



Dynamic amplification of extreme precipitation sensitivity

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A useful starting hypothesis for predictions of changes in precipitation extremes with climate is that those extremes increase at the same rate as atmospheric moisture does, which is $\sim 7\% \text{ K}^{-1}$ following the Clausius–Clapeyron (CC) relation. This hypothesis, however, neglects potential changes in the strengths of atmospheric circulations associated with precipitation extremes. As increased moisture leads to increased precipitation, the increased latent heating may lead to stronger large-scale ascent and thus, additional increase in precipitation, leading to a super-CC scaling. This study investigates this possibility in the context of the 2015 Texas extreme precipitation event using the Column Quasi-Geostrophic (CQG) method. Analogs to this event are simulated in different climatic conditions with varying surface temperature (T_s) given the same adiabatic quasigeostrophic forcing. Precipitation in these events exhibits super-CC scaling due to the dynamic contribution associated with increasing ascent due to increased latent heating, an increase with importance that increases with T_s . The thermodynamic contribution (attributable to increasing water vapor; assuming no change in vertical motion) approximately follows CC as expected, while vertical structure changes of moisture and diabatic heating lead to negative but secondary contributions to the sensitivity, reducing the rate of increase.

extreme precipitation | convection | climate change

How will precipitation extremes respond to climate change? As climate warms, the water vapor content of a saturated air column increases with surface temperature (T_s) at a rate of $\sim 7\% \text{ K}^{-1}$ following the Clausius–Clapeyron (CC) relation (1, 2), and the actual water vapor content increases similarly in both models and observations (3, 4). The response of global mean precipitation to warming is largely constrained by global energy balance ($\sim 2\% \text{ K}^{-1}$) (3), while regional mean precipitation increases more variably (5). Observations of precipitation extremes, however, show that they increase more rapidly than the regional mean precipitation does in most regions, increasing even where the mean precipitation decreases, albeit with significant variability across geographic locations (6, 7). General circulation models (GCMs) project that, in midlatitudes, the rate at which precipitation extremes increase is close to CC scaling. In the tropics, some models project super-CC scaling, although with considerable intermodel spread (8–11). Given that GCMs poorly represent many characteristics of precipitation extremes in the current climate, such as their climatology (8) and dependences on temperature on the interannual timescale (12), it is appropriate to view their predictions of changes in precipitation extremes with warming with a critical eye. Simulations in regional climate models with finer horizontal resolutions usually show greater sensitivity of extreme precipitation to warming than do GCM simulations (13–19), suggesting that GCMs may underestimate this sensitivity.

By separating the sensitivity of precipitation extremes to surface temperature into a thermodynamic component—that due to the increase of atmospheric moisture with temperature (i.e., that which leads to CC scaling)—and a dynamic component, which is the change of large-scale vertical motion (1), most

of the uncertainty in extreme precipitation sensitivity comes from the dynamic component (9, 10). It is suggested that the increased latent heating associated with increased precipitation may further modify the atmospheric circulations associated with extreme precipitation events, changing both the magnitude and vertical structure of their large-scale vertical motion and resulting in a feedback between the thermodynamic and dynamic components (2, 20). This feedback may be either positive or negative and is key to explaining the wide spread of extreme precipitation sensitivity in GCM simulations (9, 10) and the regional distribution of extreme precipitation sensitivity in observations (6, 7).

In this paper, we investigate the sensitivity of extreme precipitation to warming using the idealized Column Quasi-Geostrophic (CQG) modeling framework (21, 22). This framework allows for a relatively clean mechanistic interpretation of the feedbacks between the thermodynamic and dynamic contributions to extreme precipitation events. CQG extends the notion of parameterization of large-scale dynamics (23–26) from the tropics to the extratropics. In the tropics, large-scale vertical motion is almost entirely controlled by diabatic heating, while in the extratropics, dry adiabatic balanced potential vorticity (PV) dynamics also plays an important role in generating large-scale vertical motion. CQG allows interaction between large-scale vertical motion and convection in a limited domain, thus distinguishing this study from previous cloud-resolving model (CRM) studies that have examined extreme precipitation sensitivity under Radiative–Convective Equilibrium with no large-scale vertical motion (27).

