

Vaccination strategies for epidemic cholera in Haiti with implications for the developing world

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Edited by G. Balakrish Nair, National Institute of Cholera and Enteric Diseases, Kolkata, India, and approved March 16, 2011 (received for review February 9, 2011)

In October 2010, a virulent South Asian strain of El Tor cholera began to spread in Haiti. Interventions have included treatment of cases and improved sanitation. Use of cholera vaccines would likely have further reduced morbidity and mortality, but such vaccines are in short supply and little is known about effective vaccination strategies for epidemic cholera. We use a mathematical cholera transmission model to assess different vaccination strategies. With limited vaccine quantities, concentrating vaccine in high-risk areas is always most efficient. We show that targeting one million doses of vaccine to areas with high exposure to *Vibrio cholerae*, enough for two doses for 5% of the population, would reduce the number of cases by 11%. The same strategy with enough vaccine for 30% of the population with modest hygienic improvement could reduce cases by 55% and save 3,320 lives. For epidemic cholera, we recommend a large mobile stockpile of enough vaccine to cover 30% of a country's population to be reactively targeted to populations at high risk of exposure.

infectious diseases | simulation modeling

After an absence of over 100 y, cholera has returned to Haiti (1, 2). By February 14, 2011, 234,303 cholera cases and 4,533 deaths were reported (3). Cholera is a waterborne disease that affects at least 3–5 million people annually, mostly in the developing world (4). The most recent example of Haiti shows that areas that have not seen cholera in decades can be vulnerable under the combination of poverty, lack of or destruction of infrastructure, weather, and natural disasters, conditions in which cholera thrives (4–8).

The cholera vaccine is safe, effective, and inexpensive but not widely used (9–11). Currently, two killed oral cholera vaccines could be made available. Dukoral is registered for use with the World Health Organization but is relatively expensive, whereas Shanchol (Shantha Biotechnics) is not yet registered but would be significantly cheaper and easier to administer than Dukoral (Crucell). It is believed that about one million doses of both vaccines together could be made available within the coming year. Most people would require two doses and small children would possibly require three doses to get optimal protection. So far, there has been reluctance to use the limited supplies of vaccine in Haiti because of the lack of a good strategy, logistical problems, and uncertainty about the size of the available supply (12). The benefits of cholera vaccination in emergency situations need to be weighed against that of other programs (11, 13–15). Through the use of a mathematical cholera transmission model (Fig. 1, *Materials and Methods*, and *SI Appendix*), we investigate various feasible vaccination strategies that could be effective in Haiti as well as other locations experiencing epidemic cholera.

Results

Cholera Epidemic in Haiti. Simulated cholera epidemics begin with massive contamination of *Vibrio cholerae* on October 9, 2010, in the Artibonite River in the St. Marc, Petite Rivière d'Artibonite, Verrettes, and Mirebalais communes, where the first cholera cases were detected. Because the source and nature of the in-

roduction of cholera to the region is not known, we did not model any events earlier than the first reported outbreaks along the Artibonite. We assume that these outbreaks were sparked by *V. cholerae* in the river at least 10 d before the first reported large outbreaks, but the cause of this contamination is beyond the scope of this study. In this baseline simulated scenario, 302,000 cumulative cases occur by the end of 6 mo (Table 1). We did not attempt to replicate the exact course of the epidemic, which was exacerbated by natural and political events, such as Hurricane Tomas, national elections, and possibly, uneven reporting rates that lie outside the scope of the model. However, the simulated departmental curves capture major features of the epidemic dynamics, including the initial sharp spike of cases in Artibonite in late October, the large wave of cases in Nord in November and Port-au-Prince in December, and the late arrival of the epidemic in the more remote departments of Grand-Anse and Nippes (Fig. 2 and *Movie S1*).

We selected parameter values to be consistent with this broad pattern of epidemic spread. Significant departures from our parameter choices result in dynamics that do not match reported data from the epidemic. The timing of the regional epidemic, particularly in departments distant from Artibonite, was sensitive to parameters relevant to travel of individuals and the propagation of *V. cholerae* down rivers (*SI Appendix*, Figs. S10–S12). The magnitude of the epidemic peaks was sensitive to parameters relevant to transmission and the natural history of cholera (*SI Appendix*, Figs. S8, S9, S14, and S15) but less sensitive to within-household transmission of cholera (*SI Appendix*, Fig. S13).

