



Functional characterization of odorant receptors in the ponerine ant, *Harpegnathos saltator*

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Animals use a variety of sensory modalities—including visual, acoustic, and chemical—to sense their environment and interact with both conspecifics and other species. Such communication is especially critical in eusocial insects such as honey bees and ants, where cooperation is critical for survival and reproductive success. Various classes of chemoreceptors have been hypothesized to play essential roles in the origin and evolution of eusociality in ants, through their functional roles in pheromone detection that characterizes reproductive status and colony membership. To better understand the molecular mechanisms by which chemoreceptors regulate social behaviors, we investigated the roles of a critical class of chemoreceptors, the odorant receptors (ORs), from the ponerine ant *Harpegnathos saltator* in detecting cuticular hydrocarbon pheromones. In light of the massive OR expansion in ants (~400 genes per species), a representative survey based on phylogenetic and transcriptomic criteria was carried out across discrete odorant receptor subfamilies. Responses to several classes of semiochemicals are described, including cuticular hydrocarbons and mandibular gland components that act as *H. saltator* pheromones, and a range of more traditional general odorants. When viewed through the prism of caste-specific OR enrichment and distinctive OR subfamily odorant response profiles, our findings suggest that whereas individual *HsOrs* appear to be narrowly tuned, there is no apparent segregation of tuning responses within any discrete *HsOr* subfamily. Instead, the *HsOr* gene family as a whole responds to a broad array of compounds, including both cuticular hydrocarbons and general odorants that are likely to mediate distinct behaviors.

ant | odorant receptor | odor coding | pheromone

The detection of ecologically relevant chemosensory information is critical to the survival and propagation of all organisms. For example, sex pheromones allow members of the same species to locate and assess mates, and predators use volatile kairomones to locate prey. There is long-standing interest in understanding the pheromonal communication of insects and, in particular, exploring how semiochemicals govern the interactions of eusocial colonies. Ants are intriguing for the purposes of chemosensory studies, because of their diversity and exploitation of cuticular hydrocarbons (CHCs) for nest-mate recognition, and as signals of reproductive and caste status. Most ants live in closed societies within a shared colony or nest—with stereotypic social behaviors that involve a strict division of reproductive labor—in which multiple overlapping generations of sterile workers cooperate to nurture the progeny produced by the reproductives, which usually consist of single or small numbers of long-lived, highly fertile queens and short-lived male drones (1). Reproductive status within the colony is thought to be signaled primarily by a subset of the hydrocarbons secreted onto the external cuticle of insects and other arthropods (e.g. ref. 2) that also function to maintain water balance (3). In fact, colony identity is conveyed by a highly diverse set of CHCs, and intraspecific and interspecific invaders from other colonies are detected and defended against as a consequence of having a different CHC blend than the blend associated with a particular

nest/colony (4). In addition, other non-CHC olfactory stimuli play important roles in ant chemical ecology as alarm, trail, or recognition pheromones and are often found in ant exocrine glands (5) and in the microbiota of the ant cuticle (6).

Although numerous ant species are being used as research models, the ponerine ant *Harpegnathos saltator* possesses several advantages that make it an ideal species for study. Notably, its basic social and chemosensory behaviors have been described in detail (7). Perhaps more critically, *Harpegnathos* workers can, under certain circumstances, convert into gamergates (from the Greek for “married worker”). As such, *H. saltator* represents a genetically tractable model system for studying social reorganization in an insect society.

Despite a rapidly developing body of knowledge on the phylogenetics of ant chemoreceptors (8, 9), the molecular elements that are responsible for the detection of ant pheromones remain largely uncharacterized. As is the case for other insects, the *H. saltator* genome contains three major classes of chemoreceptors—odorant receptors (ORs), gustatory receptors (GRs), and variant ionotropic receptors (IRs)—and several other receptor classes such as TRP channels, which also have been shown to have chemosensory roles, reviewed in ref. 10.

Within the ant clade, the highly expanded OR superfamily displays a striking degree of divergence (8, 9), suggesting that the detection of ant pheromones—and of CHCs in particular—is largely mediated by these diverse chemosensory receptors. In fact, the role of ORs in queen pheromone perception has already been confirmed in another eusocial hymenopteran—the honey

Significance

The tuning of odorant receptors to their particular odorants is crucial for better understanding of how olfactory cues mediate ant social interactions. To help decode the olfactory system of ants, a selection of odorant receptors (ORs) from several phylogenetically distinct subfamilies from the ponerine ant *Harpegnathos saltator* were tested against a panel of ant semiochemicals. Responses were observed to both cuticular hydrocarbon components, some of which are known pheromones, and “general odorants,” demonstrating broad coverage of these odor spaces across several subfamilies of receptors. These results do not align with currently held hypotheses of OR subfamily odor coding and provide further insight into the evolution of pheromone perception within ant clades and the role this plays in complex social behaviors.

