

Correction

EVOLUTION

Correction for “Deep evolutionary conservation of autism-related genes,” by Hagai Y. Shpigler, Michael C. Saul, Frida Corona, Lindsey Block, Amy Cash Ahmed, Sihai D. Zhao, and Gene E. Robinson, which was first published July 31, 2017; 10.1073/pnas.1708127114 (*Proc. Natl. Acad. Sci. U.S.A.* **114**, 9653–9658).

The authors note that on page 9654, right column, second full paragraph, lines 4–5, “PC1, PC2, and PC3 accounted for 10.5%,

7.2%, and 5.5% of the variance in MB gene expression, respectively” should instead appear as “PC1, PC2, and PC3 accounted for 27.8%, 13.0%, and 7.5% of the variance in MB gene expression, respectively.” As a result, Fig. 1 and Fig. S2 in the *SI Appendix* appeared incorrectly. The corrected Fig. 1 and its legend appear below. The corrected Fig. S2 can be found in the *SI Appendix*, which has been corrected online.

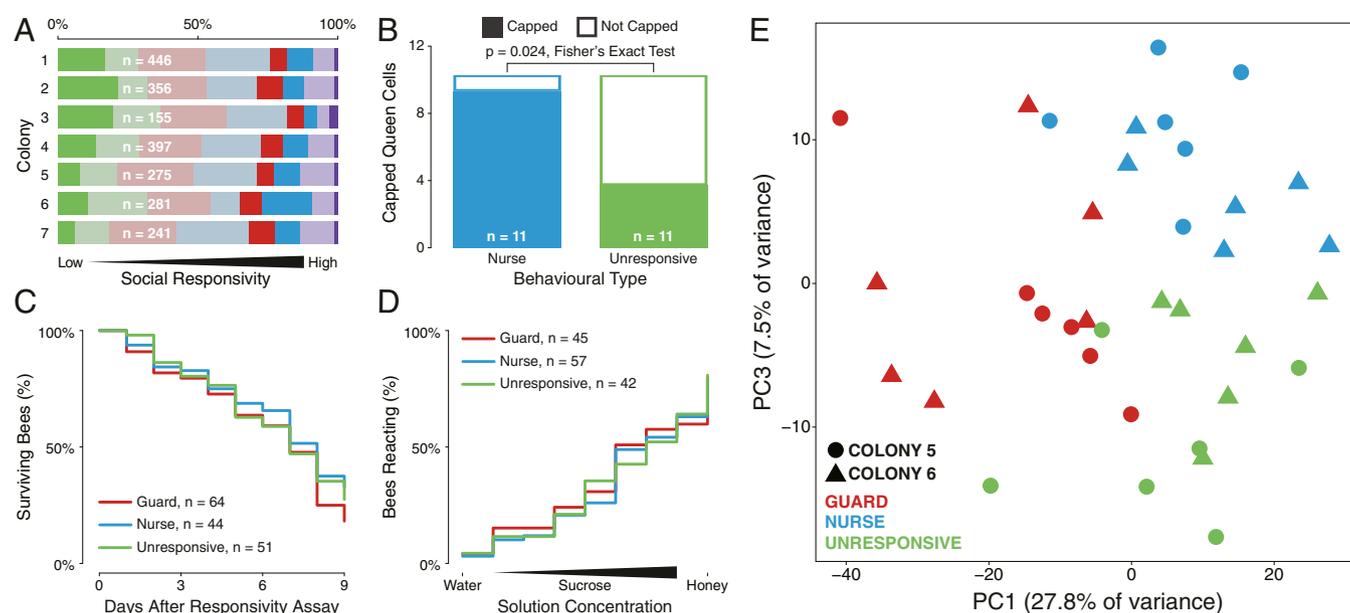


Fig. 1. Behavioral responses to social stimuli vary among individual bees. (A) Proportion of individuals of different behavioral types. Unresponsive individual honey bees (deep green) did not display a response to social stimuli. Guards (deep red) responded with aggression to two social challenge trials. Nurses (deep blue) responded with nursing to two social opportunity trials. Highly responsive individuals (deep purple) responded to all four social stimulus trials. Other categories include bees that showed weak responses to social stimuli (light green), single responses to a social challenge (light red) or social opportunity (light blue), or another mixture of behavioral responses (light purple). (B) Exposure to a strong social stimulus did not change the behavior of unresponsive individuals. There was (C) no difference in survival or (D) sucrose perception of individuals with different levels of social responsiveness. Survival: log-rank test, $\chi^2_{(2)} = 1.56$, $P = 0.46$; proboscis extension response: Kruskal–Wallis test, $\chi^2_{(2)} = 0.093$, $P = 0.95$. (E) Principal-component analysis (PCA) of genes differentially expressed in the MBs at FDR of <0.10 revealed 2 PCs that together reliably separate guards, nurses, and unresponsive bees ($n = 12$ per group).

Published under the PNAS license.

Published online August 19, 2019.

www.pnas.org/cgi/doi/10.1073/pnas.1913223116

responsiveness, each group was given an assay involving serial administration of both stimuli in a randomized order followed by a second exposure to both stimuli 1 h later. Because each individual was thus tested four times, different levels of responsiveness to social stimuli were identified with high confidence.

