

# Fibroblast growth factor 9 is a novel modulator of negative affect

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Both gene expression profiling in postmortem human brain and studies using animal models have implicated the fibroblast growth factor (FGF) family in affect regulation and suggest a potential role in the pathophysiology of major depressive disorder (MDD). FGF2, the most widely characterized family member, is down-regulated in the depressed brain and plays a protective role in rodent models of affective disorders. By contrast, using three microarray analyses followed by quantitative RT-PCR confirmation, we show that FGF9 expression is up-regulated in the hippocampus of individuals with MDD, and that FGF9 expression is inversely related to the expression of FGF2. Because little is known about FGF9's function in emotion regulation, we used animal models to shed light on its potential role in affective function. We found that chronic social defeat stress, an animal model recapitulating some aspects of MDD, leads to a significant increase in hippocampal FGF9 expression, paralleling the elevations seen in postmortem human brain tissue. Chronic intracerebroventricular administration of FGF9 increased both anxiety- and depression-like behaviors. In contrast, knocking down FGF9 expression in the dentate gyrus of the hippocampus using a lentiviral vector produced a decrease in FGF9 expression and ameliorated anxiety-like behavior. Collectively, these results suggest that high levels of hippocampal FGF9 play an important role in the development or expression of mood and anxiety disorders. We propose that the relative levels of FGF9 in relation to other members of the FGF family may prove key to understanding vulnerability or resilience in affective disorders.

fibroblast growth factor 9 | major depression | anxiety | hippocampus | affect

The neurotrophic hypothesis of major depressive disorder (MDD) posits that the neurobiological basis for mood disorders may be due to the dysregulation of growth factors and their effects on brain circuitry (1, 2). This hypothesis is supported by gene expression profiling experiments in postmortem human brains that implicate the fibroblast growth factor (FGF) family and other neurotrophins in MDD (3, 4). To date, only a few growth factors involved in mood disorders, such as brain-derived neurotrophic factor (BDNF) and FGF2, have been studied extensively.

Our laboratory and others have demonstrated that FGF2 is down-regulated in the frontal cortices (3), hippocampus (5), and locus coeruleus (4) in depressed individuals. This consistent decrease in FGF2 expression across regions is striking and underscores the likely importance of FGF2 in mediating affect.

Follow-up studies from our laboratory and others have focused on the FGF family and have demonstrated a key role of FGF2 in the control of emotional behavior. Rats exposed to chronic social defeat stress, an animal model recapitulating some aspects of MDD, showed decreased hippocampal FGF2 expression relative to unstressed controls (6), whereas subchronic and chronic administration of FGF2 had antidepressant properties (7, 8). Moreover, administration of antidepressants and anxiolytics induced FGF2 expression (9–11), and recent studies suggested that this

induction is necessary for the effectiveness of the antidepressants (8). Paralleling the human depression studies, hippocampal FGF2 was basally decreased in high-anxiety animals, but chronic administration in adulthood (12) or a one-time administration of FGF2 during early development (13) alleviated their anxious phenotype. Additionally, anxiety-like behavior in outbred rats was increased by knocking down FGF2 in the dentate gyrus (DG) of the hippocampus (14). Collectively, these studies show that FGF2 is an endogenous anxiolytic and antidepressant that plays both an organizational role during development and an ongoing role during adulthood to modulate emotional reactivity. The clinical relevance of FGF2 is further supported because its role in MDD was first discovered in the human brain (3).

Other members of the FGF family showed different patterns of dysregulation in postmortem tissue from individuals with MDD. Most notably, FGF9 had a pattern of dysregulation opposite to

## Significance

Molecular mechanisms mediating negative emotion and contributing to major depression remain elusive: here, we present evidence implicating fibroblast growth factor 9 (FGF9) as a key mediator. We use whole-transcriptome studies of postmortem human tissue to demonstrate that FGF9 is elevated in depression. Reverse translation animal studies demonstrate that both endogenous and exogenous FGF9 promotes anxiety- and depression-like behavior. Conversely, localized blockade of endogenous FGF9 expression decreases anxiety behavior. To our knowledge, this paper is the first description of hippocampal FGF9 function and the first evidence implicating FGF9 in negative affect. Thus, FGF9 represents a novel target for treating affective disorders. Moreover, our findings suggest that FGF2 and FGF9 work in functional opposition; we hypothesize that the balance between FGF factors may prove critical for optimal regulation of mood.

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FGF2: FGF9 expression was increased in the frontal cortices (3) and locus coeruleus (4) in patients with MDD. This relationship is intriguing because additional evidence suggests that FGF2 and FGF9 may be functionally opposed. For example, FGF2 expression was decreased and FGF9 expression was increased in an in vitro cellular model of chronic stress (15).

