Discrete modes of social information processing predict individual behavior of fish in a group

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Individual computations and social interactions underlying collective behavior in groups of animals are of great ethological, behavioral, and theoretical interest. While complex individual behaviors have successfully been parsed into small dictionaries of stereotypical behavioral modes, studies of collective behavior largely ignored these findings; instead, their focus was on inferring single, mode-independent social interaction rules that reproduced macroscopic and often qualitative features of group behavior. Here, we bring these two approaches together to predict individual swimming patterns of adult zebrafish in a group. We show that fish alternate between an “active” mode, in which they are sensitive to the swimming patterns of conspecifics, and a “passive” mode, where they ignore them. Using a model that accounts for these two modes explicitly, we predict behaviors of individual fish with high accuracy, outperforming previous approaches that assumed a single continuous computation by individuals and simple metric or topological weighing of neighbors’ behavior. At the group level, switching between active and passive modes is uncorrelated among fish, but correlated directional swimming behavior still emerges. Our quantitative approach for studying complex, multimodal individual behavior jointly with emergent group behavior is readily extensible to additional behavioral modes and their neural correlates as well as to other species.

Results

To study individual computations and interactions underlying group behavior in zebrafish, we tracked individuals in groups of 2, 3, 6, and 12 adult fish for up to 1 h at a time in a large circular arena with shallow waters constituting an effective 2D environment (Fig. 1, SI Materials and Methods, Fig. S1A, and Movie S1). We sampled the trajectory of the center of mass of each fish \( t \) in the group, denoted as \( \bar{X}_i(t) \), with high spatial and temporal resolution based on the location or velocity of their neighbors (20–24). However, characterization of movement patterns of individual zebrafish larva, Caenorhabditis elegans, and Drosophila, for example, suggests that a relatively small set of distinct stereotyped “modes” underlies complex individual behavior (39–41).

Here, we incorporated the concept of discrete behavioral modes in individual animals into a mathematical framework for animal motion in an interacting group. We studied individual behavior in groups of adult zebrafish in a large arena using high spatiotemporal individual tracking under different behavioral contexts. Adult zebrafish live in nature in groups of 4–20 fish, either in still waters or in running rivers (42), and exhibit social behaviors and shoaling tendencies both in the wild and in the laboratory (8, 42). We analyzed the behavior of individuals in the group and identified distinct behavioral modes, which were used to build a highly accurate mathematical model of swimming behavior of individual fish in a group. The model was based on the sensory and social information that was available to each animal and took into account spatial and temporal biophysical constraints. Importantly, we evaluated the models in terms of their power to predict the trajectories of individual fish rather than statistical averages over the whole group (43, 44) and analyzed how behavioral modes of individuals relate to emergent collective behavior of the group.

Significance

The way that individuals interact and coordinate their motion in a group is fundamental to the nature of individual and group behavior. Here, we inferred interaction rules that govern individual swimming behavior of adult zebrafish in a group. We show that fish alternate between apparent “active” and “passive” behavioral modes, which we describe using mode-dependent spatiotemporal models of social and sensory computation. This modeling framework closely matches the behavior of individual fish in a group, surpassing previously suggested models that use a universal, ongoing computation. We conclude that group behavior depends on both the computation used by the fish and their current behavioral mode and that the way that fish alternate between modes can shape collective behavior of the group.

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(SI Materials and Methods) and decomposed the time-dependent velocity of each fish, \( \dot{v}_i(t) \), into instantaneous swimming speed, \( \dot{s}_i(t) = |\dot{v}_i(t)| \), and instantaneous direction \( \dot{d}_i(t) = \dot{v}_i(t)/|\dot{v}_i(t)| \). This revealed a clear segmentation of the trajectories into acceleration and deceleration epochs, which we defined using the maxima and minima points of the speed profile (Fig. 1 B and C, SI Materials and Methods, and Movie S2). Acceleration epochs of the fish were very accurately described by a family of sigmoid functions that differed by their slope and duration (Fig. 1 C and D). Decelerations were very accurately described by a single exponential, corresponding to a simple drag force (Fig. 1 C and D and Fig. S1 B–D). We found that durations of successive epochs of acceleration [200 ± 104 ms (mean ± SD)] and deceleration [250 ± 160 ms] were very weakly correlated, that the rate of switching between them was strongly related to the speed of the fish (Fig. S1 E–G), and that fish made turns mostly during acceleration epochs (Fig. 1 E, Fig. S1 H and I, and Movie S2). We note that the continuous motion of the adult fish makes these kinematic states very different from the distinct stop-and-go bouts of zebrafish larvae (45). The segmentation of fish kinematics into clear epochs that have simple functional forms suggests that fish may not be using a universal ongoing computation to determine their behavior at every time instant, as has been suggested previously (7, 23, 24). Furthermore, we find clear anisotropies in group structure, implying that simple distance-based or topology-based models of social interactions, common in the literature, may fall short in explaining individual zebrafish trajectories (14, 21–24): fish prefer to be on the side of other fish within ~1.5 body lengths (Fig. 1 F) and typically showed aligned swimming directions when they are directly in front, behind, or on the side of another fish (Fig. 1 G).