We observed a full range of social responsiveness, from individuals consistently unresponsive to either social stimulus to individuals consistently responding strongly to both stimuli (Fig. 1A). We focused on the following three behavioral types: 9.3% responded consistently only to the social opportunity (“nurses”), 7.7% responded consistently only to the social challenge (“guards”), and 14% never responded to either stimulus (“unresponsive”). We did not consider further the bees that responded to both stimuli because they accounted for only a small proportion (~1%), consistent with the fact that the tendency to defend the hive and nurse brood at the same time is not commonly observed due to the division of labor that operates in a honey bee colony.

The occurrence of unresponsive bees was not an artifact of exposure to a subthreshold stimulus. When exposed individually to a queen larva, unresponsive bees also underperformed, even though this test provided each individual with a stronger social stimulus than it would receive in a group. Only 4 out of 11 unresponsive bees showed alloparental care, whereas 10 out of 11 nurse bees did (Fisher’s exact test, $P = 0.024$; Fig. 1B). In addition, unresponsive bees showed no differences in survival or the ability to detect sensory stimuli relative to other behavioral types (Fig. 1C), indicating that they were not obviously sick or deficient in sensory abilities.

To explore the molecular basis of this social unresponsiveness, we generated profiles of gene expression for nurses, guards, and unresponsive bees. We used RNA sequencing (RNA-seq) to profile the mushroom bodies (MBs), a region of the insect brain involved in multimodal sensory integration (20) and recently implicated in honey bee social behavior (10).

There were a large number (1,057) of differentially expressed genes (DEGs) between the three behavioral types [edgeR general linear model (GLM) blocked for colony, false-discovery rates

(FDR) of <0.10 , Dataset S1; in Dataset S1, part S1.2 shows that smaller numbers of DEGs also were detected with pairwise analyses between the behavioral groups]. The magnitude of this difference was unexpected as the bees tested were highly related to one another due to haplodiploidy and artificial insemination; they also were laboratory reared until testing and exposed to the same stimuli simultaneously. It is not possible to know to what extent differences in MB gene expression reflect baseline neurogenomic states or responses to stimulus exposure, but given that all bees selected for transcriptomic analysis either showed consistent responsiveness or nonresponsiveness to repeated stimulus exposure, we think it likely that baseline neurogenomic states figured prominently.

Gene ontology analysis of the “bee DEG list” revealed enrichment for molecular functions related to chaperones and ATP binding (Dataset S2). Circadian rhythm-related genes were not enriched, indicating that the observed differences in responsiveness were not due to acute differences in arousal (Fig. S1).

