

Growing the terrestrial planets from the gradual accumulation of submeter-sized objects

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Building the terrestrial planets has been a challenge for planet formation models. In particular, classical theories have been unable to reproduce the small mass of Mars and instead predict that a planet near 1.5 astronomical units (AU) should roughly be the same mass as Earth. Recently, a new model called Viscously Stirred Pebble Accretion (VSPA) has been developed that can explain the formation of the gas giants. This model envisions that the cores of the giant planets formed from 100- to 1,000-km bodies that directly accreted a population of pebbles—submeter-sized objects that slowly grew in the protoplanetary disk. Here we apply this model to the terrestrial planet region and find that it can reproduce the basic structure of the inner solar system, including a small Mars and a low-mass asteroid belt. Our models show that for an initial population of planetesimals with sizes similar to those of the main belt asteroids, VSPA becomes inefficient beyond ~ 1.5 AU. As a result, Mars’s growth is stunted, and nothing large in the asteroid belt can accumulate.

planet formation | Mars | asteroid belt

Classical models of terrestrial planet formation have a problem: The same models that produce reasonable Earth and Venus analogs tend to produce Mars analogs that are far too large (1). The only existing proposed explanations for the small mass of Mars based on classical modes of growth require a severe depletion of solids beyond 1 astronomical unit (AU) (2), involving either not-well-understood nebular processes (3) or a complicated and dramatic migration of the giant planets (4) to solve this problem. Recently, however, it has been shown that a new mode of planet formation known as Viscously Stirred Pebble Accretion (VSPA) can successfully explain the formation of the giant planets (5, 6). Here it is our hypothesis that Mars’s mass may simply be another manifestation of VSPA. To understand how, we need to describe the process.

Review of Pebble Accretion

After the formation of the protoplanetary disk, dust particles, which are suspended in the gas, slowly collide and grow because of electrostatic forces. Once particles become large enough so that their Stokes numbers ($\tau \equiv t_s \Omega_K$, where t_s is the stopping time due to aerodynamic drag and Ω_K is the orbital frequency) are between $\sim 10^{-3}$ and 1, depending on the model, these so-called “pebbles” can be concentrated by aerodynamic processes (7–10). Under the appropriate physical conditions (which might not have been satisfied everywhere in the disk), these concentrations become dense enough that they become gravitationally unstable and thus collapse to form planetesimals (11) with radii between ~ 50 and $\sim 1,000$ km (10, 12). This process can occur very quickly—on the order of the local orbital period.

Recent research shows that planetesimals embedded in a population of pebbles can grow rapidly via a newly discovered accretion mechanism that is aided by aerodynamic drag on the pebbles themselves (5, 13, 14). In particular, if a pebble’s aerodynamic stopping time is less than or comparable to the time for it to encounter a growing body (hereafter known as an “embryo”), then it is decelerated with respect to the embryo and

becomes gravitationally bound. After capture, the pebble spirals toward the embryo due to aerodynamic drag and is accreted. The accretional cross section for this situation is

$$\sigma_{\text{peb}} \equiv \pi \frac{4GM_e t_s}{v_{\text{rel}}} \exp^{-\xi}, \quad [1]$$

where $\xi = 2[t_s v_{\text{rel}}^3 / (4GM_e)]^{0.65}$, M_e is the mass of the embryo, and v_{rel} is the relative velocity between the pebble and embryo (13). For the growing planets, σ_{peb} can be orders of magnitude larger than the physical cross section alone. Full N -body simulations (6) show that as long as pebbles form continuously over a long enough time period such that embryos have time to gravitationally stir each other, this process can form the observed gas giant planets before the gas disk dissipates.

Our hypothesis that this process can also explain Mars’s small size and the low mass of the asteroid belt is based on the $e^{-\xi}$ term in Eq. 1, which says that pebble accretion becomes exponentially less efficient for small embryos because the encounter times for these objects ($4GM_e/v_{\text{rel}}^3$) becomes short compared with t_s . As a result, aerodynamic drag does not have time to change the trajectory of the pebbles, so they are unlikely to be accreted. Eq. 1 therefore predicts a sharp cutoff between small embryos, which cannot grow, and larger objects, which can. In addition, because t_s is a function of location in the disk, this cutoff also varies with location. Fig. 1 shows the value of ξ in our fiducial disk (which is described in *Methods*) for two values of τ that are consistent with the requirements of the two competing models of planetesimal formation. In particular, Fig. 1, *Top* employs $\tau = 0.1$ pebbles that are required for the so-called “streaming instability” (8, 10, 15), whereas Fig. 1, *Bottom* uses the $\tau = 10^{-3}$ pebbles needed by the turbulent concentration models of refs. 7 and 9. As ξ in general increases with heliocentric distance for an embryo of a given size, embryos that can grow in the inner regions cannot grow farther from the Sun. For example, if pebbles have $\tau = 0.1$, an object