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bee *Apis mellifera* (11). Functionally, insect OR complexes consist of an odorant coreceptor subunit (Orco), necessary for the trafficking and function of the complex, and a highly divergent “tuning OR” (ORx) that determines the odorant specificity of the complex (reviewed in ref. 10).

In Diptera, each odorant receptor neuron (ORN) is believed to generally express a single tuning *Or* gene, which determines the odorant specificity of the ORN, and each olfactory sensillum generally houses 1–4 ORNs (12). In contrast, ant sensilla are far more complex. In particular, female (worker) specific sensilla basiconica that have been shown to detect CHCs potentially contain in excess of 130 ORNs per sensillum (13).

Previous work has strongly implicated the nine-exon subfamily of ORs, which makes up nearly 30% of the 347 putative *Or* genes in *H. saltator* genome (*HsOrs*) and is highly expanded within the ant lineage (8, 9), in the detection of CHCs, based on their enrichment along the hydrocarbon-sensitive ventral portion of the worker antennae (14). Transgenic expression of a subset of these genes in *Drosophila* olfactory sensilla confers receptor-specific responses to a panel of CHCs. However, because other OR subfamilies are also expanded in ant lineages, there is the possibility that CHCs may also be detected by ORs outside of the nine-exon subfamily. To address this question, we have functionally characterized 25 distinct *HsOrs* spread across 9 OR subfamilies by using heterologous expression in *Drosophila melanogaster* antennal ORNs, which has proven to be amenable as an in vivo heterologous expression system for insect chemoreceptors. These receptors were further classified based on their enrichment in male versus worker antennae (9), because differentially abundant ORs are likely to underlie distinct pheromonal signaling pathways in ants. An understanding of the functional responses of these diverse receptors to multiple classes of compounds—CHC-associated hydrocarbons, mandibular gland components, and importantly, general odorants—provides significant insight into the chemical ecology of *H. saltator* that extends our understanding of the functionality of the expanded family of ant ORs.

Results and Discussion

To begin to understand the molecular components that facilitate the distinctive social interactions exhibited by different ant castes, we examined the responses of ORs to a variety of social and environmental stimuli. This endeavor was facilitated by the

identification of the complete OR repertoire from several species of ants, which revealed that ants possess some of the largest tuning OR repertoires identified to date. The characterization of the odorant responses of these peripheral ORs represents the initial step in understanding the molecular processes that underlie the detection of CHCs and other semiochemicals by *H. saltator*. Although this report focuses on ORs, it is likely that additional non-OR chemosensory components may also play important roles in the perception of social pheromones.

We prioritized the characterization of *HsOrs* that showed enrichment in antennae of males and workers or which belong to OR subfamilies showing significant patterns of positive selection or gene birth and death in ants or eusocial hymenopterans (Fig. 1A) (8) because these subfamilies are potentially likely to encompass *HsOrs* with species-specific functionality often associated with pheromones. Within those parameters, preference was given to *HsOrs* that lie phylogenetically outside of the nine-exon subfamily in light of the functional characterization of 22 members of that *HsOr* subfamily in a parallel study (15). *HsORs* were tested against commercially available alkanes and other compounds known to be present on *H. saltator* cuticle or in exocrine glands and constituents of our in-house chemical screening library that encompass a selection of general odorants across diverse chemical classes that are commonly tested in insect olfactory systems. This base panel of ~70 odorants spans a broad chemical space known to play a role in a diverse set of ant behaviors and would allow rapid identification of OR/ligand relationships with a high likelihood of biological relevance.