Despite the evidence that FGF9 is altered in MDD, little is known about the function of this molecule in the brain. FGF9 is primarily expressed by neurons in the cortex, hippocampus, thalamus, cerebellum, and spinal cord (16–20), although it is also expressed by glia in the hindbrain and spinal cord (21). FGF9 interacts with several of the tyrosine kinase FGF receptors (FGFR) (22), binding preferentially to FGFR3 (23). Functionally, the literature suggests that FGF9 may promote cell survival. For example, FGF9 acts as a survival factor for nigrostriatal and mesencephalic dopamine neurons (24), and FGF9 treatment can increase the survival and soma size of cultured basal forebrain cholinergic neurons (25). FGF9 also weakly promoted proliferation and survival of cultured adult subventricular neural progenitor cells, inhibiting astrocyte differentiation (26). However, these are all studies of exogenous FGF9, which may be mimicking the actions of other FGFs. Moreover, none of the studies to date have examined the role of FGF9 in the hippocampus or its role in the regulation of emotionality.

Here, we specifically sought to elucidate the potential role of hippocampal FGF9 in the regulation of emotions and mood, given our early observations that FGF9 expression was altered in the postmortem hippocampus of individuals with MDD. We have carried out follow-up analyses in the human brain using multiple data sets to ascertain the relationship between FGF9 and other members of the FGF family. In addition, because it is difficult to determine whether gene dysregulation in postmortem human brain tissue contributes to the development of MDD or is a result of the disease process, we used a series of animal experiments to examine the role of FGF9 in affective behavior. To this end, we examined the effects of psychosocial stress, an animal model recapitulating some aspects of depression, on hippocampal FGF9 expression. We also characterized the effects of FGF9 administration and knockdown on anxiety-like and depression-like behavior and hippocampal gene expression. The combination of these various strands of evidence provides strong support for FGF9 as an endogenous molecule that promotes negative affect and may play a role in vulnerability to major depression.

## Results

### Human Studies.

**FGF9 expression was increased in the hippocampus of depressed individuals.** Based on published data indicating that specific growth factor transcripts are altered in depressed brains (3–5), we selectively examined their differential expression in major depression using three human hippocampal microarray datasets. We examined diagnosis-related gene expression using a linear model that controlled for a variety of confounding pre- and postmortem variables and found that hippocampal FGF9 expression was consistently increased in subjects with MDD relative to controls in all three datasets, across platforms (Table 1). Using quantitative real-

time polymerase chain reaction (qRT-PCR), we confirmed that FGF9 expression was increased by 32% in individuals with MDD relative to controls, with a Cohen's *d* effect size of 0.57, a medium-sized effect (Table 1). In the microarray data, FGFR1 and FGFR2 appeared to be down-regulated in individuals with MDD, but these effects were borderline significant and platform-specific (Table S1). Expression of BDNF, glial-derived neurotrophic factor (GDNF), FGF2, and FGFR3 did not differ in MDD subjects relative to controls (Table S1). Thus, these analyses pointed to FGF9 as one of the most clearly and consistently altered growth factors in MDD: we always observed it to be up-regulated in the depressed hippocampus. **FGF9 expression is correlated with expression of other members of the FGF family.** We further explored whether the relationship between the expression of hippocampal FGF9 and other candidate members of the FGF family differed by diagnosis (Fig. 1 A–D). We found that the expression of FGF9 was significantly negatively related to FGF2, FGFR1, FGFR2, and FGFR3. A negative correlation between FGF9 and FGFR1 was present in MDD but not in control tissue (Fig. S1A). These correlations suggest a general dysregulation in the FGF system in MDD, with FGF9 appearing to be one of the key players.

### Animal Studies.

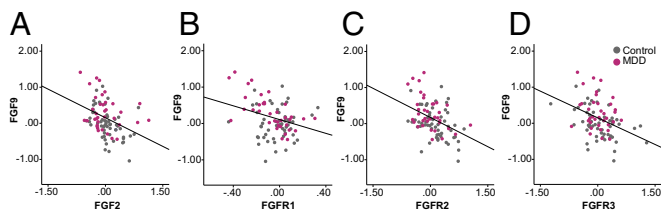
**Repeated social stress increased both social avoidance behavior and FGF9 gene expression.** We subjected rats to repeated social stress over 10 days. Rats subjected to the stress paradigm showed significantly less weight gain during the experiment (Fig. S2 A and B) compared with handled controls. These stressed rats also showed increased social avoidance behavior in the social interaction test (Fig. 2A). Hippocampal FGF9 mRNA expression was significantly increased following repeated social stress compared with handled controls (Fig. 2 B and C); we observed this increase by tracing hippocampal subregions CA1, CA2, CA3, and dentate gyrus from mRNA in situ hybridization (ISH) autoradiograms (Materials and Methods). Thus, this model replicated the observations in the human MDD brain.