We, therefore, modeled the velocities of individual fish in a group using two behavioral modes: a “passive” mode where inertia and friction control the movement of the fish, with no sensory or social influence, and an “active” mode where an additional sensory term, described by a spatiotemporal receptive field (RF) model of sensory and social processing, contributes to the change in velocity. Discretizing time into \( \Delta t \) bins and denoting the measured instantaneous change in velocity of fish \( i \) as \( \Delta \dot{v}_i(t) \), we modeled the change in velocity in the passive mode as “gliding” where water friction slows down the fish (Fig. 2 A):

\[
\Delta \dot{v}_i^{\text{passive}}(t) = -\eta \dot{v}_i(t - \tau_{\text{iner}}),
\]

where \( \eta \) is the friction coefficient, estimated from fitting deceleration epochs (Fig. 1 D and SI Materials and Methods), and \( \tau_{\text{iner}} \) is a short time constant (chosen here to be 50 ms). In the active mode, we assumed that sensory information and social interactions are taken into account by the fish, and the change in velocity of fish \( i \) at time \( t \) is given by

\[
\Delta \dot{v}_i^{\text{active}}(t) = \Delta \dot{v}_i^{\text{passive}}(t) + \Delta \dot{v}_i^{\text{RF}}(t),
\]

where the interaction term \( \Delta \dot{v}_i^{\text{RF}}(t) \) is given by a spatiotemporal RF model (Fig. 2 B):

\[
\Delta \dot{v}_i^{\text{RF}}(t) = \sum_{j,k} \beta_j(k) \cdot \dot{v}_j(t - k\Delta t) + \sum_{k} \beta_k(k) \cdot \dot{d}_i(t - k\Delta t).
\]

The first term is a social interaction term summing over the past swimming velocities of neighboring fish, where the weights of spatial bin \( j \) at time \( t-k\Delta t \) are given by \( \beta_j(k) \), and \( \dot{v}_j(t-k\Delta t) \) is the velocity of the fish in that bin. The second term is the contribution of nonsocial sensory information, where \( \dot{d}_i(t-k\Delta t) \) is a vector tangent to the wall closest to the fish, and \( \beta_k(k) \) are the weights associated with that bin. Models were fit on labeled training data from acceleration epochs; the number of spatial bins and the extent of the temporal history, which determine the number of parameters, were chosen to maximize model performance on held out test data using penalized regularization (SI Materials and Methods).

The passive and active models give very different predictions for \( \dot{v}_i(t) \) at different times along the trajectory of a fish swimming in a group. Fig. 2 C shows examples of the different predictions of the two models on top of a segment of the swimming trajectory of one fish in a group of three. Fig. 2 D shows the models’ prediction errors as a function of time on a short segment of held-out test data, reflecting that the passive model makes smaller errors mostly during decelerations, whereas the active model makes smaller errors mostly during accelerations. This observation was further supported by analyzing complete fish trajectories and multiple groups of the same size recorded independently (\( n = 6-7 \) for the different sizes): the active model significantly outperformed the passive model in acceleration epochs, while the passive model outperformed the active model in deceleration epochs (Fig. 2 F) (\( P < 0.0005 \) for all group sizes, \( t \) test for matched
Passive fish alternate between two distinct modes of social information processing, which roughly correspond to the kinematic state of the fish. (While it seems that, in the passive state, the fish ignore their neighbors, the fish probably still collect social and sensory information during these epochs, and therefore, we refer to both the active and passive modes as “information processing states.”)