Significance

Changes in precipitation extremes under climate change are subject to substantial uncertainty. Atmospheric moisture increases alone would make extreme rain events heavier at a well-understood rate of $\sim 7\% \text{ K}^{-1}$, but a component associated with storm dynamics is much less well-understood and can either amplify or reduce that moisture-driven intensification. This paper uses an idealized modeling framework to understand the coupling of these two components, simulating one actual heavy rain event in both the present climate and hypothetical perturbed climates. The increased heating due to increased moisture drives a dynamical increase in large-scale ascent, amplifying the moisture-driven response by as much as a factor of two for warmer climates.

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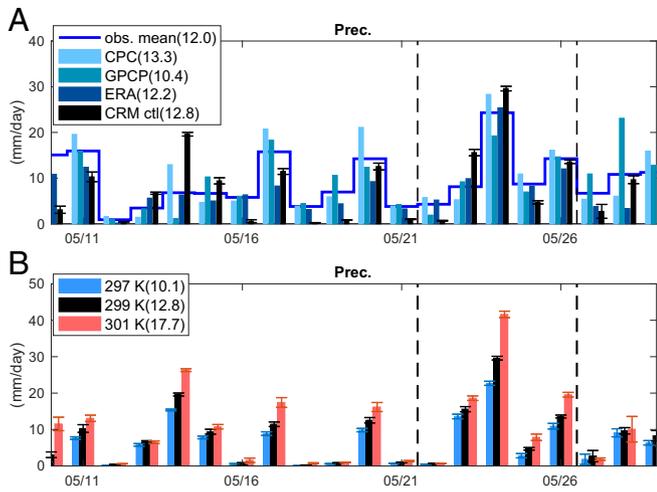


Fig. 2. (A) Daily precipitation from the Climate Prediction Center (CPC) data, the Global Precipitation Climatology Project (GPCP) precipitation data (38), ERA reanalysis (12-h reforecast), and CRM simulation of the control case. The blue line is the mean of the three observations and reanalysis dataset. (B) Daily precipitation of the control case and two perturbed cases. Error bars indicate the SD among six ensemble members, which are different realizations with small random noise in the initial conditions (*SI Appendix*). Numbers in brackets are the mean precipitation between May 22 and May 26 (marked by the black vertical dash lines).

simulation also matches the reanalysis reasonably well (*SI Appendix*, Fig. S3). The precipitation comparisons between the control and perturbed cases show the sensitivity of the precipitation to the background climate. As an example, Fig. 2B shows daily precipitation from the control case ($T_s = 299$ K), the $T_s = 297$ K case, and the $T_s = 301$ K case. Each case includes six ensemble members with different realizations of small random noise in the initial conditions (*SI Appendix*). Precipitation increases with warming strongly and far above the variability within the ensemble. We focus on the 5-d mean precipitation between May 22 and May 26, 2015 (denoted P) hereafter. Precipitation totals on this timescale are relevant to impacts on larger scales (e.g., flooding in large river basins) and also relevant to interpretations of GCM results often used in the context of climate change studies. Many previous studies have used high-resolution regional simulations to examine changes with warming of convective-scale precipitation and updrafts (13, 15–19), which are of great societal relevance to local areas (39). Analyses of convective-scale responses to the surface warming are presented in *SI Appendix* as a complement to our primary focus on the larger space and timescale.

As T_s increases from 293 K to 305 K, P increases exponentially from 7.4 to 36.3 mm/d (Fig. 3A). We calculate the exponential growth rate locally at each T_s ($\frac{\delta \ln P}{\delta T_s}$ using centered differences, except for the first and last values, in which forward and backward differences are used) (Fig. 3B). The precipitation sensitivity to surface temperature, $\frac{\delta \ln P}{\delta T_s}$, is not constant but increases from 7% K^{-1} at $T_s = 293$ K to 17% K^{-1} at $T_s = 301$ K; then, it remains roughly constant as T_s further increases. Overall, the extreme precipitation sensitivity substantially exceeds CC scaling, implying an important role for dynamic feedbacks. The results here are qualitatively consistent with the super-CC scaling of extreme precipitation found in observations on the interannual timescale (12) and in some numerical modeling studies (10, 14, 16).