Case for Vaccination. We examined prevaccination strategies in which vaccination occurs well before the epidemic starts and reactive vaccination strategies in which vaccination begins after the epidemic has started. The results show that randomly prevaccinating a fraction of the population well before the epidemic begins can reduce the number of cases roughly in proportion to the number of individuals vaccinated and delay the epidemic peak (Fig. 3 *A* and *B* and Table 1). We measure the overall effectiveness of a vaccination strategy by the percentage of cases averted with respect to the baseline simulations in which vaccines were not used (16). To achieve 50% overall effectiveness, vaccine coverage of more than 50% of the population would be required.

Reactive Vaccination Strategies. We present results for three different reactive vaccination strategies: reactive mass vaccination, reactive ring vaccination, and reactive high-exposure vaccination.

Author contributions: D.L.C., M.E.H., and I.M.L. designed research; D.L.C., M.E.H., and I.M.L. performed research; D.L.C. contributed new reagents/analytic tools; D.L.C., M.E.H., and I.M.L. analyzed data; and D.L.C., M.E.H., and I.M.L. wrote the paper.

The authors declare no conflict of interest.

This article is a PNAS Direct Submission.

Freely available online through the PNAS open access option.

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This article contains supporting information online at www.pnas.org/lookup/suppl/doi:10.1073/pnas.1102149108/-DCSupplemental.

Table 1. Number of simulated cholera cases in Haiti

Strategy	Median cases	Attack rate (%)	Percentage of cases averted	Cases averted per 1,000 vaccinations	Deaths
Baseline	302,000	3.3	—	—	6,040
5% prevaccination	285,000	3.2	5.6	37.7	5,700
10% prevaccination	265,000	2.9	12.2	40.7	5,310
30% prevaccination	204,000	2.3	32.4	36.1	4,090
50% prevaccination	154,000	1.7	48.9	32.7	3,090
70% prevaccination	108,000	1.2	64.4	30.8	2,150
5% reactive mass vaccination	290,000	3.2	3.9	26.2	5,810
10% reactive mass vaccination	284,000	3.1	5.9	19.6	5,690
30% reactive mass vaccination	236,000	2.6	22.0	24.5	4,710
50% reactive mass vaccination	219,000	2.4	27.6	18.5	4,370
+10% hygiene	162,000	1.8	46.5	31.1	3,230
+30% hygiene	143,000	1.6	52.6	35.2	2,860
70% reactive mass vaccination	205,000	2.3	32.1	15.3	4,100
5% reactive high-exposure vaccination	270,000	3.0	10.5	70.5	5,410
10% reactive high-exposure vaccination	244,000	2.7	19.2	64.1	4,880
30% reactive high-exposure vaccination	168,000	1.9	44.5	49.6	3,350
+10% hygiene	136,000	1.5	54.9	61.2	2,720
+30% hygiene	127,000	1.4	57.9	64.5	2,550
50% reactive high-exposure vaccination	163,000	1.8	46.0	30.8	3,260
+10% hygiene	125,000	1.4	58.6	39.2	2,500
+30% hygiene	113,000	1.3	62.5	41.8	2,260
70% reactive high-exposure vaccination	161,000	1.8	46.6	22.3	3,220

The 5%, 10%, 30%, 50%, and 70% refer to having enough vaccine for that percentage of the general population. Fifty simulations were run per scenario, and the median number of cases (symptomatic individuals) is reported. We assume a 2% case fatality ratio. We also report the number and fraction of cases averted per 1,000 individuals vaccinated, assuming vaccinated individuals get both doses on time.

By reactive, we mean that vaccine is not available until cases are detected in the country, but after that time, the vaccine could be deployed in regions where cholera cases have not yet appeared. For the reactive strategies, it takes 3 wk for a vaccine to confer maximum efficacy in an individual (*SI Appendix, Fig. S1*). For the preemptive strategy described above, all vaccinations occurred

several weeks before the epidemic, and therefore, the vaccine was at maximum efficacy when the epidemic began. In all simulated reactive vaccination strategies, vaccine was not available until 21 d after the beginning of the epidemic, and 50,000 people were vaccinated per day after that point. In simulated reactive mass vaccination campaigns, randomly selected cells (1-km²