Significance

The fact that Mars is so much smaller than both Earth and Venus has been a long-standing puzzle of terrestrial planet formation. Here we show that a new mode of planet formation known as “Viscous Stirred Pebble Accretion,” which has recently been shown to produce the giant planets, also naturally explains the small size of Mars and the low mass of the asteroid belt. Thus there is a unified model that can be used to explain all of the basic properties of our solar system.

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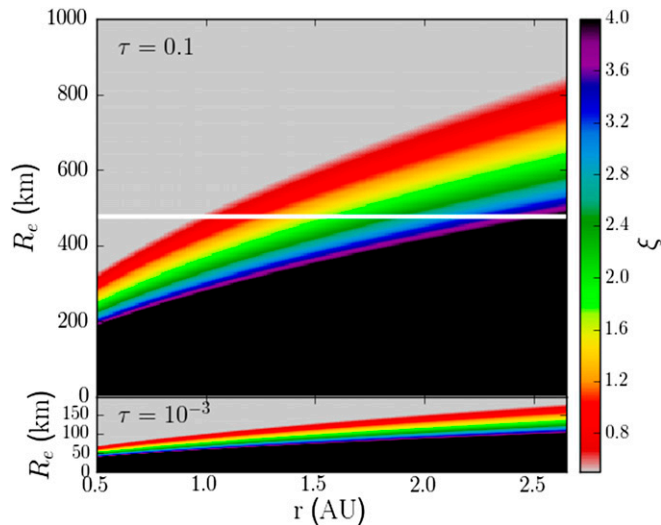


Fig. 1. The value of ξ as a function of heliocentric distance (r) and embryo radius (R_e) in our fiducial protoplanetary disk, assuming that the embryos have circular (Keplerian) orbits and the pebbles are on orbits as determined by aerodynamic drag. The top and bottom panels are for $\tau=0.1$ and 10^{-3} , respectively. As ξ in general increases with heliocentric distance for an object of a given size, objects that can grow in the inner regions cannot grow farther from the Sun. For reference, the white horizontal line (Top) corresponds to the radius of (1) Ceres.

initially the size of Ceres will grow at 1 AU (where $\xi \approx 1$) but not at 2 AU (where ξ becomes large). This argument implies that, initially, all planetesimals could have been the size of currently observed main belt asteroids; those bodies in the asteroid belt did not grow appreciably, whereas those at 1 AU did. Therefore, we postulate that Mars's small mass and the lack of planets in the asteroid belt might be the result of this cutoff. It is important to note that this figure just shows ξ and does not represent how the entire pebble accretion process will behave. To ascertain that, we must turn to numerical calculations. The remainder of this work presents such simulations.

Methods

To test this hypothesis, we performed a series of N -body calculations of terrestrial planet formation starting with a population of planetesimals embedded in our fiducial gas disk, adopted from ref. 6. We assume a flaring gas disk with a surface density of $\Sigma = \Sigma_0 r_{\text{AU}}^{-1}$ (16) and a scale height $h = 0.047 r_{\text{AU}}^{9/7}$ AU (17), where r_{AU} is heliocentric distance in astronomical units. Here we set Σ_0 initially to 9,000 g/cm², which is roughly 5 times that of the so-called minimum mass solar nebula (18). In this work, we set the density of the planetesimals and pebbles, ρ_{SI} , to 3 g/cm³. Additionally, our disk is assumed to be turbulent with $\alpha = 3 \times 10^{-4}$ (19) (although see below).

We allowed the gas surface density to decrease exponentially with a timescale of $t_g = 2$ Mya, which is motivated by observations (20). The disk has solar composition so that the solid-to-gas ratio is 0.005 in the terrestrial planet region (21). We convert a fraction f_{pl} of the solids into planetesimals at the beginning of the simulations. We draw our planetesimals from a distribution of radii, s , of the form $dN/ds \propto s^{-3.5}$ such that s is between s_l and s_u . The values of s_l and s_u are assumed to be independent of semimajor axis. We set our fiducial value of s_u to the radius of Ceres, 450 km, because we expect little growth in the asteroid belt and we need to produce this object. However, we do vary s_u from 100 to 600 km to test the sensitivity of our results to this value. Because we are interested in building the terrestrial planets and using the asteroid belt as a constraint, we study the growth of planetesimals spread from 0.7 AU to 2.7 AU [the presumed location of the snow line (18)]. As is typical for this type of simulation (1), we do not treat planet formation in the Mercury forming region, to save computer time.