**Chronic FGF9 microinjections increased both anxiety-like and depression-like behavior.** We performed a dose-finding study by acutely administering FGF9 or vehicle (intracerebroventricular, i.c.v.) to examine the effect of exogenous FGF9 on spontaneous affective behavior. We administered a range of doses over five orders of magnitude (Fig. S3 A–C): of these, we found that 20 ng FGF9 altered both anxiety- and depression-like behavior acutely, so we chose to use this dose to determine whether long-term exposure to FGF9 was sufficient to alter spontaneous affective behavior. Chronic FGF9 administration increased anxiety-like behavior on the elevated plus maze (EPM). FGF9-microinjected animals spent less percent time in the open arms of the EPM compared with vehicle controls (Fig. 3A). We observed a decrease in the percent time spent climbing and an increase in the percent time spent immobile in animals injected with FGF9 compared with vehicle controls on the forced swim test (FST) (Fig. 3B). Chronic FGF9 microinjections did not alter locomotor activity (Fig. S3D), indicating that the effects were specific to affective-like

**Table 1. FGF9 was increased in the postmortem hippocampus from individuals with MDD relative to nonpsychiatric controls**

Candidate	Microarray: Affymetrix HG-U133A	Microarray: Illumina HT-12	Microarray: Illumina Ref-8_v2	qPCR	qPCR: effect size (Cohen's <i>D</i> )
Control ( <i>n</i> )	56	24	45	22	
MDD ( <i>n</i> )	36	21	33	23	
FGF9 (raw <i>P</i> value)	<b>↑ (0.0029)</b>	<b>↑ (0.0295)</b>	<b>↑ (0.0027)</b>	<b>↑ 32% (0.0272)</b>	<b>0.57 (medium)</b>

Significant effects are bolded. The most conservative *P* value is reported when there were multiple probes.



**Fig. 1.** Postmortem hippocampal FGF9 expression correlates with expression of other FGFs. FGF9 expression in postmortem hippocampal tissue is negatively correlated with expression of (A) FGF2 ( $R^2 = 0.15$ ,  $P < 0.001$ ), (B) FGFR1 ( $R^2 = 0.09$ ,  $P < 0.001$ ), (C) FGFR2 ( $R^2 = 0.17$ ,  $P < 0.001$ ), and (D) FGFR3 ( $R^2 = 0.19$ ,  $P < 0.001$ ).

behavior. Chronic FGF9 administration also decreased FGFR1 expression in the dentate gyrus (Fig. S1 B and C).

**Animals administered LVshFGF9 had significantly less FGF9 expression in the dentate gyrus relative to LVshNS.** To determine whether endogenous FGF9 expression was necessary for the expression of affective behavior, we used a lentiviral vector that used RNA interference to knock down expression of FGF9 (Fig. S4). Four weeks after microinjecting the knockdown (LVshFGF9) or control (LVshNS) viruses bilaterally into the dentate gyrus, we assessed effects on behavior and euthanized all animals (Fig. S5A). We confirmed the impact of the localized viral injection by examining enhanced green fluorescent protein (eGFP) expression, a marker for successful viral transduction, with concurrent changes in FGF9 expression in the dentate gyrus. Animals who received the LVshFGF9 knockdown virus showed significantly less FGF9 expression relative to LVshNS control animals, indicating that LVshFGF9 was successful in reducing FGF9 expression by  $\sim 30\%$  (Fig. 4 A and B). In contrast, when we examined expression of other members of the FGF family, we found no effect of FGF9 knockdown (Fig. S5 B–E). Therefore, the LVshFGF9 virus was both effective and selective in reducing FGF9 expression in the dentate gyrus.

**The LVshFGF9 and LVshNS viruses primarily infected dentate granule neurons.** To examine the cell types impacted by lentiviral infection, we performed triple-label immunohistochemistry, using antibodies against eGFP to mark transduced cells, NeuN to label neurons, and GFAP to identify astrocytes. We observed that the vast majority of colocalization occurred between eGFP and NeuN, with very few GFAP-labeled cells showing concurrent eGFP expression (Fig. 4C). The pattern of transduction and colocalization did not differ between LVshNS and LVshFGF9 animals. Therefore, the virus preferentially transduced dentate granule neurons, suggesting that the behavioral effects of FGF9 knockdown are likely mediated through these cells.

**FGF9 knockdown decreased spontaneous anxiety-like behavior on the EPM.** Only animals who expressed eGFP in the dentate gyrus bilaterally in at least four serial sections were included, leaving 8 animals per group. LVshFGF9 animals spent significantly less time in the closed arms of the EPM than did LVshNS control animals (Fig. 4D), suggesting an anxiolytic effect. Similarly, LVshFGF9 animals spent more time in the open arms than did LVshNS animals, although this trend did not reach statistical significance (Fig. 4D). The impact of FGF9 knockdown was selective to anxiety-like behavior: we observed no differences between groups in the total distance traveled (Fig. S5F). There were no differences in depression-like behavior on the FST (Fig. 4E).