The distinctiveness of the unresponsive individuals was further revealed by principal-component analysis (PCA) of the bee DEG list. PCA clearly separated the three behavioral types (Fig. 1E). PC1, PC2, and PC3 accounted for 10.5%, 7.2%, and 5.5% of the variance in MB gene expression, respectively. PC2 separated bees by the two independent colonies we sampled (Fig. S2), and PC1 and PC3 together separated the three behavioral types with great precision (Fig. 1E). The PCA results were confirmed by penalized linear discriminant analysis (Fig. S3). These findings demonstrate that unresponsive individuals have a unique MB transcriptomic profile that is a function of multiple molecular factors.

We examined the 50 genes with the highest loadings in PC1 and PC3 to further explicate the bee DEGs associated with these social responsiveness axes. The genes highly loaded in PC1 include a number of ion channels and ion channel modulators as well as the nuclear receptor *fiz-fl*, a transcriptional regulator associated with social responsiveness in honey bees (21) and mice (22). The genes highly loaded in PC3 include

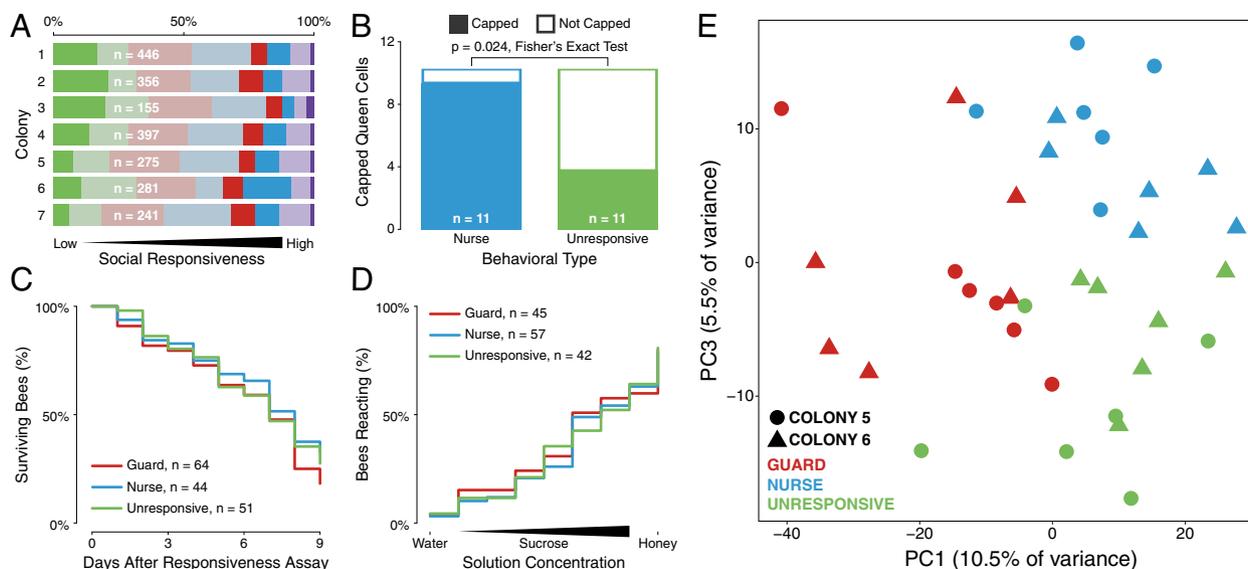


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chaperone proteins generally related to the HSP90 complex, the nucleoporin complex, and hormone signaling (23) (Dataset S3).

If social responsiveness in bees is functionally related in some way to ASD, sociobiological theory would predict that the bee DEG list described above should be enriched for ASD-related genes. To test this hypothesis, we examined the overlap between the bee DEG list and several previously published human ASD gene sets. We improved existing methods for assessing cross-species gene list overlap, developing a test called OrthoOverlap for the purpose of comparative analyses. This test facilitates comparison of gene lists across long evolutionary distances by weighting for the strength of evidence for overlap within orthogroups of genes.

