplii.

Discussion

Embryonic regulatory genes are remarkably conserved across broadly divergent taxa. Their structure and functional specificity are generally thought to be highly constrained, yet *Hox* genes are master regulatory genes that pattern body plans of diverse types of animals (2, 5, 23). This paradox has raised questions as to how *Hox* GRNs have evolved. One answer to this is provided by the *cis*-regulatory hypothesis, which posits that changes in the expression of either the *Hox* genes themselves, or CREs of *Hox* gene targets have changed during evolution (6, 11). For example, the loss of limbs in the ancestor of snakes is thought to be the result of a shift in *Hox* expression (58), and shifts in the borders of *Ubx/Abd-A* expression correlate with changes in appendage morphology in myriapods and crustaceans (59). *Ubx* regulates the development of both the butterfly hind-wing and fruit fly haltere, two structures with very different morphology, as a result of evolutionary changes in *Ubx*-responsive target genes (60). In mammals, where duplications have led to four paralogous *Hox* complexes, exchange of the protein coding regions of the paralogs *Hox A3* and *D3* revealed functional equivalence; differential gene function in vivo results from differences in gene expression (61). However, evolution does not work by one mechanism alone and changes in the coding regions of *Hox* genes have also been correlated with morphological diversification. For example, changes in *Ubx* protein led to the acquisition of

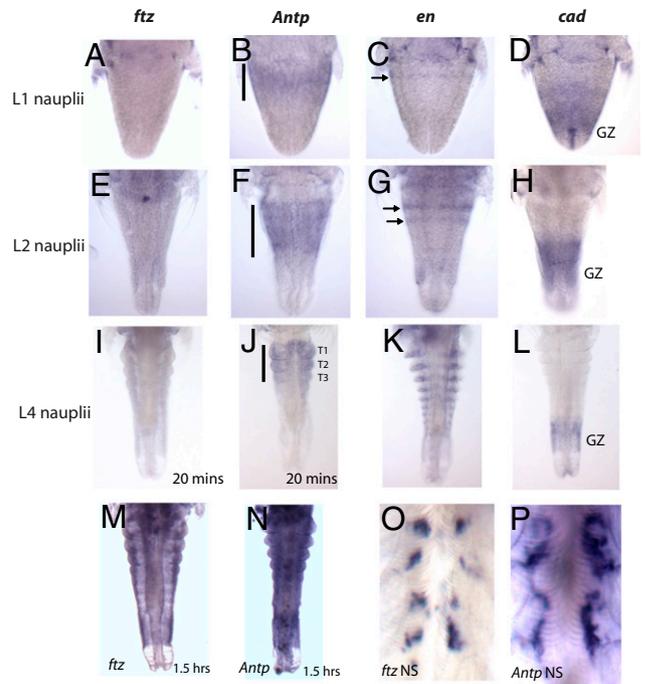


Fig. 4. Fading *Hox*-like *ftz* expression in the crustacean *Artemia salina*. Expression patterns determined by in situ hybridization. (A, E, I, M, O) *As-ftz*; (B, F, J, M, P) *As-Antp*; (C, G, K) *As-en*; (D, H, L) *As-cad* in L1 (A–D), L2 (E–H), or L4 (I–N) nauplii. *As-ftz* was not detected in L1 or L2 and was weakly expressed in L4 nauplii. *Antp* was *Hox*-like in thoracic primordia (bar). (M and N) Overstaining highlights relative weakness of *As-ftz* compared with *As-Antp*; *engrailed* was detected in the posterior of every segment (C, G, K, arrows); *caudal* localized to the growth zone (D, H, L). Both *As-ftz* (O) and *As-Antp* (P) were detected later in the nervous system.

a repression domain in insects that contributed to differences in limb number between crustaceans and hexapods (15, 16, 62), and changes in *Hox-A11* altered its regulatory specificity such that it regulates prolactin production, critical for pregnancy, specifically in eutherian mammals (63). More dramatic perhaps than these are the changes in *Hox3* and *ftz* in arthropods, which have escaped the rules of colinearity and taken on new roles during embryogenesis in different taxa. Duplication of *Hox3* in flies generated the *zen* and *bcd* genes, which have novel functions because of shifts in expression patterns and changes in protein sequence (64). *Bcd* switched DNA-binding specificity because of a single amino acid change in the homeodomain (65), and acquired RNA-binding ability (reviewed in ref. 19).