Since we do not have access to the internal state of information processing of the fish, we asked how well we could explain fish behavior if we were to pick the best model for each time point (the one that gives the lowest error compared with the real velocity). This combined model (Movie S3) gives an excellent fit to the data both in terms of the speed (Fig. 3A, Upper) and the heading direction of swimming (Fig. 3A, Lower). Over all groups, the correlations between the real and the estimated velocity of the fish were ~0.97 for direction and ~0.94 for speed on test data (Fig. 3B). To further illustrate the importance of the two interleaved modes for describing individual behavior, we compared the accumulated effect of the errors in predicting the instantaneous velocity vectors that each of the models makes. Fig. 3C shows the “reconstructed” swimming trajectory of a fish in a group that would result from summing over the instantaneous velocity predictions of each model to obtain a complete trajectory segment (SI Materials and Methods). Repeating this analysis for 5,000 3-s-long segments of a group of three fish, we found that combining the active and passive models gave much more accurate reconstructions than either model alone (Fig. 3D, Left and Fig. S3C). The reconstruction errors over trajectory segments for all groups of three fish were lower by 37 ± 5% compared with the passive model alone and 19 ± 11% samples). Learning a separate RF model for the deceleration epochs did not result in a significant improvement over the passive model (Fig. S2), reasserting that fish show very weak social responses during decelerations. To compare our results with the common notion of a single ongoing computation by individuals in a group, we also fitted a single spatiotemporal receptive field model that was trained on data from both epochs. This “ongoing RF” model was significantly worse than the active model for the acceleration epochs and the passive model in deceleration ones (Fig. 2D and E) ($P < 0.005$ for all group sizes in both kinematic states, $t$ test for matched samples). These results indicate that individual fish alternate between two distinct modes of social information

![Image](https://example.com/image.png)

**Fig. 2.** Modeling fish behavior using active and passive models of social information processing. (A) In the passive model, the change in velocity, $\Delta v(t)$, is given by inertia and friction. In the active model, $\Delta v(t)$ is the sum of the passive component and the contribution of the sensory and social components. (B) The sensory and social components of the active model are described by a spatiotemporal RF, where the behavior of conspecifics in spatial “bins” is weighed with time-dependent parameters ($B$, Inset and in the text). (C) Example of a trajectory of one fish in a group of three, with a comparison of model predictions (active model in blue and active model in red) and the measured velocity (black). Insets zoom in on representative segments of the trajectory. (D, Upper) Prediction errors, $E_{\text{model}} = |v_{\text{real}} - v_{\text{model}}|$, as a function of time for one fish in a group of three using the active model (red), the passive model (blue), and a single RF model trained on data from both states (cyan). Background color designates whether the fish was accelerating (pink) or decelerating (white). BL, body length. (D, Lower) The difference between the errors of the active (red) and passive (blue) models, showing that each of the two models is much more accurate in one of the kinematic states. (E) Average values of difference between errors of the ongoing RF model trained on both states and the active model during acceleration epochs (Left) or the passive model during deceleration epochs (Right) for groups of two, three, and six fish ($n = 6, 7, and 7$, respectively); error bars represent SEM. (F) Average values of the difference between errors of the active and passive models shown in C (same group sizes as in E).

![Image](https://example.com/image.png)

**Fig. 3.** Accurate prediction of velocity and fish trajectory reconstruction. (A) Examples of the measured speed (Upper) and heading direction (Lower) of a single fish in a group of three (black) and the prediction of the combination of the active and passive models, picking the better model at each time point (green). BL, body length. (B) Average correlation between measured speed and heading direction and model predictions for all fish in groups of the same size (error bars = SEM; $n = 6, 7, and 7$ groups). (A) A short segment (3 s) of the trajectory of a fish in a group of three (black) and the reconstructed trajectory obtained by accumulating the velocity predictions of the active model (red), the passive model (blue), and the optimal combination of the two (green) (SI Materials and Methods). (D, Left) Distribution of the ratio between the reconstruction errors of the combined model and those of the active model or the passive one alone for 5,000 segments similar to the one shown in C. Values below one (black dashed line) represent advantage to the combined model. Colored dashed lines mark the corresponding distribution medians. (D, Right) Average reduction in prediction error of the combined model vs. the active model or the passive model alone for groups of different sizes; error bars represent SD.
compared with the active model alone, with similar results for groups of two and six fish (Fig. 5D, Right) (P < 0.005 for all group sizes and for both comparisons, t test for matched samples). While this combined model is an upper bound on the performance of any mix of the active and passive models, similar performance gains are retained in a model where the active and passive models are chosen according to the kinematic state of the fish (Fig. S3).