Responses to Cuticular Hydrocarbons in Single-Sensillum *Drosophila* Recordings. We conducted an initial screen for hydrocarbon responses among our candidate *HsOrs* by assembling a stimulus panel of straight chain alkanes spanning C10 to C37 and testing them against transgenic flies expressing *HsOrs* of interest in ORNs where they can form functional heteromeric complexes with endogenous Orco coreceptors. Hydrocarbon stimuli were volatilized before application by using a brief heat pulse (*Materials and Methods*). We used single-sensillum recordings (SSRs) from individual antennal sensilla and found that the *Drosophila* ab2 sensillum displayed minimal background response to volatilized hydrocarbons or solvent

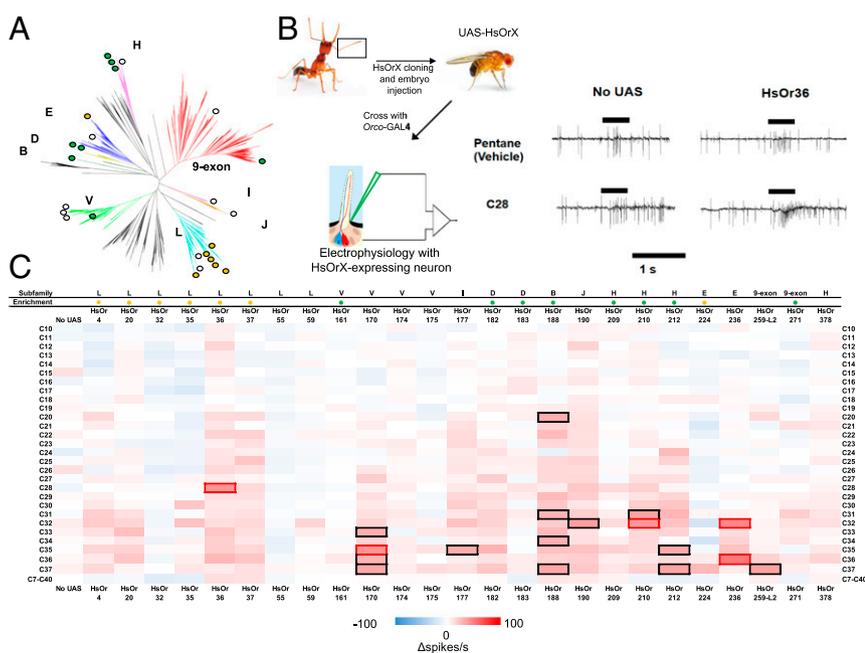


Fig. 1. SSR responses of *HsOR* receptors to 28 cuticular hydrocarbons and a hydrocarbon mixture. (A) Summary of the phylogenetic relationship of the *HsOr* subfamilies and the receptors examined in this study. (B) Schematic summarizing SSR technique, along with sample traces comparing responses to heated control (pentane) with responses to heated cuticular hydrocarbons. (C) Heat map of responses in ab2A neurons to each hydrocarbon. Responses are calculated as the change in spike frequency induced by each stimulus, relative to the prestimulus spike frequency (after subtraction of the pentane control). The subfamily identity for each *HsOr* is indicated at the top, along with enhanced transcript abundance (9) for workers (orange dots) and males (green dots). Responses above 30 spikes per s are indicated by black boxes, and responses above 40 spikes per s are indicated by red boxes.

(Fig. 1B), rendering this sensillum ideal for our investigations of HsOR-mediated hydrocarbon responses.

To sort potential CHC responses from nonresponses, we initially set a threshold of at least 30 spikes per s, which is six times higher than the spontaneous firing rate of *ab2A* (16). Using this response threshold, we identified 18 HsOR-ligand combinations across 9 HsORs that were responsive to alkane ligands (Fig. 1C). Further normalizing these responses by subtracting the “no-UAS” (i.e., from parental flies with only *Orco-GAL4* containing chromosomes) control response for each hydrocarbon produced only minor changes in the overall results, with 17 of the 18 suprathreshold HsOR/hydrocarbon combinations exceeding the >30 spikes per s threshold (Dataset S1). Most strikingly, 17 of these 18 HsOR-hydrocarbon combinations were for hydrocarbons with a chain length of C28 or longer, suggesting a tuning bias toward longer-chain alkanes, consistent with our observation of odor coding within the nine-exon *HsOr* subfamily (15). The single exception was *HsOr188*, which showed a suprathreshold response to C20. It is noteworthy that this gene is the only known member of the ant OR subfamily B in *H. saltator*, which has relatively few members (1 to 2 genes) in all ant genomes examined thus far (8). The tight restriction of subfamily B members is maintained across species, suggesting they may have a highly conserved and relatively narrow role in ant chemosensory processes, although we can still only speculate as to whether that role is primarily as a detector for the shorter chain hydrocarbon C20. This sensitivity and others detailed in this report are interesting in light of the CHC biosynthetic pathways, which renders even-numbered straight-chain hydrocarbons generally much less abundant on insect cuticles than odd-numbered chains, although it must be stated that even low-abundance signals can function as powerful pheromones depending on the sensitivity of the corresponding receptor.