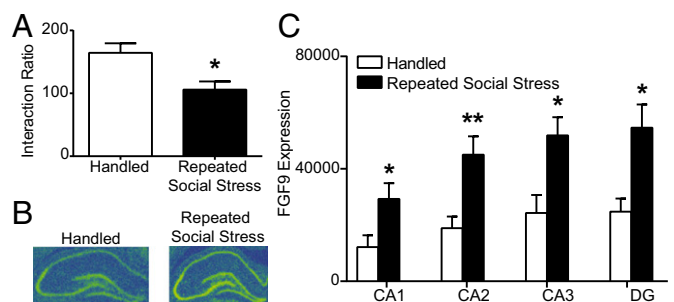
## Discussion

This series of studies is the first, to our knowledge, to link the dysregulation of hippocampal FGF9 to affective disorders. Using microarray data from several analyses with qRT-PCR validation, we showed that FGF9 expression is increased in the postmortem hippocampus of individuals diagnosed with MDD relative to nonpsychiatric controls. We demonstrated that FGF9 expression is negatively correlated with FGF2 expression, as well as with

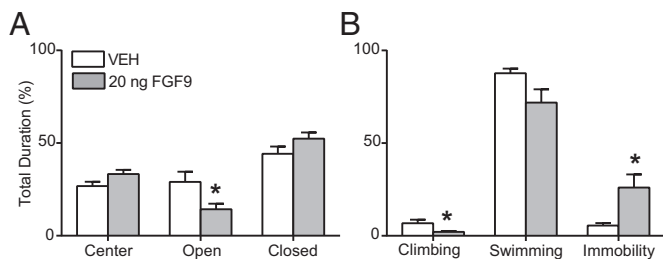
three FGF receptors (FGFR1, FGFR2, and FGFR3). To address the functional significance of these observations in human brain, we performed a complementary series of experiments in a rodent model to better characterize the role of FGF9 in affective processes. Chronic social defeat stress decreased social interaction and body weight in our animals and was associated with increased hippocampal FGF9 expression. Chronic FGF9 administration increased both anxiety- and depression-like behavior. Conversely, knocking down FGF9 expression in the dentate gyrus decreased anxiety-like behavior. These animal studies provide converging, complementary evidence for the role of FGF9 as an angiogenic and prodepressant agent in the rodent brain. Together, this body of work suggests that FGF9 is an endogenous factor that enhances vulnerability to negative affect. Indeed, we believe that FGF9 is the first growth factor to be described as having a sustained angiogenic and prodepressant role in the hippocampus. Thus, an agent that blocks the effects of FGF9 may be useful in treating human affective disorders.

**FGF9 Expression Is Dysregulated in the Postmortem Hippocampus from Individuals Diagnosed with MDD.** Using microarray and qRT-PCR, we observed a significant increase in hippocampal FGF9 expression in individuals with MDD. We did not observe a decrease in BDNF, GDNF, or FGF2 expression. In previous studies, other groups have observed a decrease in hippocampal FGF2 expression in depressed patients using mRNA ISH (5). The reason behind the discrepancy in our results is not clear, but may be due to differences in technique (microarray vs. mRNA ISH) or because tissue pH was higher in our study, and low pH can impact gene expression (27). Moreover, factors such as comorbid anxiety or a treatment history that includes antidepressant drugs would likely influence these transcripts, and we could not fully account for these factors.

We uncovered a significant negative correlation between hippocampal FGF9 expression and FGF2 expression in postmortem hippocampal tissue, and this relationship may indicate that these transcripts work in functional opposition. In support of this idea, we have previously observed contrasting patterns of FGF2 and FGF9 expression in other brain regions from individuals diagnosed with MDD. Specifically, FGF2 expression was reduced and FGF9 expression was elevated in the frontal cortices (3) and locus coeruleus (4) of the depressed brain relative to controls. The negative correlations between FGF9 expression and other FGFs also underscore the biological significance of FGF9 dysregulation in affective disorders: these relationships indicate that FGF9 may be acting in a complex network of molecules, and the alterations in



**Fig. 2.** Social defeat stress increases FGF9 expression in the rat hippocampus. (A) Rats subjected to repeated social stress showed increased social avoidance [ $t(17) = 2.5$ ,  $P < 0.03$ ;  $n = 8$ –10 animals per group]. (B) Representative pseudocolored autoradiograms from mRNA in situ hybridization against FGF9 from handled controls (Left) and repeated social stress animals (Right). (C) Repeated social stress significantly increased FGF9 gene expression in hippocampal subregions: CA1, CA2, CA3, and dentate gyrus [ $t(8) = 2.46$ ,  $P < 0.04$ ,  $t(8) = 3.41$ ,  $P < 0.01$ ,  $t(8) = 3.12$ ,  $P < 0.02$ ,  $t(8) = 3.15$ ,  $P < 0.02$ , respectively;  $n = 5$  animals per group]. All values are mean  $\pm$  SEM.