A significant part of the high correlation between model predictions and the data originates from the autocorrelation of individual swimming behavior. This is especially true in deceleration epochs, where the correlation between the measured $\tilde{v}_i(t)$ and prediction based on $\Delta v^\text{passive}_i(t)$ was 0.986 ± 0.002 (low prediction error values in Fig. S2A). We, therefore, focused on the change in velocity that is not explained by autocorrelation and frictio

In homogeneity in the RF map reflects the effects of the relative distance and relative angle of neighbors on the focal fish (Fig. 5A): social effects are strongest in front of the fish and weaker behind it. The weights of the nonsocial information show the redundancy between them. In this setup, it is impossible to discern whether fish “read” sensory information about the environment from their own senses or from the behavior of other fish. We note that the relation between $\Delta u_i$ and the predictions of the models did not indicate a need for a nonlinear extension of the RF model (Fig. S4C) [compare with linear–nonlinear models in neuroscience (46)]. Predicting the entire acceleration epoch using a similar RF model from the sensory and social information at the beginning of the epoch performed significantly worse (SI Materials and Methods and Fig. S5).

Our RF model outperformed common models of collective movement in predicting $\Delta u_i$, even when the parameters of these competing models were optimized for our data (SI Materials and Methods); we predicted $\Delta u_i(t) \sim 8.25\%$ better on average than a “zonal model” (23) and $\sim 11.3 \pm 4\%$ better than a “topological model” (14) (Fig. 4C) (P < 0.05 for all group sizes and both model comparisons, t test for matched samples). The advantage of the RF model was even more pronounced when prediction was based only on social information (Fig. S4B). We found similar advantages of the RF model over the zonal and topological models when studying groups of 12 fish (Fig. S4D), but these larger groups do not stay in a cohesive structure for long periods of time (Fig. S4E), effectively breaking up into smaller subgroups.

To characterize the spatiotemporal effects of social and sensory information on the movement decisions of a focal fish, we compared the weight maps of the RF models under two different behavioral contexts—fish swimming freely in the arena as described above and fish who were trained to seek food that is randomly scattered in the arena (SI Materials and Methods). Inhomogeneity in the RF map reflects the effects of the relative distance and relative angle of neighbors on the focal fish (Fig. 5A): social effects are strongest in front of the fish and weaker behind it. The weights of the nonsocial information show the opposite structure, with walls directly to the side of the fish having the strongest effect on its behavior. Overall, the effects of neighbors are weaker for longer temporal delays but retain their positive sign, whereas the effect of the wall decreases faster with time (Fig. 5A, Center) and then switches sign. Interestingly, the way that fish integrate information from their surroundings changes with the behavioral context (Fig. 5B): the effects of arena walls are weaker in food-searching fish, and the effects of fish positioned directly behind the focal fish are positive and stronger (Fig. S6 A and B shows statistically significant differences between weight maps). In addition, during food searching, fish in groups (unlike solitary fish) show longer acceleration epochs compared with freely swimming ones (Fig. S6C).

To characterize the spatiotemporal effects of social and sensory information processing at the individual level imply for the behavior of the group? Fig. 6A and B shows an example of the swimming velocities of three fish decomposed into the speed $s_i(t)$ (Fig. 6A) and the direction of swimming $\theta_i(t)$ (Fig. 6B). We asked what the temporal relations were between kinematic states in pairs of fish in the group by seeking the time lag that would maximize the correlation for short movement segments (1 s long) for each fish pair (using ref. 47 to verify the identity of tracked individuals) (SI Materials and Methods). The distribution of the time of maximal correlation ($\tau_{\text{max}}$) was indistinguishable from the expectation of fish changing states independently (Fig. 6C), and correlation values also did not differ from what was expected by chance (Fig. S7A). Such independent transitions between behavioral modes of individual fish could give the group a way to sample the sensory space in a distributed and interleaved manner, with no temporal processing gaps, without the need for scheduling. In contrast, similar analyses identified significant correlations between swimming directions in pairs of fish (Fig. S7A) and a corresponding significant peak in the distribution of temporal lags, suggesting causal relationships (Fig. 6D). The independence of kinematic states among fish with correlated swimming direction was apparent also at the level of the group from the distribution of synchronized states among the fish: namely, the probability to find $k$ of the $N$ fish in the group to be accelerating synchronously (Fig. 6E) and the probability of $k$ fish swimming in a similar direction (Fig. 6F). For synchronous accelerations, the probability distribution was symmetric and matched.