The remaining solids (assumed to be dust) are slowly converted into pebbles with a fixed initial τ , which is a free parameter in our simulations. Following ref. 6, we use a simple prescription to convert dust into pebbles over time that assumes that pebbles form at a rate proportional to the

instantaneous dust mass, correcting for dust lost as the gas disk evolves and as pebbles form. The functional form of pebble production can be found in equation 9 in Methods of ref. 6. We scale this function such that the median production timescale is roughly 700,000 y. We assume that all of the pebbles are produced in 2 Mya. For simplicity, we assume that pebbles are randomly created throughout the disk according to the surface density.

We are justified in pursuing the long timescales for pebble formation for the following reasons. Although models of dust coagulation (ref. 22, for example) predict that pebbles should grow on timescales on the order of 100–1,000 orbital periods, this result is observationally problematic. Millimeter- and even centimeter-sized particles, which should have been lost rapidly, are observed in disks of a range of ages (e.g., ref. 23). Although it is possible that the drift of these pebbles could be slowed by variations in the disk structure (24), these trapping models need large, as of yet unobserved, variations in the disk structure. A simpler alternative is that pebbles are continuously formed. Indeed, models in which pebbles slowly form from dust and then are lost due to drift matches some features of observed disks (25). Therefore, we will assume an initial planetesimal population along with pebbles that are steadily produced by the disk over its lifetime.

We also assume that the pebbles involved in terrestrial planet formation formed within 2.7 AU. By having a cutoff at this location, we are assuming that material drifting in from the outer solar system is unable to penetrate the snow line, presumably due to sublimation. However, no matter the mechanism, this assumption is required because solids from the outer solar system are too carbon-rich to have contributed more than a few percent of the mass of the terrestrial planets (see ref. 26). Also, carbon cannot be removed without heating the material to above ~ 500 K (27), a temperature not reached in the midplane until well within 1 AU in reasonable disk models.

The values of τ present during VSPA are dependent on which planetesimal formation model we assume and range from $\sim 10^{-3}$ to $\sim 10^{-1}$ (7–10). Ideally, here we would prefer to study pebble sizes that cover the complete range required by both models. However, the CPU time required to perform our calculations increases drastically as τ decreases because of two effects. First, the timestep required by our code scales with the pebble's aerodynamic stopping time. Thus, a smaller τ requires a smaller timestep. In addition, pebbles with small τ have slower radial drift velocities than their larger siblings, and thus spend more time in the calculation. As a result, at any time, there are more objects present in the simulation that the code needs to deal with. This significantly increases the required CPU time per timestep. Therefore, to keep the calculations tractable, we require τ to be larger than ~ 0.01 . This issue will be addressed again in *Discussion*.

Each system is evolved for 110 Mya using a the Lagrangian Integrator for Planetary Accretion and Dynamics (LIPAD) (28). LIPAD is the first particle-based (i.e., Lagrangian) code, to our knowledge, that can follow the collisional/accretion/dynamical evolution of a large number of subkilometer objects through the entire growth process to become planets. It is built on top of the symplectic N -body algorithm known as SyMBA (29). To handle the very large number of subkilometer objects required by these simulations, we introduce the concept of a tracer particle. Each tracer represents a large number of small bodies with roughly the same orbit and size and is characterized by three numbers: the physical radius, the bulk density, and the total mass of the disk particles represented by the tracer. LIPAD employs statistical algorithms for viscous stirring, dynamical friction, and collisional damping among the tracers. The tracers mainly dynamically interact with the larger planetary mass objects via the normal N -body routines, which naturally follow changes in the trajectory of tracers due to the gravitational effects of the planets and vice versa. When a body is determined to have suffered an impact, it is assigned a new radius according to the probabilistic outcome of the collision based on a fragmentation law for basalt by ref. 30. In this way, the conglomeration of tracers and full N -body objects represent the size distribution of the evolving population. LIPAD is therefore unique in its ability to accurately handle the mixing and redistribution of material due to gravitational encounters, including planetesimal-driven migration and resonant trapping, while also following the fragmentation and growth of bodies. An extensive suite of tests of LIPAD can be found in ref. 28. For the calculations described here, we will use a version of LIPAD that has been modified to handle the particular needs of pebble accretion (31).