**Fig. 3.** FGF9 administration altered anxiety- and depression-like behavior in rats. (A) Chronic FGF9 microinjections increased anxiety-like behavior as evidenced by a decrease in the percent time spent on the open arm compared with vehicle controls [ $F_{(1,16)} = 8.1, P < 0.05; n = 8-10$  animals per group]. (B) Chronic FGF9 microinjections increased depression-like behavior as shown by decreased percent time spent climbing and increased percent time spent immobile in the FST compared with vehicle controls [ $F_{(1,14)} = 7.3, P < 0.05, F_{(1,14)} = 6.6, P < 0.05$ , respectively;  $n = 8-10$  animals per group]. Chronic FGF9 microinjections did not alter locomotor activity (Fig. S3), indicating that the effects were specific to affective-like behavior. All values are mean  $\pm$  SEM.

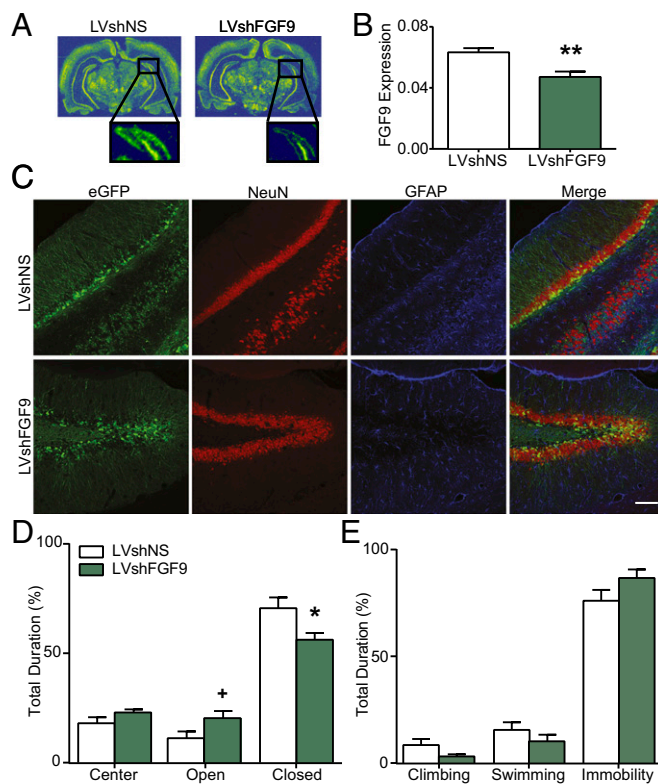
FGF9 expression are concurrent with changes in other FGF family members that we have previously observed to impact affective behavior. However, these human studies are, by nature, correlational, and do not address whether these changes are a by-product of an underlying pathology associated with MDD or if they are part of the disease process. Moreover, many of the patients had a treatment history including antidepressants and/or other psychoactive drugs. Both the clinical and the toxicology data revealed a great deal of heterogeneity in drug exposure, making it difficult to analyze the impact of these drugs on the expression of FGF9. Thus, it will be important for future animal studies to ascertain whether psychoactive drugs can modify the expression of FGF9. As noted above, such studies on FGF2 indicate that the dysregulation we observed in MDD is not secondary to these treatments, but occurs despite them: antidepressants induce FGF2 and mediate their actions in part through that induction. Whether antidepressants inhibit FGF9 expression remains to be ascertained.

**FGF9 Expression Mediates Affect in an Animal Model.** We previously demonstrated that hippocampal FGF2 expression is decreased in rats subjected to 4 days of social defeat stress (6). We also found that hippocampal FGF2 expression correlates with variability in anxiety- and depression-like behavior. For example, endogenous hippocampal FGF2 expression correlated with spontaneous open arm time on the EPM in outbred rats (14). Moreover, animals that naturally exhibit high anxiety-like behavior have low levels of hippocampal FGF2 (12, 13). In contrast, we have demonstrated here that ten days of social defeat stress up-regulates hippocampal FGF9 expression while decreasing body weight and social interactions, correlates of increased depression-like behavior. These results are congruent with our observations from human studies and indicate that hippocampal FGF9 may play an important role in stress responsiveness.

Chronic administration of FGFs produced coordinate effects on anxiety- and depression-like behavior: FGF2 reduced anxiety- (12) and depression-like (7) behavior. In contrast, we demonstrate here that chronic FGF9 administration increases anxiety-like and depression-like behavior, demonstrating again that the two FGFs have opposing effects. Given that antidepressant and anxiolytic medications induce growth factor expression (9–11), it may be that administering growth factors directly activates the same physiological mechanisms as classical anxiolytics and antidepressants. Indeed, work from others indicates FGF2 is necessary for the positive effect of antidepressants (8). However, one limitation of our FGF9 administration studies is the lack of

anatomical specificity: that is, that administration into the lateral ventricle likely affects many brain regions in addition to the hippocampus. Other regions, including the prefrontal cortices, are also likely to be involved in the behavioral expression of these changes in negative affect, and future studies can better elucidate the function of FGF9 in these regions.

