Correction

APPLIED BIOLOGICAL SCIENCES


The authors note, “Due to a query raised regarding historical BCG vaccination data from East and West Germany we have identified a few corrections necessary for our work. We were able to obtain detailed information on historical BCG vaccination in Germany from Alice Anne Zwerling, first author of the BCG World Atlas database manuscript (1). We compared these data with the information from the German Ministry of Health (2). When we integrated the information from both sources, the following changes to the text were necessary.

“On page 17721, right column, first full paragraph, the text that states ‘In West Germany, infants were vaccinated between 1961 and 1998 (7). In East Germany, infants and 15-y-old teenagers with a negative skin test were vaccinated from 1951 to 1975 with at least one dose of BCG (7).’ should instead read ‘In West Germany, universal infant BCG vaccination was recommended from 1955 to 1975 (7). In East Germany, BCG vaccination of infants became compulsory in 1953. In 1961, BCG vaccination of 15-y-old teenagers with a negative skin test also became compulsory. BCG vaccination continued until the German unification in 1990 (7).’

“On page 17722, right column, first full paragraph, the text that states ‘In West Germany, those 22 y to 59 y old today were vaccinated, while, in East Germany, those 45 y to 84 y old today received at least one dose of BCG (Fig. 3 B and C).’ should instead read ‘In West Germany, those 45 y to 65 y old today were vaccinated, while, in East Germany, those 30 y to 74 y old today received at least one dose of BCG (Fig. 3 B and C).’

“The conclusions of our analysis do not change. We thank Godmar Back for bringing these discrepancies in the data to our attention. Fig. 3B has been updated.

Additionally, the authors note that reference 15 appeared incorrectly. The reference should appear as follows. The article has been updated online.

Fig. 3. COVID-19 mortality in comparable regions that have had different BCG vaccination policies. (A) COVID-19 mortality by time in populous North and South American States. (Left) COVID-19 mortality per 10 million inhabitants in a 3-d centered average. Time adjusted according to the day with the first death in each region as day 1, up to 25 d of the epidemic. (Right) Table shows population density and COVID-19 mortality by day 25 of the epidemic for each region. Regions that have had BCG vaccination (blue) had lower mortality than regions without BCG vaccination (red; $r^2 = 0.84, P < 0.001; t [237] = 14.274, P < 0.001$).

(B) Estimated age range of people that received BCG vaccination in East and West Germany. (C) Map illustrating the regions of East and West Germany included in the analysis. (D) Mean COVID-19 mortality in East Germany was lower than mortality in West Germany ($t [11] = −2.592, P = 0.025$).

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BCG vaccine protection from severe coronavirus disease 2019 (COVID-19)

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Contributed by Carolina Barillas-Mury, June 9, 2020 (sent for review May 1, 2020; reviewed by Serap Aksoy and Rita R. Colwell)

A series of epidemiological explorations has suggested a negative association between national bacillus Calmette–Guérin (BCG) vaccination policy and the prevalence and mortality of coronavirus disease 2019 (COVID-19). However, these comparisons are difficult to validate due to broad differences between countries such as socioeconomic status, demographic structure, rural vs. urban settings, time of arrival of the pandemic, number of diagnostic tests and criteria for testing, and national control strategies to limit the spread of COVID-19. We review evidence for a potential biological basis of BCG cross-protection from severe COVID-19, and refine the epidemiological analysis to mitigate effects of potentially confounding factors (e.g., stage of the COVID-19 epidemic, development, rurality, population density, and age structure). A strong correlation between the BCG index, an estimation of the degree of universal BCG vaccination deployment in a country, and COVID-19 mortality in different socially similar European countries was observed ($r^2 = 0.88$; $P = 8 \times 10^{-7}$), indicating that every 10% increase in the BCG index was associated with a 10.4% reduction in COVID-19 mortality. Results fail to confirm the null hypothesis of no association between BCG vaccination and COVID-19 mortality, and suggest that BCG could have a protective effect. Nevertheless, the analyses are restricted to coarse-scale signals and should be considered with caution. BCG vaccination clinical trials are required to corroborate the patterns detected here, and to establish causality between BCG vaccination and protection from severe COVID-19. Public health implications of a plausible BCG cross-protection from severe COVID-19 are discussed.