The calculations are performed in three stages. For the first 3 Mya, the terrestrial planet region is evolved in isolation as pebbles continually form and drift inward. At the end of this first stage, all pebbles have either been accreted or lost, and thus no more mass will be added to the system. Because we are interested in constructing systems similar to the solar system, we only continue simulations with the appropriate amount of material (between $2.1 M_{\oplus}$ and $2.7 M_{\oplus}$) inside 2 AU. If this criterion is met, the simulation is cloned 6 times by adding a random number between -10^{-4} AU and 10^{-4} AU

23 embryos with masses greater than $0.01 M_{\oplus}$ on quasi-stable, nearly circular orbits. There is a direct correlation between mass and semimajor axis at this time, with no object larger than Mars beyond 1.3 AU and none larger than the Moon beyond 1.9 AU. The largest object in the system is $0.27 M_{\oplus}$. This correlation leads to very little mass beyond 1 AU. As expected from Fig. 1, there was little growth beyond 2 AU.

This system remains stable until 20 Mya, at which time the orbits of its embryos cross and they accrete each other. This dynamical instability leads to the formation of two roughly Earth-mass objects at 0.7 AU and 1.2 AU. It is during this instability that a 2.7 Mars-mass object is gravitationally scattered to 1.9 AU by its larger siblings and is stabilized by gravitational interactions with smaller objects found there. This leads to the system shown in Fig. 2C that contains analogs of Earth, Venus, and Mars with roughly the correct masses and orbits. The basic evolution seen here, where the system first develops a series of small planets on nearly circular orbits that suffer an instability at a few tens of millions of years, is a common outcome in our simulations. Thus, this model predicts that the solar system had an initial generation of terrestrial planets—consisting of a large number of small planets—that is now lost. Mars is likely a remnant of this early system. The late timing of the impact that formed the moon (33) resulted, in part, from this instability.

However, due to the chaotic nature of planet formation, not all of our simulations produce good solar system analogs, as shown in Fig. 3A. Also, interpreting this plot, it must be noted that we did not make any attempt to uniformly cover parameter space. Each calculation took many weeks to perform, and thus the survey of parameter space was limited and ad hoc. Thus, the observed distribution shown needs to be viewed with caution. However, we believe that some of the trends are robust. For example, it is common to produce reasonable Earth and Venus analogs. In addition, planets near 1.5 AU are systematically smaller than their siblings interior to 1 AU. No planets grow beyond 2 AU. The objects seen in this region were scattered out

during a dynamical instability. The majority of these objects are still on orbits that cross their larger neighbors and thus will eventually be removed. However, a few are on stable orbits. The anomalous and highly processed main belt asteroid (4) Vesta might be an object that was captured in this manner.

The natural question is whether VSPA produces good Mars analogs more frequently than the standard planet formation picture. Ref. 34 finds that the standard model produces a good Mars analog in 4% of the simulations with Jupiter and Saturn on circular orbits (their likely state at the time of terrestrial planet formation). They define a “Mars analog” as the largest planet with a semimajor axis both in the range 1.25–2 AU and outside of Earth analog’s orbit. They define an “Earth analog” to be the largest planet between 0.75 AU and 1.25 AU, and, if there is no planet within this range, the Earth analog is the closest planet to 1 AU. A good Mars analog is defined to be an object that is smaller than $0.22 M_{\oplus}$ or 11% of the total system mass. Keeping the above caveats about our parameter space coverage in mind, we plot the cumulative mass distribution of Mars analogs from our simulations in Fig. 3B. We find that 30% of our systems produce good analogs. This represents a significant improvement over the standard model. Moreover, ref. 34 finds that the probability that the standard model produces systems with both a good Mars analog and a low-mass asteroid belt is roughly 0.6%. By design, our systems always have a low-mass asteroid belt.

Discussion

The above experiments show that Mars’s small mass is a natural outcome of the process of VSPA. Having said this, there are other issues that need to be considered.

Sizes of Pebbles. There are currently two independent models in the literature for planetesimal formation directly from pebbles that make very different predictions concerning the size of pebbles: the streaming instability and turbulent concentration. The streaming instability takes advantage of the fact that a clump of pebbles is less affected by aerodynamic drag, and thus moves