HsOr36, 210, 170, and 236 responded robustly to hydrocarbon stimuli with chain lengths of C28 or longer. *HsOr36*, a subfamily L receptor whose transcript shows an ~6.4-fold enrichment in male antennae over worker antennae (9), was the most intriguing. *HsOr36* responded strongly and specifically to C28 at >40 spikes per s, with no other responses that reached our 30 spikes per s threshold. In contrast, another subfamily H receptor, *HsOr210*, showed a highly significant, 46-fold enrichment in worker antennae compared with males and a suprathreshold response to C32. The third receptor in question, *HsOr170*, is a subfamily V receptor with low and equal mRNA levels in antennae of workers and males, which elicited a suprathreshold response to C35 along with responses slightly below the 30 spike per s cutoff to C33, C36, and C37. Finally, the fourth receptor, *HsOr236*, is a subfamily E receptor that also showed equal transcript abundance between antennae of workers and males. However, the response profile of *HsOr236* was remarkable in having two distinct responses above 40 spikes per second to even-numbered alkanes—one to C32, and another to C36 (Fig. 2A). These responses were clearly absent in control lines without the UAS-*HsOr236* transgene (Fig. 2B). As an additional validation, all receptor-ligand combinations above 40 spikes per s (including *HsOr236* and C36) were retested within a dose-response paradigm, revealing a clear concentration dependency (Fig. 2C–G).

We next conducted a more quantitative analysis to assess significant differences in HsOR-mediated alkane responses compared with no-UAS controls by using a parametric one-way ANOVA with correction for multiple comparisons and a two-stage step-up method (17) at a 0.10 false discovery rate (FDR). Using this criteria, we identified nine *HsOrs* outside of the nine-exon subfamily that mediate significant excitatory (8) or inhibitory (1) responses to hydrocarbon stimuli (Fig. S1). A caveat to analyzing large electrophysiological datasets using strict statistical analysis that corrects for many comparisons is that potentially meaningful discoveries may be overlooked because of modest

replication number. It is noteworthy that although no hydrocarbon responses were identified in the nine-exon subfamily through this quantitative analysis, we found that *HsOr259-L2* had a sixfold higher response to C37 relative to controls.

Nevertheless, this broader analysis further supports and indeed extends our observation that HsOR-mediated responses to hydrocarbons are not, as previously hypothesized (8, 9, 14), restricted to the nine-exon *HsOr* gene subfamily. Furthermore, within the subset of statistically significant responses, we discovered a strong bias toward the longer chain alkanes commonly found in *H. saltator* CHCs (5, 18). Indeed, the majority of CHCs that have thus far been identified on cuticles of *H. saltator* workers and reproductives are between 28 and 37 carbons in length, although a CHC with 23 carbons has been reported (18), and antennal responses to hydrocarbons as small as decane (C10) have been observed from antennae of workers (19). This observation is consistent with the current paradigm that hydrocarbons play an essential role in signaling colony membership, social status, or other characteristics. If the long chain-sensitive HsORs characterized here function as biological detectors of CHC-based social pheromones, it would make sense that their sensitivity would mirror the narrow range of CHCs which *H. saltator* actually produces. Alternatively, it is possible that