We apply the conventional decomposition (10) to quantify the thermodynamic and dynamic components of the extreme precipitation sensitivity. This decomposition is based on the

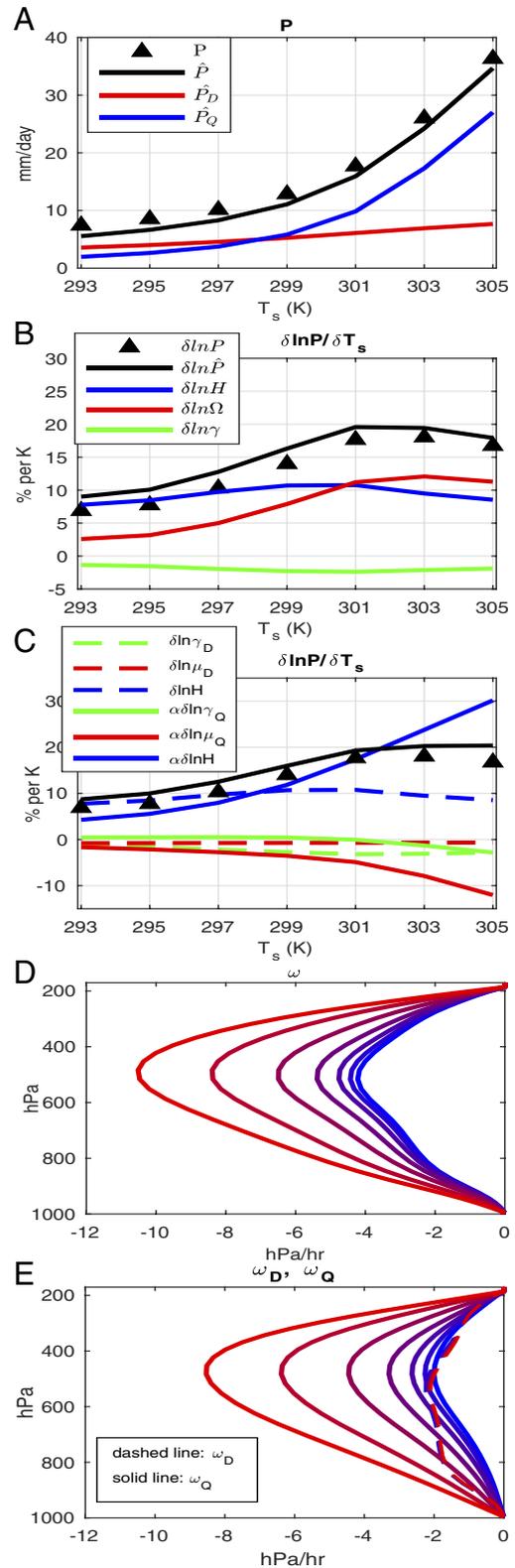


Fig. 3. (A) P , \hat{P} , \hat{P}_D , and \hat{P}_Q as functions of T_s . B and C are the decompositions of $\frac{\delta \ln P}{\delta T_s}$ based on Eqs. 3 and 5, respectively. The black solid lines show the sum of the color lines. D and E show ω , ω_D , and ω_Q . The changing of the line colors from blue to red corresponds to cases in which T_s increases from 293 to 305 K. Note that the dashed lines in E almost all collapse to the same line.

approximation that heavy precipitation comes primarily from the vertical advection of moisture: $P \approx \hat{P} \equiv -\langle \omega \partial_p q \rangle$ ($\langle * \rangle = \frac{1}{g} \int * dp$ denotes pressure vertical integration), an approximation supported by our budget analysis (*SI Appendix*, Fig. S4). \hat{P} is only slightly smaller than P (Fig. 3A), and $\frac{\delta \ln \hat{P}}{\delta T_s}$ is only slightly greater than $\frac{\delta \ln P}{\delta T_s}$ (Fig. 3B) ($\delta \ln P \approx \frac{\hat{P}}{P} \delta \ln \hat{P}$). Since the approximation $\hat{P} \approx P$ holds well and at the same time, simplifies interpretation, from here on, we focus on $\delta \ln \hat{P}$. By separating the amplitudes and vertical structures of ω and $\partial_p q$, we have