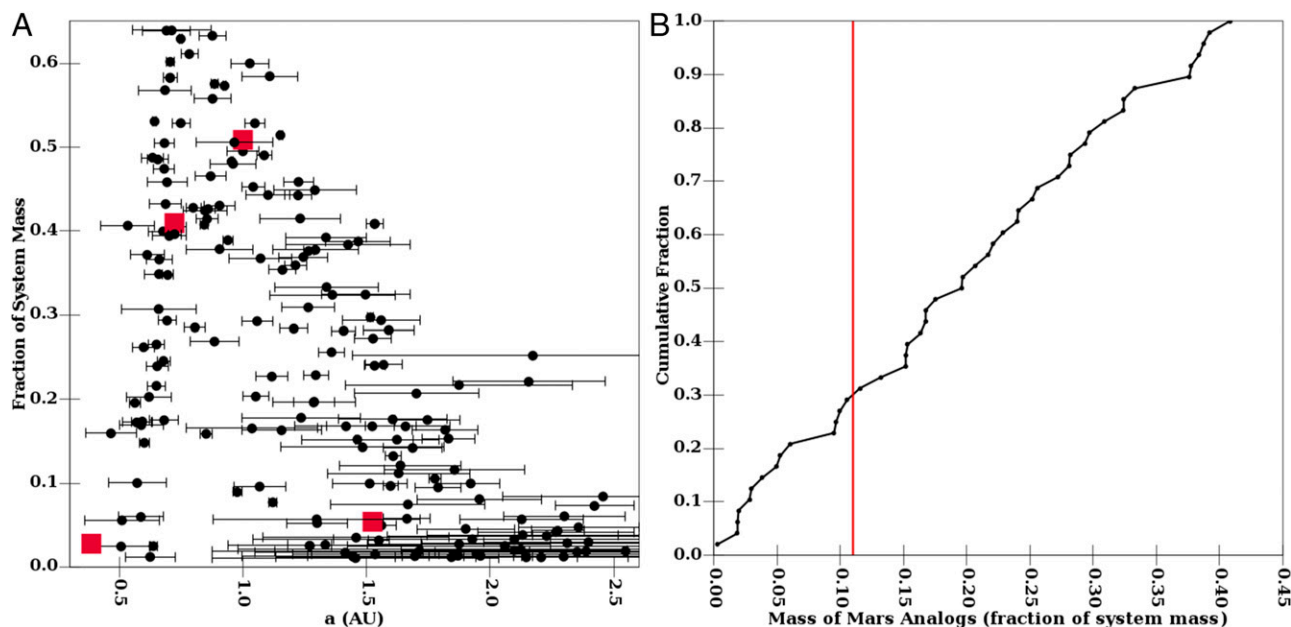


Fig. 3. The final distribution of our planets. (A) The black dots show a compilation of the planets constructed during our simulations. In particular, we plot the fraction of total system mass in each planet as a function of its semimajor axis. Here we plot the fraction of total system mass because the total mass of our systems varies from run to run due to the stochastic variations in the efficiency of pebble accretion. The error bars indicate the range of heliocentric distance that a planet travels and thus are a function of eccentricity. The red squares indicate the real terrestrial planets in the solar system. (B) The cumulative mass distribution of Mars analogs. Planets to the left of the red line are considered good Mars analogs according to ref. 34.

systems similar to our own, the natural variability of this process leads us to speculate that VSPA might be able to explain the variety in the observed exoplanetary systems (50).

Unified Picture of the Solar System Formation. Finally for completeness, Fig. 2D shows a giant planet system constructed within the same disk and using VSPA (6). It shows two gas giant planets plus three ice giants. There is no growth beyond 20 AU because ξ is again large.

We note that we get growth in the giant planet region (6) and not in the asteroid belt for at least three reasons: (i) The initial planetesimals were probably larger in the outer solar system (to be consistent with the larger sizes of object in the Kuiper Belt compared with the asteroid belt). In particular, in this work, we use a maximum planetesimal size in the terrestrial region based on Ceres, whereas, in ref. 6, we used a maximum planetesimal size based on Pluto in the giant planet region. (ii) The pebble sizes were likely also bigger because the pebbles are icy and thus stickier (51). (iii) The giant planets have access to a much larger reservoir of pebbles than the inner solar system due to sublimation of

ices at the snow line. In particular, as we explained above, here we assume that pebbles from the outer solar system do not contribute to the growth of the terrestrial planets. As a result, the terrestrial planets only have access to solids out to 2.7 AU. In ref. 6, we assumed that pebbles formed out to 30 AU and thus the giant planets had access to the substantially larger amount of solids that were between 2.7 AU and 30 AU.

Fig. 2 C and D, therefore shows a consistent planetary system generated by VSPA. This model reproduces the basic structure of our entire planetary system—two roughly Earth-mass objects between 0.5 AU and 1 AU, a small Mars, a low-mass asteroid belt, two gas giants, ice giants, and a primordial Kuiper belt that contains objects the mass of (134340) Pluto and (136199) Eris but did not form planets.

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