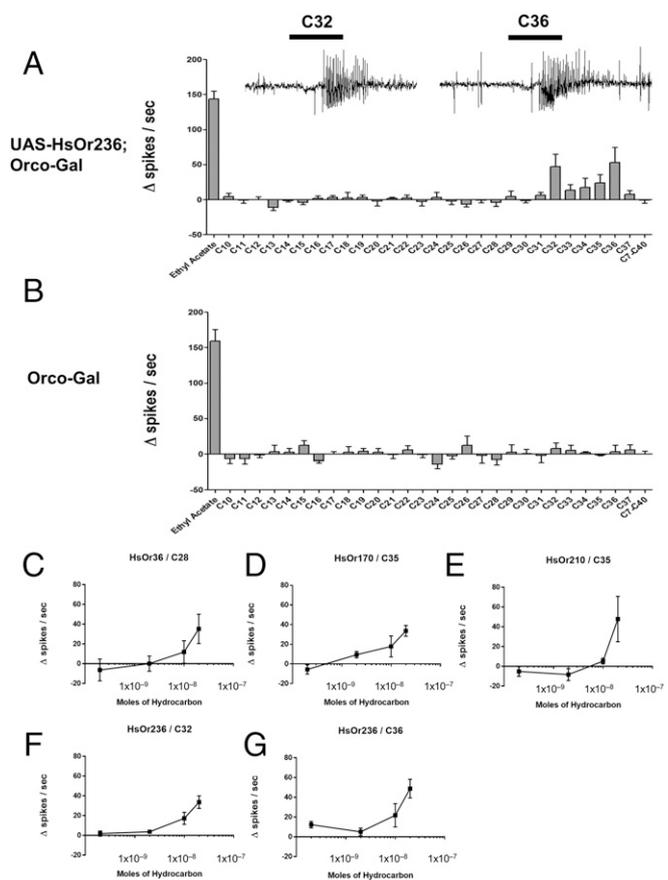


Fig. 2. Characteristic response of an HsOR receptor to cuticular hydrocarbons by SSR. (A) Example of an odorant receptor, *HsOr236*, which shows responses to specific CHCs by using the heated-puffing protocol. (B) Normal *Drosophila* ORNs show no response to CHCs. Ethyl acetate (the leftmost odorant shown) is a control odorant that activates the native *Drosophila* odorant receptor in the *ab2A* neuron ($n = 5$ for A and B, and error bars are SEM). (C–G) CHC responses show dose dependency. The response of all receptor-hydrocarbon combinations >40 spikes per s in Fig. 1 were retested across four different doses: 0.2, 2, 10, and 20 nmol ($n = 5$, and error bars are SEM). In each case, the magnitude of response showed a clear correlation with the dose of hydrocarbon stimulus.

the molecular receptors for biologically salient short-chain hydrocarbons are among the *HsOrs* that remain functionally uncharacterized.

Another interesting aspect of our study is the significant sensitivity to hydrocarbons within a distinctive group of male-enriched *HsOrs*. This result would suggest that CHCs are not only used as pheromones to regulate social interactions between workers, gamergates, and queens in *H. saltator*, but may also be used to regulate social interactions between reproductive females and males (i.e., mating pheromones) or perhaps another class of semiochemicals with particular relevance to male biology. This finding is consistent with the recent report that CHCs are extensively used as sex pheromones throughout the Hymenoptera (20).

To expand the range of hydrocarbons in our odorant panel, we obtained 11 different alkenes and custom-synthesized methyl-branched hydrocarbons that are found among *Harpegnathos* CHCs (5, 18). These hydrocarbons were initially used to test responses from the two nine-exon *HsORs* in our receptor collection, which, based on phylogenetic and transcriptomic considerations, is hypothesized to be the *HsOr* gene subfamily most likely to detect CHC pheromones involved in eusociality (8, 9, 14). Of these receptors, *HsOr271* displayed a strong response (60 spikes per s, Fig. 3) to 13,23-dimethyl-C37, which has been implicated as part of the fertility signal in *H. saltator* (i.e., the “queen pheromone”) (18). The expression of *HsOr271*, as is the case for many of the nine-exon receptors, is consistent with a role in the detection of reproductives by workers, as it is enriched ~175-fold in the antennae of workers relative to antennae of males (with fragments per kilobase million values of 24.7404 and 0.14068, respectively) (9). In addition to *HsOr271*, a newly identified paralog of the nine-exon family member *HsOr259*, *HsOr259-L2*, also displayed a weaker response to the 13,23-dimethyl-C37 component of the fertility signal (31.8 spikes per second; Fig. 3), although it should be noted that this particular receptor showed a similarly strong response to C37 (36.6 spikes per second). These results suggest there may be multiple receptors with some level of tuning/sensitivity to this dimethyl queen pheromone, perhaps reflective of combinatorial interactions for gradient navigation and strong and redundant sensitivity to this important semiochemical.