Here we initiated a phylogenetically structured analysis to reconstruct the sequence of events leading to the switch in Ftz function. Because *Hox* genes are thought to be so highly constrained, we began with an assumption that a minimum number of changes (three total: switch to pair-rule stripes, YPWM degeneration, LXXLL acquisition) would be sufficient to describe the evolutionary trajectory of *ftz*. Thus, our initial goal for the present study was to map the switch points for each of these changes with the expectation that each would map to a distinct branch. Contrary to this expectation, we found that *ftz* has varied multiple times in both coding sequence and expression pattern (Fig. 1). (i) Expression of *ftz* changed at least three times during arthropod evolution: loss of *Hox*-like expression, gain of striped expression, and secondary loss of striped expression. (ii) The homeotic YPWM motif degenerated independently at least eight times. (iii) The LXXLL motif was stably acquired in a single “switch” at the base of the holometabolous insects. This acquisition appears to be under functional constraint in holometabolous insects, as an LXXLL motif is found in Ftz throughout this taxon. The gain of a striped expression pattern in early hexapod lineages, represented by *Td-ftz* (41), preceded the stable gain of the segmentation LXXLL motif. This “snapshot” of molecular evolution in progress revealed a surprisingly dynamic pattern of changes in a transcription factor whose pleiotropic roles during embryonic development would be expected to restrict functional changes. We suggest that deep phylogenetic sampling, such as that carried out here, will reveal similar variation in expression and function of other regulatory genes, exemplified by variations in Ubx protein domains from different taxa (see above) and loss of Abd-A expression in *Artemia* (66). These changes in protein motifs and expression beg for a mechanistic explanation as

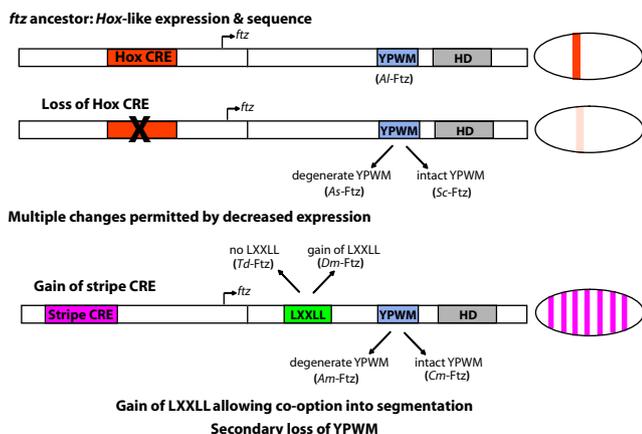


Fig. 5. The modularity of *ftz* CREs and protein motifs allows for extensive variation in *ftz* throughout arthropods. Ancestrally *ftz* was expressed in a *Hox*-like pattern because of a “Hox CRE.” CRE mutation weakened *ftz* *Hox*-like expression. Low expression levels enabled additional protein changes without deleterious consequence. The YPWM motif degenerated and lost homeotic function in multiple lineages. A CRE directing striped expression was gained and *ftz* was coopted into segmentation GRNs when the LXXLL motif was acquired, providing an interaction with the cofactor Ftz-F1.

loss- and gain-of-function changes in *Hox* proteins are deleterious and ectopic expression of transcription factors usually results in lethality, even in the unchallenging environment of a laboratory.