We used this methodology to test for similarities between the bee DEG set and DEGs from humans ASD patients. We found significant overlaps between the bee DEG list and two independent DEG lists from expression studies of postmortem brain tissue (24, 25) (Table 1, DEG lists). Because transcriptomic analyses are correlative, we also compared the bee DEG list to curated sets of gene variants associated with ASD from the Simons Foundation Autism Research Initiative (SFARI), at least some of which are assumed to have causal effects on ASD phenotypes (26). We found significant overlap between the bee DEG list and the SFARI gene list. The SFARI database is divided into seven sets of genes that vary in the confidence level with which they are related to ASD. When comparing the bee DEG list to the highest confidence SFARI sets, the overlap was statistically significant. When weaker confidence SFARI sets were included, statistical significance weakened proportionately (Table 1, SFARI lists).

The top significant enrichment clusters of functional terms enriched in SFARI (evidence tier 3) and the two human DEG lists overlapping with the bee DEG list were generally related to ion channels and included GABA receptor and voltage-gated ion channel genes. The genes overlapping with ref. 25 suggested an additional enrichment for heat shock proteins (Dataset S4, parts B–D). These findings suggest a deep conservation in the functional genomic systems related to social behavior in the animal kingdom.

To explore whether these results might be false positives, we performed two sets of control analyses using the same analytical methods. First, we compared the bee DEG list with gene lists related to several other human disease phenotypes. We observed no significant enrichment for any of them, including schizophrenia-related (unadjusted $P = 0.82$) or depression-related genetic variant lists (unadjusted $P = 0.84$). Second, we compared the three ASD-related gene list sources described above with three other honey bee MB DEG lists unrelated to social responsiveness. We again

observed no significant enrichment (Dataset S4). The significant overlap thus appears to be unique to the honey bee social responsiveness and human ASD gene sets. This finding provides additional evidence for functional conservation of genes involved in the coordination of social behaviors across phyla (9), and demonstrates that this conservation also extends to deficits in social behavior.

Disorders of human cognition and social behavior have been associated with human-specific genomic features (27). In addition, it is highly likely that social insects and humans evolved their distinct repertoire of complex social behaviors independently of each other (28), with the forces of natural selection acting differently on social behaviors in insects and humans. In humans, social interactions are presumed to represent a strong selective force: individuals who, for whatever reason, cannot engage in normal social interactions lose access to common resources and should have diminished fitness. In social insects, the fitness of an individual is dependent on the performance of the whole colony, and perhaps it is less costly for a large insect society to tolerate unresponsive individuals than to actively exclude them. Supporting this speculation, inactive individuals have been reported in several social insect species (29) and are usually interpreted as providing colonies with the advantages of a “reserve” labor force that will act when the colony faces a stressful situation (30). However, inactive honey bees do not always respond to changes in colony needs (31), and here we have identified individuals at the extremes of social responsiveness spectra who may not have any adaptive value to their colony.

Conclusions

Social insects may be particularly fruitful sources of behavioral phenotypes relevant to the study of human psychiatric diseases. Their large societies appear to tolerate a broad range of behavioral phenotypes, including highly repetitive behavior (32, 33), unusually high levels of activity (34), and as reported here, unresponsiveness to various social stimuli.

Despite profound differences between honey bee and human societies, we have documented strong similarities in the genes associated with social responsiveness. It is not possible to discern whether these similarities arose from either common ancestry or convergent evolution, but our findings provide further support for conserved genetic “toolkits” that are used in independent evolutions of social behavior (9). Comparative genomics thus can determine in a rigorous and unbiased manner whether behavioral similarities between humans and distantly related species reflect common mechanisms, thus providing a means to further explore sociobiological theory.