General Odorant Responses in *Drosophila* Electroantennogram Recordings. To expand our analysis beyond hydrocarbons, we next examined nine-exon and nonnine-exon *HsOr*-mediated responses to a stimulus panel comprising an additional 40 non-CHC volatiles across a broad range of general chemical space. To accomplish this survey, we used a whole-field electroantennogram (EAG) recording paradigm that provides high-throughput ability to broadly survey the whole antennae for physiological responses. Although both EAGs and SSRs reveal stimulation and inhibition of antennal ORNs (Dataset S1), it is important to note that our SSRs were narrowly focused on the ab2A ORN, which endogenously expresses *DmOr59b*. In *Drosophila*, *DmOr59b* is a broadly tuned receptor responding to many general odorants that, in this context, would mask the activity of exogenous *HsOr* transgenes (21). EAGs also allowed us to more fully exploit the ability to express *HsOr* transgenes throughout the antennae. Furthermore, the constituents of the general odor panel are much more volatile than CHCs, facilitating their delivery to the antennae as headspace volatiles. This feature removed the constraint of heat-assisted delivery that is required for CHCs and which generates significant whole antennal background activity.

As expected, the raw EAG responses were generally positive for all stimuli tested, likely due to the endogenous activity of the *Drosophila* chemosensory system that can be seen in the no-UAS parental background control antennae. To account for these responses, we used an additional level of normalization by subtracting the responses of the endogenous *Drosophila* receptors in the antenna in the Orco-Gal4 background from the stimulus

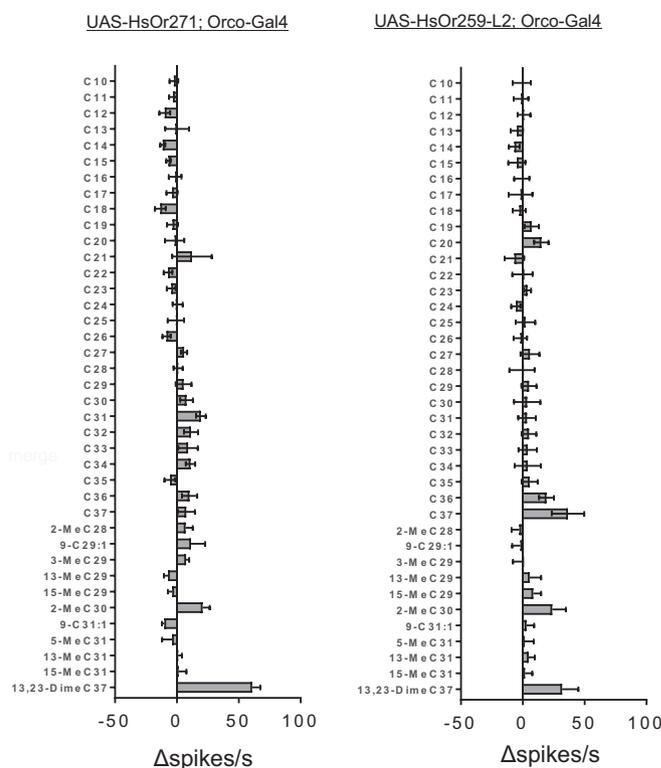


Fig. 3. Responses of two nine-exon *HsOR* receptors to a panel of branched-chain alkanes and alkenes. The 11 alkenes and branched-chain alkanes tested are known constituents on *Harpegnathos* worker and/or gamergate cuticle, including the queen pheromone 13,23-dimethylheptatriacontane. $n = 5$, and error bars are SEM.

responses (Fig. 4). After this normalization, the responses to most odorant stimuli were remarkably consistent across transgenic fly lines, with nearly all UAS-*HsOr* transgenes, notably including the two nine-exon *HsORs* in our test panel (*HsOr259-L2* and *HsOr271*), facilitating odorant responses that were greater than (stimulatory) or, in many instances, less than (inhibitory) endogenous responses observed in the Orco-Gal4 background flies (within $\pm 50\%$). That said, even with this treatment, several potential artifacts must be acknowledged. First, the simplest carboxylic acids—methanoic (formic) and ethanoic (acetic) acid—showed significantly reduced (inhibitory) responses relative to diluent alone control (paraffin oil), which given their intensity, potentially reflect recording artifacts induced in the antennae and/or recording electrodes by the chemical nature of these acids, a phenomenon that has been occasionally reported by other groups (22). This observation can likely be attributed to the high volatility of these acids that potentially could give rise to massive, non-biologically relevant, odorant concentrations being delivered to the antenna. This effect may be exacerbated by the low solubility of such polar compounds in the paraffin oil diluent. Second, pentanol elicited the highest response observed to any odorant in most of the lines tested, that varied from two to five times the paraffin oil control response (before normalization to the Orco-Gal4 control). We attribute this response to the placement of the glass recording electrode proximate to the distal end of the *Drosophila* antenna, which contains high numbers of the pentanol-responsive at2 sensillum (23).