Altering endogenous gene expression using transgenic mice or viral-mediated knockdown can be used to demonstrate that hippocampal growth factor expression is necessary for appropriate spontaneous regulation of affective behavior. We previously used a lentiviral vector to knock down FGF2 expression in the dentate gyrus of outbred rats, increasing anxiety-like behavior on the EPM (14). Similarly, knocking down hippocampal FGF2 eliminated basal behavioral differences in selectively bred rats that typically exhibit differences in spontaneous anxiety-like behavior (28). In contrast, we demonstrate here that using a lentiviral vector to knock down FGF9 expression bilaterally in the dentate gyrus decreases anxiety-like behavior. Interestingly, unlike the results of the administration experiments, our knockdown experiments have produced effects on anxiety-like, but not depression-like, behavior.



**Fig. 4.** Lentiviral-mediated FGF9 knockdown reduced FGF9 expression in dentate granule neurons and decreased anxiety-like behavior. (A) Representative pseudocolored autoradiograms from mRNA in situ hybridization against FGF9 for animals transduced with a control, nonsilencing virus (LVshNS; *Left*) and with the FGF9 knockdown virus (LVshFGF9; *Right*). Dentate gyrus is enlarged for ease of viewing. (B) FGF9 expression is significantly reduced in the dentate gyrus of rats transduced with the LVshFGF9 virus relative to LVshNS controls [ $t(19) = 3.53, P < 0.01; n = 8$  animals per group]. (C) Triple-label immunohistochemistry demonstrating high cell-type specificity of viral transduction; eGFP = green, NeuN = red, GFAP = blue. The virus preferentially infects dentate granule neurons. (Scale bar, 100  $\mu$ m.) (D) Knocking down FGF9 expression in the dentate gyrus decreased closed arm time [ $t(14) = 2.51, P = 0.025$ ] and increased open arm time [ $t(14) = 2.02, P = 0.063$ ] in the elevated plus maze ( $n = 8$  animals per group). (E) FGF9 knockdown did not impact climbing, swimming, or immobility behavior in the forced swim test [ $t(14) = 1.91, P = 0.07; t(14) = 1.13, P = 0.28; t(14) = 1.68, P = 0.11$ , respectively;  $n = 8$  animals per group]. All values are mean  $\pm$  SEM.

These findings may result from several factors: (i) the magnitude of the knockdown: the degree of FGF9 knockdown (~30%) may not be sufficient to alter depression-like behavior; (ii) partial effect: FGF9 knockdown in the dentate gyrus may increase vulnerability to depression-like behavior, but stress exposure may be required to uncover behavioral changes; and/or (iii) anatomical specificity: our injections were highly localized to the dentate gyrus and had limited spread. This region may be critical to the regulation of anxiety behavior, but may be less pivotal in the control of depression-like behavior. Furthermore, FGF9 may play somewhat different roles in separate components of the negative affect circuitry, regulating anxiety in the dentate gyrus but depression-like behavior in other brain regions, including the prefrontal cortices and/or mesolimbic dopamine system. This hypothesis is quite plausible as we have previously observed effects exclusive to anxiety-like behavior after knocking down FGF2 expression selectively in the dentate gyrus (14, 28). Future studies can examine these possibilities.

It should be noted that MDD and anxiety disorders are often comorbid. Typically 75% of MDD patients exhibit comorbid anxiety (29). Our records document the existence of comorbid clinical anxiety in 58% of the MDD subjects. This figure is likely an underestimate due to the methods for psychological autopsy in our Brain Bank. Therefore, additional studies are needed to examine whether factors known to influence gene expression in MDD, including comorbid anxiety, impact hippocampal FGF9 expression. Further, it will be of interest to study the role of FGF9 in other brain regions implicated in MDD, including the prefrontal cortices and mesolimbic dopamine circuit. It may also be fruitful to more fully explore the impact of classical antidepressants on hippocampal FGF9 levels in post-mortem human tissue, and animal studies involving antidepressant administration and other resilience-inducing manipulations (including environmental enrichment) can be used to clarify effects.

## Summary

We demonstrated here that FGF9 is an anxiogenic and prodepressant growth factor in the hippocampus. FGF9 expression was up-regulated in the postmortem hippocampus of individuals with MDD, and psychosocial stress, a model of depression in rodents, increased FGF9 gene expression. Chronically, exogenous FGF9 increased anxiety- and depression-like behavior, whereas knocking down endogenous FGF9 expression reduced anxiety-like behavior. These results contrast to a body of work indicating that high levels of hippocampal FGF2 may promote resilience (Table S2). Therefore, we hypothesize that FGF2 and FGF9 act as physiological antagonists to mediate emotionality and vulnerability to mood disorders. Together, this body of work suggests that blocking the actions of hippocampal FGF9 offers a novel therapeutic approach to the treatment of anxiety and depression.