Table 1. Evolutionary conservation of autism-related genes in honey bees

Human list	No. human genes	Overlapping orthogroups	<i>P</i> value	FDR
DEG lists*				
Ref. 24, INITIAL COHORT DEGs	928	76	0.0026	0.0150
Ref. 25, Cortex DEGs	1,087	108	0.0310	0.0521
SFARI lists†				
Tier 1 (syndromic genes only)	83	16	0.0066	0.0150
Tier 2 (high confidence + tier 1 genes)	89	17	0.0046	0.0150
Tier 3 (strong candidate + tier 2 genes)	120	22	0.0067	0.0150
Tier 4 (suggestive evidence + tier 3 genes)	250	31	0.0347	0.0521
Tier 5 (minimal evidence + tier 4 genes)	474	44	0.2391	0.3074
Tier 6 (hypothesized + tier 5 genes)	609	49	0.4202	0.4202
Tier 7 (not supported + tier 6 genes)	628	51	0.4143	0.4202

*Overlap between bee DEG list and differentially expressed genes from postmortem brain tissue obtained from autistic patients (24, 25).

†Overlap between bee DEG list and autism-related genes from the SFARI GSM Database (26).

Materials and Methods

Bees. Adult worker honey bees (*Apis mellifera*) were obtained from colonies maintained in apiaries according to standard commercial methods at the University of Illinois Bee Research Facility (Urbana, IL) from June to July 2015. We used workers derived from two different colony types: colonies headed by queens who mated naturally and colonies headed by single drone-inseminated (SDI) queens, who were instrumentally inseminated with semen from a single drone. For the proboscis extension reflex (PER), capping, and survival experiments, we used four different colonies headed by naturally mated queens. For the social responsiveness and transcriptomics experiments, we used bees derived from two SDI queens. Because of haplodiploidy, SDI worker offspring are highly related to each other (average coefficient of relatedness, $r = 0.75$), thus decreasing within-trial genetic variation.

One-day-old adult bees were obtained by removing frames of honeycomb containing pupae from colonies, placing them in an incubator ($34\text{ }^{\circ}\text{C}$, $50 \pm 5\%$ relative humidity), and then monitoring their emergence every 24 h. One-day-old bees were individually marked on their thoraces with a spot of paint (Testors PLA) and placed in groups. Bees were kept in the laboratory in vertically oriented petri dishes ($100 \times 20\text{ mm}$) with a beeswax foundation sheet placed on the “wall” of the dish to mimic in-hive conditions. Dishes were supplied with one tube of honey ($\sim 1.4\text{ mL}$), 30% sucrose solution (2 mL), and a mixture of fresh frozen pollen and 30% sugar solution ($\sim 10\text{-mm}$ -diameter ball).

Social Responsiveness Assay. Groups of 10 individually marked 7-d-old adult bees were held in petri dishes inside an incubator room maintained to mimic the hive environment ($34 \pm 1\text{ }^{\circ}\text{C}$, $50 \pm 10\%$ relative humidity). The bees were held in the dish from adult emergence with ad libitum food supply and were not exposed to outside stimuli until the beginning of the experiment. All behavioral observations were performed in the incubator room under white light.

To search for unresponsive bees, we developed an assay using two established behavioral assays: the resident–intruder assay (17) and the nursing assay (19). The resident–intruder assay is a 5-min survey of all aggressive interactions shown by resident bees toward an unrelated bee following its introduction to the group; some individuals (typically two to four per group) react with highly aggressive behaviors, attacking the intruder with biting and stinging. The nursing assay is a 5-min survey of all nurturing interactions between adult bees and a 4-d-old queen larva in a waxen queen cell that is introduced to the group. Some individuals (typically two to three) respond by entering the queen cell to inspect (short visits of less than 10 s) or feed (long visits of 11 s or more).