In advance of quantitative analyses, several aspects of these odorant responses bear discussion. Most notably, four *HsOrs* displayed stimulatory responses >1.6 times greater than the Orco-Gal4 parental control flies (Fig. 4). *HsOr59* (a subfamily L receptor), *HsOr161* (a subfamily V receptor that is 5.6 times enriched

the cuticle of *Harpegnathos* workers and reproductives (18), and on the cuticles of distantly related ants such as *Linepithema humile* (24), the absolute abundance is likely quite low because of the biosynthetic constraints on even-numbered carbon chains.

Although it is unknown whether *Harpegnathos* female reproductives actually use octacosane or other CHCs as sex pheromones to attract males, it should be recognized that male ants are often promiscuous in their mating choices. In fact, males from some ant species will even mate with heterospecific queens—a fact that is often exploited by such queens to produce additional sterile workers (25). The response of the nine-exon receptor *HsOr271* to the queen pheromone 13,23-dimethyl-C37 is also notable, although it is also possible that there are multiple, redundant receptors within the nine-exon subfamily tuned specifically for this critical compound.

Robust responses to CHC extracts and a panel of hydrocarbons found in *H. saltator* were observed among the majority of nine-exon *HsOrs* tested in a parallel study although only one (*HsOr259-L2*) of the two nine-exon receptors that were in our *HsOr* panel responded strongly to a CHC (to C37). In contrast, several of the other 23 *HsOrs* examined in this study, representing a diverse range of the other OR subfamilies of HsORs, also display significant responses to these CHC-associated ligands. Although responses to volatile nonhydrocarbon general odorants were also sparse and well-distributed phylogenetically across all of the OR subfamilies tested including the nine-exon ORs, they nevertheless encompassed different receptors from the ones that responded robustly to hydrocarbons.

In light of their complex phylogenetic structure and the sheer number of uncharacterized *HsOrs*, it is difficult to draw firm conclusions, but it nevertheless seems reasonable that absolute and inviolate odor-coding boundaries for ant OR subfamilies in relation to pheromonal and nonpheromonal stimuli do not exist. These questions are further complicated by the likelihood that additional membrane proteins and other factors may be required in order for pheromone ligands to elicit responses, as has been observed with the *Drosophila* pheromone receptor Or67d (26). The discriminatory power afforded by the combinatorial interactions of the large numbers of ant ORs, acting in concert with other chemosensory components, most notably the IR and GR

gene families, seems more than capable of addressing the extraordinary challenges associated with the complex chemical ecology of eusocial colonies. That said, by analyzing members of distinct subfamilies of *HsOrs* beyond the highly expanded nine-exon subfamily and those with differential abundance among castes and genders, this study represents a quantum advance in the study of the molecular genetics of these critical peripheral chemoreceptors that are responsible for initiating many, if not all, of the distinct social behaviors that are the hallmark of these eusocial insects.

Materials and Methods

Odorant Receptor Cloning. Full-length *HsOr* genes were subcloned or commercially synthesized (Genscript) for transgenic expression of *HsOr* genes in flies by insertion into a preexisting insertion site in the *Drosophila* genome, using the phiC31 integrase recombination system (27). See *SI Materials and Methods* for full details.

Drosophila Genetics. For SSR and EAG experiments, experimental *D. melanogaster* genotypes were either $w^{1118}; w^+$, *UAS-HsOr*; w^+ , *Orco-GAL4* or $w^{1118}; +; w^+$, *UAS-HsOr*/ w^+ , *Orco-GAL4*. Control flies were $w^{1118}; +; w^+$, *Orco-GAL4*.

Electrophysiology. Flies were tested 2–10 d after eclosion for both single-sensillum and whole antennal EAG recordings, with an $n = 4-8$ per *UAS-HsOrX* line. We then manually normalized those responses to the *Orco-GAL4* control. For SSRs, the ab2 sensillum-type was used for all recordings. Each compound (Table S2) was dissolved in pentane and 20 nmol of the compound was applied to each delivery cartridge. The cartridges were then heated for 1 s with a handheld butane torch, and then air was puffed through the heated cartridge into an airstream, and over the fly antenna for a 500-ms duration, using 3 mL of humidified air. See *SI Materials and Methods* for additional details.

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