Significance

The COVID-19 pandemic is one of the most devastating in recent history. The bacillus Calmette–Guérin (BCG) vaccine against tuberculosis also confers broad protection against other infectious diseases, and it has been proposed that it could reduce the severity of COVID-19. This epidemiological study assessed the global linkage between BCG vaccination and COVID-19 mortality. Signals of BCG vaccination effect on COVID-19 mortality are influenced by social, economic, and demographic differences between countries. After mitigating multiple confounding factors, several significant associations between BCG vaccination and reduced COVID-19 deaths were observed. This study highlights the need for mechanistic studies behind the effect of BCG vaccination on COVID-19, and for clinical evaluation of the effectiveness of BCG vaccination to protect from severe COVID-19.

Author contributions: L.E.E., A.M.-C., and C.B.-M. designed research; L.E.E., A.M.-C., and C.B.-M. performed research; L.E.E. and A.M.-C. contributed new reagents/analytic tools; L.E.E., A.M.-C., and C.B.-M. analyzed data; L.E.E. and C.B.-M. wrote the paper; and A.M.-C. compiled epidemiological information from many different sources.

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The authors declare no competing interest.

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Considering the cross-protection reported for BCG vaccination on viral respiratory infections, recent publications have proposed that BCG vaccination could have protective effects against COVID-19 infection (14–16). These publications, however, do not include extensive statistical analysis, and the World Health Organization has cautioned about the lack of research regarding BCG vaccination against COVID-19 infection (17). In view of the growing interest in assessing the plausible association between BCG vaccination and protection from severe COVID-19, we assessed available global data on BCG and COVID-19 to investigate the hypothesis that countries without a national BCG vaccination program would have greater COVID-19 mortality than countries that have a program. We attempted to control for potential confounding variables among countries, such as level of urbanization, population density, age classes, access to health, income, education, and stage and size of the COVID-19 epidemic.

Methods

Broad Analysis. We collected COVID-19 mortality data by country, considering that numbers of deaths may be more reliable than the numbers of cases, since they are directly influenced by the intensity of diagnostic efforts, criteria for testing, number of asymptomatic cases, and availability and sensitivity of diagnostic tests (18). Number of deaths is also a good proxy of epidemic size during explosive epidemics, considering that, often, the number of cases is underreported due to overwhelmed and unprepared health systems (i.e., surveillance fatigue) (19). Although media reports suggest consistent underreporting of COVID-19 deaths globally (20), this parameter is harder to manipulate than case numbers. We used ANOVA and t tests to assess effect of BCG vaccination policy on COVID-19 mortality, and used linear regressions (21, 22) to assess linkages between use of BCG vaccine and number of COVID-19 deaths (α = 0.05). BCG vaccination data were collected at the country level based on policy of vaccination (i.e., current, interrupted, never) (7, 23, 24) and the mean and median percentage of vaccinated during the period 1961–2018 (6), assigning data to countries where BCG national vaccination campaigns have never been conducted. COVID-19–related deaths were collected until April 22, 2020, and were standardized by population and stage of the epidemic (25). Independent (i.e., with vs. without BCG vaccination, policy of BCG vaccination in place, and percentage of vaccine coverage) and dependent variables (i.e., mean, median, and maximum deaths per million) were compared using data at specific times of each country's epidemic since the first death (e.g., 21 and 30 d since first death; days since first death until 0.1 and 1 death per million; middle point and full period since first death). This design allowed fair comparisons between countries at different epidemic stages and of different population sizes, accounting for incubation period (26).

Refined Analysis. The hypothesis that countries without a national BCG vaccination program would have greater COVID-19 mortality in adult population could only have a program was investigated at the global level and filtered by social conditions. Analyses were performed initially for countries with BCG vaccination data, ≥1 million inhabitants, and nonzero COVID-19–related deaths. We focused on social variables as potential confounding factors, excluding climatic conditions in view of the lack of evidence for temperature dependence of the COVID-19 epidemic (27, 28). Potential confounding variables were assessed based on access to health and education services and income (i.e., Human Development Index [HDI]) (29), population size (30), human density (31), urbanization (32), and age structure of the population (30). For a fair comparison between countries, and after a preliminary evaluation of association (SI Appendix, Table S1), BCG vaccination and COVID-19 deaths assessments were conducted for countries with at least one death per million inhabitants, ≥15% of population with an age of 65 y or more, >60% of population living in urban areas, <300 inhabitants per square kilometer, and an HDI of >0.7 (SI Appendix, Table S2) and with 22 socially similar countries. The control of confounding variables reduced the overall significant effect and association between BCG and COVID-19 deaths (i.e., amount of significant evaluations for linear models went from 46% for coarse analyses vs. 19% for refined; ANOVA: coarse = 100% vs. refined = 31%; t test: coarse = 92% vs. refined = 37%; SI Appendix, Tables S2–S4). Nevertheless, a significant association and effect was still detected for several comparisons controlling for social conditions and stage of the epidemic by country (SI Appendix, Tables S2–S4). For example, type of BCG policy (i.e., current, interrupted, and never) had a significant effect on COVID-19 deaths per million (Fig. 2B).
and SI Appendix, Table S2), with a stronger policy being associated with lower COVID-19 mortality. Additionally, countries with current vaccination had lower deaths as compared with countries with lack of or interrupted BCG vaccination (Fig. 2C and SI Appendix, Table S3). Similarly, mean BCG coverage (percent) was negatively associated with COVID-19 deaths per million reported by country during the first month of the pandemic (Fig. 2D and SI Appendix, Table S4). Our multivariate model revealed that HDI and population density had the best predictive capacity of COVID-19 mortality during the first month of the pandemic ($r^2 = 0.49$, $F [2, 113] = 55.57$, $P < 0.001$) (SI Appendix, Fig. S4A–C). It also showed that some of the variables evaluated were correlated with each other. For example, the percentage of population >65 y of age and HDI are strongly correlated, while there is a moderate correlation between the time period of universal BCG vaccination and population density (SI Appendix, Fig. S4D).