Both assays were performed on the same group of bees in succession and in random order according to a coin flip, and then both assays were repeated in random order 1 h after the completion of the first set. Individuals exhibiting highly aggressive behavior (biting and stinging) in both intruder assays but no response to the queen larva were classified as guards; individuals exhibiting alloparenting in both nursing assays but no response in the resident–intruder assay were classified as nurses. Individuals that did not respond at all in the four trials (two trials of each assay) were defined as unresponsive. The rest of the individuals in the group were defined by their behavior, which included those that showed weak responses (e.g., antennation) toward a social stimulus (Fig. 1A, light green), those that responded to only one of the stimuli (guard once: light red; nurse once: light blue), those that showed mixed responses (light purple), or those that showed strong responses to all social stimuli (deep purple). Only guards, nurses, and unresponsive individuals were used for further analysis. Individual observers conducted each behavioral assay blind to the results of previous assays. The behavioral experiment was repeated with bees from seven different unrelated colonies.

Survival. The survival of all of the individuals in each group was monitored for 9 d after the conclusion of the behavioral experiment. The observer monitoring survival was blind to the behavioral type of each individual; matching survival and behavioral type was done after all of the data were collected. We measured the survival of the individuals from 28 groups including 64 nurses, 44 guards, and 51 unresponsive bees.

Response to Sugar Solution. The PER assay (35) was used to measure the response to sugar solution for individuals belonging to each of the three behavioral types. Bees were collected from the group after the end of the assay by anesthesia with CO_2 . Each individual was harnessed to a stand where its body was fixed, its head was free to move, and its proboscis was free to extend. Each individual was left on the stand for 2 h to ensure that it

was hungry. Increasing concentrations of sugar solution (water, 0.1%, 0.3%, 1%, 3%, 10%, 30%, 50%, honey) were used to test responsiveness to sugar. The sugar stimulus was presented by a gentle touch of both antennae using a $20\text{-}\mu\text{L}$ micropipette. The responses of each individual—extension or no extension of the proboscis—were recorded for each sugar concentration. Unlimited water was provided for the bees at the beginning of the test and before each presentation of the sugar solution to help eliminate responses based on thirst. Bees from 42 groups, including 57 nurses, 45 guards, and 42 unresponsive individuals, were tested. The observer was blind to the behavioral type of the individuals while performing PER testing.

Queen Pupal Cell Capping Test. One unresponsive bee and one nurse each from 11 groups were moved into new petri dishes as described above. One 4-d-old queen larva in a queen cell was introduced into each dish for 24 h. At this age, bees typically add wax to the queen cell and enclose the developing queen by capping the cell. Capping of the cell was assessed on the day after the introduction. A Fisher's exact test was used to compare the rate of cell capping in each behavioral type. This assay extends the bee's exposure to a social signal for a longer period, allowing insight into the stability of the social responsiveness phenotype. This assay was designed to test how increasing the strength of a social stimulus affected unresponsive bees' responses.

MB Gene Expression Analysis. Only bees tested in the social responsiveness behavioral assay were used for MB gene expression analysis. Nurses, guards, and unresponsive individuals were collected and frozen immediately after all behavioral testing, which was $\approx 70\text{ min}$ after the presentation of the first social stimulus. They were flash-frozen in liquid nitrogen and then transferred into marked 1.5-mL microcentrifuge tubes in a dry-ice bucket. The bees were stored at $-80\text{ }^{\circ}\text{C}$ until tissue dissection. Thirty-six individuals (three behavioral types and two colonies; six bees per group per colony) were included in the gene expression analysis.