## Materials and Methods

### Human Studies.

**Subject characteristics and tissue extraction.** The human tissue samples used for all three microarray experiments and qRT-PCR validation were obtained from the Brain Donor Program at the University of California, Irvine, with the consent of the next of kin. Frozen coronal slabs were dissected to obtain hippocampal tissue samples (SI Materials and Methods), and total RNA was extracted using procedures described previously (27). Clinical information was obtained from medical examiners, coroners' medical records, and a family member. Patients were diagnosed by consensus based on criteria from ref. 30. For further information regarding data collection procedures, please see Li et al. (31). Final control and MDD sample sizes can be found in Table 1, and demographics are in Table S3. It should be noted that the control and MDD samples used for the three microarray studies and qRT-PCR overlap by 42–100% (Table S4), and thus the results can be best interpreted as showing strong technical replication at four different institutions, using three different microarray platforms, in addition to qRT-PCR.

**Gene expression profiling.** In general, the labeling and hybridization of sample mRNA with oligonucleotide probes followed standard manufacturer

protocols. Analyses used the full microarray datasets (all probe data from the MDD and control subjects, as well as from small samples of subjects with bipolar disorder and schizophrenia) to maximize our ability to identify technical artifacts and confounds, although the reported results focus exclusively on MDD vs. control comparisons for a subset of growth factor probes. As is traditional, all probe signal values were log (base 2)-transformed to reduce heteroskedasticity and quantile-normalized to remove technical artifacts in the overall distribution of signal per sample. For more detail on each microarray system (Affymetrix, Illumina HT-12, and Illumina Ref-8), preprocessing methods, and quality control, refer to SI Materials and Methods. **Microarray analysis: determining diagnosis-related gene expression while correcting for confounding variables.** The degree of severity and duration of physiological stress at the time of death was estimated by calculating an agonal factor score (AFS) for each subject (32). Additionally, we measured the pH of cerebellar tissue as an indicator of the extent of oxygen deprivation experienced around the time of death (27). We also calculated the interval between the estimated time of death and the freezing of the brain tissue [the post-mortem interval (PMI)] using coroner records. We ensured high quality data by choosing samples with relatively high pH and low agonal factor (Table S3), but still controlled for these variables, as well as age and sex, by including them as terms in our linear model

$$\begin{aligned} (\text{probe signal}) \approx & \beta_0 + \beta_1(\text{Brain pH}) + \beta_2(\text{Agonal Factor}) \\ & + \beta_3(\text{PMI}) + \beta_4(\text{Age}) + \beta_5(\text{Sex}) + \beta_6(\text{Diagnosis}). \end{aligned} \quad [1]$$

Within the Affymetrix microarray data, we further explored whether the relationship between FGF9, FGF2, and each of the FGF receptors might be altered in MDD. First, to ensure that the relationships that we observed were not due to the major confounds present in the dataset, we reduced their influence using estimates of their effects provided by the model in Eq. 1 (SI Materials and Methods). This allowed us to examine the relationship between the FGF-related genes in data resembling that from subjects with consistent pre- and postmortem factors using the linear model

$$\begin{aligned} (\text{cleaned probe signal for FGF9}) \\ \approx & \beta_1(\text{cleaned probe signal for FGF2/R1/R2/R3}) + \beta_2(\text{Diagnosis}) \\ & + \beta_3[(\text{Diagnosis}) * (\text{cleaned probe signal for FGF2/R1/R2/R3})]. \end{aligned} \quad [2]$$

**qRT-PCR validation.** Microarray results represent relative levels of probe signal, so we validated them using qRT-PCR. Total RNA (1  $\mu\text{g}$ ) was extracted, reverse transcribed, and run in duplicate in qRT-PCR assays as previously described (3, 33) (SI Materials and Methods). Expression of the gene of interest was normalized to  $\beta$ -actin.

### Animal Studies.

**Animal characteristics and housing.** Adult male Sprague–Dawley rats (Charles River Laboratories), weighing between 220 and 350 g, were housed in pairs on a 12/12-h light/dark schedule, with access to food and water ad libitum. Additional housing details can be found in SI Materials and Methods. All experiments were conducted with approval of the University of Michigan Committee on the Use and Care of Animals.

**Repeated social stress and FGF9 administration studies.** To determine the effects of stress on FGF9 gene expression, we used a social stress paradigm consisting of repeated agonistic encounters with a territorial, aggressive rat. We assessed the behavioral and metabolic effects of social defeat using the social interaction test and by measuring the change in body weight (SI Materials and Methods). To determine whether FGF9 microinjections altered affective-like behavior, we performed a dose–response analysis of FGF9 administration. Under anesthesia, rats were implanted with a guide cannula in the left lateral ventricle (coordinates from bregma: AP  $-1.1$ ; ML  $+1.3$ ; DV  $-3.0$ ) and given 5 d to recover. During the acute study, the rats were microinjected with either recombinant human FGF9 (0.2, 2, 20, 200, or 2000 ng; Cell Sciences) or vehicle [artificial extracellular fluid (AECF), with 100  $\mu\text{g}/\text{mL}$  BSA]. Eight microliters was infused over 8 min, and 5 min was allowed for diffusion. The rats were tested 24 h later on day 2 of the forced swim test (FST; SI Materials and Methods). One week later, rats received another FGF9 microinjection 15 min before EPM testing. During the chronic study, rats were microinjected daily with FGF9 (20 ng, i.c.v.) or vehicle (AECF, i.c.v.) between 0800 and 1200 hours for 18 d, and animals were tested in the EPM (day 14) for locomotor activity (day 15) and in the FST (day 17 and day 18) (SI Materials and Methods) following microinjection, between 0800 and 1300 hours.