**Arrival of the Pandemic to the Americas.** The COVID-19 pandemic arrived in the Americas by air traffic, and regions with a high number of international flights from Europe have been the most affected. Thus, we decided to compare COVID-19 mortality in states from the United States without BCG vaccination with those that were the main points of entry in Mexico and Brazil, as these two countries have current BCG vaccination programs. We found that COVID-19 mortality in the states of New York, Illinois, Louisiana, Alabama, and Florida (unvaccinated) was significantly higher ($t [237] = 14.274$, $P < 0.001$) than states from BCG-vaccinated countries (Pernambuco, Rio de Janeiro, and Sao Paulo in Brazil; Mexico State and Mexico City in Mexico) (Fig. 3 A, Left). This is remarkable, considering that three states from Latin America have much higher population densities than the North American states analyzed, including New York (Fig. 3 A, Right).

**The Pandemic in Europe.** We also found broad differences in COVID-19 mortality within Europe. Germany provides a unique opportunity to compare the potential effect of age of BCG vaccination on susceptibility to COVID-19, as, before the unification, East and West Germany followed different vaccination schemes. In West Germany, those 22 y to 59 y old today were vaccinated, while, in East Germany, those 45 y to 84 y old today received at least one dose of BCG (Fig. 3 B and C). A comparison of these two regions revealed that the average COVID-19 mortality rate in western German states (40.5 per million) was 2.9-fold higher than in eastern states (14.2 per million) (Fig. 3D). Similarly, the mean mortality in Western Europe was 9.92 times higher than in Eastern Europe ($t [11] = -2.592$, $P < 0.025$) (Fig. 4A), where countries, in general, have active universal BCG vaccination programs (Fig. 4B).

Western Europe has a complex history of BCG vaccination, ranging from Finland, which had a universal vaccination program for 65 y initiated in 1941, to other countries like Italy, Belgium, and The Netherlands, which have never had universal BCG vaccination (Fig. 4B). To give these differences a quantitative value, a BCG vaccination index was developed by multiplying the age of the older group that was vaccinated (because COVID-19 mortality increases by age) by the number of years that a program was maintained. To adjust the index to a scale from 0 to 1, values were divided by a parameter of the maximum score expected (75 × 75 = 5,625, based on countries with 75 y of uninterrupted vaccination; Fig. 4C). We found a significant ($r^2 = 0.49$, $P < 0.00001$) negative correlation between BCG index and COVID-19 mortality in European countries that administer the BCG vaccine to infants by intradermal injection (Fig. 4D). In this analysis, the United Kingdom was excluded because it administered the vaccine to older children (12 y to 13 y old) (7), and France was excluded because it administered the vaccine to infants and young children going to daycare, or to children of school age (36). We noted that COVID-19 mortality was higher in the United Kingdom and France compared to Germany, Scandinavia, or Eastern European countries (Fig. 4A). Finally, to try to control for other confounding factors that could affect COVID-19 mortality, we repeated the analysis including only those European countries that are socially similar (see Filtered Analysis) and normalized the time of arrival of the pandemic by trying to control for other confounding factors that could affect COVID-19 mortality (Fig. 4E): indicating that every 10% increase in the BCG index is associated with a 10.4% reduction in COVID-19 mortality.