Heads were separated from bodies on dry ice and placed in a dissection dish with 200-proof ethanol and dry ice to prevent RNA degradation during dissection. The cuticle of the head capsule was removed, and each head was placed in RNAlaterICE (Life Technologies) at $-20\text{ }^{\circ}\text{C}$ for 14–18 h. The heads were then opened, hypopharyngeal glands were removed, and the whole brain was removed. The optic lobes were removed, and a horizontal incision was made across the midbrain through the posterior protocerebral lobe using a fine scalpel. The lower part of the midbrain, containing the antennal lobes and the subesophageal ganglion, was removed. The upper part of the midbrain containing the MBs and some surrounding tissue ($\sim 10\%$) was used for gene expression analysis. This MB preparation has been described in detail in previous work (10). The MB of each individual were placed in a new 1.5-mL microcentrifuge tube and kept frozen at $-80\text{ }^{\circ}\text{C}$ until RNA extraction. RNA extraction was performed with a PicoPure RNA Isolation Kit (lot no. 1210063; Applied Biosystems) according to the manufacturer's specifications, including a DNase treatment (Qiagen) to remove genomic DNA contamination. A total of 550 ng of total RNA from each sample was used for whole-transcriptome expression analysis. RNA integrity was ensured with a Bioanalyzer 2100 (Agilent). Bioanalyzer RNA integrity numbers were not calculated, because honey bee 28S rRNA contains an AU-rich “hidden break” region, which causes it to split into two during heat denaturing and migrate with the 18S rRNA peak (36, 37). Consequently, we used qualitative assessment as a quality control for RNA integrity, ensuring that the electropherograms within this experiment appeared to be internally consistent and comparable to previously observed electropherograms for high-quality RNA from honey bees (Fig. S4).

RNA-Seq, Data Processing, and Analysis. RNA-seq libraries were constructed with the TruSeq Stranded mRNA HT (high-throughput kit, catalog no. RS-122-2103; Illumina) using an ePMotion 5075 robot (Eppendorf). The libraries were uniquely barcoded (36 barcodes total), quantified by quantitative PCR, and pooled into a single pool of equimolar concentration as per instructions. Single-end sequencing (read length, 100 nt) was performed on the pooled libraries across five lanes of an Illumina HiSeq 2500 sequencer using a TruSeq SBS sequencing kit with v4 chemistry. FASTQ files were generated with CASAVA 1.8.2. Library preparation and RNA-seq were performed at the W. M. Keck Center for Comparative and Functional Genomics at the Roy J. Carver Biotechnology Center (University of Illinois). Demultiplexed RNA-seq libraries produced a median of 33.6 million reads (range, 28.5 million to 49.3 million reads) per sample. Raw FASTQ files have been deposited in the Sequence Read Archive under accession number SRP089994, raw counts have been deposited in the Gene Expression Omnibus under accession

number GSE87001, and TMM-normalized counts per million values are available in [Dataset S1](#), part S1.3.

Sequencing reads were aligned to the *A. mellifera* 4.5 reference genome (38) using TopHat2 with Bowtie2. Numbers of reads per gene were counted with HTSeq-count, for 15,314 genes (OGs 3.2). A total of 10,317 genes had more than one count per million (cpm) in six or more samples and were included in the analysis. Gene expression levels were compared between the three behavioral types using an ANOVA-like implementation of the GLM, with colony used as blocking factor, in edgeR (39). *P*-value correction for multiple testing was done using the FDR method (40) across all factors simultaneously; DEGs were defined as those with an FDR of <0.10. PCA was performed in R using the *prcomp* function, and the confirmatory penalized linear discriminant analysis was performed using the *PenalizedLDA* function in the R package *penalizedLDA* (41). DAVID analysis was performed using version 6.8 of the knowledgebase, and significant annotation clusters were defined as those with enrichment scores of ≥ 3 using medium classification stringency. Phenotype data used for analysis of cpm data are available in [Dataset S1](#), part S1.4.

Orthooverlap Analysis. We developed a statistical test for enrichment between gene sets that come from different species. This test, which we call Orthooverlap, assumes that, in each species, the number of genes in an orthogroup that belong to that species' gene set of interest follows a hypergeometric distribution, with parameters determined by the size of the orthogroup, the gene set size, and the number of genes in the background for the species (where the background is all genes from a species that are in the orthogroups common to both species). Under the null hypothesis, these hypergeometric random variables are assumed to be independent across the two species. Orthooverlap converts the hypergeometric variables to *Z* scores and then measures their relatedness across species using the Pearson correlation coefficient. Finally, it obtains a permutation *P* value for this correlation coefficient by randomly permuting the orthology relationships between the species, similar to a test that has been described previously (42).