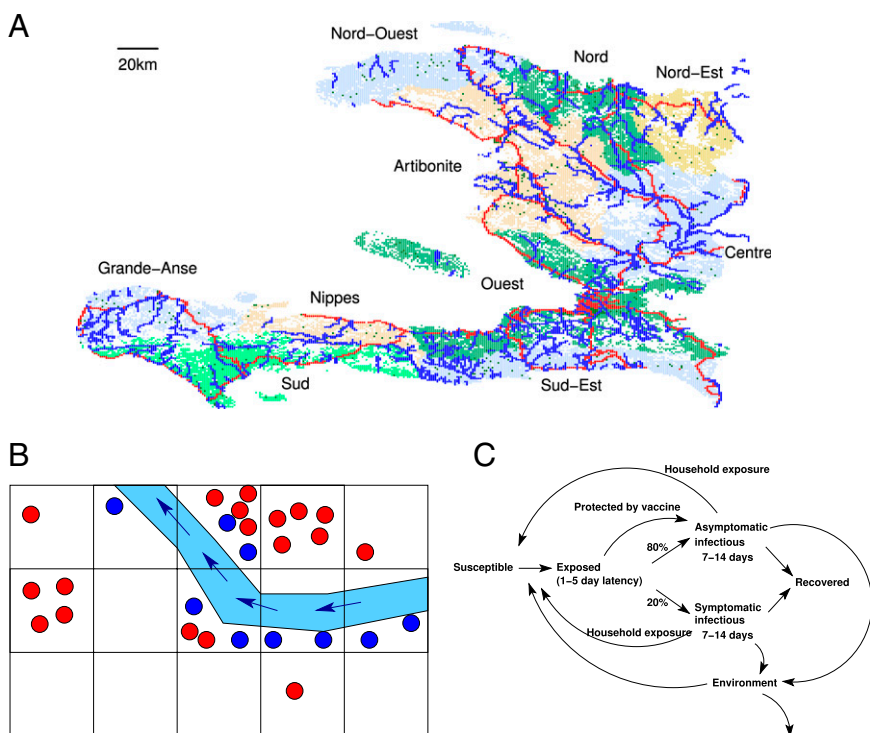


Fig. 1. The cholera epidemic simulation model. (A) The population of Haiti in the simulation is divided into 1-km² cells. The nine departments are indicated by different colors, and rivers and highways are superimposed in blue and red, respectively. Individuals may commute to nearby locations to work or occasionally travel longer distances. (B) Each 1-km² cell is divided into communities (represented by dots) of ~500 individuals. The river may be contaminated with *V. cholerae*, which can travel to downstream communities (indicated by the blue arrows). Only a limited number of communities in a cell can be in contact with the river, which is represented by the blue dots. (C) In the model's natural history of cholera, infected individuals shed *V. cholerae* into their communities, and susceptible individuals can be infected by this environmental source of *V. cholerae*. In addition, cholera is transmitted within households, which consist of 1–10 individuals. Infected residents living in a community on a river shed *V. cholerae* into both their community and the river.

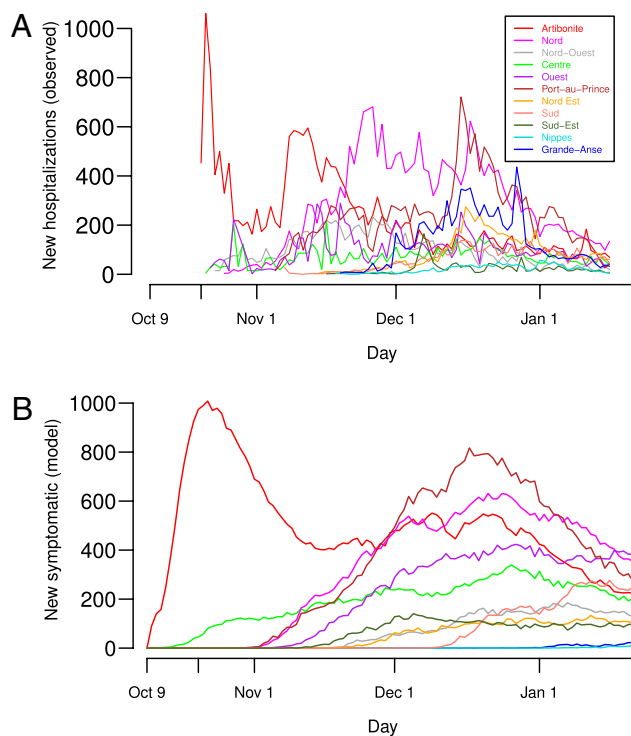


Fig. 2. The timing of the cholera epidemic in Haiti in 2010–2011. (A) New daily hospitalizations by department (data from ref. 3). Port-au-Prince includes the communes of Carrefour, Cité Soleil, Delmas, Kenscoff, Petion Ville, Port-au-Prince, and Tabarre. Ouest does not include Port-au-Prince. (B) Simulated new cholera cases by department. The median numbers of cases in each department from 10 stochastic simulations are plotted using the same color scheme as in A.