![Fig. 4. Active movement changes are accurately predicted by the RF model using both social and sensory information. (A, Upper) An example of $\Delta u_i(t)$, the magnitude of the measured change in velocity after subtracting the passive component (in the text) of a single fish in a group of three over 4 s; background colors mark acceleration epochs (pink) and deceleration epochs (white). BL, body length. (A, Lower) Comparison of the measured $\Delta u_i(t)$ and the prediction obtained using the RF model (red arrows) in the acceleration epochs. (B) Prediction accuracy of $\Delta u_i(t)$ by RF models that use only the social information component, only the sensory information component, or both components of Eq. 3 for different group sizes (error bars represent SEM; n = 6, 7, and 7 groups). (C) Improvement in predicting $\Delta u_i(t)$ in acceleration epochs by the RF model relative to the corresponding zonal or topological versions (SI Materials and Methods has details); values are averaged over all groups of different sizes. CC, correlation coefficient; error bars represent SEM.](https://www.pnas.org/doi/10.1073/pnas.1703817114)
closely the expected distribution if fish were switching states independently of one another (Fig. 6E). The distribution of number of fish swimming synchronously in the same direction had a clear structure and was very different from the expectation for independent fish (Fig. 6F). Thus, independent switching between modes of information processing in individual fish on a timescale of several seconds is consistent with the emergence of correlated directional behavior with clear temporal ordering at the group level.

**Discussion**

Predicting individual behavior of fish in a group, by combining active and passive models of sensory and social information processing, proved to be highly accurate, outperforming commonly used models that assume a universal ongoing computation by individuals. Specifically, spatiotemporal RFs captured the computation that a fish performs, surpassing current models that assume simple topological or metric-based computation. Moreover, a comparison between food-seeking vs. free swimming behavior revealed that the computation performed by the fish depends strongly on context (37, 48, 49). At the group level, the behavioral modes of individuals seem temporally independent among fish, but signatures of collective behavior still arise.

The approach that we presented here merges two distinct lines of inquiry of animal behavior: studies of single-animal behavior that have shown “discrete behavioral modes” (39–41) and group behavior models that have focused on qualitatively capturing complex collective behavior emerging in groups of simple interacting individuals described by a single behavioral mode (7, 23, 24, 35, 37). Our results show that individual behavioral modes (i) have clear kinematic proxies, (ii) suggest distinct information processing/computation modes in individuals, and (iii) have a significant impact on group behavior. Beyond giving a better mechanistic model for individual behavior in a group that reflects multiple states of behavior, our approach portrays the group as a collection of diverse individuals that perform computations that seem temporally discrete and context-dependent, with interactions that are dynamic in space and time.

Our approach may also enable a more direct analysis of the relation between the detailed nature of individual computation in a group and the resulting collective behavior. First, traditionally, behavioral modes were described at the level of the group, as in the work by Tunstrøm et al. (9), which reported the existence of three distinct collective states in golden shiners (milking, swimming, and directed motion) and attributed state transitions to changes in speed at the individual level. Our results, however, suggest a functional role of state transitions at the level of the individual, in the emergence of collective states at the group level. Second, intermittent control of movement has been mostly attributed to energetic benefits both in fish (50) and in birds (51, 52). However, for the zebrafish, the active and passive states seemed to be strongly related to information processing, and state transitions were desynchronized between fish, which is not obviously consistent with behavior aimed at conserving energy. In addition, banded killifish tend to swim at almost exactly antiphase synchronization of their speeds, which was also suggested to improve visual information transfer between fish (53). We hypothesize that the desynchronized state switching that we have found in zebrafish is an example of distributed sampling and processing mechanisms of individuals that may be critical for efficient sensory integration and decision making of groups.

The models that we presented could potentially be improved by further optimization of the spatiotemporal filters used to...
describe the visual field of a fish and by adding nonlinear components to the prediction model. A finer dissection of individual behavior into more behavioral modes and modeling the nature of transitions between behavioral states would hopefully give even better characterization of the discrete components of social computation. Moreover, the differences between the RFs inferred under different behavioral contexts reflect a dynamic and possibly learned nature of those computations. Modeling how individual fish use different computations based on personal tendencies, past experience, or current needs would bring us closer to dissecting idiosyncratic behavior and understanding its effect on the group level.

The approach that we presented here can be readily extended to other animal groups, where individual tracking with high spatiotemporal accuracy is possible—such as fish (5–7, 9), birds (12, 14), or mammals (16, 17). It would also be possible to explore the relation between fine motor behavior and group spatiotemporal accuracy is possible to other animal groups, where individual tracking with high spatiotemporal accuracy is possible—such as fish (5–7, 9), birds (12, 14), or mammals (16, 17). It would also be possible to explore the relation between fine motor behavior and group spatiotemporal accuracy is possible to other animal groups, where individual tracking with high spatiotemporal accuracy is possible.