**Discussion**

**Classic Pathogen-Specific Immunity vs. Broad Protection through Innate Immune Training.** Cellular immunity is very important to control infections by intracellular pathogens, such as M. tuberculosis, responsible for TB in humans (37). Gamma IFN ($\gamma$-IFN) is a key cytokine produced by CD4+ T cells that mediates macrophage
activation and resistance to *M. tuberculosis* (38). Enhanced susceptibility to TB is seen in humans with mutations in the γ-IFN receptor (38) and in mice in which the γ-IFN gene has been disrupted (39). The BCG vaccine is thought to confer protection from TB by enhancing cellular immunity.

The innate immune system is the first line of defense against invading pathogens. Immune cells constantly patrol the different organs, especially the gastrointestinal tract, airways, and the lungs, which have direct contact with the environment. For many decades, the innate immune response was thought to be hardwired and unable to adapt and “learn” from previous exposure to a pathogen. In the last 10 y, several studies demonstrated that the priming or “training” of the innate immune system is an ancient response observed in evolutionarily distant organisms, including plants (40), insects (41), and humans (42). Trained immunity, defined as the enhancement in innate immune responses to subsequent infections, is achieved through epigenetic and metabolic programming of immune cells that allows them to mount a stronger response to pathogens and to activate adaptive responses more efficiently (43). Trained immunity can confer broad protection that is not specific to a pathogen. For example, BCG vaccination is approved as a treatment for cancer of the bladder, and destruction of cancer cells has been shown to be mediated by trained immunity (44). Furthermore, BCG vaccination has been shown to elicit long-lasting innate immune responses, beyond those specific to mycobacteria (45), and to modify hematopoietic stem cells, resulting in epigenetically modified macrophages that provide significantly better protection against virulent *M. tuberculosis* infection than naïve macrophages (46). Taken together, our current understanding of broad immune protection mediated by trained immunity and the epidemiologic evidence of long-lasting protection from viral infections of the respiratory tract, conferred by BCG vaccination, offer a rational biological basis for the potential protective effect of BCG vaccination from severe COVID-19. This response to BCG vaccination appears to be mediated by a mechanism different from the cellular immune response that confers protection from systemic TB in children (15).

**BCG Vaccination and COVID-19 Mortality.** The consistent association between BCG vaccination and reduced severity of COVID-19 observed in these and other epidemiological explorations is remarkable, but not sufficient to establish causality between BCG vaccination and protection from severe COVID-19. Randomized clinical trials, such as those ongoing in Holland (47) and Australia (48), in which health workers are administered either the BCG vaccine or a placebo saline injection, will determine the extent to which BCG vaccination in adults confers protection from COVID-19. There is limited information on the safety of administering BCG to senior persons, since BCG is a vaccine based on a live attenuated *Mycobacterium* that should not be administered to immunocompromised individuals (49). *M. tuberculosis* infection can remain latent for decades and reactivate in the elderly when a senescent immune system loses the ability to contain the infection (50). Nevertheless, a small study found that vaccination of adults ≥65 y old with BCG prevented acute upper respiratory tract infections (51), and there is an active clinical trial vaccinating adults aged >65 y with BCG to boost immunity (52).

Most striking, COVID-19–related deaths are significantly higher in countries with higher quality of life (Fig. 1), contradicting the expectation of lower rates of mortality in countries with improved health care systems. Because of the differences in latitude, one can envision that differences in climate, such as ambient temperature, between states in the United States and southern countries. However, one must consider that the average temperatures in the months of March to April are mild in southern countries. Nevertheless, a small study found that vaccination of adults ≥65 y old with BCG prevented acute upper respiratory tract infections (51), and there is an active clinical trial vaccinating adults aged >65 y with BCG to boost immunity (52).
Rio de Janeiro (77.1 °F to 73.9 °F), Florida (71.4 °F to 74.8 °F), Alabama (60.1 °F to 67.3 °F), and Louisiana (62.2 °F to 69.3 °F) (53), and that there is preliminary evidence for lack of temperature dependence for the COVID-19 epidemic (27, 28). The augmented COVID-19 mortality in developed countries when compared to developing countries remained consistent even after correcting for social confounding factors and age. There are several important social differences between eastern and western German states, such as lower GDP in former East Germany, and higher percentage of population 65 y or older in eastern states (24%) than in western ones (21%) (34), but it is hard to envision how these factors could decrease their risk of COVID-19 fatality in eastern German states. One should consider that the difference in mortality could also be explained, at least in part, by the lower population density in East Germany (154/Km²) than in West Germany (282/Km²). This variable is difficult to control, as there is great heterogeneity in population density even within each state.