$$\hat{P} = \gamma H \Omega, \tag{2}$$

where Ω is the absolute value of ω at 500 hPa, a metric of vertical motion amplitude in middle troposphere, and $H = \langle q \rangle$ is column precipitable water. The parameter $\gamma = -\langle \frac{\omega}{\Omega} \frac{\partial_p q}{H} \rangle$ absorbs the covariances of the vertical structures of normalized vertical velocity ($\frac{\omega}{\Omega}$) and normalized moisture stratification ($\frac{\partial_p q}{H}$). The percentage changes of \hat{P} can thus be written as

$$\delta \ln \hat{P} = \delta \ln H + \delta \ln \Omega + \delta \ln \gamma. \tag{3}$$

The RHS terms are the thermodynamic component, the dynamic component, and a component due to changes in the vertical structures of ω and q , respectively.

The results of the decomposition in Eq. 3 are shown in Fig. 3B. $\delta \ln H$ varies little over all cases, with a mean value of $9\% \text{ K}^{-1}$. This value is slightly higher than the CC scaling, because the upper troposphere warms more than the surface does (*SI Appendix*, Fig. S6B) and the precipitable water increases faster than the surface water vapor with T_s (27, 40). The changes in covariance of vertical structure are small and negative ($\delta \ln \gamma \approx -2\% \text{ K}^{-1}$). The dynamic component, $\delta \ln \Omega$, is positive and contributes significantly to the super-CC scaling, consistent with the increases of ω with T_s (Fig. 3D). Interestingly, unlike the other two terms that are nearly independent of T_s , $\delta \ln \Omega$ increases from 2.5 to $11\% \text{ K}^{-1}$ and then remains constant, explaining most of the dependence of $\delta \ln \hat{P}$ on T_s .

Next, we look into the dynamic component ($\delta \ln \Omega$). Given the linearity of the QG ω equation (Eq. 1), we can separate ω as $\omega = \omega_D + \omega_Q$, in which ω_D is the part due to the imposed dry adiabatic dynamic forcing (F), while ω_Q is due to diabatic heating (Q). ω_D and ω_Q can be calculated by solving Eq. 1, including the first two terms on the RHS and then, the third term on the RHS. The comparison of the ω components in the control case between results from the reanalysis and those from the simulation again shows reasonable agreement (*SI Appendix*, Fig. S3). By examining the perturbed cases, we see that ω_D remains almost unchanged. This is largely due to the fact that the adiabatic dynamic forcing F is prescribed to be fixed in experiment design. The increases of ω are mostly due to the increases of ω_Q (Fig. 3E).

In our calculations, σ is evaluated from the instantaneous horizontal-averaged temperature profile in the CRM simulations and increases with warming (the so-called lapse rate effect). However, the change of σ is relatively small here so that the resulting decreases in ω_D are similarly small. The increases in σ also partly compensate for increases in diabatic heating, but its changes are sufficiently small, and the heating changes dominate the response.

The extreme precipitation sensitivity can be decomposed based on the QG ω separation. Defining $\hat{P}_D \equiv -\langle \omega_D \partial_p q \rangle$ and $\hat{P}_Q \equiv -\langle \omega_Q \partial_p q \rangle$ as precipitation due to vertical moisture advection by ω_D and ω_Q , respectively, we have

$$\hat{P} = \hat{P}_D + \hat{P}_Q = (1 + \alpha) \hat{P}_D, \tag{4}$$

where $\alpha = \frac{\hat{P}_Q}{\hat{P}_D} = \frac{\gamma_Q \mu_Q H}{1 - \gamma_Q \mu_Q H}$ (the derivation is in *SI Appendix*). The parameters γ_Q , μ_Q , γ_D , and μ_D are associated with the vertical shapes of vertical motion or QG forcing profiles. As will be seen below, their changes with warming are of secondary importance. The dependence of \hat{P}_D and \hat{P}_Q with T_s is shown in Fig. 3A. The amplification parameter α quantifies the diabatic heating feedback on precipitation due to QG adjustments (21). In general, α depends on the horizontal length scale of the disturbance, the background state, and the adiabatic forcings (21, 22), with larger α meaning stronger P under the same F . In the control case, $\alpha = 1.1$, similar to the value found in the case of the 2010 Pakistan extreme precipitation event (22). As T_s increases from the coldest to the warmest case, α increases from 0.6 to 3.5, indicating that the diabatic heating feedback becomes stronger with warming.