regions) were vaccinated such that 70% of the cell's residents were covered until available vaccine was depleted for the day. In a sensitivity analysis, we found that vaccinating a substantially smaller fraction of a cell's residents resulted in higher attack rates (*SI Appendix, Fig. S6*). For a given amount of vaccine, reactive mass vaccination was about one-half as effective as prevaccination (Fig. 3C and Table 1).

In simulated reactive ring vaccination campaigns, cells in which two or more cases appeared were prioritized for receiving vaccine after a 5-d delay. That is, local regions could receive vaccine only after cases appeared in them. Waiting for substantially more cases to appear or a longer delay after these cases appear seriously diminished the effectiveness of vaccination (*SI Appendix, Fig. S7*). Reactive ring vaccination was about as effective as reactive mass vaccination (Fig. 3C and Table 1).

High-Exposure Areas Should Be Vaccinated First. In the 2010–2011 cholera epidemic in Haiti, the first cases of cholera occurred along the lower Artibonite River. In the model, individuals living on rivers have much higher exposure to cholera than those who do not. In addition, individuals who live along rivers in the model had the potential to infect many more individuals than those who do not live along rivers. A single simulated infectious individual would infect an average of 2.6 others in a fully susceptible population, and thus, our crude estimate of the basic reproductive number, R_0 , is 2.6 (*SI Appendix, Fig. S5*). By definition, R_0 is the average number of secondary infections resulting from a typical infected person. The average is across the distribution of all of the transmission settings for the typical infected case. For example, an infected person living along a river would infect an average of 10.0 others, but one not living along a river would infect an av-

erage of 0.8 others. The published estimates of R_0 for both epidemic and endemic cholera vary widely from 1.5 to 8.7 (7, 17), but our estimate of 2.6 falls within the published range.

We simulated the prioritization of vaccine to all regions along any major river in Haiti (Fig. 1A). After these regions with presumably high exposure to cholera were vaccinated, any remaining vaccine would go to the rest of the country. We refer to this vaccination strategy as reactive high-exposure vaccination. Although the simulated campaign does not start until 3 wk after the epidemic begins, prioritizing the high-risk regions along the rivers was even more effective than prevaccination if there was only enough vaccine to cover less than one-half of Haiti's population (Fig. 3C and Table 1).

A useful and necessary adjunct to a vaccination campaign is the promotion of improved hygiene and sanitation. We model the effect of a campaign to improve sanitation and promote better hygiene as a 10% or 30% reduction in exposure to cholera for all individuals in the regions where vaccination has taken place. A campaign that results in even a 10% reduction in exposure could result in a large reduction in cases (Fig. 3D, Table 1, and *Movie S1*).

From Fig. 3 and Table 1, the most efficient reactive vaccination strategy is to prioritize high-exposure regions for vaccine. It is even more effective when combined with an improvement in hygiene. If there is only enough vaccine to cover 50% of the entire population, then this strategy is more effective than a prevaccination strategy that does not target high-exposure regions. If enough vaccine is available for over 50% of the population, prevaccination is somewhat more effective. In addition, reactive high-exposure vaccination tends to be the most effective use of vaccine by providing the most cases averted per 1,000 people vaccinated. There are no situations where ring vaccination is effective.

Discussion

When vaccine is in limited supply, we have found, for epidemic cholera, that concentrating it to achieve coverage of 50–70% of the population in the high-exposure areas is the most effective intervention. In Haiti, this corresponds to enough vaccine for ~30% of the general population. In addition, effort should be made to improve hygiene and reduce exposure to *V. cholerae* in combination with the vaccination efforts.