This procedure identifies enrichment at the level of gene orthogroups and is able to correctly account for species-specific orthogroup sizes. By using a *Z* score, it down-weights large orthogroups if they contain few genes of interest, and up-weights smaller orthogroups if most of their constituent genes are of interest. Furthermore, the procedure negatively weights evidence of mismatch, that is, when large orthogroups in one species contain no or very few genes in one gene set while the corresponding orthogroups in the other species contain many genes in the other gene set. Our test assumes that more genes of interest within the same orthogroup across both species constitutes stronger evidence of overlap. We ran computational simulations with multiple gene list sizes and confirmed that this method adequately controls false positives compared with a simpler and more established hypergeometric method for testing orthogroups with DEGs in each species ([Fig. S5](#)).

The code used to run Orthooverlap is released under the GNU GPL 3.0 and can be found in the R package *msaul* (<https://github.com/msaul/msaul>). We ran Orthooverlap using a million permutations on all orthogroups common to *Homo sapiens* and *Apis mellifera* in OrthoDB, version 9 (43).

Human autism gene sets of interest were derived from the Gene Scoring Module set from the September 2016 revision of the SFARI database (24) and genes identified from microarray (25) and RNA-seq (26) gene expression experiments on postmortem samples of brain tissue from autism patients. The SFARI genes were divided into seven tiers of evidence, beginning with including only the genes with the strongest evidence of a relationship to autism (Syndromic genes) in tier 1; the genes with the strongest and second strongest evidence of a relationship to autism (Syndromic and High Confidence genes) in tier 2 and adding in new and weaker evidence into each tier until tier 7, which includes the genes from all classes of evidence of a relationship with autism (Syndromic, High Confidence, Strong Candidate, Suggestive Evidence, Minimal Evidence, Hypothesized, and Not Supported genes). From the expression studies, we used the lists of all genes found differentially expressed across multiple conditions (from ref. 25, supplementary data file INITIAL COHORT table; from ref. 26, supplementary table 2).

To validate the method and results, we ran two sets of control analyses. Honey bee control gene sets were derived from two RNA-seq expression sets from honey bee MB: (i) DEGs comparing active and inactive male honey bees (44) and (ii) DEGs comparing worker bees trained to forage at specific times of day (45). Human control gene sets were derived as follows. One set of human control gene sets from genome-wide association study results was derived from HuGE Phenopedia (44), keeping only genes that had been replicated in at least two studies. Another set of control human gene sets was derived from differential expression sets for human whole-transcriptome brain postmortem tissue studies from patients with schizophrenia (46) and Alzheimer's disorder (47) diagnoses ([Dataset S4](#)).

FDR correction was performed in two different ways: a pooled method where all *P* values from all Orthooverlap tests were corrected together, and an experiment-wise method where FDR correction was performed separately for controls and for human autism vs. bee responsiveness lists. We report the experiment-wise results to avoid skewing positive results of interest by adding in many expected negative results, but we note that the only tests reported as significant at a pooled FDR of <0.10 were four of the tests from the human autism vs. bee responsiveness lists.

ACKNOWLEDGMENTS. We are grateful to A. M. Bell for inspiring the research question. We thank L. J. Stubbs, A. M. Bell, S. Sinha, A. B. Barron, and S. A. Ament for critical reading of the manuscript, and C. D. Nye for bee management. This research was supported by Simons Foundation Grant SFLife 291812 (to G.E.R. and L. J. Stubbs, principal investigators), NSF Grant DMS-1613005 (to S.D.Z.), United States–Israel Binational Agricultural Research and Development Postdoctoral Fellowship Award FI-462-2012 (to H.Y.S.), and a Carl R. Woese Institute for Genomic Biology Postdoctoral Fellowship (to M.C.S.).

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