Model for Regulatory Transcription Factor Flexibility. How could changes in *ftz* be so pervasive in nature? We propose that *cis*-regulatory changes that altered *ftz* expression were permissive for changes in protein function, enabling flexibility and variation (Fig. 5). Decreased *Hox* expression, seen in extant crustaceans (Fig. 4), presumably because of mutation in a CRE directing *Hox*-like expression (*Hox* CRE), removed *ftz* from homeotic pathways, relieving constraints on its homeotic function and allowing degeneration of the YPWM motif and eventual loss of homeotic potential. We propose that reduced levels of *Hox*-like expression, seen in at least two crustaceans, represent a transition state that was permissive for additional changes in *ftz* expression and sequence (Fig. 5): low levels of gene expression provide a platform for changes that impact protein function because their weak expression dampens activity and thus minimizes impact on existing GRNs. Although many protein variants could produce inviable “hopeful monsters” (8) if expressed at higher levels, at sub-threshold levels they can provide raw material for cooption of regulatory proteins with unique functions into alternate GRNs. Some “hopeful gene variants” can endure to take on new and essential roles, exemplified by the pair-rule function of *Dm-Ftz*. A second *cis*-regulatory change in *ftz* was the acquisition of a striped expression pattern (Stripe CRE). This pattern arose earlier but was stabilized in holometabolous insects where acquisition of an LXXLL motif conferred interaction with the cofactor Ftz-F1, generating a Ftz able to regulate whole new sets of downstream target genes (28, 30). We suggest that maintenance of stripes in this lineage is in turn explained by the regulatory switch in Ftz (LXXLL acquisition), as interaction with Ftz-F1 allowed for *ftz* autoregulation (67), thus reinforcing striped expression.

Materials and Methods

Arthropod Sources and Care. *A. salina* were obtained as dehydrated cysts from Carolina Biological and rehydrated in 3% salt water. Once hatched, they were maintained in a salt water solution containing an air source and fed a dilute yeast solution. *Thermobia domestica* were raised at 35 °C in a humid incubator, and fed oatmeal and hermit crab food. *Folsomia candida* were kept in Petri dishes containing charcoal/plaster of Paris and fed dry yeast. *P. saltator* and *F. auricularia* were captured in the field, preserved in >95% EtOH, and stored at –80 °C before isolation of genomic DNA.

Isolation of *ftz* Sequence by RLM-RACE and Modified AFLP. RNA was extracted from 0 to 4 d *Artemia* nauplii, 0 to 4 d *Folsomia* eggs, and 0 to 9 d *Thermobia* eggs using the TRIzol reagent (Invitrogen) and Qiagen RNA extraction kit. Full-length *ftz* cDNAs were obtained by 5' and 3' RLM-RACE (Ambion) and PCR, using primers designed to previously identified partial *ftz* homeobox regions [National Center for Biotechnology Information (NCBI) accession numbers: X70079, AF361331, AY456923]. Genomic DNA was extracted from *Pedentonus* and *Forficula* using standard *Drosophila* protocols. Additional sequence was obtained by modified, gene-specific AFLP (68) and genomic-walking. Primer sequences are available by request.

Artemia Expression Analysis. *Artemia* nauplii were fixed in 4% paraformaldehyde for 2 h at room temperature, and taken through a series of PBS/MeOH rinses: 75, 50, and 25%. After four additional washes in 100% MeOH, fixed nauplii were stored at –20 °C. Digoxigenin-labeled probes were made with T7/T3 polymerase [NCBI references: *Antp*: AF435786 (57); *en*: X70939 (56); *cad*: AJ567452]. Expression was examined in *Artemia* using protocols established by others (56). Nauplii were mounted in 90% glycerol and viewed with Leica DMRB microscopy.

Transgenic Drosophila. Mutations to alter the FNWS in *Dm-Ftz* were generated by site-directed mutagenesis, as previously described (14). Multiple independent transformant lines were generated by Rainbow Transgenic Flies. Phenotypes shown were observed in at least five independent transgenic lines for each construct, and only one phenotype—that shown—was observed for each transgene. The levels of expression of the transgenes shown (Fig. S3) were similar, as determined by RT-PCR using cDNA generated from L1 larvae.

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