Our simulations suggest that it is more effective to first vaccinate areas at high risk of cholera, such as along rivers and other bodies of fresh water, than to wait for cases to appear in these areas. When cases appear in a region and local transmission is occurring, it may be too late to vaccinate for that season. Although it is difficult to know a priori the regions in an immunologically naive population that are at highest risk, we may make informed decisions based on known risk factors from regions where cholera is endemic (18). For example, increases in environmental risk factors, such as the concentration of copepods and conductivity in local water bodies, precede cholera outbreaks in rural Bangladesh by several weeks (19), and remote sensing technologies may help rapidly assess other risk factors (20). If similar risk factors could be found for epidemic cholera, then vaccine could be concentrated in those areas first. In Haiti, about 25% of the population lives along major rivers, a clear risk factor for epidemic cholera in Haiti. Because of the high transmissibility of epidemic cholera in our model of Haiti (*SI Appendix, Fig. S10*), there is little herd immunity induced by vaccination at the coverage levels that we consider. We would see larger herd effects for these coverage levels for endemic cholera where there is considerable population level immunity and much lower reproductive numbers (21, 22).

Sensitivity analyses of cholera models may help identify the most important gaps in our understanding of the disease. For example, our model is sensitive to the pathogenicity of the cholera strain, and therefore, estimating pathogenicity is

Maintenance of a cholera stockpile for emergency use could be coordinated with production of cholera vaccine for general use in endemic areas (22). The two current killed oral cholera vaccines, Dukoral and Shanchol, have shelf lives of 3 and 2 y, respectively (11). If continuous production of these vaccines could be achieved, then the stockpiled vaccine that is not used within 2 y could be cycled out for routine vaccination in endemic areas. Because both vaccines can be made relatively cheaply, current cost of about \$5 US for Dukoral and \$1.50 US for Shanchol (11), an international investment case could be made to support production and distribution of these vaccines (4, 6). Vaccine distribution could be coordinated through global and regional public health organizations such as the World Health Organization and Pan American Health Organization. For endemic cholera, the routine biannual vaccination of 50–70% of at-risk populations would virtually eliminate cholera transmission from those regions (22). The rapid and repeated use of cholera vaccine could greatly reduce the burden of this disease in the developing world.

Materials and Methods

The form of the mathematical model is an individual-based stochastic model. A detailed description of the model is in *SI Appendix*. The model uses a synthetic population to represent the 9.5 million people of Haiti. The model incorporates population density data at a 1 km² resolution and the locations of major rivers and highways (Fig. 1A). Susceptible people in the model can become infected by contact with *V. cholerae* in their local environment (Fig. 1B) or their households (Fig. 1C). After infected, people undergo a 1- to 5-d latent period, after which they become infectious (22). Twenty percent of infectious people are symptomatic and shed 10 times

more *V. cholerae* into their local environments than asymptomatic individuals. We include a hyperinfectious state of freshly shed cholera that causes a burst of community-wide transmission (26). If an infectious individual lives or works near a river, that individual will also shed *V. cholerae* into the river, which transports *V. cholerae* downstream (Fig. 1B). People are placed into communities of ~500, and these communities are spatially organized according to LandScan data, which estimates the population density at a 30° × 30° resolution (~1 km²; <http://www.ornl.gov/sci/landscan/>, accessed November 11, 2010). Some people travel daily to nearby communities, where they can be exposed to or shed *V. cholerae*. People can also occasionally make long-distance trips within the country, with a higher probability if travel is along major highways.

In the simulation, people may be vaccinated, and it is assumed that vaccine reaches maximum efficacy after 3 wk (*SI Appendix*, Fig. S1); at this point, vaccinated infected people are 64% less likely to become symptomatic, $VE_p = 0.64$ (27), and 50% less infectious than infected unvaccinated people, $VE_i = 0.50$ (22). Vaccine provides some but less protection before 3 wk post-vaccination. It is possible that vaccine also reduces the probability of infection given exposure to an infected source, VE_s . However, there are no estimates of this vaccine effect, because clinical disease with confirmed infection was the primary outcome from cholera vaccine trials. *SI Appendix* has further discussion of this point. The vaccination campaign may be accompanied by a hygiene awareness campaign, which lowers exposure to *V. cholerae* from the environment and the household by 10% or 30%.

ACKNOWLEDGMENTS. We thank Jon Sugimoto for geographic information system data assistance and both Robyn Fisher and Lara Petusky Coger for their insights about Haiti. This work was partially supported by the National Institute of General Medical Sciences Models of Infectious Disease Agent Study Grant U01-GM070749 and the National Institutes of Health Grant R01-A139129.

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