Based on Eq. 4, we can decompose $\delta \ln \hat{P}$ as

$$\begin{aligned} \delta \ln \hat{P} &= \delta \ln \hat{P}_D + \delta \ln(\alpha + 1) \\ &= (\delta \ln \gamma_D + \delta \ln \mu_D + \delta \ln H + \delta \ln \langle F \rangle) + \\ &\quad \alpha(\delta \ln \gamma_Q + \delta \ln \mu_Q + \delta \ln H). \end{aligned} \tag{5}$$

The terms in Eq. 5 are shown in Fig. 3C. The contributions from the changes of vertical shapes are generally small, except that $\alpha \delta \ln \mu_Q$ (reflecting the change of the vertical structure of Q) (*SI Appendix*, Fig. S5) becomes nonnegligible for large T_s . One dominant term in Eq. 5 is $\delta \ln H$, which represents the change of \hat{P}_D due to increased precipitable water with approximately unchanged ω_D . The other dominant term is $\alpha \delta \ln H$, meaning that the thermodynamic effect is amplified by the diabatic heating feedback by α . Comparing Eq. 5 with Eq. 3, we have $\delta \ln \Omega \approx \alpha(\delta \ln H + \delta \ln \mu_Q)$, stating that the dynamic component of precipitation extremes is mainly due to the increased diabatic heating leading to increased ω_Q , modified by a secondary term associated with the changes in the vertical structure of heating.

The numerical results are summarized in Fig. 4 together with another group of experiments performed as sensitivity tests (*SI Appendix*). $\delta \ln P$ increases about two times faster than $\delta \ln H$, indicating a nearly double CC scaling in these simulations on average. The scatter points are above the linear fit line, consistent with the fact that $\frac{\delta \ln P}{\delta T_s}$ increases as T_s increases (Fig. 3B). In

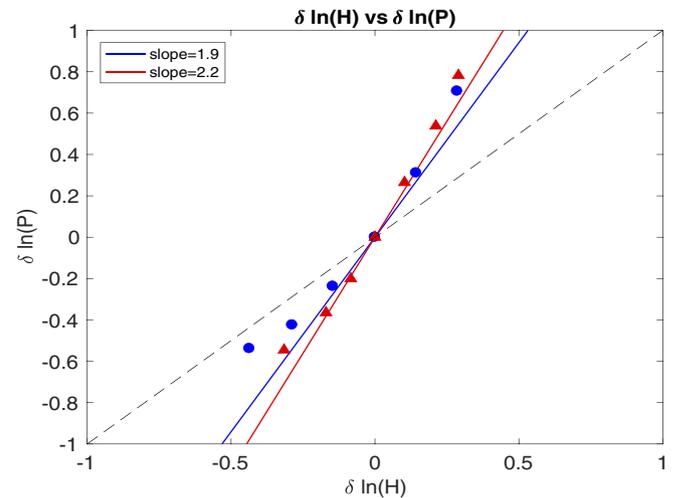


Fig. 4. Changes in extreme precipitation ($\delta \ln P$) vs. changes in column water vapor ($\delta \ln H$) from experiment group 1 (blue circles) and experiment group 2 (red triangles) (*SI Appendix*). The black dashed line is the one-to-one line. Blue and red lines are the linear fit lines to the two experiment groups.

precipitation. Studies with comprehensive climate models suggest, in fact, that warming may lead to a reduction in the dynamic component of extreme precipitation in the region and season of interest here (11, 45). If so, our results suggest that this reduction is due to these other effects, with dynamic amplification due to increased heating (for a given F and wave number k) still relevant and perhaps dominant in other regions. Efforts to analyze

all of these effects more broadly using a hierarchy of numerical models would be valuable.

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