**FGF9 knockdown in vitro and in vivo.** We generated three 19-mer siRNA sequences targeted against the coding region of the rat FGF9 mRNA: FGF9 siRNA1 (AGGAAAGACCACAGCCGAT), FGF9 siRNA2 (GGAAAGACCACAGCCGATT), and FGF9 siRNA3 (GGACCAGGACTAAACGGCA). shRNA sequences were created by

adding a loop sequence (UUCAAGAGA) and restriction enzyme sites. The shRNA constructs were synthesized (Invitrogen) and subcloned into the pLentiLox3.7 lentiviral vector, which contains an eGFP reporter tag. A non-silencing shRNA (shNS) sequence was used as a control (14). shRNA3 was selected for use *in vivo* because it was most effective at reducing FGF9 expression *in vitro* (Fig. S4) and showed the least toxic effects on cultured cells (<10% cell death). The vector containing shRNA3 (hereafter referred to as shFGF9) and the shNS vector were submitted to the University of Michigan Viral Vector core for synthesis. The returned lentiviruses (100 $\times$  concentration; LVshFGF9 and LVshNS) were used in FGF9 knockdown experiments *in vivo*.

All animals underwent microinjection surgery (groups: LVshFGF9, LVshNS). Under isoflurane anesthesia, a 33-gauge microinjector was lowered bilaterally just above the dentate gyrus of the hippocampus (coordinates from bregma: A/P  $-5.0$ , M/L  $\pm 3.5$ , D/V  $-3.6$ – $-3.8$ ). One microliter of LVshNS or LVshFGF9 was infused over 4 min (14), and 2 min were allowed for diffusion. We allowed 4 wk for recovery, and then animals were subjected to behavioral testing in the EPM and FST (SI Materials and Methods and Fig. S5A).

**Behavioral testing.** We monitored locomotor activity for 1 h (SI Materials and Methods). We used the FST to determine depressive-like behavior (SI Materials and Methods). We used the EPM to examine anxiety-like behavior (SI Materials and Methods).

**mRNA ISH.** All rats were euthanized by rapid decapitation; brains were removed, snap-frozen, and stored at  $-80^{\circ}\text{C}$ . Ten-micrometer sections were taken every 200  $\mu\text{m}$  through the hippocampus, and ISH methodology was performed as previously described (34) (SI Materials and Methods). All *in situ* probes were synthesized in-house, and exposure times were experimentally determined for optimal signal (SI Materials and Methods). mRNA expression signals from autoradiographic films were quantified using computer-assisted optical densitometry software, Scion Image (Scio Corporation) or ImageJ (National Institutes of Health). For all experiments except FGF9 knockdown, integrated optical densities were determined by outlining a hippocampal subfield (CA1, CA2, CA3, and dentate gyrus) on each hemisphere, correcting for background. For quantification of sections from animals in the FGF9 knockdown study, we used a modified approach that enabled us to

quantify expression of a gene target only with evidence of successful viral transduction (SI Materials and Methods). eGFP autoradiograms were also analyzed animal by animal: animals in the knockdown study were only included in the final behavioral analysis if they showed robust expression of eGFP in the dentate gyrus of both hemispheres in at least four adjacent sections ( $\geq 800\ \mu\text{m}$ ), without labeling of other structures. After exclusion, eight animals were included in each group.

**Immunohistochemistry.** Animals ( $n = 4$  animals/group; LVshNS, LVshFGF9) underwent the same surgical procedures as above. Three weeks after surgery, animals were transcardially perfused using 4% (wt/vol) paraformaldehyde (PFA). Free-floating sections (45  $\mu\text{m}$ ) were blocked for 1 h at room temperature and incubated overnight at room temperature with chicken anti-GFP, 1:2,000 (Abcam); rabbit anti-NeuN, 1:300 (Abcam); and mouse anti-GFAP, 1:500 (Millipore). Sections were washed and transferred to secondary solution (AlexaFluor488 goat anti-chicken IgG, 1:200; AlexaFluor568 goat anti-rabbit IgG, 1:200; AlexaFluor 647 goat anti-mouse, 1:200; Life Technologies) for 2 h at room temperature. Sections were washed, mounted, and coverslipped using Prolong Gold Antifade Reagent with DAPI (Fisher Scientific). Slides were imaged on an Olympus Fluoview FV1000 confocal microscope (SI Materials and Methods).

**Statistical analyses.** Analysis of human data are described above. All animal behavioral tests were analyzed by one-way ANOVA or Student *t* test.

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