The lack of apparent protection observed in the United Kingdom and France, where BCG vaccination was administered to older children, suggests that either trained immunity observed when infants are vaccinated is no longer achieved in older children or it may be of shorter duration. There may be a "critical

Fig. 3. COVID-19 mortality in comparable regions that have had different BCG vaccination policies. (A) COVID-19 mortality by time in populous North and South American States. (Left) COVID-19 mortality per 10 million inhabitants in a 3-d centered average. Time adjusted according to the day with the first death in each region as day 1, up to 25 d of the epidemic. (Right) Table shows population density and COVID-19 mortality by day 25 of the epidemic for each region. Regions that have had BCG vaccination (blue) had lower mortality than regions without BCG vaccination (red; \( r^2 = 0.84, P < 0.001; t [237] = 14.274, P < 0.001 \)). (B) Estimated age range of people that received BCG vaccination in East and West Germany. (C) Map illustrating the regions of East and West Germany included in the analysis. (D) Mean COVID-19 mortality in East Germany was lower than mortality in West Germany (\( t [11] = -2.592, P = 0.025 \)).

Fig. 4. Variation in COVID-19 mortality and BCG vaccination policy in different European countries. (A) Map illustrating COVID-19 deaths per million inhabitants in European countries. (B) Map illustrating BCG vaccination policy in European countries that currently have universal BCG vaccination program (Current), countries that interrupted in the past a BCG vaccination program (Interrupted), and countries that never implemented a universal vaccination program (Never). (C) BCG index = (age of oldest vaccinated cohort x universal vaccination x vaccine coverage) / 5,625. (D) Correlation between BCG index and COVID-19 mortality per million inhabitants and in European countries with different BCG vaccination policy. (E) Correlation between BCG index and COVID-19 mortality per million inhabitants during the first month of the pandemic in socially similar European countries with different BCG vaccination policy.
window” early in life where BCG vaccination can result in lifelong enhanced immune surveillance. It is also possible that the BCG strains used, or the administration route, also affect the innate immune response to vaccination. Considering the remarkable dissemination capacity and the mortality rates of COVID-19, vaccination capable of conferring even transient protection (e.g., 6 mo to 12 mo) may be useful in individuals at high risk, such as health workers, first responders, and police officers, or those with preexisting conditions such as obesity, diabetes, or cardiovascular disease. Similarly, even enhanced unspecific immunity through BCG vaccination in vulnerable age groups could ameliorate severe COVID-19. Temporarily induced trained immunity could buy time until specific vaccines and/or effective treatments against severe acute respiratory syndrome coronavirus 2 infections become available.

If the BCG protection hypothesis holds true, it would have great implications for regions with ongoing universal vaccination programs, including most developing countries, as they may experience lower morbidity and mortality during the pandemic than in Europe and North America. We found that the number of years that universal BCG vaccination has been implemented in a given country and the level of vaccination coverage may play key roles in reducing of COVID-19 severity. For example, in many Latin American countries, BCG vaccination was introduced in the mid-1960s, suggesting that individuals aged ≥5 y would not be vaccinated and, in turn, represent a vulnerable segment of the population regarding COVID-19. Similarly, individuals born in years with low BCG vaccine coverage would be populations at risk. Most Asian countries have active universal BCG vaccination programs. If BCG is conferring some basal level of protection from COVID-19, it is possible that some of the social distancing roll-back strategies taken by Asian countries, in order to restart their economies, may not be effective in North America and western European countries, and could result in a second wave of infections.

Our understanding of the biology of innate immune training is in its infancy (42, 43). Little is known about the capacity of BCG vaccination to confer broad immune enhancement and the functional correlates of protection. Our inability to confirm the null hypothesis of no effect of BCG on COVID-19 mortality could be explained by an alternative hypothesis of cross-protection mediated by BCG vaccination. We note, however, that the data used in this epidemiological study have important sampling biases, and that the statistical signal detected at the country level may not explain COVID-19 mortality at the local level. The possibility that a single exposure to an attenuated pathogen during infancy could result in lifelong enhancement in immune surveillance is remarkable, but the available epidemiological data, in the absence of direct evidence from clinical trials, is not sufficient to recommend the use of BCG for the control and prevention of COVID-19 or other emerging infectious diseases.

Data Availability. All raw data were obtained from open sources and have been cited and deposited in Datasets S1.

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7. J. P. Sfakianos, P. K. Hegarty, G. Giannarini, A. R. Dinardo, A. M. Kamat, COVID-19 and Bacille Calmette-Guérin (BCG) vaccination in vulnerable age groups could ameliorate severe COVID-19. Temporarily induced trained immunity could buy time until specific vaccines and/or effective treatments against severe acute respiratory syndrome coronavirus 2 infections become available.


